

# CSCI545 Robotics Final Project Report

## Robotic Motion Planning

May Ang , Thomas Collins , Justin Garten , and Michael Joyce

*University of Southern California, Los Angeles, CA, 90007, USA*

**In a world gone mad, one project dared to impose its own brand of brutal justice on a world many thought too far gone to save.**

### I. Introduction

#### A. Background

Robots are no longer confined behind striped yellow lines with flashing lights to keep soft humans away from the moving parts. They operate in busy hospital hallways, kitchens, and homes with pets, children, and clutter in a constant state of motion. As such, the ability to evaluate and interact with those dynamic environments is increasingly essential for successful operation.

There are a number of possible approaches to this, many of which are strongly influenced by the risks of the environment, nature of the task, and the type of information to which the robot has access. If uncertain, should it simply stop and wait for instructions? Should it push through, trusting others to get out of its way? An industrial bot capable of ripping through a wall might have very different issues than a robotic vacuum which will at most scuff a floorboard.

#### B. Problem Description

Issues relating to navigation and motion run up and down the entire robotic stack. We chose to focus on the high-level planning aspects of the problem, particularly relating to issues involved in dynamic, noisy environments. Our primary approach was to explore, extend, and evaluate three broad categories of methods for navigating in a dynamic environment: potential fields, Markov decision processes, and reinforcement learning.

#### C. Robocode Environment

As the environment for our experiments, we chose to work with a small framework called Robocode (<http://robocode.sourceforge.net/>). This system is designed for small-scale simulated robotic tank battles. Users of the system are able to write the central processing loops for the robots which have access to standard sensors, weapons, and motion controls.

Several features in particular appealed to us. It's semi-continuous underlying state representation (locations as doubles) gave us flexibility in terms of laying a grid over the environment rather than existing in a pre-defined grid. Critically, this made it far easier to experiment across methods which required discretization (MDPs and Q-learning) and those which did not (potential fields).

The motion controls proved to be similarly flexible, lending themselves to discretization when necessary, while leaving access to the low-level controls where appropriate.

The sensors offered a range of options, providing a flexible base model which was easily extended to account for the particular structure of individual experiments. This was abstracted in the Robocode context with the concept of radar. Basically, each bot had a narrow-band sensor which could be rotated 360 degrees to provide a sweep of the environment.

Finally, the visualization was an appealing fringe benefit, providing rapid feedback on experiments and allowing us to more easily evaluate and iterate.

One of the first steps in this project was to evaluate the possible environments to work in. As our aim was to focus in on the planning and navigation algorithms, we wanted to work in a context which allowed us to abstract and encapsulate as many surrounding issues as possible. After a certain amount of exploration, we did in-depth evaluations of three options: ROS, a simple grid world, and Robocode.

For ROS, the primary issue was the potential length of time setup would require. As none of us had extensive prior experience, our initial efforts suggested that we might end up spending a good portion of project time on learning the tools. While this is an environment that all of us were interested in, even in the best case it would not have left us a great deal of time to explore the planning and navigation algorithms we were primarily interested in.

For a custom grid world, the issue was the risk of getting bogged down in implementation details and the inability to take advantage of any existing features, particularly in terms of visualization.

We settled on the Robocode environment as a good compromise for our needs. It proved to be a solid choice as it provided us with the ability to add in features as required while working in a simple space when needed, not much more complicated than a basic grid world.

## **D. Report Outline**

In the following three sections, we discuss our efforts to explore this task using Potential Fields, Markov Decision Processes (MDPs) and reinforcement learning, specifically Q-learning.

## **II. Potential Fields**

Discussion and plots...

## **III. Markov Decision Processes**

Discussion and plots...

## **IV. Q-learning**

Reinforcement learning is generally more computationally expensive, less fine-grained, and less deterministic than other methods we've examined. Given that these are all obvious downsides in a real-time dynamic context, why is reinforcement learning worth considering? Primarily, it brings the potential for a great deal of flexibility in handling unknown environments, sensor quality, and motion models. While, if other methods are possible they should likely be the first choices, it does provide a valuable option in certain cases.

### **A. Setup**

The basic Robocode setup for Q-learning extends the setup for MDPs. The basic structures of discretizing the state space, discretizing robot movements, and handling the threading needed for long-running processes were similar between the two methods.

Given the structure of the algorithm, the large transition tables of the MDP approach were not required. The noise could be added on each movement step during training and actual runs. As such, all we required was a basic randomization method to send the bot on an incorrect path a certain percentage of the time. This was kept the same as in the MDP tests with an 80% probability of following the selected route with a 10% chance of slip with motion along either of the two adjacent movement directions.

Basic rewards were structured similarly to the MDP trials. An action which led into the goal state returned a reward of 100, an action which caused motion into a wall (or, later, an obstacle) gave a -100 reward, and all other actions returned a reward of -1.

For policy visualization, we wrote a method in Matlab to take the output policy and convert it into a quiver graph which proved useful for visualization of all discrete policies.

