TM2_TopicModels_professor

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1 Exploring and undertanding documental databases with topic models

Version 1.0

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```
[1]: # Common imports

%matplotlib inline
import matplotlib.pyplot as plt
import pylab

import numpy as np
# import pandas as pd
# import os
from os.path import isfile, join
# import scipy.io as sio
# import scipy
import zipfile as zp
# import shutil
# import difflib

import gensim
```

1.1 1. Corpus acquisition

In this block we will work with collections of text documents. The objectives will be:

- Find the most important topics in the collection and assign documents to topics
- Analyze the structure of the collection by means of graph analysis

We will work with a collection of research projects funded by the US National Science Foundation, that you can find under the ./data directory. These files are publicly available from the NSF website.

1.1.1 1.1. Exploring file structure

NSF project information is provided in XML files. Projects are yearly grouped in .zip files, and each project is saved in a different XML file. To explore the structure of such files, we will use the file 160057.xml. Parsing XML files in python is rather easy using the ElementTree module.

1.1.1. File format To start with, you can have a look at the contents of the example file. We are interested on the following information of each project:

- Project identifier
- Project Title
- Project Abstract
- Budget
- Starting Year (we will ignore project duration)
- Institution (name, zipcode, and state)

```
[2]: xmlfile = '../data/1600057.xml'
     with open(xmlfile, 'r') as fin:
         print(fin.read())
    <?xml version="1.0" encoding="UTF-8"?>
    <rootTag>
    <Award>
    <AwardTitle>Novel States in Spin-Orbit-Coupled and Correlated
    Materials</AwardTitle>
    <AwardEffectiveDate>08/01/2016</AwardEffectiveDate>
    <AwardExpirationDate>12/31/2016</AwardExpirationDate>
    <AwardAmount>306810</AwardAmount>
    <AwardInstrument>
    <Value>Continuing grant</Value>
    </AwardInstrument>
    <Organization>
    <Code>03070000</Code>
    <Directorate>
    <LongName>Direct For Mathematical &amp; Physical Scien/LongName>
    </Directorate>
    <Division>
    <LongName>Division Of Materials Research</LongName>
    </Division>
    </Organization>
    <Pre><Pre>rogramOfficer>
    <SignBlockName>Tomasz Durakiewicz</SignBlockName>
```

</ProgramOfficer>

<AbstractNarration>Non-technical Abstract:
Modern condensed matter physics research has produced novel materials with fundamental properties that underpin a remarkable number of cutting-edge technologies. It is now generally accepted that novel materials are necessary for critical advances in technologies and whoever discovers novel materials generally controls the science and technology of the future. Transition metal oxides have attracted enormous interest within both the basic and applied science communities. However, for many decades, the overwhelming balance of effort was focused on the 3d-elements (such as iron, copper, etc.) and their compounds; the heavier 4dand 5d-elements (such as ruthenium, iridium, etc., which constitute two thirds of the d-elements listed in the Periodic Table) and their compounds have been largely ignored until recently. The principal investigator seeks to discover novel materials containing 4d- and/or 5d-elements and understand how they offer wide-ranging opportunities for the discovery of new physics and, ultimately, new device paradigms. This project also provides rigorous training to all students involved, focusing on synthesis and characterization techniques covering a broad spectrum of materials and experimental probes available in the principal investigator's laboratory.

Technical Abstract: < br/> Physics driven by spin-orbit interactions is among the most important topics in contemporary condensed matter physics. Since the spin-orbit interaction is comparable to the on-site Coulomb and other relevant interactions, it creates a unique balance between competing interactions that drive complex behaviors and exotic states not observed in other materials. The project encompasses a systematic effort to elucidate physics of novel phenomena in spin-orbit-coupled and correlated materials and a rigorous search for new materials having exotic ground states. This project focuses on the following areas: (1) Novel phenomena at high pressures and high magnetic fields, (2) Unusual correlations between the insulating gap and magnetic transition in iridates and ruthenates, (3) Exotic metallic and superconducting states in iridates, (4) Mott insulators with "intermediate-strength" spin-orbit interaction and other competing energies, and (5) Single-crystal synthesis and search for novel materials. The principal investigator is one of a few key pioneers who have initiated seminal studies on iridates and, before that, ruthenates, and has comprehensive facilities and proven expertise for singlecrystal synthesis and wide-ranging studies of structural, transport, magnetic, thermal and dielectric properties as functions of temperature, magnetic field, pressure and doping.</AbstractNarration> <MinAmdLetterDate>08/05/2016</MinAmdLetterDate> <MaxAmdLetterDate>08/05/2016</MaxAmdLetterDate> <ARRAAmount/> <AwardID>1600057</AwardID> <Investigator> <FirstName>Gang</FirstName> <LastName>Cao</LastName> <EmailAddress>gang.cao@colorado.edu</EmailAddress> <StartDate>08/05/2016</StartDate> <EndDate/>

