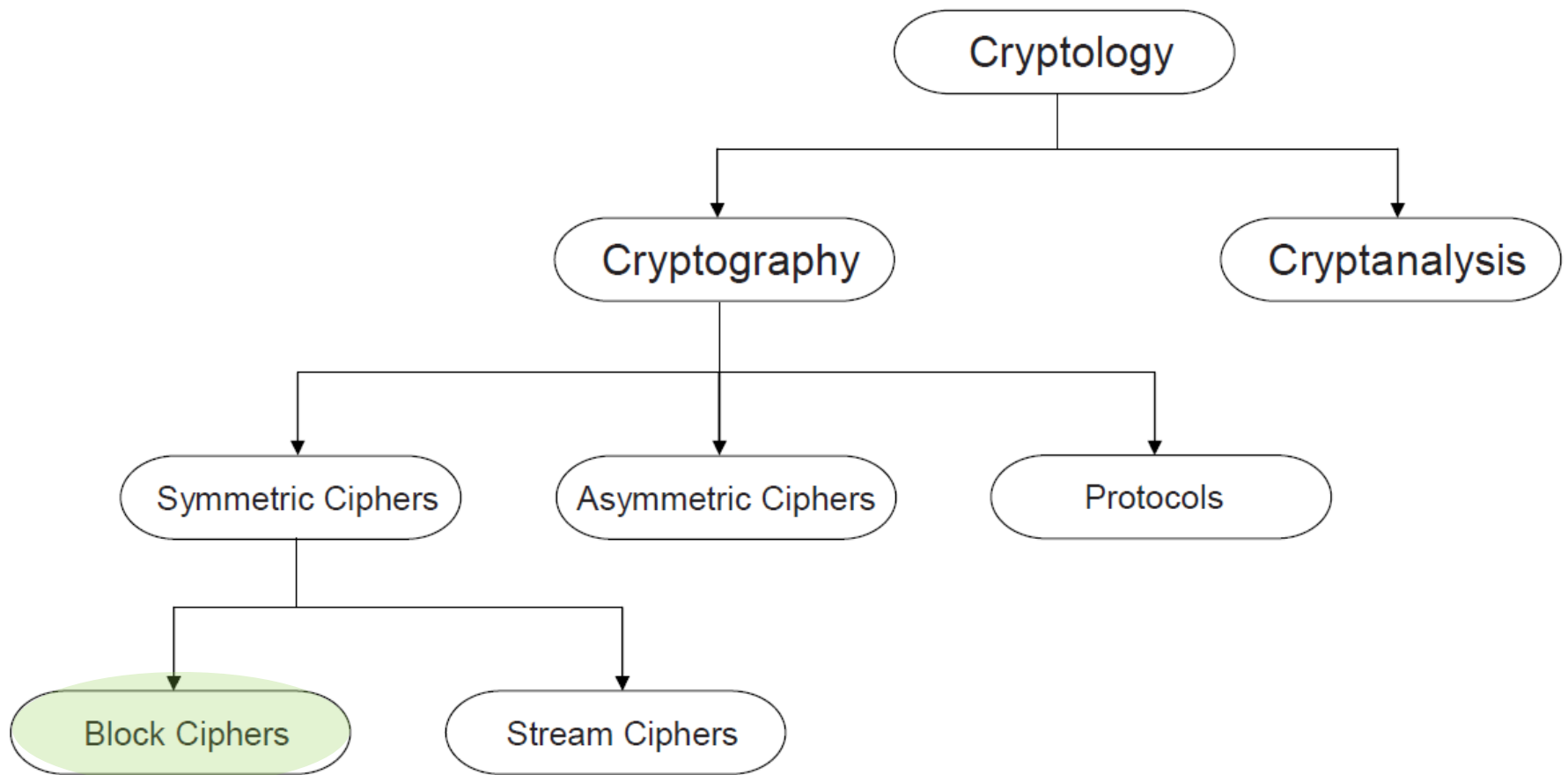
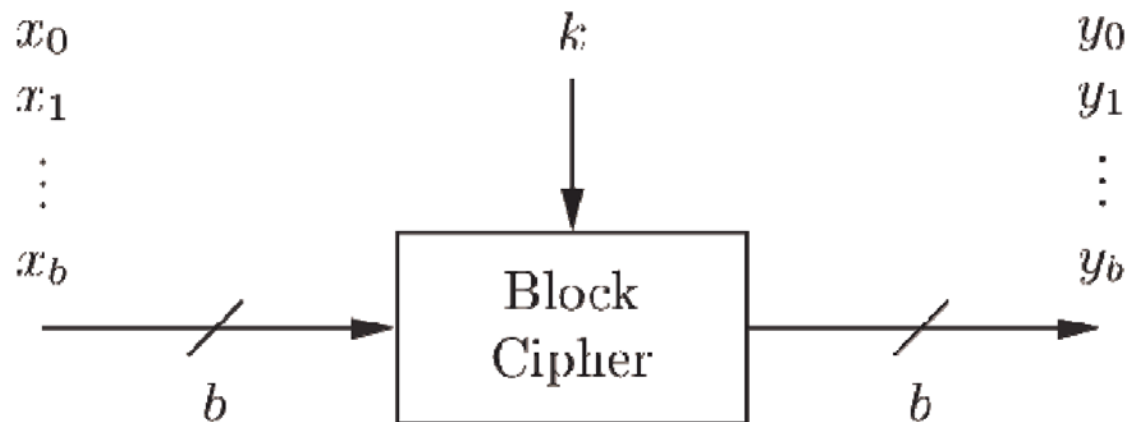
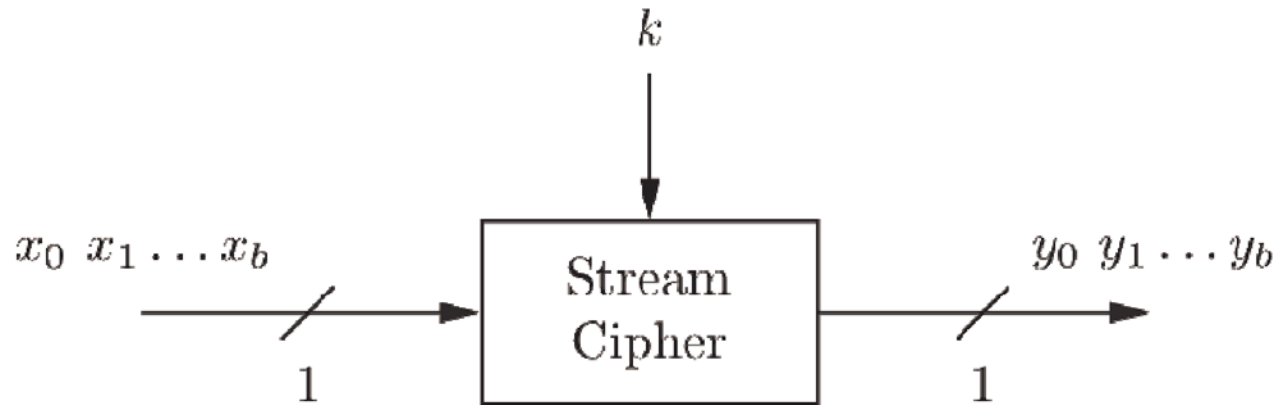


