

Interactive Computer Graphics: Lecture 2

Transformations for animation

The most useful operations:

Previously defined transformation matrices

- Translation

$$\begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + t_x \\ y + t_y \\ z + t_z \\ 1 \end{pmatrix}$$

- Scaling

$$\begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ s_z z \\ 1 \end{pmatrix}$$

Rotations about x , y and z axes.

$$\mathcal{R}_x = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathcal{R}_y = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathcal{R}_z = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Rotations about x, y and z axes.

$$\mathcal{R}_x = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathcal{R}_y = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

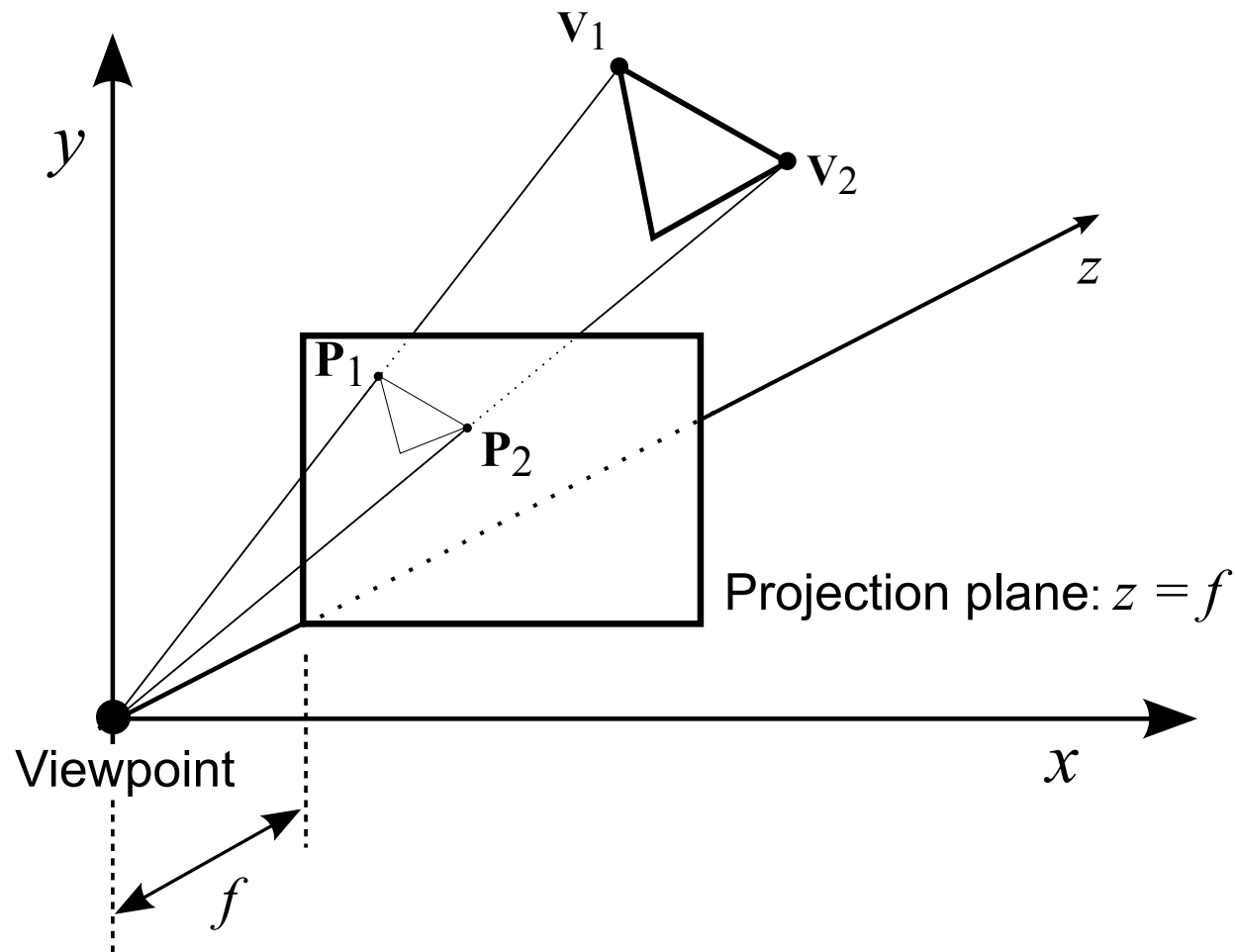
$$\mathcal{R}_z = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

We now consider more complex transformations which are combinations of translations, scalings and rotations

Flying sequences

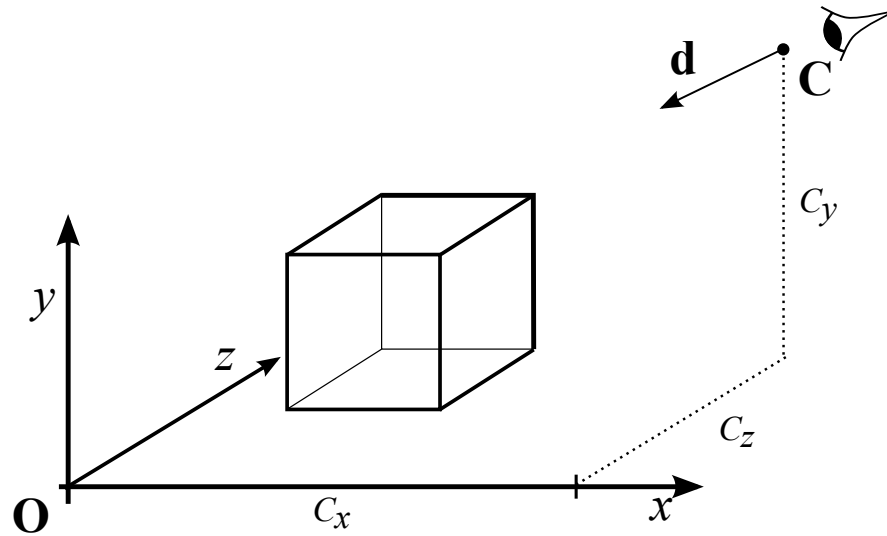
- In generating animated flying sequences we require the viewpoint to move around the scene.
- This implies a change of origin
- Let
 - the required viewpoint be $\mathbf{C} = (C_x, C_y, C_z)$
 - the required view direction be $\mathbf{d} = \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix}$

