

## Governance

Has your organisation adopted a Code of Ethics?

☐ yes ☐ no

Does your organisation have a formal policy in place regarding business ethics?

☐ yes ☐ no

Does your organisation have a code of conduct in place regarding business ethics?

☐ yes ☐ no

Which of the following areas are covered by this ethics code of conduct or similar?

- ☐ Corruption, extortion and bribery
- ☐ Privacy
- ☐ Financial responsibility (accurate records)
- ☐ Disclosure of information and fair competition and anti-trust competition
- ☐ Conflicts of interest
- ☐ Counterfeit parts
- ☐ Intellectual property
- ☐ Export controls and economic sanctions

Do you have a formal whistleblowing policy and procedure to ensure protection against retaliation?

☐ yes ☐ no

Do you organise training for your employees on your business ethics policy?

☐ yes ☐ no

Does your organisation have an individual responsible for compliance management?

☐ yes ☐ no

Do you organise training for your employees on the Code/s of Conduct?

☐ yes ☐ no

In the last year has the organisation been free from any corporate governance and/or ethical related employee claims/breaches/enforcement/litigation action relating to issues such as anti-bribery and corruption, cases of unfair labour practices, human rights abuses, or other malpractices.

☐ yes ☐ no

In the past two years has the organisation had any issues in relation to illegal practices (e.g. fraud, corruption)?

☐ yes ☐ no

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