### **B. Experiments**

The first step was to confirm the functioning of the basic Q-learning method. To do this, we ran initial tests with a fixed starting point for the bot and a fixed, stationary goal. The learning was run offline, a policy

generated and incorporated into a simple bot whose only processing was to determine its current state and run the appropriate policy.

Initially these tests were run in a noiseless environment to confirm basic operations of the various components. Adding in noise produced distinctly rougher bot motion, but did not impact the overall effectiveness of the method.

Both Boltzmann explorations and  $\epsilon$ -greedy were experimented with at this phase. We began by exploring the quality of the bots given a similar numbers of trials. Here Boltzmann was clearly superior, producing policies which more consistently and directly led to the goal.

But the constraint was on computational time, not episodes so we further ran a series of rough tests comparing along this axis.  $\epsilon$ -greedy method ran in approximately half the time for a given number of trials (a ratio which seemed to scale with the number of trials). So, at this point we compared  $\epsilon$ -greedy with twice the number of trials against Boltzmann. Even in this case, the overall policy produced by Boltzmann was better with fewer failure incidents and better tracking performance.

While both were left in as options on all future bots, Boltzmann was the default from this point on. The advantages in overall performance and quality per unit of computational effort were clear.

Things became a bit more complex as we shifted to learning in the context of the environment itself. This required several basic elements. First, the bot required the ability to scan the environment for the location of the goal state. Second, the bot required the ability to call out to the Q-learning method from inside the Robocode environment. Finally, there needed to be a default policy for the initial period when the bot had not yet learned a policy given the environment.

The most difficult part of this was dealing with a long-running learning process in the context of the Robocode environment. As we discussed in the MDP section, this was accomplished by spawning a separate thread to run the learning process while a default random policy was executed as the robot continued to scan the field.

For initial testing, we started the bot and goal in fixed points so that we could control for the starting conditions. However, we were quickly able to transition to randomly placing the two elements. The overall performance on these tests was good. The bot could randomly move while calculating its policy and then shift over to the resulting policy once complete.

At this point we shifted over to allowing for motion in the goal state. Several issues became apparent at this point. The first was that, as we'd expected, the speed of Q-learning was an issue in a dynamic environment. The numbers of episodes we'd run in the offline tests or even in the static tests were no longer feasible.

The first thing we tried was lowering the number of episodes. However, shifting this down to smaller numbers of episodes produced policies of a noticeably lower quality. In particular, the bot had a tendency to get caught in state loops where an incompletely learned policy would have two states which pointed to one another. The other primary failure mode were states which directed the bot directly into a wall.

Effectively, the number of episodes traded off fairly directly with the quality of the policy. However, we were not able to implement a precise way of tuning this relationship. We settled on 100,000 episode as a manageable compromise. While not producing perfect episodes, it ran in under two seconds (often closer to one) which was essential to keeping tracking reasonably accurate. This would clearly be an area for more detailed future exploration.

However, even that shortened learning time imposed a cost on the process. Notably, in that period of time the bot (and, in later experiments, the goal) could have shifted location rather dramatically. Several pieces were needed to account for this.

First, we added a basic predictive aspect to the location of the goal state. This meant accounting for its current velocity and bearing and predicting where it would be after the policy was completed. However, there were a number of issues with this method. The prediction itself needed to account for the structure of the environment (walls, in particular). Additionally, changes in direction by the goal could make this approach directly maladaptive. While we kept experimenting with this, past a certain point adding too much intelligence to the prediction seemed to defeat the aim of the learning agent. Still, in limited contexts this was a useful tool.

Second, we added a more robust method for recalculating policies. The first method that was tried was recalculating each time the goal was viewed. Simple locking was put in place so a new update thread was only spawned if an update wasn't currently in progress. The downside to this method was that it was precisely at points when the goal hadn't been seen lately that an update was most necessary.

So, we extended this keep track of the last view of the goal and, if that passed a certain count of turns to pause, scan the field for the goal, and then learn a new policy. However, what proved best was to simply always be recalculating the policy. Effectively, each time a policy was produced, the goal would be scanned for and a new learning process begun with the current location of bot and goal.

This led to the next major performance adjustment. In earlier trials, we had attempted to learn a whole-field policy since, with a single learning cycle to work with, we had to have as universal a policy as possible. To accomplish this, starting positions of the bot were randomized from episode to episode in order to maximize coverage of the state space.

At this point, we shifted to focus the start position on the current location of the bot in relation to the goal. The upside of this was to produce policies that were generally more effective given a limited number of learning episodes. The downside was that failure modes were far more severe when entered. As can be seen in the following policy areas away from the primary route from bot to goal were nearly unlearned.

[Insert image]

However, frequent updates proved an effective antidote to these issues. In most cases, even when the robot was caught in a loop of states, the next update would free it to continue tracking the goal.

The final major addition we made was to add obstacles to the environment. In the first iteration of this, these were fixed and pre-specified environmental features. At the next phase we moved to allowing dynamic obstacles in the environment which the bot had to scan and incorporate into its model.