Recall the canonical form for perspective projection

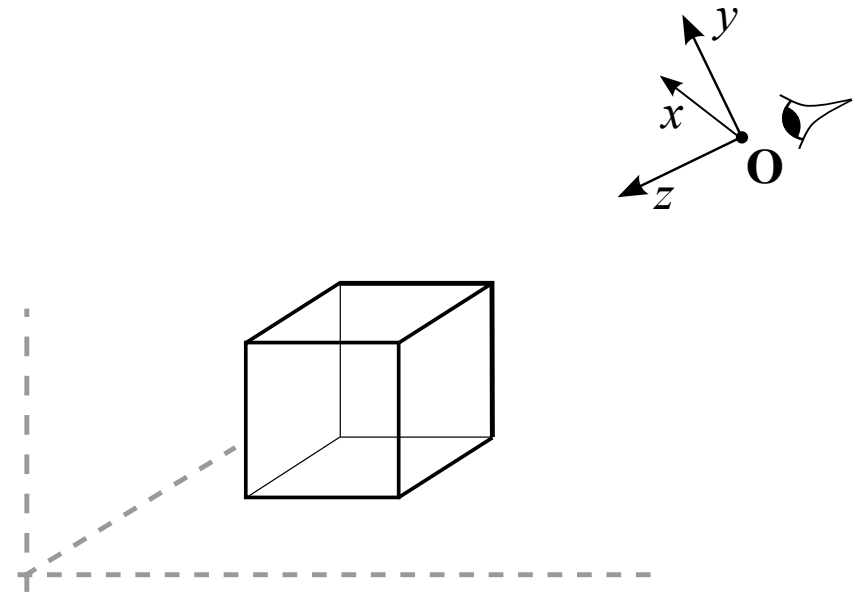


We look along the z -axis and the y -axis is 'up'