# Block Ciphers

# Taxonomy of Cryptology



# Concept: Stream vs Block Cipher



# Stream Cipher vs Block Cipher

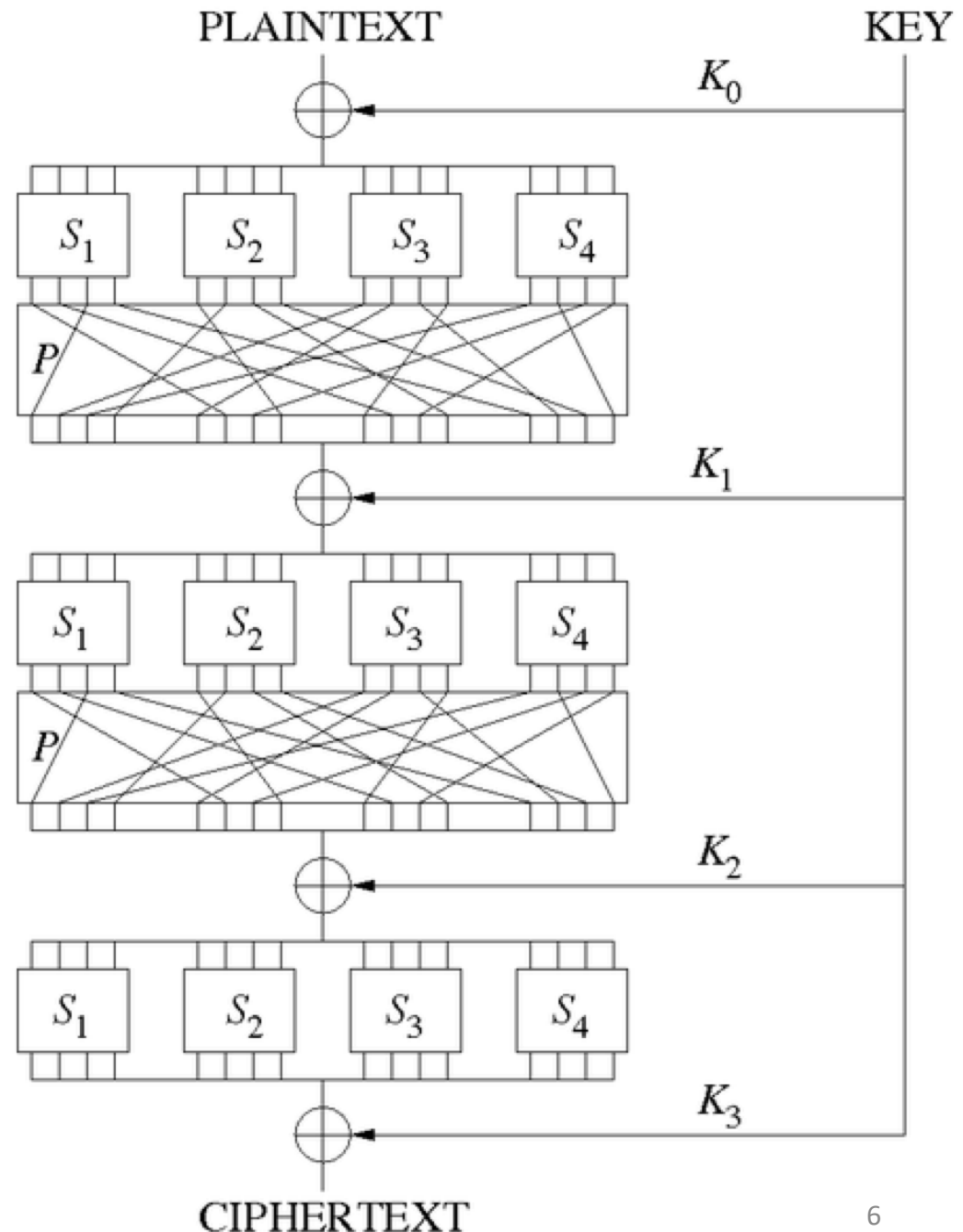
- Stream ciphers
  - Encrypt bits individually
  - Usually small and inline with I/O and transmission
  - Examples: OTP, A5/1 (GSM), RC4 (WEP, TLS, PPTP)
  - Advantages
    - Encryption/Decryption errors do not propagate
    - Small and Fast
  - Disadvantages
    - Low diffusion
    - Susceptible to bit flips, insertions, modification

# Stream Cipher vs Block Cipher

- Block ciphers
  - Encrypt bits as a block of bits (64, 128)
  - Generally slower, larger programs
  - Examples: DES, 3DES, AES
  - Advantages
    - High diffusion
    - Robust to bit flips, insertions, modification
  - Disadvantages
    - Encryption/Decryption errors do propagate
    - Generally Slower

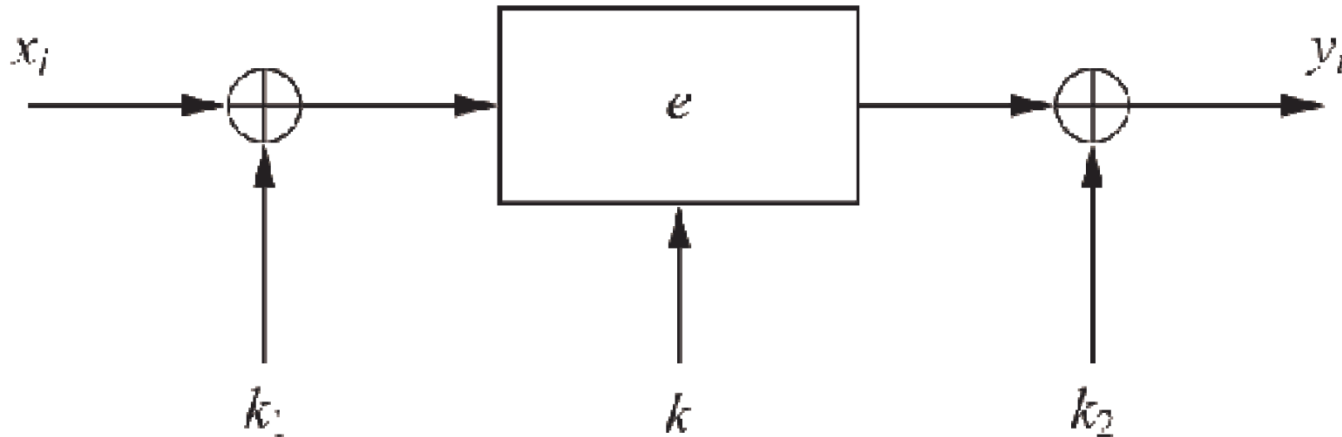
# Block Ciphers

- Almost all are product ciphers
  - Feistel
  - Generalized Feistel
  - Sub-Perm Network (shown)
- Key schedule
  - 1 key per round
  - Sometimes key whitening step (shown)