```
<RoleCode>Principal Investigator</RoleCode>
</Investigator>
<Institution>
<Name>University of Kentucky Research Foundation</Name>
<CityName>Lexington</CityName>
<ZipCode>405260001</ZipCode>
<PhoneNumber>8592579420/PhoneNumber>
<StreetAddress>109 Kinkead Hall</StreetAddress>
<CountryName>United States</CountryName>
<StateName>Kentucky</StateName>
<StateCode>KY</StateCode>
</Institution>
<ProgramElement>
<Code>1710</Code>
<Text>CONDENSED MATTER PHYSICS</Text>
</ProgramElement>
<ProgramElement>
<Code>1712</Code>
<Text>DMR SHORT TERM SUPPORT</Text>
</ProgramElement>
<Pre><Pre>rogramReference>
<Code>9150</Code>
<Text>EXP PROG TO STIM COMP RES</Text>
</ProgramReference>
</Award>
</rootTag>
```

- **1.1.2.** Parsing XML XML is an inherently hierarchical data format, and the most natural way to represent it is with a tree. The ElementTree module has two classes for this purpose:
 - ElementTree represents the whole XML document as a tree
 - Element represents a single node in this tree

We can import XML data by reading an XML file:

```
[3]: import xml.etree.ElementTree as ET
root = ET.fromstring(open(xmlfile,'r').read())
```

The code below implements a function that parses the XML files and provides as its output a dictionary with fields:

```
project_code (string)
title (string)
abstract (string)
budget (float)
year (string)
institution (tuple with elements: name, zipcode, and statecode)
```

```
[4]: def parse_xmlproject(xml_string):
         """This function processess the specified XML field,
         and outputs a dictionary with the desired project information
         :xml_string: String with XML content
         :Returns: Dictionary with indicated files
         root = ET.fromstring(xml_string)
         dictio = {}
         for child in root[0]:
             if child.tag.lower() == 'awardtitle':
                 dictio['title'] = child.text
             elif child.tag.lower() == 'awardeffectivedate':
                 dictio['year'] = str(child.text[-4:])
             elif child.tag.lower() == 'awardamount':
                 dictio['budget'] = float(child.text)
             elif child.tag.lower() == 'abstractnarration':
                 dictio['abstract'] = child.text
             elif child.tag.lower() == 'awardid':
                 dictio['project_code'] = child.text
             elif child.tag.lower() == 'institution':
                 #For the institution we have to access the children elements
                 #and search for the name, zipcode, and statecode only
                 name = ''
                 zipcode = ''
                 statecode = ''
                 for child2 in child:
                     if child2.tag.lower() == 'name':
                         name = child2.text
                     elif child2.tag.lower() == 'zipcode':
                         zipcode = child2.text
                     elif child2.tag.lower() == 'statecode':
                         statecode = child2.text
                 dictio['institution'] = (name, zipcode, statecode)
         return dictio
     parse_xmlproject(open(xmlfile, 'r').read())
```

that novel materials are necessary for critical advances in technologies and whoever discovers novel materials generally controls the science and technology of the future. Transition metal oxides have attracted enormous interest within both the basic and applied science communities. However, for many decades, the overwhelming balance of effort was focused on the 3d-elements (such as iron, copper, etc.) and their compounds; the heavier 4d- and 5d-elements (such as ruthenium, iridium, etc., which constitute two thirds of the d-elements listed in the Periodic Table) and their compounds have been largely ignored until recently. The principal investigator seeks to discover novel materials containing 4d- and/or 5d-elements and understand how they offer wide-ranging opportunities for the discovery of new physics and, ultimately, new device paradigms. This project also provides rigorous training to all students involved, focusing on synthesis and characterization techniques covering a broad spectrum of materials and experimental probes available in the principal investigator\'s laboratory.

Technical Abstract:

Physics driven by spin-orbit interactions is among the most important topics in contemporary condensed matter physics. Since the spin-orbit interaction is comparable to the on-site Coulomb and other relevant interactions, it creates a unique balance between competing interactions that drive complex behaviors and exotic states not observed in other materials. The project encompasses a systematic effort to elucidate physics of novel phenomena in spin-orbit-coupled and correlated materials and a rigorous search for new materials having exotic ground states. This project focuses on the following areas: (1) Novel phenomena at high pressures and high magnetic fields, (2) Unusual correlations between the insulating gap and magnetic transition in iridates and ruthenates, (3) Exotic metallic and superconducting states in iridates, (4) Mott insulators with "intermediate-strength" spin-orbit interaction and other competing energies, and (5) Single-crystal synthesis and search for novel materials. The principal investigator is one of a few key pioneers who have initiated seminal studies on iridates and, before that, ruthenates, and has comprehensive facilities and proven expertise for single-crystal synthesis and wide-ranging studies of structural, transport, magnetic, thermal and dielectric properties as functions of temperature, magnetic field, pressure and doping.', 'project_code': '1600057', 'institution': ('University of Kentucky Research Foundation', '405260001',