Transformation of viewpoint



Coordinate system for definition

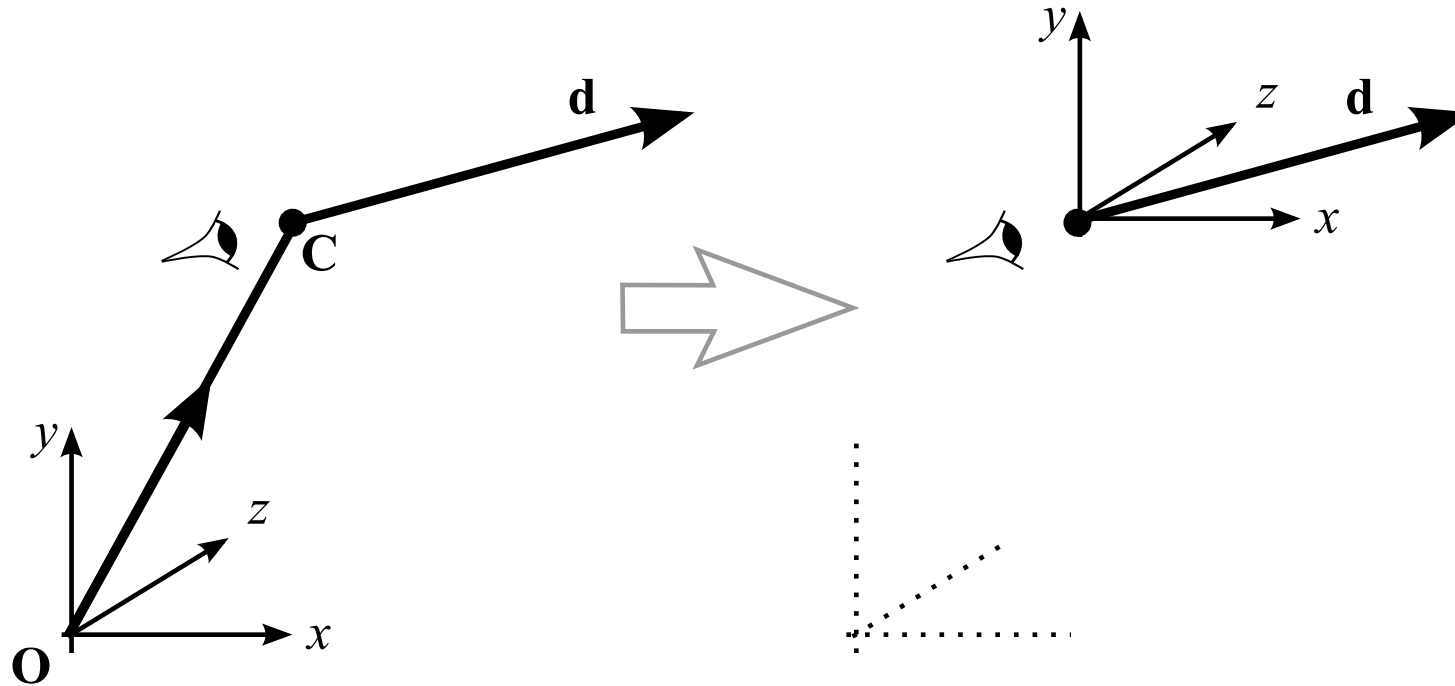


Coordinate system for viewing

Flying Sequences

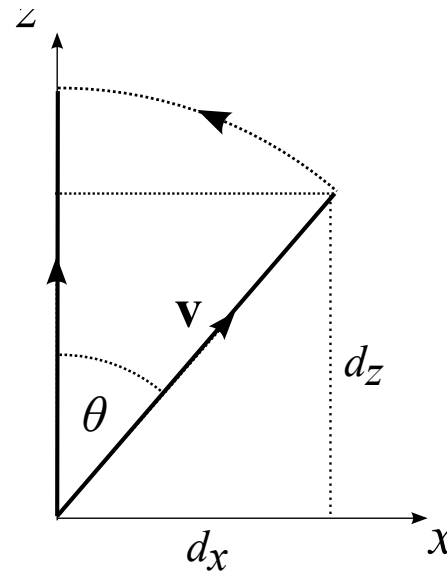
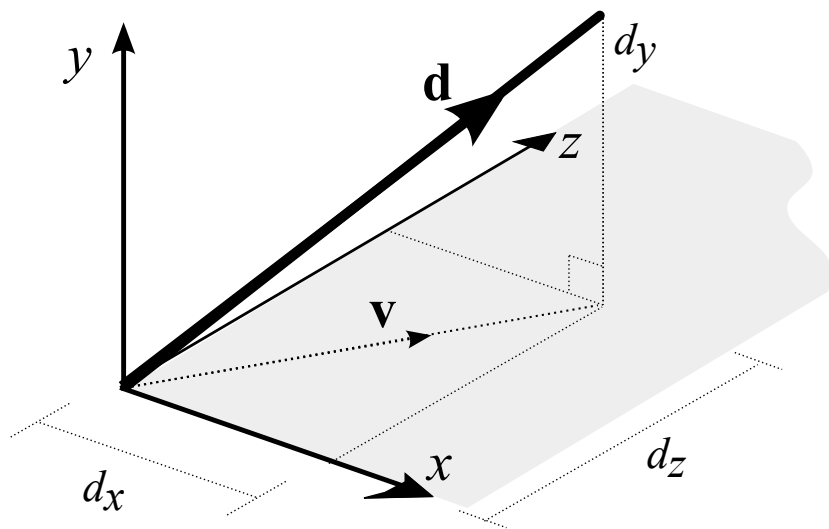
- The required transformation is in three parts:
 1. Translation of the origin
 2. Rotate about y-axis
 3. Rotate about x-axis
- The two rotations are to line up the z-axis with the view direction

1. Translation of the Origin



$$\mathcal{A} = \begin{pmatrix} 1 & 0 & 0 & -C_x \\ 0 & 1 & 0 & -C_y \\ 0 & 0 & 1 & -C_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

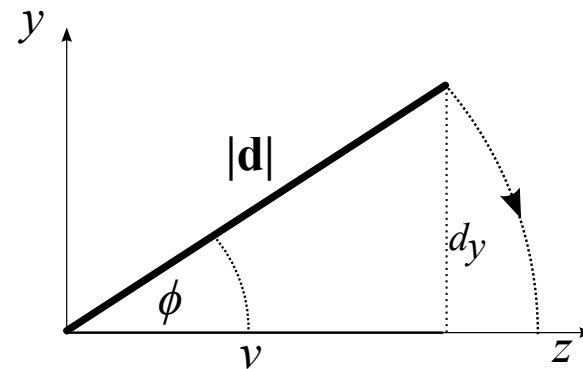
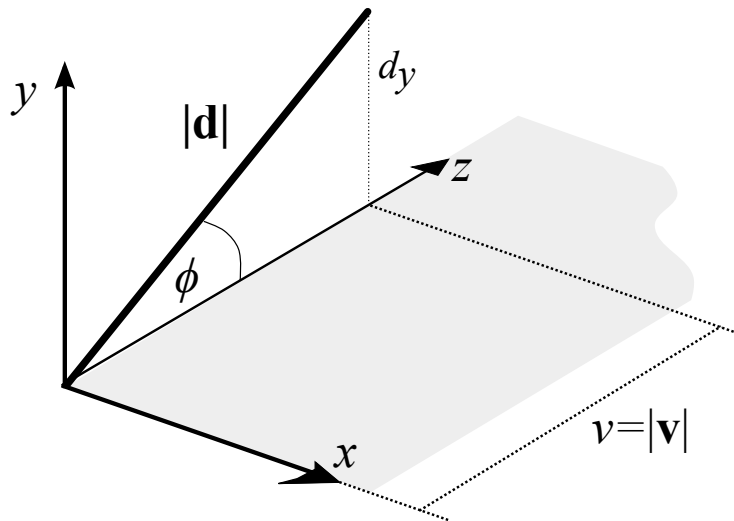
2. Rotate about y until \mathbf{d} is in the y - z plane



$$\begin{aligned}\|\mathbf{v}\| = v &= \sqrt{d_x^2 + d_z^2} \\ \cos \theta &= d_z / v \\ \sin \theta &= d_x / v\end{aligned}$$

$$\mathcal{B} = \begin{pmatrix} \cos \theta & 0 & -\sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ \sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} d_z/v & 0 & -d_x/v & 0 \\ 0 & 1 & 0 & 0 \\ d_x/v & 0 & d_z/v & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

3. Rotate about x until \mathbf{d} points along the z -axis



$$\begin{aligned} v &= \sqrt{d_x^2 + d_z^2} \\ \cos \phi &= v/|\mathbf{d}| \\ \sin \phi &= d_y/|\mathbf{d}| \end{aligned}$$

$$\mathcal{C} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi & 0 \\ 0 & \sin \phi & \cos \phi & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & v/|d| & -d_y/|d| & 0 \\ 0 & d_y/|d| & v/|d| & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Combining the matrices