# Key Whitening

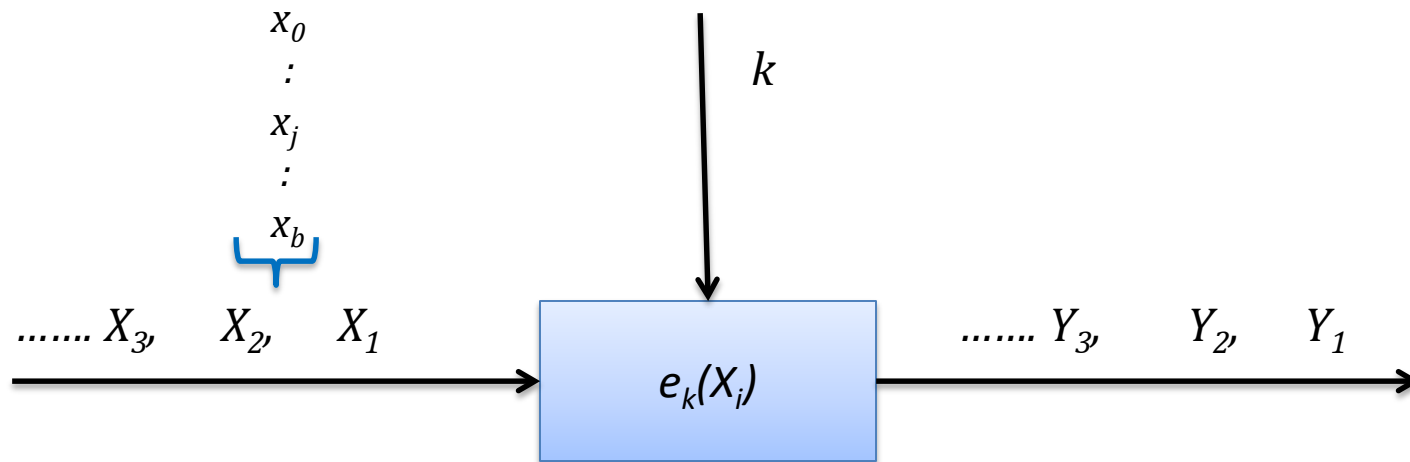
- Most modern ciphers offer a key whitening step



- Pure key addition to hide internal encryption
- Can also strengthen ciphers with short key space

# Block Ciphers

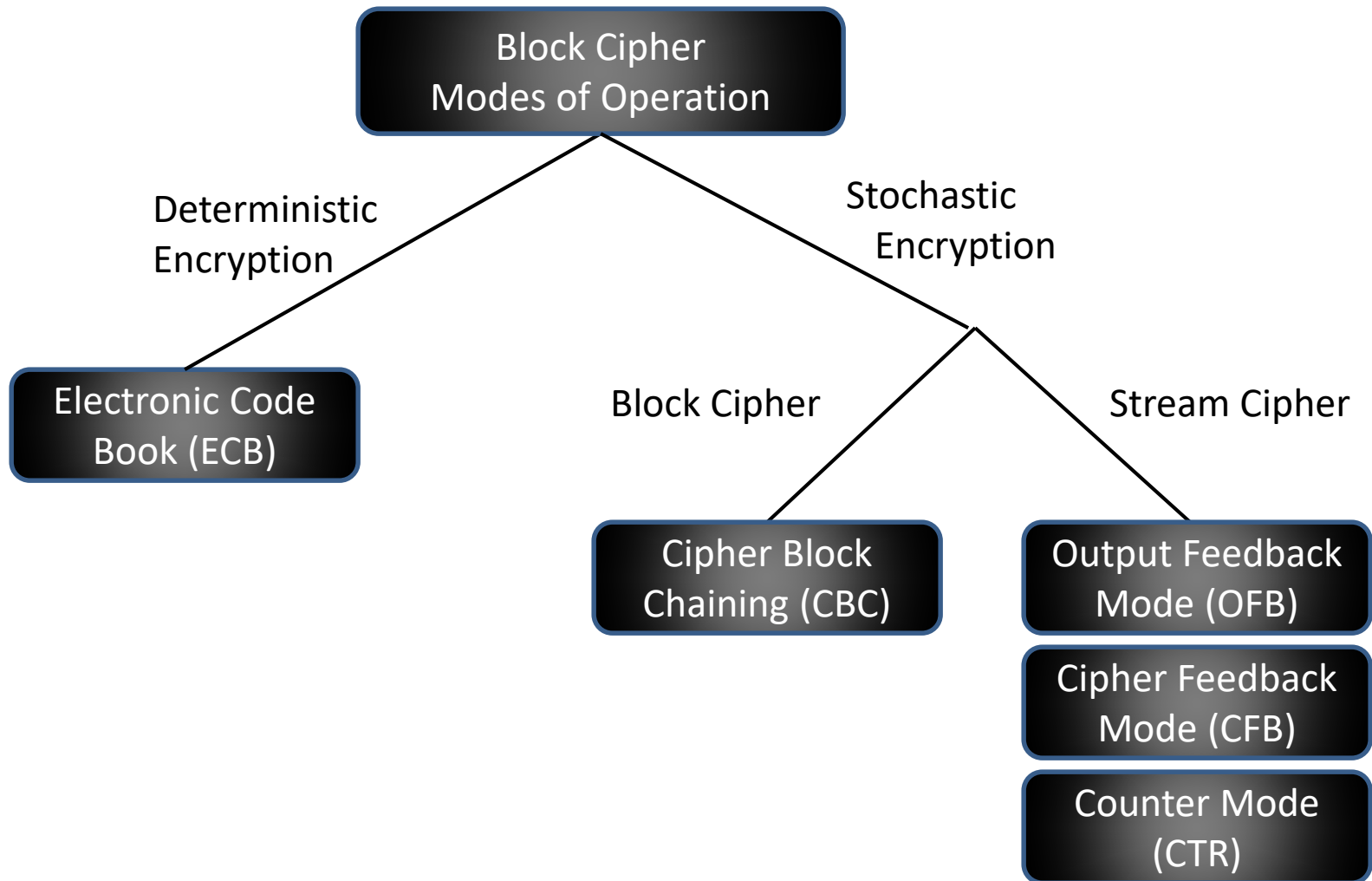
- Let  $X_i$  be blocks of data, strings of  $x_j$  of length  $b$
- $X_i = \{x_0 \dots x_j \dots x_b\}$  where  $b$  is a block length
- Then  $Y_i = \{y_0 \dots y_j \dots y_b\}$  is the output of  $e_k(X_i)$



- Can be used in several ways: “modes of operation”

