



Observational Study

## Efficacy and safety of COVID-19 vaccination in patients with cirrhosis

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### Abstract

#### BACKGROUND

The clinical efficacy and safety of vaccination against novel coronavirus disease 2019 (COVID-19) in patients with cirrhosis have not been evaluated yet.

#### AIM

To evaluate the clinical efficacy and safety of vaccination against COVID-19 in patients with cirrhosis.

#### METHODS

This was a retrospective cohort study of patients with cirrhosis. The first cohort included patients vaccinated with Gam-COVID-Vac (Sputnik V); the second one consisted of unvaccinated controls.

#### RESULTS

The study included 89 vaccinated patients and 148 unvaccinated ones. There were 4 cases of COVID-19 in the vaccinated group and 24 cases in the unvaccinated group ( $P = 0.035$ ). No severe cases of COVID-19 were revealed in the vaccinated group, while there were 12 ones in the unvaccinated group ( $P = 0.012$ ) with 10 deaths detected ( $P = 0.012$ ). The vaccine efficacy was 69.5% (95% confidence

interval [CI]: 18.5%-94.4%) against symptomatic cases of COVID-19, 100% (95%CI: 25.1%-100.0%) against severe cases, and 100% (95%CI: 1.6%-100.0%) against death associated with COVID-19. The efficacy of full vaccination with revaccination against symptomatic cases of COVID-19 was 88.3% (95%CI: 48.0%-99.6%). The overall mortality rate was higher in the unvaccinated group than in the vaccinated group (17.1% *vs* 3.0%;  $P = 0.001$ ). Higher Child-Turcotte-Pugh class cirrhosis (hazard ratio [HR] = 4.13, 95%CI: 1.82-9.35) and higher age (HR = 1.08, 95%CI: 1.04-1.15) were independent predictors of overall mortality, while vaccination had a protective effect (HR = 0.09, 95%CI: 0.01-0.76). There was no significant difference in liver-related mortality ( $P = 0.135$ ) or the incidence of liver decompensation ( $P = 0.077$ ), bleeding esophageal varices ( $P = 0.397$ ), and vascular events ( $P = 0.651$ ) between the two groups of patients.

## CONCLUSION

Vaccination against COVID-19 in patients with cirrhosis is effective and safe.

**Key Words:** Coronavirus; Vaccination; Revaccination; Booster; SARS-CoV-2; Sputnik V

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**Core Tip:** The aim of the study was to evaluate the clinical efficacy and safety of vaccination against novel coronavirus disease 2019 (COVID-19) in patients with cirrhosis. No severe cases of COVID-19 were revealed in the vaccinated group. The vaccine efficacy was 69.5% (95% confidence interval [CI]: 18.5%-94.4%) against symptomatic cases of COVID-19, 100% (95%CI: 25.1%-100.0%) against severe cases, and 100% (95%CI: 1.6%-100.0%) against death associated with COVID-19. There was no significant difference in liver-related mortality, or the incidence of liver decompensation, bleeding esophageal varices, and vascular events between the two groups of patients. Vaccination against COVID-19 in patients with cirrhosis is effective and safe.

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## INTRODUCTION

The new coronavirus infection 2019 (COVID-19) has become a challenge to the health services. At the time of this writing, more than a quarter of a billion people have been infected with COVID-19, and more than 5 million of them have died. Despite all the efforts of doctors, mortality from this infection remains high and its prevention through vaccination is urgently needed.

Although the main vaccines used in the world were shown to be highly effective in preventing COVID-19[1-6], the change of the dominant strain to the new variants led to a significant decrease in vaccination efficiency[7]. In addition, the immune response to vaccination decreases over time[8]. Therefore, the need for revaccination came up for discussion[8].

The main vaccines against COVID-19 lead to a moderate incidence of side effects, which are short-term and not dangerous in the vast majority[1-6]. However, there were some concerns that vaccination of patients with cirrhosis may lead to the decompensation of liver function or provoke bleeding esophageal varices. Immune paralysis observed in cirrhosis may lead to decreased efficacy of vaccination against different infections[9].