```
'KY')}
```

1.1.2 1.2. Building the dataset

Now, we will use the function you just implemented, to create a database that we will use throughout this module.

For simplicity, and given that the dataset is not too large, we will keep all projects in the RAM. The dataset will consist of a list containing the dictionaries associated to each of the considered projects in a time interval.

We will extract some characteristics of the constructed dataset:

Number of projects in dataset: 24342 Average budget of projects in dataset: 342411.6244351327 Number of unique institutions in dataset: 2786 Breakdown of projects by starting year: 2015 : 9039 2014 : 344 2016 : 12401 2017 : 2554 2013 : 1 2018 : 3

For the rest of this notebook, we will work with the abstracts only. The list of all abstract will be the corpus we will work with.

```
[7]: corpus_raw = list(map(lambda x: x['abstract'], NSF_data))

abstractlen_data = list(map(lambda x: len(x), corpus_raw))

print('Average length of projects abstracts (in characters):', np.

→mean(abstractlen_data))
```

Average length of projects abstracts (in characters): 2605.887807082409

1.2 2. Corpus Processing

Topic modelling algorithms process vectorized data. In order to apply them, we need to transform the raw text input data into a vector representation. To do so, we will remove irrelevant information from the text data and preserve as much relevant information as possible to capture the semantic content in the document collection.

Thus, we will proceed with the following steps:

- 1. Tokenization
- 2. Homogeneization, which includes:
 - 1. Removing capitalization.
 - 2. Removing non alphanumeric tokens (e.g. punktuation signs)
 - 3. Stemming/Lemmatization.
- 3. Cleaning
- 4. Vectorization

For the first steps, we will use some of the powerful methods available from the Natural Language Toolkit. In order to use the word_tokenize method from nltk, you might need to get the appropriate libraries using nltk.download(). You must select option "d) Download", and identifier "punkt"

```
[8]: from nltk import download

# You should comment this code fragment if the package is already available.

# download('punkt')

# download('stopwords')
```

1.2.1 2.1. Corpus Processing

We will create a list that contains just the abstracts in the dataset. As the order of the elements in a list is fixed, it will be later straightforward to match the processed abstracts to metadata associated to their corresponding projects.

Exercise 1: Generate a corpus of processed documents. For each document in corpus_raw complete the following steps: 1. Tokenize. 2. Remove capitalization and non-alphanumeric tokens. 3.

Lemmatize 4. Remove the stopwords using the NLTK stopwords list.