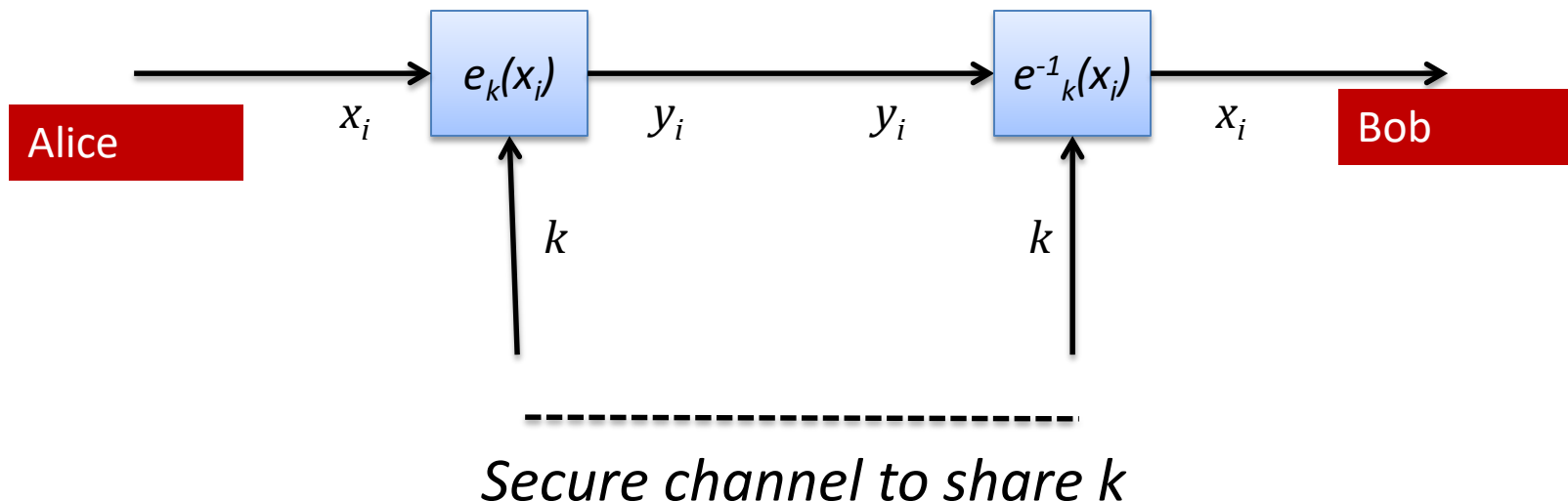


# Block Cipher Modes of Operation



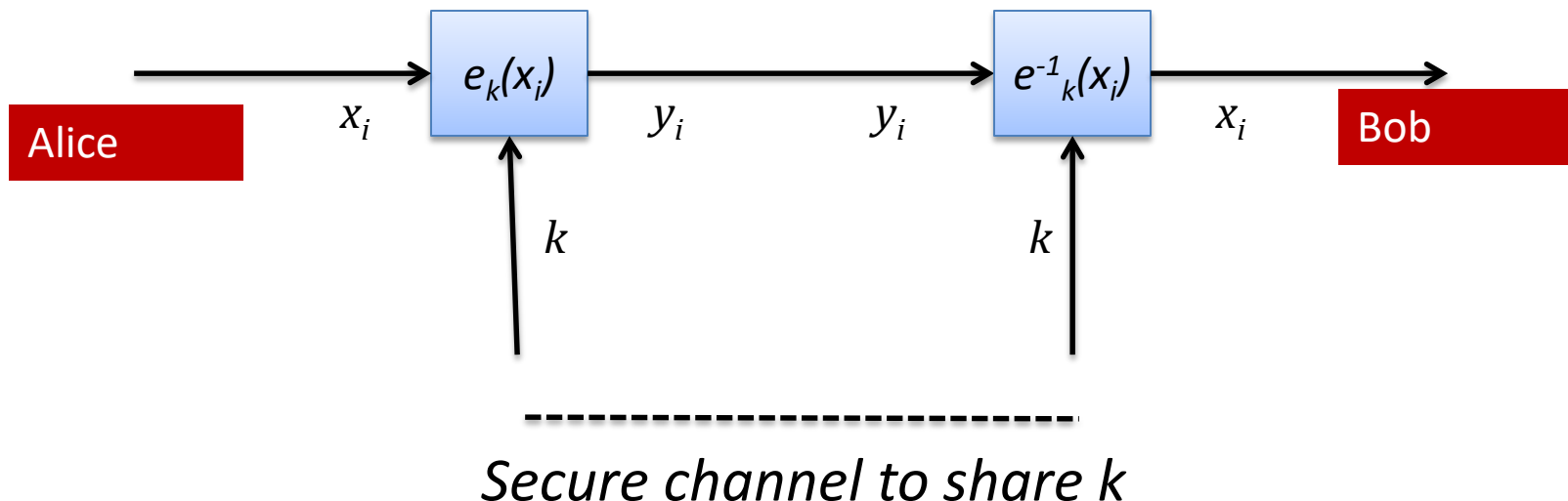
# Electronic Codebook (ECB)

- Let  $x_i, y_i$  be blocks and  $e_k()$  be a block cipher of size  $b$
- Then
  - Encryption:  $y_i = e_k(x_i), i \geq 1$
  - Decryption:  $x_i = e_k^{-1}(y_i) = e_k^{-1}(e_k(x_i)), i \geq 1$



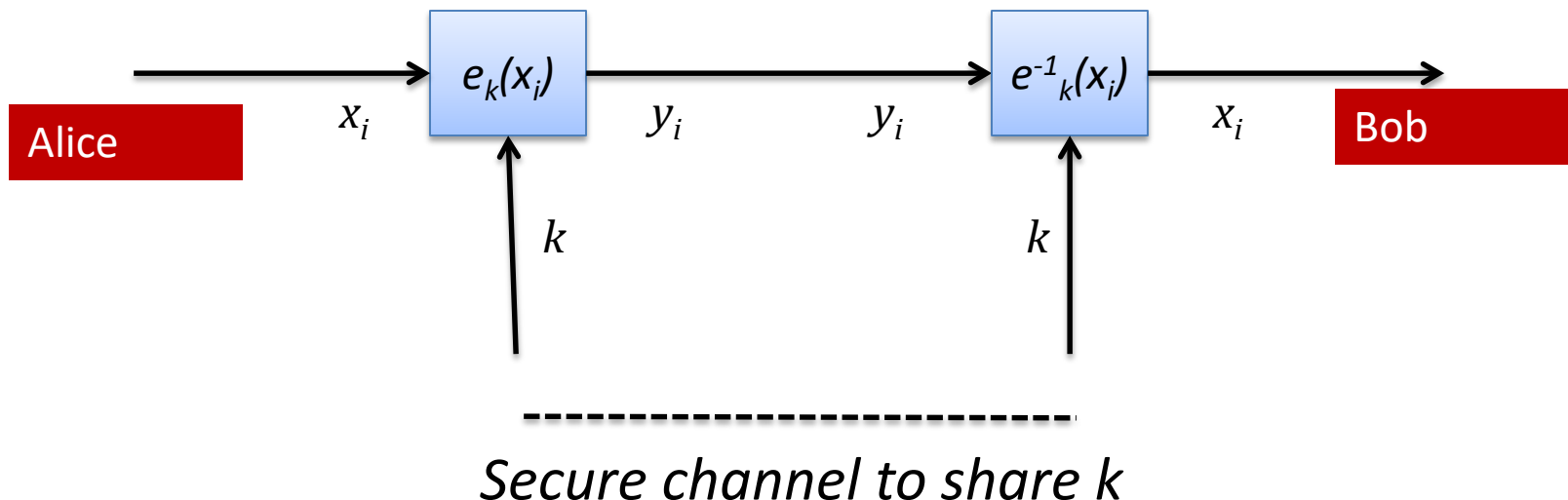
# Electronic Codebook (ECB)

- Advantages
  - *Asynchronous* - Block synchronization is not necessary to decode all received blocks
  - Bit errors in noisy transmission affects *isolated* to a block
  - Encryption and Decryption are *Parallelizable* operations