Recent articles have shown that a subset of cirrhotic patients has a poor antibody response to COVID-19 vaccination[10] and that several cirrhotic patients develop COVID-19 after full vaccination[11].

Cirrhosis is associated with an increased risk of mortality due to COVID-19 compared to non-cirrhotic patients[12,13]. Therefore, experts from the European Association for the Study of the Liver recommended COVID-19 vaccination of patients with cirrhosis without waiting for the results of studies on the efficacy and safety of the procedure in this cohort of patients[14].

Gam-COVID-Vac (Sputnik V) is a Russian vector two-component vaccine against COVID-19 that has shown its high efficiency in phase 3 clinical trials[1], as well as in an independent national-level comparative study in Hungary[14]. However, these data were obtained before the arrival of the COVID-19 delta surges.

The aim of this study was to evaluate the clinical efficacy and safety of COVID-19 vaccination and revaccination with Sputnik V in patients with cirrhosis.

## MATERIALS AND METHODS

This was a retrospective cohort study approved by the Ethics Committee of Sechenov University (Protocol 20-11) in accordance with the Helsinki Declaration.

### Patients

The patients with cirrhosis, who were residents of Moscow, regularly monitored at the Clinic for Internal Diseases, Gastroenterology and Hepatology of Sechenov University or Consultative and diagnostic center № 2, did not undergo liver transplantation, and were alive as of June 1, 2021, were included in the study.

Patients, who caught COVID-19 before June 1, 2021 or who were vaccinated against COVID-19 with a vaccine other than Gam-COVID-Vac (Sputnik V), were excluded from the study. The diagnosis of cirrhosis was established based on biopsy data or a combination of clinical, laboratory, and instrumental data.

### Exposure

Patients in the vaccination group were injected with Sputnik V intramuscularly at a standard dose (0.5 mL) twice with an interval of 21-37 d between the doses. Patients in the subgroup of revaccination received the third (booster) dose (first component) of Sputnik V 6-8 mo after taking the first component of the vaccine.

Patients in the control (unvaccinated) group did not receive COVID-19 vaccination by the end of the observation period (November 30, 2021) and were not diagnosed with COVID-19 before the beginning of the observation period (June 1, 2021).

There were no special criteria for the selection of patients in the vaccination group. Vaccination was carried out at the will of the patients themselves.

All patients received standard of care treatment for cirrhosis according to its etiology and complications. There was no significant difference between groups in drugs used for the treatment of cirrhosis.

### Outcomes

The primary outcome was the development of symptomatic COVID-19 during the observation period (from June 1, 2021 to November 30, 2021). We chose this period because the delta variant almost completely replaced other variants of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) and became dominant in Russia in June 2021. The third (from June to August 2021) and fourth (from September to November 2021) surges of COVID-19 associated with the delta variant occurred in Moscow during this period. A symptomatic COVID-19 case was considered if a patient had a positive polymerase chain reaction (PCR) test of oropharyngeal or nasopharyngeal swab for SARS-CoV-2 and symptoms and/or signs of COVID-19 (fever, weakness, cough, shortness of breath, anosmia, ageusia, *etc.*). Both inpatients and outpatients were assessed in the study.

Patients were considered fully vaccinated 2 wk after receiving the second dose of the vaccine.

COVID-19 severity classification was carried out in accordance with the current guidelines of the World Health Organization.

Secondary outcomes included death due to COVID-19, death associated with complications of cirrhosis (liver-related death), death from all causes, and the incidence of liver decompensation, bleeding esophageal varices, and vascular events (myocardial infarction, stroke, transient ischemic attack, pulmonary embolism, and abdominal thrombosis [thrombosis of the portal or hepatic veins]).