```
[9]: from nltk.tokenize import word_tokenize
     from nltk.stem import SnowballStemmer, WordNetLemmatizer
     from nltk.corpus import stopwords
     # Maybe you can try the stemmer too.
     # stemmer = SnowballStemmer('english')
     wnl = WordNetLemmatizer()
     stopwords_en = stopwords.words('english')
     # Initialize ouput corpus
     corpus_clean = []
     ndocs = len(corpus_raw)
     for n, text in enumerate(corpus_raw):
         if not n%100:
             print('\rTokenizing document', n, 'out of', ndocs, end='', flush=True)
         # Tokenize each text entry.
         # tokens = <FILL IN>
         tokens = word_tokenize(text)
         # tokens filtered = <FILL IN>
         tokens_filtered = [el.lower() for el in tokens if el.isalnum()]
         # tokens_lemmatized = <FILL IN>
         tokens_lemmatized = [wnl.lemmatize(el) for el in tokens_filtered]
         # tokens clean = <FILL IN>
         tokens_clean = [token for token in tokens_lemmatized if token not in_
      →stopwords_en]
         # Add the new token list as a new element to corpus_clean (that will be a_{\sqcup}
      \hookrightarrow list of lists)
         # corpus_clean.<FILL IN>
         corpus_clean.append(tokens_clean)
     print('\n\n The corpus has been tokenized. Check the result for the first⊔
     →abstract:')
     print(corpus_raw[0])
     print(corpus_clean[0])
```

Tokenizing document 24300 out of 24342

The corpus has been tokenized. Check the result for the first abstract: The past few years have seen unprecedented growth in mobile data consumption. Powered in large part by the rapid adoption of smart phones and tablets, the growth in wireless data creates phenomenal challenges for the wireless industry, which has been unable to meet the demand for rich mobile content through

cellular networks. This has led to the investigation of solutions by network operators that aim to utilize WiFi radios present in these mobile devices to deliver content without using the cellular radio links, also known as contentoffloading. Industry-led approaches aim to utilize WiFi infrastructure in the form of access points to offload this content, but these have various deployment issues. Research has lately focused on the potential of proximity-based peer content sharing, since proximity enables low-power, high speed data exchanges which in turn allows mobile devices to proactively share data with one another. No large-scale study using real-world situations has established the potential for such content-sharing to provide capacity gains, until now. This proposal aims to conduct solid, preliminary pilot studies evaluating several of the foundational claims in this area, specifically as to whether sufficient potential exists in the right time, right place, and with reasonably viable deployment scenarios. The proposed work will gather and evaluate pilot data in both highly amenable environments (WiFi across multiple tailgate offerings of hundreds of users) as well as more challenging environments (daily commuter trains to / from Chicago).

The broader impact of the work will be to either demonstrate the potential viability for proximity-based solutions or to present compelling evidence that such proximity solutions are unlikely to yield significant benefits. Further broader impacts for the work include data sharing capabilities with respect to temporal characterizations of redundancy across mobile devices in several real-world scenarios.

['past', 'year', 'seen', 'unprecedented', 'growth', 'mobile', 'data', 'consumption', 'powered', 'large', 'part', 'rapid', 'adoption', 'smart', 'phone', 'tablet', 'growth', 'wireless', 'data', 'creates', 'phenomenal', 'challenge', 'wireless', 'industry', 'ha', 'unable', 'meet', 'demand', 'rich', 'mobile', 'content', 'cellular', 'network', 'ha', 'led', 'investigation', 'solution', 'network', 'operator', 'aim', 'utilize', 'wifi', 'radio', 'present', 'mobile', 'device', 'deliver', 'content', 'without', 'using', 'cellular', 'radio', 'link', 'also', 'known', 'approach', 'aim', 'utilize', 'wifi', 'infrastructure', 'form', 'access', 'point', 'offload', 'content', 'various', 'deployment', 'issue', 'research', 'ha', 'lately', 'focused', 'potential', 'peer', 'content', 'sharing', 'since', 'proximity', 'enables', 'high', 'speed', 'data', 'exchange', 'turn', 'allows', 'mobile', 'device', 'proactively', 'share', 'data', 'one', 'another', 'study', 'using', 'situation', 'ha', 'established', 'potential', 'provide', 'capacity', 'gain', 'proposal', 'aim', 'conduct', 'solid', 'preliminary', 'pilot', 'study', 'evaluating', 'several', 'foundational', 'claim', 'area', 'specifically', 'whether', 'sufficient', 'potential', 'exists', 'right', 'time', 'right', 'place', 'reasonably', 'viable', 'deployment', 'scenario', 'proposed', 'work', 'gather', 'evaluate', 'pilot', 'data', 'highly', 'amenable', 'environment', 'wifi', 'across', 'multiple', 'tailgate', 'offering', 'hundred', 'user', 'well', 'challenging', 'environment', 'daily', 'commuter', 'train', 'chicago', 'broader', 'impact', 'work', 'either', 'demonstrate', 'potential', 'viability', 'solution', 'present', 'compelling', 'evidence', 'proximity', 'solution', 'unlikely', 'yield', 'significant', 'benefit', 'broader', 'impact', 'work', 'include', 'data', 'sharing', 'capability', 'respect', 'temporal', 'characterization', 'redundancy', 'across', 'mobile', 'device', 'several', 'scenario']

1.2.2 2.4. Vectorization

Up to this point, we have transformed the raw text collection in a list of documents, where each documen is a collection of the words that are most relevant for semantic analysis. Now, we need to convert these data (a list of token lists) into a numerical representation (a list of vectors, or a matrix). To do so, we will start using the tools provided by the gensim library.

As a first step, we create a dictionary containing all tokens in our text corpus, and assigning an integer identifier to each one of them.