- A single matrix that transforms the scene can be obtained from the matrices \mathcal{A} , \mathcal{B} and \mathcal{C} by multiplication

$$\mathcal{T} = \mathcal{C}\mathcal{B}\mathcal{A}$$

- And for every point \mathbf{P} of the scene, we calculate

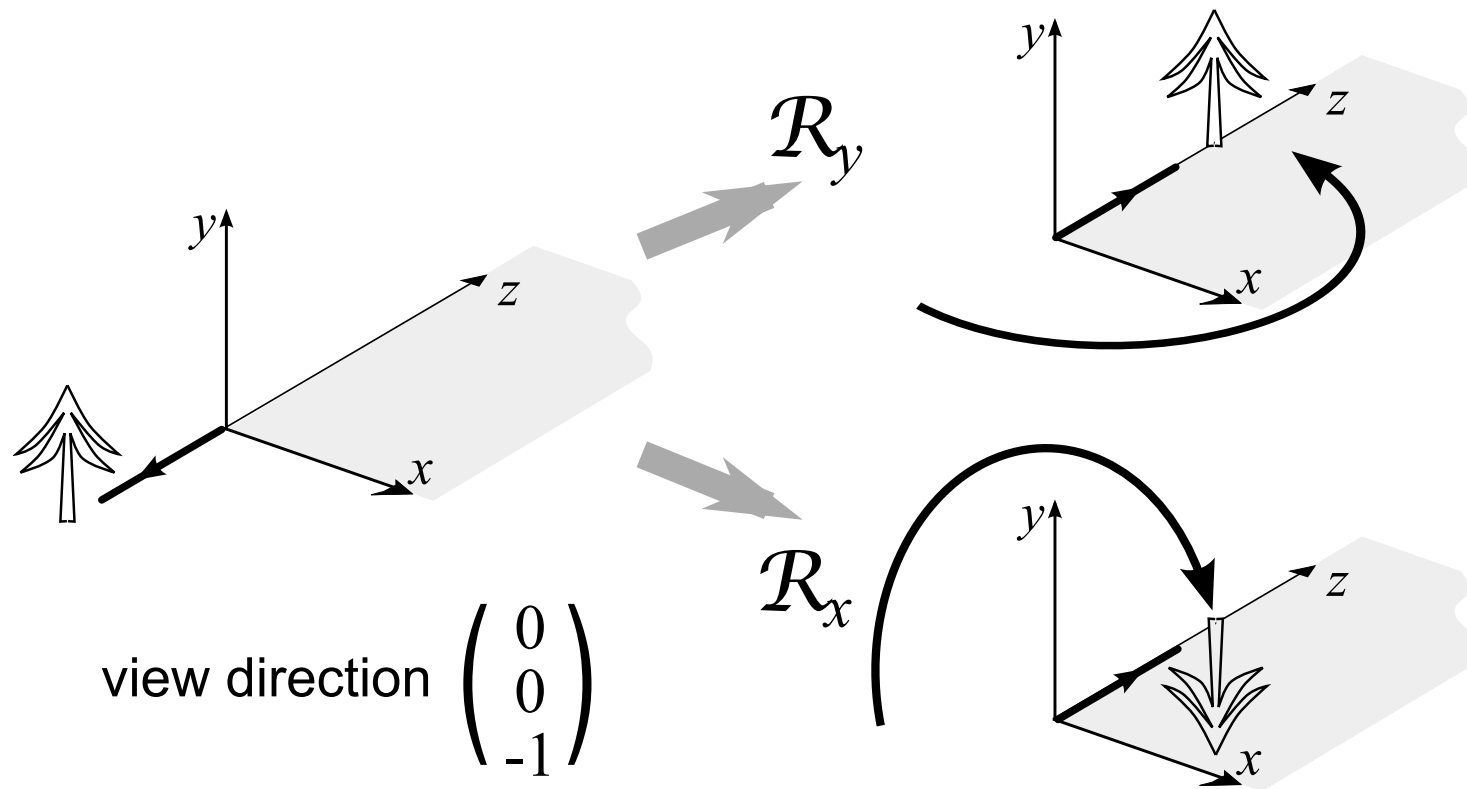
$$\mathbf{P}_t = \mathcal{T}\mathbf{P}$$

- The view is now in ‘canonical’ form and we can apply the standard perspective or orthographic projection.

Verticals

- So far we have not looked at verticals
- Usually, the y direction is treated as vertical, and by doing the R_y transformation first, things work out correctly
- However it is possible to invert the vertical

Transformations and verticals



Rotation about a general line

- Special effects, such as rotating a scene about a general line can be achieved by multiple transformations
- The transformation is formed by:
 - Making the line of rotation one of the Cartesian axes
 - Doing the rotation (about the chosen axis)
 - Restoring the line to its original place

Rotation about a general line

- The first part is achieved using the same matrices that we derived for the flying sequences

$$CBA$$

- This rotates the general line so it is aligned with the z -axis.
- We then carry out the rotation about the z -axis then follow this by the inversion of the initial matrices.
- So the full matrix T of the combined transformation is

$$\mathcal{T} = \mathcal{A}^{-1}\mathcal{B}^{-1}\mathcal{C}^{-1}\mathcal{R}_zCBA$$

Other effects

- Similar effects can be created using this approach
- e.g. to make an object shrink (and stay in place)
 1. Move the object to the origin
 2. Apply a scaling matrix
 3. Move the object back to where it was

Projection by matrix multiplication

- Usually projection and drawing of a scene comes after the transformation(s)
- It is therefore convenient to combine the projection with the other parts of the transformation
- So it is useful to have matrices for the projection operation

Orthographic projection matrix

- For (canonical) orthographic projection, we simply drop the z -coordinate:

$$\mathcal{M}_o = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathcal{M}_o \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x \\ y \\ 0 \\ 1 \end{pmatrix}$$

Perspective projection matrix

- Perspective projection of homogenous coordinates can also be done by matrix multiplication:

$$\mathcal{M}_p = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/f & 0 \end{pmatrix}$$

$$\mathcal{M}_p \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \\ z/f \end{pmatrix}$$

Perspective projection matrix: Normalisation

- Remember we can normalise homogeneous coordinates, so

$$\mathcal{M}_p \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \\ z/f \end{pmatrix} \text{ which is the same as } \begin{pmatrix} xf/z \\ yf/z \\ f \\ 1 \end{pmatrix}$$

- as required.