Death due to COVID-19 was considered if a patient had a positive PCR test for SARS-CoV-2 and had a significant lung damage (areas of ground glass and/or consolidation occupying more than 25% of lung volumes according to chest computed tomography) or a cytokine storm (serum C-reactive protein level more than 60 mg/L), regardless of whether liver decompensation or vascular events developed or not.

When evaluating the efficacy of revaccination, the vaccinated patients were considered unvaccinated 6 mo after the administration of the first dose of Sputnik V. We chose this period because it has been shown that the serum level of anti-SARS-CoV-2-spike-RBD IgG was significantly reduced 6 mo after vaccination against COVID-19 with Sputnik V compared with the results in the first 3 mo after this vaccination[8]. Moreover, these antibodies were not detected in almost 70% of persons 6 mo after this vaccination, although they were detected in 94% of persons 3 mo after this vaccination[8].

Information about vaccination, COVID-19 cases and their severity, patient death and its cause, and development of complications of cirrhosis and vascular events was taken from the Unified Medical Information and Analytical System, which accumulates almost all medical information about the residents of Moscow.

The liver function was assessed before the beginning of the observation period using the Child-Turcotte-Pugh (CTP) classification based on the data of the last check-up of the patient.

### Statistical analysis

Statistical analyses were performed with STATISTICA 10 software (StatSoft Inc., United States). The data are represented as median [interquartile range]. The difference in continuous variables was assessed by Mann-Whitney test. Fisher's exact test was used to assess the difference in categorical variables. Survival was assessed using the Kaplan-Meier estimator and Cox test. A Cox regression model was used to assess the influence of factors on patient survival and hazard ratio (HR). A *P*-value  $\leq 0.05$  was considered significant.

Vaccine efficacy was estimated by  $100 \times (1 - \text{IRR})$ , where IRR (incidence rate ratio) is the calculated ratio of cases of COVID-19 per 1 person-year of the observation in the vaccinated group to the corresponding illness rate in the unvaccinated group; 95% confidence interval (95%CI) for vaccine efficacy was obtained by the Baptista-Pike method (on-line calculator <https://rdrr.io/cran/ORCI/man/BPexact.CI.html> was used)[15].

## RESULTS

After excluding patients vaccinated with other vaccines (6 patients vaccinated with the EpiVacCorona peptide vaccine and 1 vaccinated with the CoviVac inactivated vaccine) and those who had had COVID-19 before the observation period ( $n = 33$ ), a total of 237 patients with cirrhosis were enrolled in the study. Eighty-nine (37.6%) patients were vaccinated with Sputnik V, of whom 39 received the vaccine before the beginning of the observation period, and the rest did it during this period (Figure 1). If the patient was vaccinated during the observation period, then the period before the first dose injection was counted as an unvaccinated period, as well as all events that developed during it.

There was no significant difference between vaccinated and unvaccinated patients in age, gender distribution, severity and etiology of cirrhosis, or the presence of significant comorbidity (Table 1).

COVID-19 was detected significantly more often in unvaccinated individuals than in vaccinated ones. COVID-19 occurred in 4 vaccinated patients: 17 d, 3.0, 6.1, and 7.2 mo after injection of the first dose of the vaccine. Thus, the first case should be considered as incompletely vaccinated, and the third and fourth ones as unrevaccinated. Severe COVID-19 was detected in 50.0% of unvaccinated patients infected with the coronavirus and in none of vaccinated patients. None of vaccinated patients died of COVID-19. Ten deaths due to COVID-19 were registered in the unvaccinated group, which accounted for 41.7% of patients with COVID-19 in this group. However, there was no significant difference in the incidence of mild and moderate cases of COVID-19 between vaccinated and unvaccinated patients (Table 2).

The efficacy of vaccination was 69.5% (95%CI: 18.5%-94.4%) against symptomatic cases of COVID-19, 100% (95%CI: 25.1%-100.0%) against COVID-19 severe cases, and 100% (95%CI: 1.6%-100.0%) against death due to COVID-19.