# Electronic Codebook (ECB)

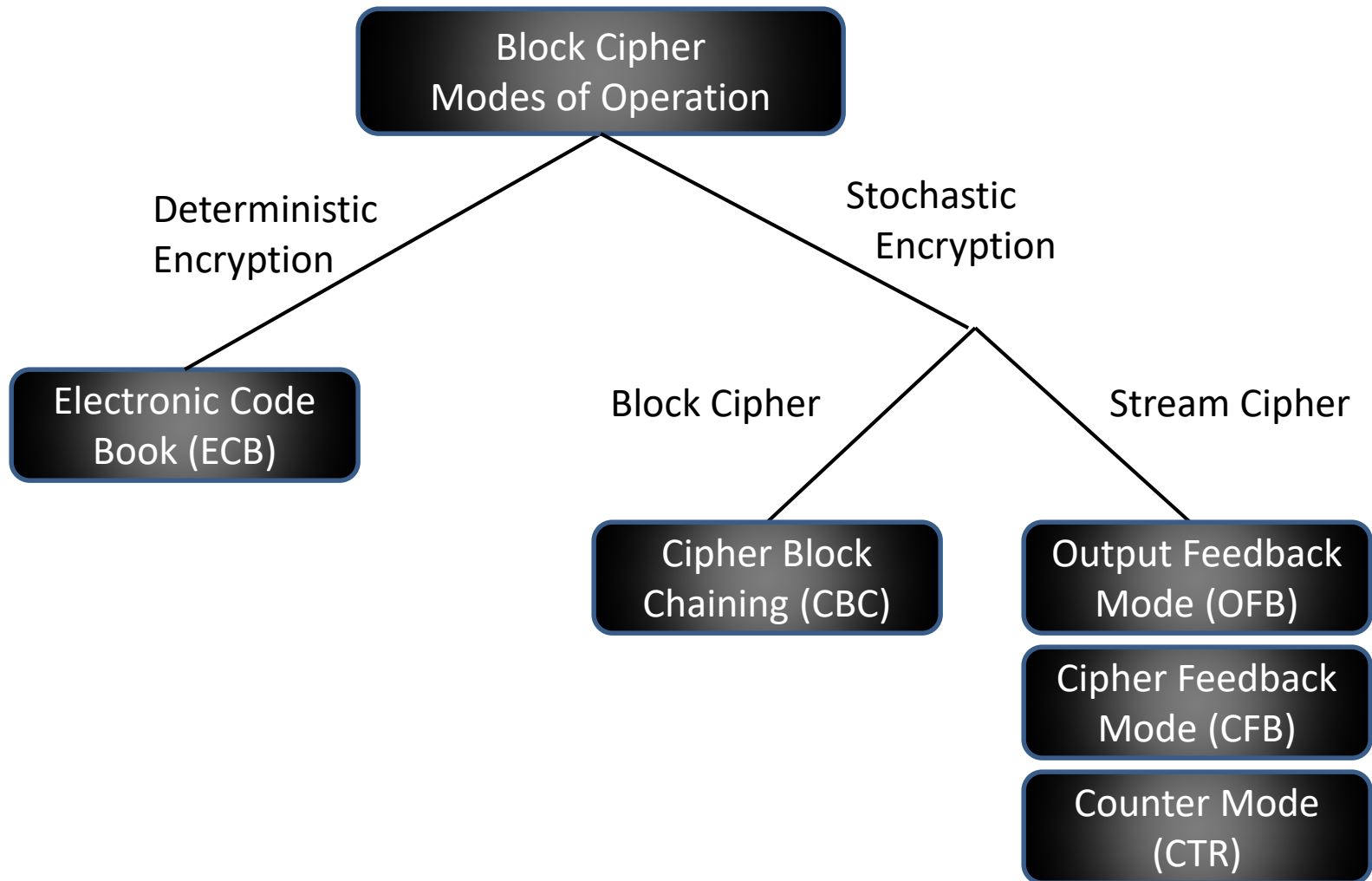
- Disadvantages
  - *Determinism* creates predictable cipher: Identical blocks always encrypt the same with same key
  - Statistical properties in plaintext may be preserved in ciphertext
  - *Attacks* - Subject to reordering, substitution and impersonation



# ECB Workarounds

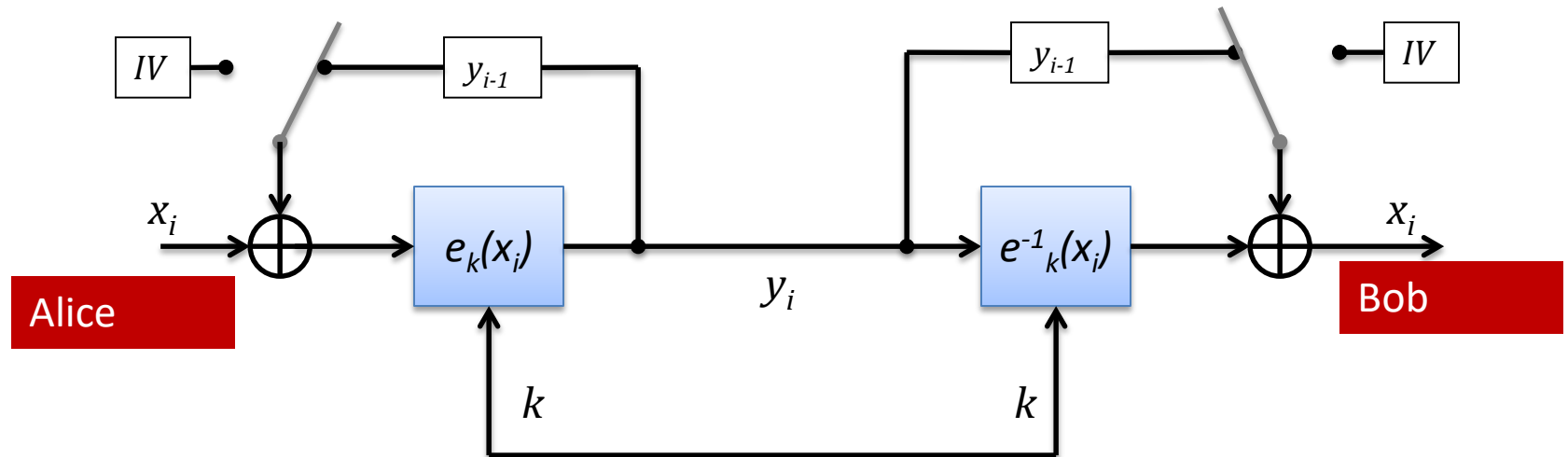
- Add integrity mechanisms
  - Digital signatures
  - Message Authentication Codes
- Randomize or refresh the key frequently
  - Build a 'key selector' layer above the crypto system
- Alter the input before encryption
  - Encoding – reduce redundancy
  - Randomize – XOR with a nonce (next mode)

# Block Cipher Modes of Operation



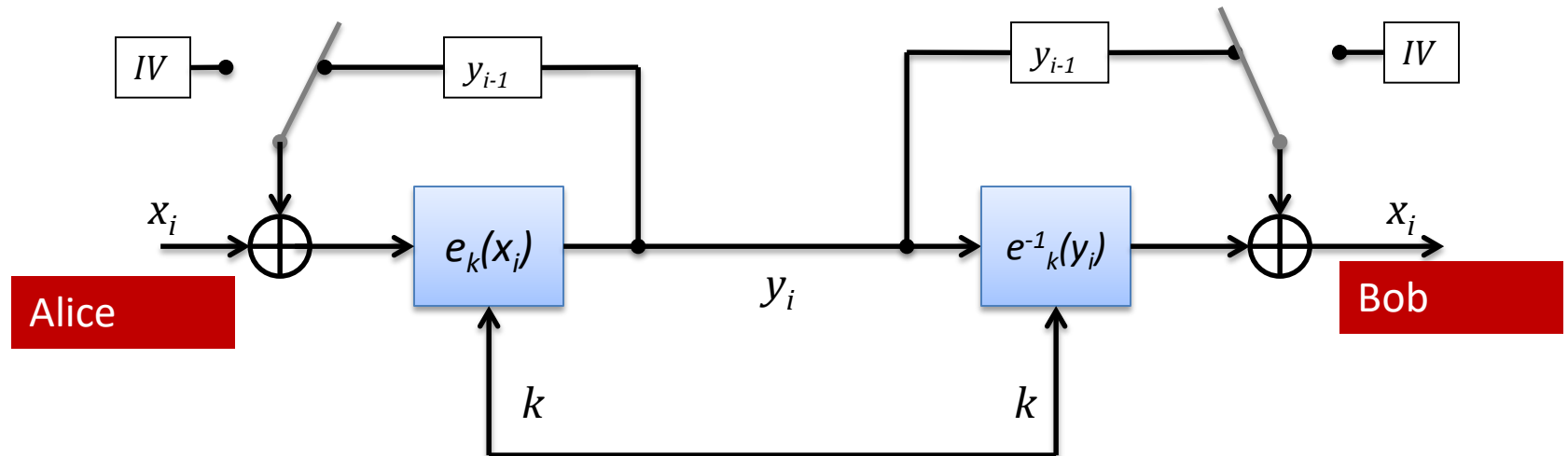
# Cipher Block Chaining (CBC)