Projection matrices are singular

- Notice that both projection matrices are singular (i.e. ‘non-invertible’, zero-determinant, ...)

$$\mathcal{M}_p = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/f & 0 \end{pmatrix} \quad \mathcal{M}_o = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- This is because a projection transformation cannot be inverted.
- Given a 2D image, we cannot in general reconstruct the original 3D scene.

Homogenous coordinates as vectors

- We now take a second look at homogeneous coordinates, and their relation to vectors.
- In the previous lecture we described the fourth ordinate as a scale factor.

Homogeneous

Cartesian

$$\begin{pmatrix} x \\ y \\ z \\ s \end{pmatrix} \rightarrow \begin{pmatrix} x/s \\ y/s \\ z/s \end{pmatrix}$$

Homogenous coordinates and vectors

- Homogenous coordinates fall into two types:

1. Position vectors

- Those with non-zero final ordinate ($s > 0$).
- Can be normalised into Cartesian form.

$$\begin{pmatrix} x \\ y \\ z \\ s \end{pmatrix}$$

2. Direction vectors

- Those with zero in the final ordinate.
- Have direction and magnitude.

$$\begin{pmatrix} x \\ y \\ z \\ 0 \end{pmatrix}$$

Adding direction vectors

- If we add two direction vectors we obtain a direction vector

$$\begin{pmatrix} x_i \\ y_i \\ z_i \\ 0 \end{pmatrix} + \begin{pmatrix} x_j \\ y_j \\ z_j \\ 0 \end{pmatrix} = \begin{pmatrix} x_i + x_j \\ y_i + y_j \\ z_i + z_j \\ 0 \end{pmatrix}$$

- This is the normal vector addition rule.

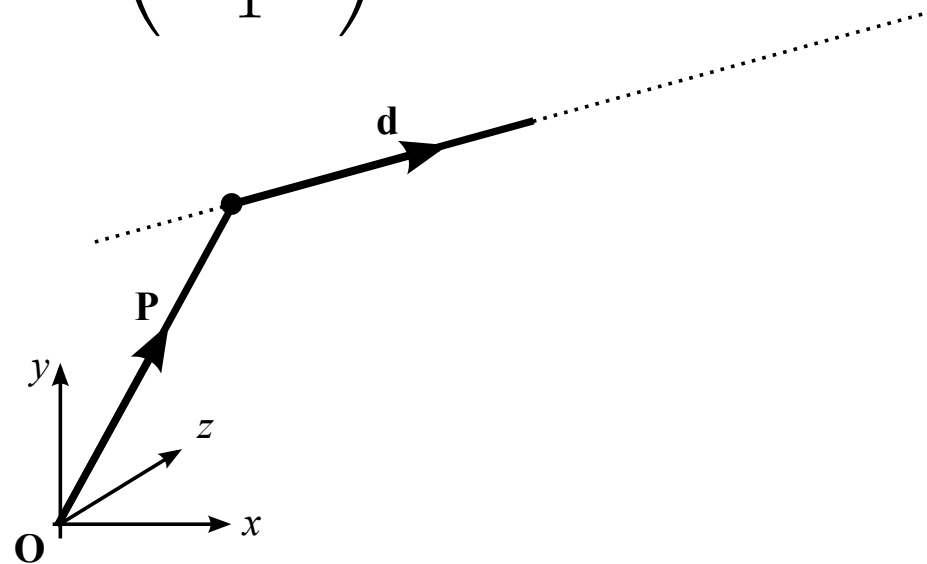
Adding position and direction vectors

- If we add a direction vector to a position vector we obtain a position vector:

$$\begin{pmatrix} X \\ Y \\ Z \\ 1 \end{pmatrix} + \begin{pmatrix} x \\ y \\ z \\ 0 \end{pmatrix} = \begin{pmatrix} X + x \\ Y + y \\ Z + z \\ 1 \end{pmatrix}$$

Nice result.

Ties in with definition of straight line in Cartesian space which uses a point and a direction



Adding two position vectors

- If we add two position vectors we obtain their mid-point

$$\begin{pmatrix} X_a \\ Y_a \\ Z_a \\ 1 \end{pmatrix} + \begin{pmatrix} X_b \\ Y_b \\ Z_b \\ 1 \end{pmatrix} = \begin{pmatrix} X_a + X_b \\ Y_a + Y_b \\ Z_a + Z_b \\ 2 \end{pmatrix} = \begin{pmatrix} (X_a + X_b) / 2 \\ (Y_a + Y_b) / 2 \\ (Z_a + Z_b) / 2 \\ 1 \end{pmatrix}$$

- This is reasonable since adding two position vectors has no real meaning in vector geometry

The structure of a transformation matrix

- The bottom row is always 0 0 0 1
- The columns of a transformation matrix comprise three direction vectors and one position vector

Matrix	Direction vectors	Position vectors
$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} q_x \\ q_y \\ q_z \\ 0 \end{pmatrix} \quad \begin{pmatrix} r_x \\ r_y \\ r_z \\ 0 \end{pmatrix} \quad \begin{pmatrix} s_x \\ s_y \\ s_z \\ 0 \end{pmatrix}$	$\begin{pmatrix} C_x \\ C_y \\ C_z \\ 1 \end{pmatrix}$

Characteristics of transformation matrices

- Direction vector: Zero, in the last ordinate \Rightarrow not affected by the translation.

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} * \\ * \\ * \\ 0 \end{pmatrix} = \begin{pmatrix} * \\ * \\ * \\ 0 \end{pmatrix}$$

- Position vector: 1 in the last ordinate \Rightarrow all vectors will have the same displacement.

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} * \\ * \\ * \\ 1 \end{pmatrix} = \begin{pmatrix} * + C_x \\ * + C_y \\ * + C_z \\ 1 \end{pmatrix}$$

- If we do not shear the object the three vectors \mathbf{q} , \mathbf{r} and \mathbf{s} will remain orthogonal, ie:

$$\mathbf{q} \cdot \mathbf{r} = \mathbf{r} \cdot \mathbf{s} = \mathbf{q} \cdot \mathbf{s} = 0$$

What do the individual columns mean?