The overall mortality and mortality associated with COVID-19 were lower among the vaccinated patients than among the unvaccinated ones. There was no significant difference in liver-related mortality, as well as in the overall incidence of liver decompensation, bleeding esophageal varices, and vascular events ( $P = 0.651$ ) between the two groups of patients (Table 2). Among patients with cirrhosis of CTP classes B and C, there were also no significant differences in the incidence of liver decompensation (44.0% *vs* 51.8% per person-year;  $P = 0.500$ ) and bleeding esophageal varices (22.0% *vs* 16.1% per person-year;  $P = 0.504$ ) between vaccinated and unvaccinated patients.

All cases of liver decompensation, bleeding esophageal varices, and transient ischemic attack in the vaccination group occurred later than 3 mo after vaccination and are extremely unlikely to be associated with it. The only patient in the vaccination group died more than 7 mo after vaccination from liver decompensation following bleeding esophageal varices.

Higher CTP class cirrhosis and higher age were significant predictors of overall mortality, while vaccination had a protective effect, according to the results of multiple Cox regression (Table 3).

During the observation period, 39 patients had to be revaccinated, as they had more than 6 mo after the injection of the first vaccine dose. Nineteen (43.8%) of them were revaccinated. There were no cases of COVID-19, liver decompensation, bleeding esophageal varices, or vascular event after revaccination. If we consider unrevaccinated patients 6 mo after the injection of the first vaccine dose as unvaccinated (adjustment for the need for revaccination), the efficacy of full vaccination among patients with cirrhosis against symptomatic cases of COVID-19 was 88.3% (95%CI: 48.0-99.6%).

The incidence of COVID-19 in unrevaccinated patients was not significantly different from that in unvaccinated patients (39.0% *vs* 29.2% per person-year;  $P = 0.661$ ).

There were no cases of COVID-19 or deaths among vaccinated CTP class A cirrhosis patients (20.8 person-years). Among unvaccinated CTP class A cirrhosis patients (40.4 person-years), COVID-19 developed in 8 (19.8% per person-year) ones, and in 3 (7.4% per person-year) of them it was severe and resulted in death. The efficacy of vaccination against symptomatic cases of COVID-19 was 100.0%

**Table 1** Main characteristics of enrolled patients by group

	Vaccinated (n = 89)	Unvaccinated (n = 148)	P value
Age, yr	59 [48-68]	57 [47-64]	0.161
Male/Female	50/39	66/82	0.055
Child-Turcotte-Pugh class A	52 (58.4%)	72 (48.6%)	0.092
Child-Turcotte-Pugh classes B and C	37 (41.6%)	76 (51.4%)	
Etiology of cirrhosis			
Hepatitis B virus	3 (3.4%)	9 (6.1%)	0.275
Hepatitis C virus	16 (18.0%)	29 (15.6%)	0.449
Alcohol	41 (46.0%)	56 (37.8%)	0.094
Metabolic associated liver disease	5 (5.6%)	7 (4.7%)	0.492
Autoimmune hepatitis	1 (1.1%)	9 (6.1%)	0.059
Primary biliary cholangitis	9 (10.1%)	8 (5.4%)	0.136
Primary sclerosing cholangitis	1 (1.1%)	2 (1.4%)	0.684
Wilson disease	1 (1.1%)	1 (0.7%)	0.611
Other	0	6 (4.1%)	0.057
Mixed	12 (13.5%)	21 (14.2%)	0.521
Comorbidity			
Diabetes mellitus	18 (20.2%)	21 (14.2%)	0.151
Ischemic heart disease	7 (7.9%)	6 (4.1%)	0.170
Cancer	9 (10.1%)	12 (8.1%)	0.381
Hepatocellular carcinoma	1 (1.1%)	4 (2.7%)	0.379
Asthma	3 (3.4%)	2 (1.4%)	0.274
Chronic obstructive pulmonary disease	5 (5.6%)	2 (1.4%)	0.071

(95%CI: 16.1-100.0%) among CTP class A cirrhosis patients.