- Chaining: Ciphertext ( $y_{i-1}$ ) XOR'd with plaintext ( $x_i$ )
- Encryption randomized with initialization vector ( $IV$ )



# Cipher Block Chaining (CBC)

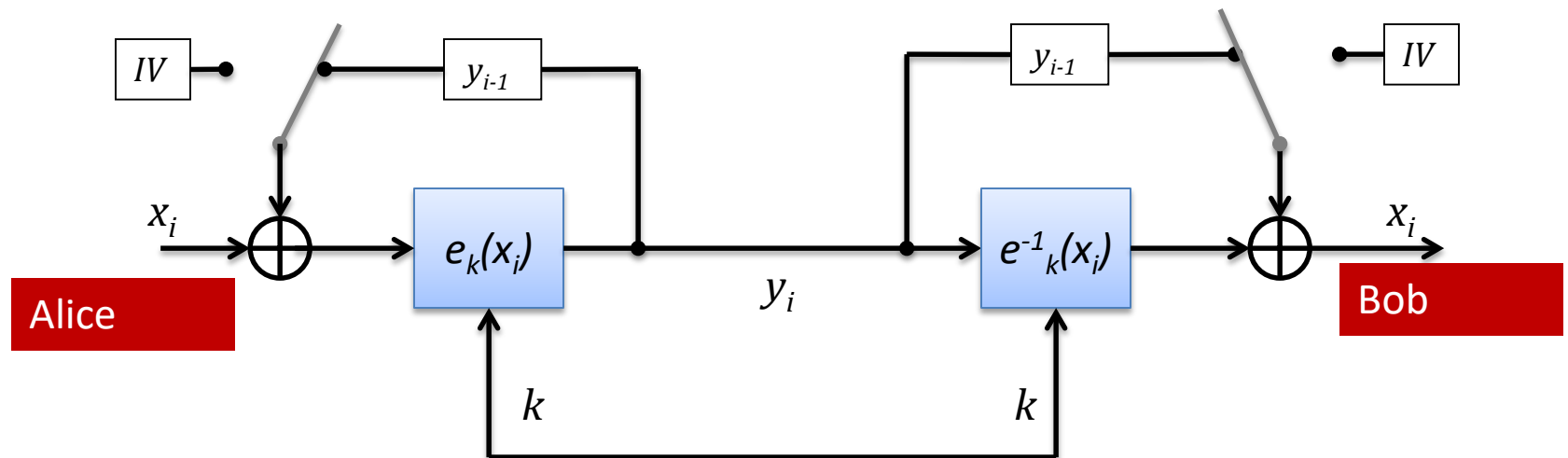
- Let  $x_i, y_i$  be blocks,  $e_k()$ , a block cipher &  $IV$ , a *nonce* of size  $b$ 
  - (first) Encryption:  $y_1 = e_k(x_1 \oplus IV)$
  - (general) Encryption:  $y_i = e_k(x_i \oplus y_{i-1})$ ,  $i \geq 2$
  - (first) Decryption:  $x_1 = e_k^{-1}(y_1) \oplus IV$
  - (general) Decryption:  $x_i = e_k^{-1}(y_i) \oplus y_{i-1}$ ,  $i \geq 2$





# Cipher Block Chaining (CBC)

- Consider the sending of two identical files,  $f_1$  and  $f_2$
- What happens if  $f_1$  and  $f_2$  are sent with  $k_1 = k_2$  and  $IV_1 = IV_2$ ?
- What happens if  $f_1$  and  $f_2$  are sent with  $k_1 = k_2$  and  $IV_1 = IV_2 + 1$ ?



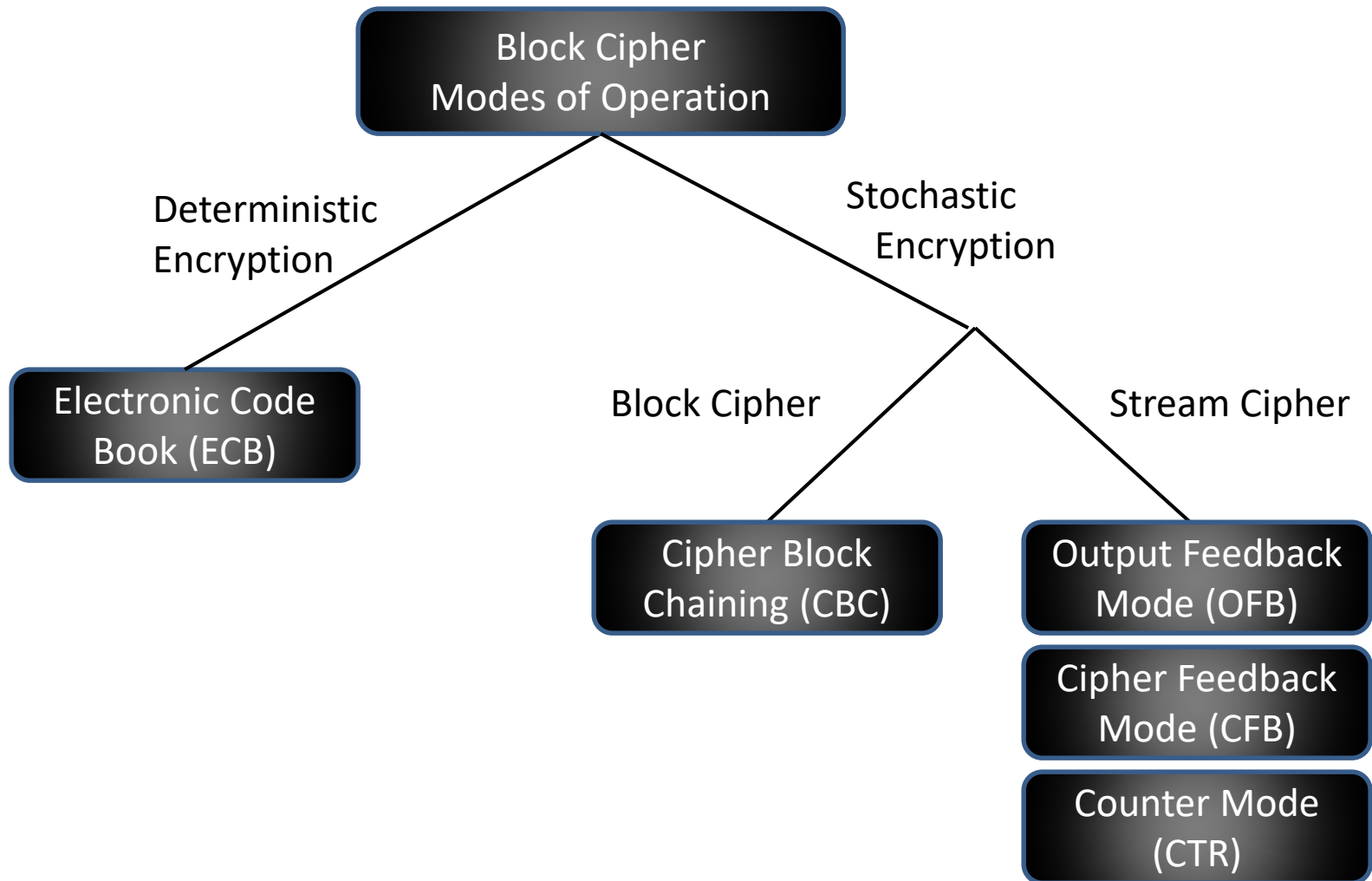
# Cipher Block Chaining (CBC)