- To see this, consider the effect of the transformation in simple cases.
- For example take the unit direction vectors along the Cartesian axes
 - e.g. along the x -axis, $\mathbf{i} = (1, 0, 0, 0)^T$

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} q_x \\ q_y \\ q_z \\ 0 \end{pmatrix}$$

What do the individual columns mean?

- The other axis transformations:

Similarly, we find the following transformations of unit vectors **j** and **k**

$$\mathbf{j} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \rightarrow \begin{pmatrix} r_x \\ r_y \\ r_z \\ 0 \end{pmatrix} \quad \mathbf{k} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \rightarrow \begin{pmatrix} s_x \\ s_y \\ s_z \\ 0 \end{pmatrix}$$

What do the individual columns mean?

- Transforming the origin:
 - If we transform the origin, $(0, 0, 0, 1)^T$, we end up with the last column of the transformation matrix

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} C_x \\ C_y \\ C_z \\ 1 \end{pmatrix}$$

The meaning of a transformation matrix

Putting everything together ...

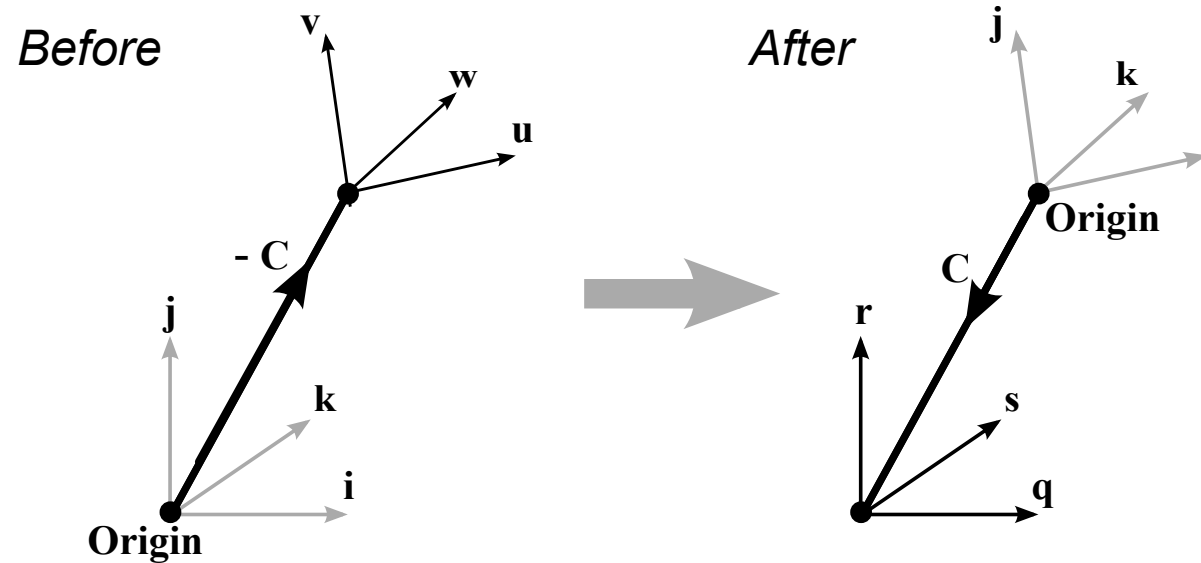
The columns are the original axis system after transforming to the new coordinate system

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

↓ ↓ ↓ ↓
q **r** **s** **C**

q transformed *x*-axis
r transformed *y*-axis
s transformed *z*-axis
C transformed origin

Effect of a transformation matrix

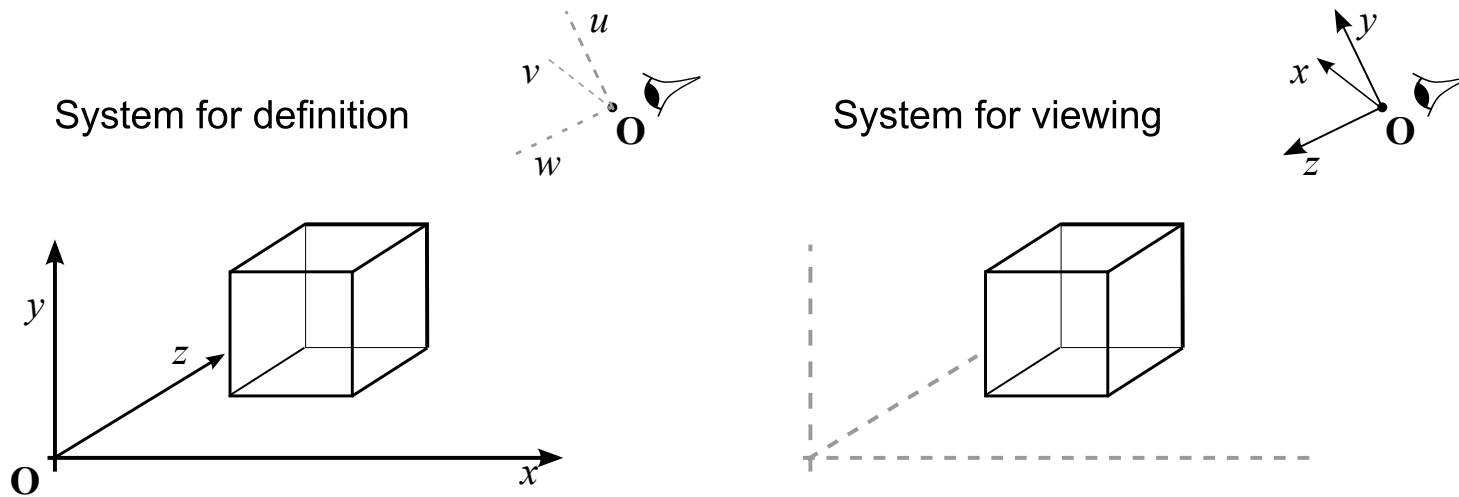


Tells us the old axes and origin in the new coordinate system.