Among the fully vaccinated patients with cirrhosis of CTP classes B and C adjusted for the need for revaccination (11.6 person-years), there was 1 (8.6% per person-year) case of COVID-19 that was moderate. Among these unvaccinated and unrevaccinated patients (42.1 person-years), there were 18 (42.8% per person-year) cases of COVID-19 (with 2 cases that developed later than 6 mo after the first dose of vaccine injection), including 9 (21.4% per person-year) severe ones, of which 7 (16.6% per person-year) resulted in death. The efficacy of full vaccination with revaccination against symptomatic cases of COVID-19 was 79.9% (95%CI: 11.4-99.5%) among CTP B and C cirrhosis patients.

Among patients with cirrhosis of CTP classes B and C, overall mortality was significantly lower in the vaccinated group than in the unvaccinated group (7.7% *vs* 26.4% per person-year; *P* = 0.010).

## DISCUSSION

Patients with cirrhosis have a high risk of poor outcome of COVID-19. The high mortality rate (34.0%) among these patients was shown in the first study on this topic[15]. In our study, the mortality rate among unvaccinated patients with cirrhosis was 38.4%, which is significantly higher than the mortality rate among patients with COVID-19 in the general population of Moscow over the same period (about 4%). Thus, the prevention of the development of COVID-19 in this group of patients is an urgent task for health care system.

The presence of impaired immune function in patients with cirrhosis[9] has raised concerns that vaccination against COVID-19 may be of lower efficacy. In a recent study, it was shown that antibodies to SARS-CoV-2 were not found 4 wk after vaccination in 3.8% of patients with cirrhosis, and were too low in 19% of them[10]. Interestingly, the percentage of insufficient responders to the vaccine did not differ significantly between patients with cirrhosis and pre-cirrhotic stages of chronic liver disease[10]. There are publications describing COVID-19 in vaccinated cirrhotic patients. COVID-19 occurred in 6 patients with cirrhosis later than 2 wk after receiving the second dose of vaccine (criterion for full



**Table 2 Outcomes by group (*n* [per patient-yr])**

	Vaccinated ( <i>n</i> = 33.8 patient-yr)	Unvaccinated ( <i>n</i> = 82.1 patient-yr)	<i>P</i> value
COVID-19 cases			
Total	4 (11.8%)/3 (8.9%) <sup>1</sup>	24 (29.2%)	0.035/0.013 <sup>1</sup>
Mild	1 (3.0%)	7 (8.5%)	0.260
Moderate	3 (8.9%)/2 (5.9%) <sup>1</sup>	5 (6.1%)	0.431/0.666 <sup>1</sup>
Severe	0	12 (14.6%)	0.012
Death			
Overall	1 (3.0%)	14 (17.1%)	0.001
Associated with COVID-19	0	10 (12.2%)	0.012
Liver-related	1 (3.0%)	4 (4.9%)	0.135
Non-COVID-19 complications (cases)			
Liver decompensation	4 (11.9%)	21 (25.6%)	0.077
Bleeding esophageal varices	2 (5.9%)	8 (9.7%)	0.394
Myocardial infarction	0	1 (1.2%)	0.707
Pulmonary embolism	0	1 (1.2%)	0.707
Stroke	0	0	-
Transient ischemic attack	1 (3.0%)	0	0.293
Abdominal thrombosis	0	0	-