- Advantages
  - Non deterministic encryption if  $IV$  is new
  - Robust to reordering, better with substitution attacks
  - Helps prevent impersonation (replay) attacks
  - Reduced statistical relationship in plaintext-ciphertext
- Disadvantages
  - Not parallelizable for a single message transmission
  - Block synchronization needed to decode received blocks
  - Noisy transmission results in cascading failures
  - Still susceptible to substitution and integrity attacks

# CBC Workarounds

- Add integrity mechanisms
  - Digital signatures
  - Message Authentication Codes
- Ensure synchronization and reliability
  - Reliable transmission protocol – TCP
  - Message queuing and QoS middleware
- Parallelize groups of messages - break a message into groups to increase parallelization

# Block Cipher Modes of Operation



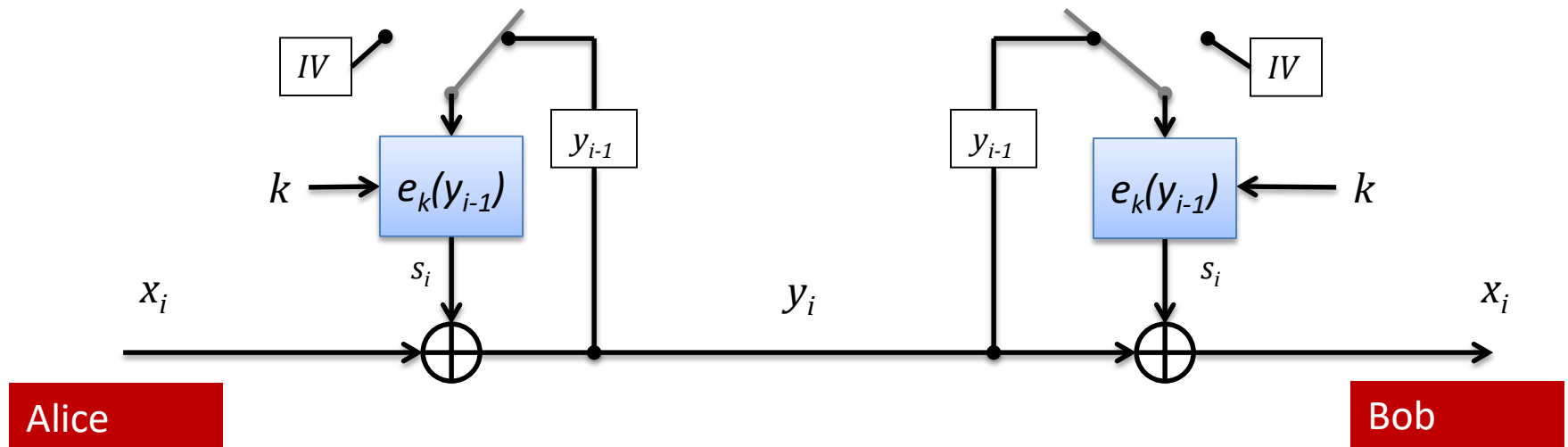
# Block Modes for Stream Ciphers

- Streaming: Moves plaintext ( $x_i$ ) outside of block encryption function
  - Encrypt: Plaintext ( $x_i$ ) XOR'd with enciphered input ( $s_i$ )
$$y_i = s_i \oplus x_i$$
  - Decrypt: Ciphertext ( $y_i$ ) XOR'd with enciphered input ( $s_i$ )
$$x_i = s_i \oplus y_i$$
- 3 (+1) Types
  - Output Feedback Mode (OFB)
  - Cipher Feedback Mode (CFB)
  - Counter Mode (CTR)
    - +1 – Galois Counter Mode (GCM)

# Block Modes for Stream Ciphers

## ***Cipher Feedback Mode (CFB)***

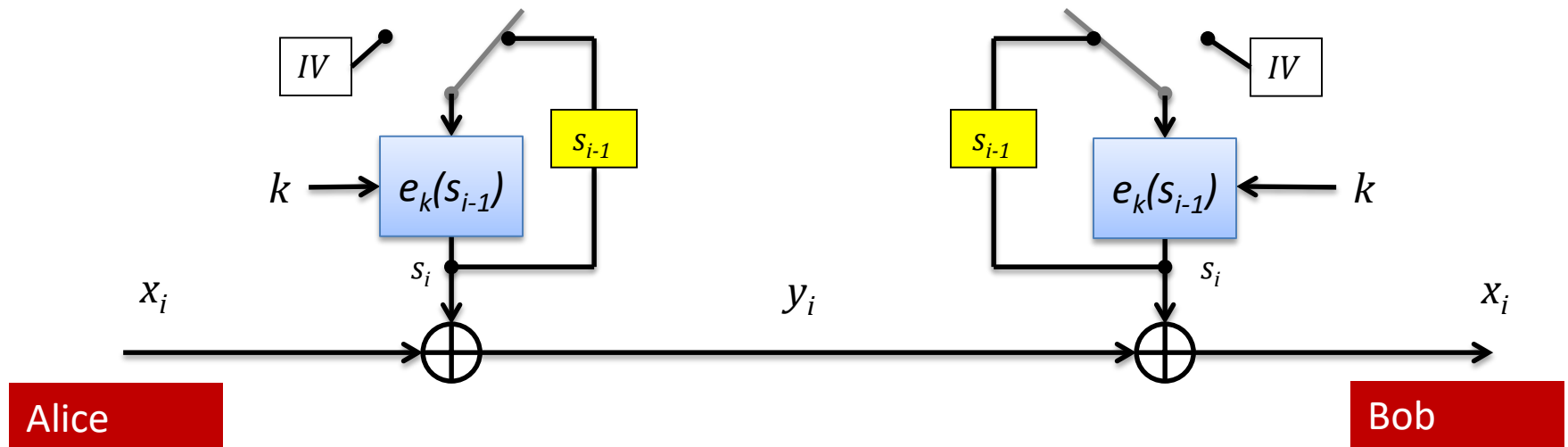
- Let  $x_i, y_i$  be blocks,  $e_k()$ , a block cipher &  $IV$ , a *nonce* of size  $b$ 
  - (first) Encryption:  $y_1 = e_k(IV) \oplus x_1$
  - (general) Encryption:  $y_i = e_k(y_{i-1}) \oplus x_i, i \geq 2$
  - (first) Decryption:  $x_1 = e_k(IV) \oplus y_1$
  - (general) Decryption:  $x_i = e_k(y_{i-1}) \oplus y_i, i \geq 2$