$$\begin{pmatrix} q_x & r_x & s_x & C_x \\ q_y & r_y & s_y & C_y \\ q_z & r_z & s_z & C_z \\ 0 & 0 & 0 & 1 \end{pmatrix} = [\mathbf{q} \quad \mathbf{r} \quad \mathbf{s} \quad \mathbf{C}]$$

What we want is the other way round

- Normally,
 - We are not given the transformation matrix that moves the scene to that coordinate system, we need to find it
 - We are given a view direction \mathbf{d} and location \mathbf{C}



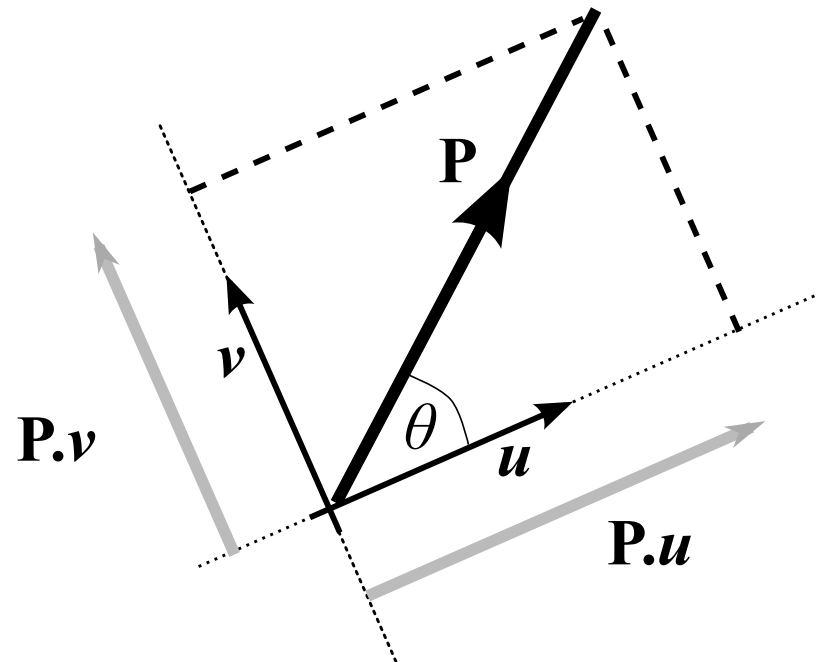
To see how to get the matrix, we introduce the idea of the dot product as a *projection*

The dot product as a projection

- The dot product is defined as

$$\mathbf{P} \cdot \mathbf{u} = |\mathbf{P}| |\mathbf{u}| \cos \theta$$

- If \mathbf{u} is
 - a unit vector then $\mathbf{P} \cdot \mathbf{u} = |\mathbf{P}| \cos \theta$
 - along a co-ordinate axis then $\mathbf{P} \cdot \mathbf{u}$ is the ordinate of \mathbf{P} in the direction of \mathbf{u}

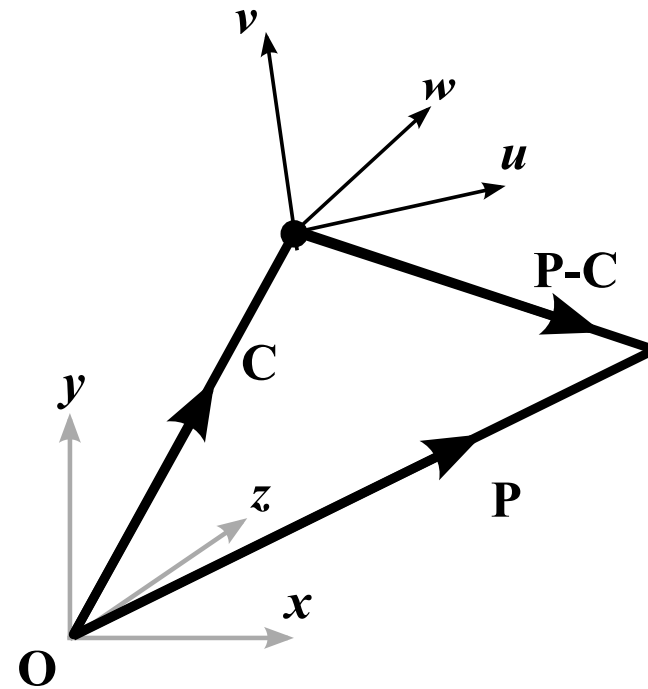


Changing axes by projection

- Extending the idea to three dimensions we can see that a change of axes can be expressed as projections using the dot product

For example, call the first coordinate of \mathbf{P} in the new system \mathbf{P}_x^t

$$\begin{aligned}\mathbf{P}_x^t &= (\mathbf{P} - \mathbf{C}) \cdot \mathbf{u} \\ &= \mathbf{P} \cdot \mathbf{u} - \mathbf{C} \cdot \mathbf{u}\end{aligned}$$



*Transforming point **P***

- Given point **P** in the (x, y, z) axis system, we can calculate the corresponding point in the (u, v, w) system as:

$$P_x^t = (\mathbf{P} - \mathbf{C}) \cdot \mathbf{u} = \mathbf{P} \cdot \mathbf{u} - \mathbf{C} \cdot \mathbf{u}$$

$$P_y^t = (\mathbf{P} - \mathbf{C}) \cdot \mathbf{v} = \mathbf{P} \cdot \mathbf{v} - \mathbf{C} \cdot \mathbf{v}$$

$$P_z^t = (\mathbf{P} - \mathbf{C}) \cdot \mathbf{w} = \mathbf{P} \cdot \mathbf{w} - \mathbf{C} \cdot \mathbf{w}$$