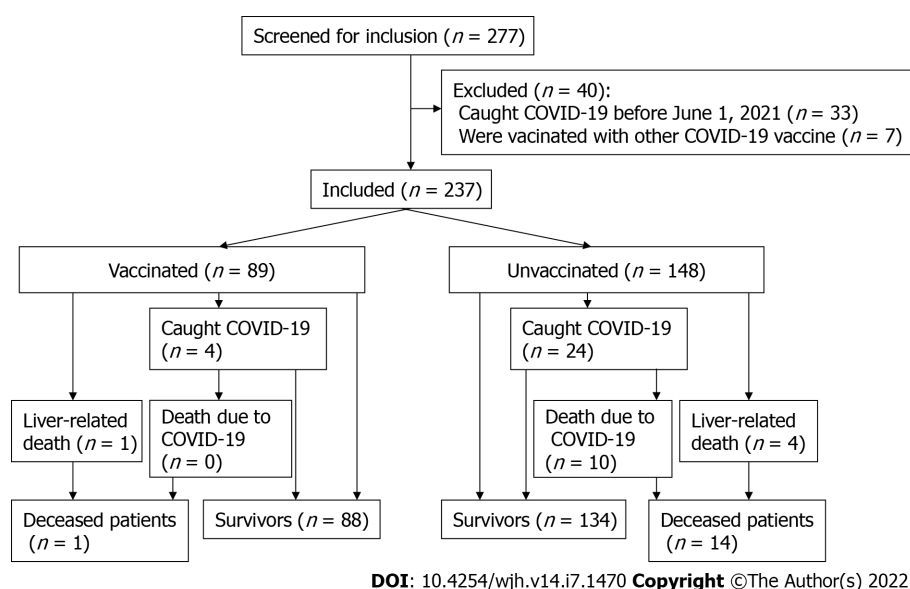
<sup>1</sup>All vaccinated/fully vaccinated. COVID-19: Coronavirus disease 2019.

**Table 3 Analysis of predictors of overall mortality among included patients with cirrhosis**

Predictor	<i>P</i> value	Hazard ratio
Age	0.001	1.08 (95%CI: 1.04-1.15)
Vaccination	0.027	0.09 (95%CI: 0.01-0.76)
Child-Turcotte-Pugh class	0.001	4.13 (95%CI: 1.82-9.35)
Diabetes mellitus	0.363	
Ischemic heart disease	0.595	
Cancer	0.751	
Asthma	0.342	
Chronic obstructive pulmonary disease	0.851	

vaccination). Half of them required hospitalization, but none of them needed admission to the intensive care unit and none of them died[11]. In our study, COVID-19 developed only in 3 fully vaccinated patients and was also non-severe.

Our study is the first that describes the clinical efficacy of COVID-19 vaccination in cirrhosis. It was 69.5% against symptomatic cases of COVID-19 and 100% against severe cases and death due to COVID-19. However, the immune response to vaccination fades over time and antibodies to SARS-CoV-2 are retained in the blood only in one third of healthy persons 6 mo after the administration of the first dose of the Gam-COVID-Vac vaccine[8]. Therefore, it is not surprising that 2 out of 3 fully vaccinated patients who caught COVID-19 did it later than 6 mo after the injection of the first vaccine dose in our study. The incidence of COVID-19 in unrevaccinated patients was not significantly different from that of unvaccinated patients. Thus, revaccination of patients with cirrhosis within the sixth month after the injection of the first vaccine dose is highly recommended. None of the revaccinated patients caught COVID-19. The efficacy of full vaccination with revaccination against symptomatic COVID-19 was 88.3%.



**Figure 1 CONSORT 2010 flow diagram.** COVID-19: Coronavirus disease 2019.

High efficacy of vaccination was also observed in patients with cirrhosis of CTP classes B and C, which, taking into account the need for revaccination, was almost 80%.

Interestingly, the incidence of non-severe COVID-19 did not differ between the vaccinated and unvaccinated groups. Thus, vaccination protects against severe COVID-19 and death from this disease. The development of non-severe COVID-19 in vaccinated persons with cirrhosis is quite possible and should not be considered as an indicator of the ineffectiveness of vaccination.

Since COVID-19 is characterized by the development of thrombotic complications[16], there were concerns that vaccination against this infection could also contribute to their development, especially in persons with compromised hemostasis system which includes patients with cirrhosis[18]. Although a large study has shown that vaccination with certain types of vaccines is associated with an increased risk of developing thrombotic complications, this risk is negligible[19]. Therefore, one of the objectives of our study was to assess the risk of developing vascular thrombotic complications of vaccination in cirrhosis. In our study, the development of these complications was rare and their incidence did not differ significantly between vaccinated and unvaccinated patients.