# Block Modes for Stream Ciphers

## ***Output Feedback Mode (OFB)***

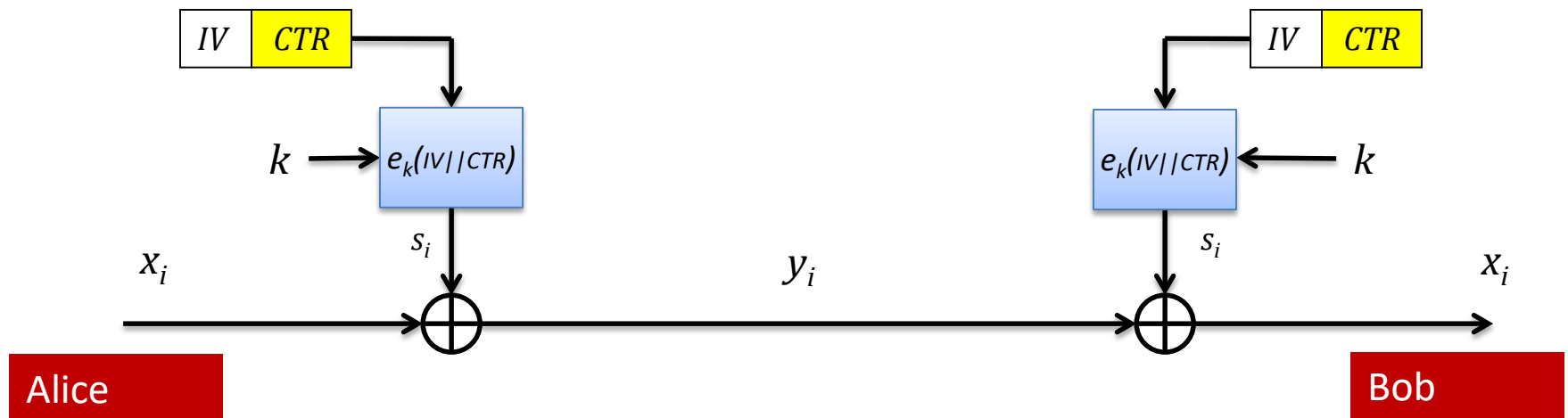
- Let  $x_i, y_i$  be blocks,  $e_k()$ , a block cipher &  $IV$ , a *nonce* of size  $b$ 
  - (first) Encryption:  $y_1 = e_k(IV) \oplus x_1$
  - (general) Encryption:  $y_i = e_k(s_{i-1}) \oplus x_i, i \geq 2$
  - (first) Decryption:  $x_1 = e_k(IV) \oplus y_1$
  - (general) Decryption:  $x_i = e_k(s_{i-1}) \oplus y_i, i \geq 2$



# Block Modes for Stream Ciphers

## ***Counter Mode (CTR)***

- Let  $x_i, y_i$  be blocks,  $e_k()$ , a block cipher of size  $b$ 
  - $IV$  (*nonce*) and CTR (counter) are concatenated ( $||$ ) string
  - CTR initialized to 0,  $IV||CTR$  of size  $b$
  - Encryption:  $y_i = e_k(IV||CTR) \oplus x_i, i \geq 1$
  - Decryption:  $x_i = e_k(IV||CTR) \oplus y_i, i \geq 1$

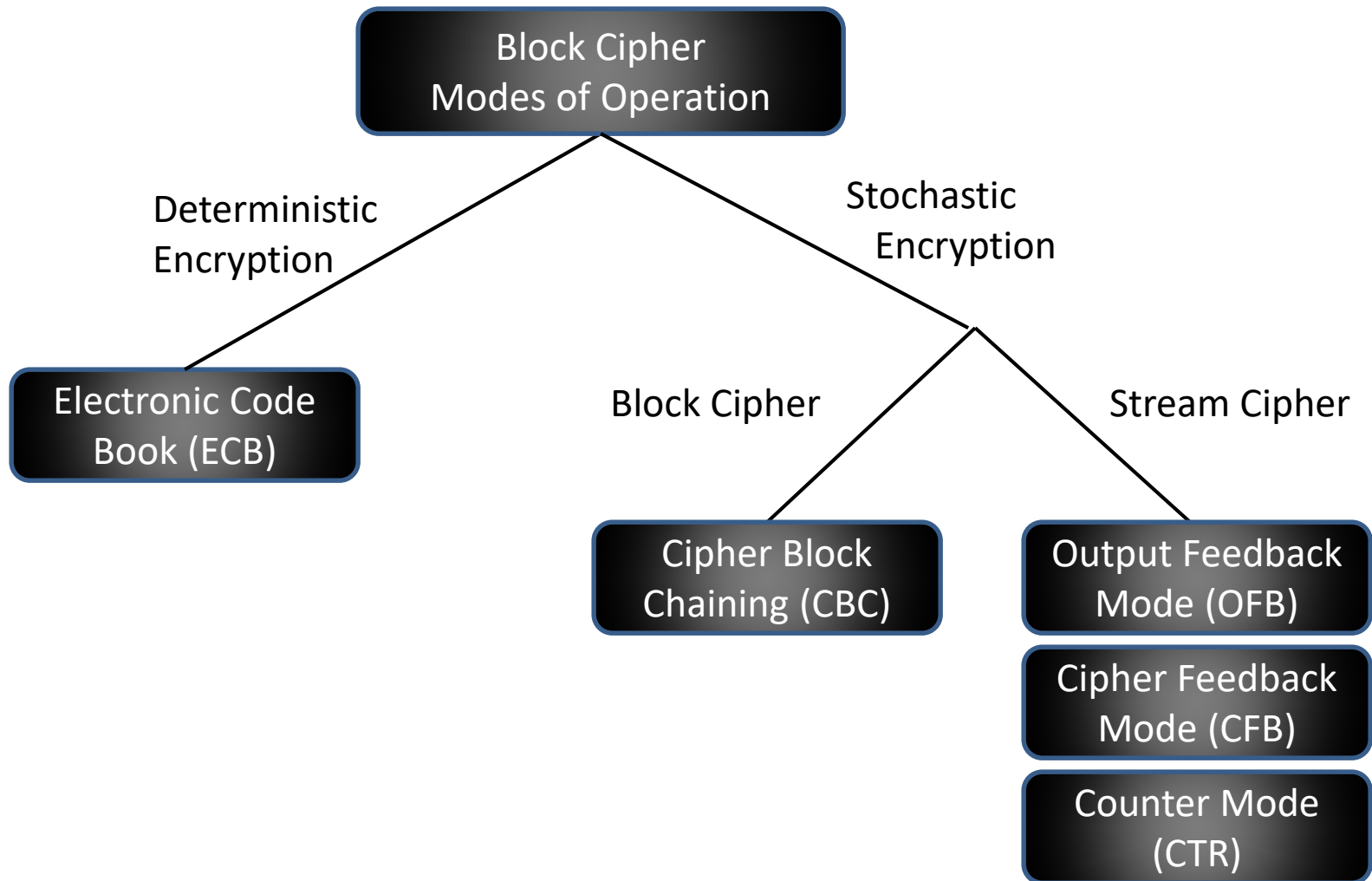




# Block Modes for Stream Ciphers

- CFB
  - Robust to reordering attacks
  - Faster than CBC (bitwise XOR)
- OFB
  - Eliminates error propagation (rely on  $s_i$ )
  - Increases parallelism with independence from cipher
  - Lacks integrity mechanism
- CTR
  - Can share ( $IV || CTR$ ) in the clear
  - Parallelizable (encrypt for next  $n$  time steps)
  - Can provide message integrity (Galois Counter Mode)

# Block Cipher Modes of Operation



# Block Ciphers

- Most common symmetric encryption
- Most are based on product ciphers
  - Confusion (substitution)
  - Diffusion (permutation)
- 5 modes of operation
  - ECB, CBC, CFB, OFB, CTR
- Many types: (i.e. DES, 3DES, AES)