The changes required to support this weren't huge. The bot had to be capable of distinguishing obstacles from the goal and treating the two differently. On the learning side, this required an adjustment to reward model to account for obstacles in the learning process. We treated obstacles as equivalent to walls with a -100 reward for colliding with an obstacle.

There were several issues with this. Obstacles could shield one another, preventing the back one from being scanned. This was generally not an issue as, by the time the second obstacle was reached, a new scan had generally taken place. More issues were caused by the fact that the goal could also be shielded by an obstacle. For this, the best we were able to do was constantly update the goal location so that, even if it was hidden by an obstacle prior to a new learning process, we would still have a reasonable estimate of its position.

However, given all of the previous issues, moving obstacles proved slightly too much for these methods. Beyond a certain amount of complexity on the field, the updates were simply too slow to produce a viable policy.

Two other experiments were tried that produced more ambiguous results. First, we attempted to replace the reward function with a heuristic based on euclidean distance to the goal. For smaller numbers of episodes, this produced much better policies. The reason is that, given the structure we'd set up, this method basically became a search method. Effectively, at each step, it would choose the step closest to the goal. For a single episode, this would look like a depth-first search. In the simplified environments we were working with, there would be little or no backtracking required. Over a number of episodes, this would start to look more like a best-first search, specifically A\* given the use of a valid heuristic function.

Surprisingly, the results were not dramatically better for larger numbers of iterations. This may well have been a result of exploration not being properly tuned to the improvement in the reward function. Given the heuristic reward, convergence on the goal would tend to be far more rapid than in the normal case. This may have led to a failure to explore the state space as widely. Of course, if this type of reward and environment information were available to an actual bot, simply running a true A\* would be far more efficient and could be updated real-time for such a highly discretized space.

Finally, we explored variable grid sizes for the evaluation with the aim being to run with a coarser grained grid the farther away from the goal we were (once again, assuming that such information was accessible to the model which, in reality, would likely open up other methods). Unfortunately, we weren't able to get this piece working correctly by the deadline.

## C. Evaluation

The largest issue with our implementation of Q-learning is that it relies on information which, if present, could better drive a more efficient and/or complete method. By pulling information about the field into what amounts to a local simulation in which the learning takes place, we undercut much of the real-world justification for the use of a learning method. However, our aim was to consider these methods primarily

for future use (Robocode, while charming, is not the end goal for any of us). In that context, our results suggest certain more interesting elements.

First, we must note that, as expected, Q-learning is not an ideal method for the type of conditions we set up for these experiments. It's update time is too slow, policies are far less efficient, and it can easily get caught in local state loops. Moreover, the method proved somewhat brittle, in many cases failing completely. This seemed to be an interaction with the Robocode environment. For example, when an incorrect policy would have the bot attempt to repeatedly ram a wall, Robocode would at times disable the bot.

However, while certainly not the best choice, the more surprising result is that we were able to get Q-learning running well-enough to successfully operate in a dynamic real-time environment at all. The fact that policy updates could be recomputed on the fly fast enough to maintain tracking on a moving goal was interesting and somewhat surprising. We'd expected that this method would have to be used largely offline with policies generated and fed in. Being able to get it to the point of updating while in a dynamic environment was a surprise.

In particular, what this suggests several ways that Q-learning might serve as a component of an overall navigation system. One possibility would be as part of an overall voting system. Especially given a noisy environment, a learning method could provide a needed bias among competing focused heuristics.

A better approach would likely be a hybrid system. For example, say there were a number of different standard goal movement patterns, but these were obscured by uncertainty in motion, shifting environmental conditions, etc. A learning method combined with more straightforward predictive modeling of the other bots could potentially help to account for that noise. Action choices would still be managed through more efficient methods, but the choice among those methods handled by a learned evaluation over a given set of state parameters.

One area where more work is definitely needed is on reward function optimization. Clearly there is a great deal of tweaking and rebalancing possible, but we were not able to fully explore the implications of this. However, our experiment on shifting from fixed rewards to heuristic rewards was interesting in itself. In particular, it suggested some interesting possibilities in terms of injecting additional information into learning methods as a way to take advantage of additional information if present. This might be especially useful in a situation where such information were only sporadically present.

Additionally, it emphasized the need for improved reward information to be combined with a greater emphasis on exploration. Uncertainty in the system (from both sensors and motions) has to be reflected in the learning process. If that process effectively learns as though the environment were purely deterministic, the resulting policy will be unlikely to respond correctly when acted on in that environment.

This type of tradeoff tended to be a central theme with this method. While it's an extremely powerful method (most of that power left untapped in this case), the tradeoffs involved in its application seem to strongly call for its use in combination with other methods so that its strengths can be exploited while its weaknesses are avoided in other ways.

## V. Conclusion

To sum up...

### A. Future work

## VI. Acknowledgments

Our team would thank Franz Kafka, Alan Turing, and the Ghost of Christmas Past for their unwavering support during this project. We would also like to pour a drink for our homies in the ground.