The most discussed complications of COVID-19 vaccination are immune thrombotic thrombocytopenia[20] and myocarditis[21]. In our study, there were no cases of these complications, which, however, can be explained by the small number of included patients and the extremely rare reported incidence of these events[20-21].

We did not observe the onset of liver decompensation or bleeding esophageal varices associated with vaccination. The incidence of these events as well as mortality associated with complications of cirrhosis did not differ significantly between groups of vaccinated and unvaccinated patients.

Thus, we can state the excellent safety of Gam-COVID-Vac vaccination in patients with cirrhosis, including patients with CTP classes B and C cirrhosis.

Analyzing the overall mortality, we found that vaccination is an independent factor predicting the survival of patients with cirrhosis.

The need for revaccination should be emphasized. In our study, 2 out of 3 cases of COVID-19 in fully vaccinated patients were within 2 mo after 6 post-vaccination months, while there was only 1 this case within this six-month post-vaccination period.

The strength of our study is that it is the first to describe the efficacy and safety of vaccination against COVID-19 among patients with cirrhosis in the time of the delta variant dominance.

Although we tested only one vaccine in our study, we believe that the remaining major COVID-19 vaccines have a similar effect in patients with cirrhosis, as their efficacy was comparable in a recent national-level Hungarian study[14].

The limitation of our work is its retrospective nature. However, it is hardly possible to conduct randomized controlled trials on this topic in the pandemic. Another limitation is the fact that patients themselves decided whether they would be vaccinated or not, which can lead to selection bias. However, as shown in Table 1, the vaccinated and unvaccinated groups did not differ significantly in the main indicators.

## CONCLUSION

Vaccination of patients with cirrhosis against COVID-19 with Gam-COVID-Vac is effective and safe. Revaccination should be carried out within the sixth month after the injection of the first dose of the vaccine.

## ARTICLE HIGHLIGHTS

### Research background

Patients with cirrhosis have a high risk of poor prognosis when developing novel coronavirus disease 2019 (COVID-19).

### Research motivation

The clinical efficacy and safety of vaccination against the COVID-19 in patients with cirrhosis have not been evaluated yet.

### Research objectives

To evaluate clinical efficacy and safety of vaccination against COVID-19 in patients with cirrhosis.

### Research methods

This was a retrospective cohort study of patients with cirrhosis. The first cohort included patients vaccinated with Gam-COVID-Vac (Sputnik V); the second one consisted of unvaccinated controls.

### Research results

There were 4 cases of COVID-19 in the vaccinated group and 24 cases in the unvaccinated group ( $P = 0.035$ ). No severe cases of COVID-19 were revealed in the vaccinated group, while there were 12 ones in the unvaccinated group ( $P = 0.012$ ) with 10 deaths detected ( $P = 0.012$ ). The vaccine efficacy was 69.5% (95%CI: 18.5%-94.4%) against symptomatic cases of COVID-19, 100% (95%CI: 25.1%-100.0%) against severe cases, and 100% (95%CI: 1.6%-100.0%) against death associated with COVID-19. There was no significant difference in liver-related mortality, or the incidence of liver decompensation, bleeding esophageal varices, and vascular events between the two groups of patients.

### Research conclusions

Vaccination against COVID-19 in patients with cirrhosis is effective and safe.

### Research perspectives

The effectiveness of vaccinating patients with cirrhosis against COVID-19 with different vaccines should be compared.

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## FOOTNOTES

**Author contributions:** Ivashkin V, Ismailova A, and Maslennikov R designed the research; all authors performed the research and analyzed the data; Ivashkin V, Ismailova A, Dmitrieva K, and Roman Maslennikov R wrote the paper.

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