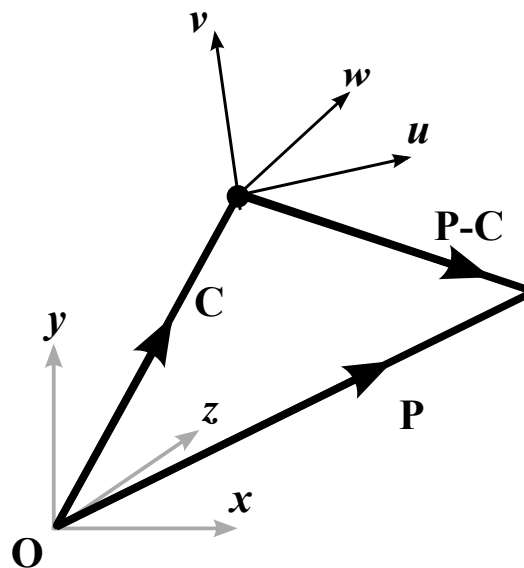
- Or, in matrix notation:

$$\begin{pmatrix} P_x^t \\ P_y^t \\ P_z^t \\ 1 \end{pmatrix} = \begin{pmatrix} u_x & u_y & u_z & -\mathbf{C} \cdot \mathbf{u} \\ v_x & v_y & v_z & -\mathbf{C} \cdot \mathbf{v} \\ w_x & w_y & w_z & -\mathbf{C} \cdot \mathbf{w} \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

Verticals revisited ...

Unlike the previous analysis we now can control the vertical

i.e. we can assume the v -direction is the vertical and constrain it in the software to be upwards

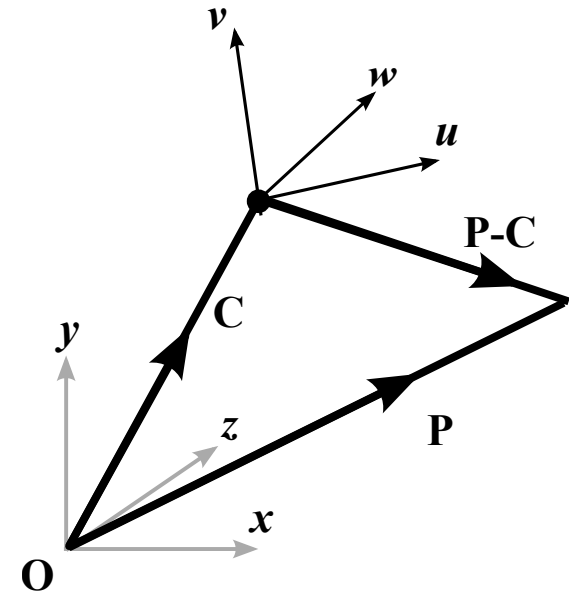


Back to flying sequences

- We now return to the original problem
 - Given a viewpoint point **C** and a view direction **d**, we need to find the transformation matrix that gives us the canonical view.
 - We do this by first finding the vectors **u**, **v** and **w**.

We know that **d** is the direction of the new axis, so we can write immediately

$$\mathbf{w} =$$



Now the horizontal direction

- We can write \mathbf{u} in terms of some vector \mathbf{p} in the horizontal direction

$$\mathbf{u} = \frac{\mathbf{p}}{|\mathbf{p}|}$$

- To ensure that \mathbf{p} is horizontal we set

$$p_y = 0$$

- so that \mathbf{p} has no vertical component

And the vertical direction

- Let \mathbf{q} be some vector in the vertical direction, we can then write \mathbf{v} as

$$\Rightarrow \mathbf{v} = \frac{\mathbf{q}}{|\mathbf{q}|}$$

- \mathbf{q} must have a positive y component, so we can say that

$$q_y = 1$$

So we have four unknowns

$$\mathbf{p} = [p_x, 0, p_z] \quad \text{new horizontal}$$

$$\mathbf{q} = [q_x, 1, q_z] \quad \text{new vertical}$$

To solve for these we use the cross product and dot product.

We can write the view direction \mathbf{d} , which is along the new z axis, as

$$\mathbf{d} = \mathbf{p} \times \mathbf{q}$$

(We can do this because the magnitude of \mathbf{p} is not yet set)

Evaluating the cross-product

$$\begin{aligned}\mathbf{d} &= \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix} = \mathbf{p} \times \mathbf{q} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ p_x & 0 & p_z \\ q_x & 1 & q_z \end{vmatrix} \\ &= -p_z \mathbf{i} + (p_z q_x - p_x q_z) \mathbf{j} + p_x \mathbf{k} = \begin{pmatrix} -p_z \\ p_z q_x - p_x q_z \\ p_x \end{pmatrix}\end{aligned}$$

$$d_x = -p_z$$

$$d_y = p_z q_x - p_x q_z$$

$$d_z = p_x$$

So we can write vector \mathbf{p} completely in terms of \mathbf{d}

$$\mathbf{p} = \begin{pmatrix} d_z \\ 0 \\ -d_x \end{pmatrix}$$

Using the dot product

- Lastly we can use the fact that the vectors **p** and **q** are orthogonal

$$\mathbf{p} \cdot \mathbf{q} = 0$$

$$\Rightarrow p_x q_x + p_z q_z = 0$$

- And from the cross product (previous slide)

$$d_y = p_z q_x - p_x q_z$$

- So we have two simple linear equations to solve for **q** and write it in terms of the components of **d**

The final matrix

- Once we have expressions for \mathbf{p} and \mathbf{q} in terms of the given vector \mathbf{d} , we have

$$\mathbf{u} = \frac{\mathbf{p}}{|\mathbf{p}|} \quad \mathbf{v} = \frac{\mathbf{q}}{|\mathbf{q}|} \quad \mathbf{w} = \frac{\mathbf{d}}{|\mathbf{d}|}$$

- We already know \mathbf{C} as that is also given. So we can write down the matrix

$$\begin{pmatrix} u_x & u_y & u_z & -\mathbf{C} \cdot \mathbf{u} \\ v_x & v_y & v_z & -\mathbf{C} \cdot \mathbf{v} \\ w_x & w_y & w_z & -\mathbf{C} \cdot \mathbf{w} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$