```
[10]: # Create dictionary of tokens
D = gensim.corpora.Dictionary(corpus_clean)
n_tokens = len(D)

print('The dictionary contains', n_tokens, 'terms')
print('First terms in the dictionary:')
for n in range(10):
    print(str(n), ':', D[n])
```

The dictionary contains 60691 terms First terms in the dictionary:

0 : access
1 : across
2 : adoption

3 : aim
4 : allows

5 : also
6 : amenable

7 : another8 : approach

9 : area

We can also filter out terms that appear in too few or too many of the documents in the dataset:

The dictionary contains 20045 terms First terms in the dictionary: 0 : access

1 : across

```
2 : adoption
3 : aim
4 : allows
5 : also
6 : amenable
7 : another
8 : approach
9 : area
```

In the second step, let us create a numerical version of our corpus using the doc2bow method. In general, D.doc2bow(token_list) transforms any list of tokens into a list of tuples (token_id, n), one per each token in token_list, where token_id is the token identifier (according to dictionary D) and n is the number of occurrences of such token in token_list.

Exercise 2: Apply the doc2bow method from gensim dictionary D, to all tokens in every document in clean_abstracts. The result must be a new list named corpus_bow where each element is a list of tuples (token_id, number_of_occurrences).

```
[12]: # corpus_bow = <FILL IN>
corpus_bow = [D.doc2bow(doc) for doc in corpus_clean]
```

At this point, it is good to make sure to understand what has happened. In clean_abstracts we had a list of token lists. With it, we have constructed a Dictionary, D, which assigns an integer identifier to each token in the corpus. After that, we have transformed each article (in clean_abstracts) in a list tuples (id, n).

```
[13]: print('Original document (after cleaning):')
    print(corpus_clean[0])
    print('Sparse vector representation (first 10 components):')
    print(corpus_bow[0][:10])
    print('Word counts for the first project (first 10 components):')
    print(list(map(lambda x: (D[x[0]], x[1]), corpus_bow[0][:10])))
```

```
Original document (after cleaning):
['past', 'year', 'seen', 'unprecedented', 'growth', 'mobile', 'data',
'consumption', 'powered', 'large', 'part', 'rapid', 'adoption', 'smart',
'phone', 'tablet', 'growth', 'wireless', 'data', 'creates', 'phenomenal',
'challenge', 'wireless', 'industry', 'ha', 'unable', 'meet', 'demand', 'rich',
'mobile', 'content', 'cellular', 'network', 'ha', 'led', 'investigation',
'solution', 'network', 'operator', 'aim', 'utilize', 'wifi', 'radio', 'present',
'mobile', 'device', 'deliver', 'content', 'without', 'using', 'cellular',
'radio', 'link', 'also', 'known', 'approach', 'aim', 'utilize', 'wifi',
'infrastructure', 'form', 'access', 'point', 'offload', 'content', 'various',
'deployment', 'issue', 'research', 'ha', 'lately', 'focused', 'potential',
'peer', 'content', 'sharing', 'since', 'proximity', 'enables', 'high', 'speed',
'data', 'exchange', 'turn', 'allows', 'mobile', 'device', 'proactively',
'share', 'data', 'one', 'another', 'study', 'using', 'situation', 'ha',
'established', 'potential', 'provide', 'capacity', 'gain', 'proposal', 'aim',
'conduct', 'solid', 'preliminary', 'pilot', 'study', 'evaluating', 'several',
```

```
'foundational', 'claim', 'area', 'specifically', 'whether', 'sufficient',
'potential', 'exists', 'right', 'time', 'right', 'place', 'reasonably',
'viable', 'deployment', 'scenario', 'proposed', 'work', 'gather', 'evaluate',
'pilot', 'data', 'highly', 'amenable', 'environment', 'wifi', 'across',
'multiple', 'tailgate', 'offering', 'hundred', 'user', 'well', 'challenging',
'environment', 'daily', 'commuter', 'train', 'chicago', 'broader', 'impact',
'work', 'either', 'demonstrate', 'potential', 'viability', 'solution',
'present', 'compelling', 'evidence', 'proximity', 'solution', 'unlikely',
'yield', 'significant', 'benefit', 'broader', 'impact', 'work', 'include',
'data', 'sharing', 'capability', 'respect', 'temporal', 'characterization',
'redundancy', 'across', 'mobile', 'device', 'several', 'scenario']
Sparse vector representation (first 10 components):
[(0, 1), (1, 2), (2, 1), (3, 3), (4, 1), (5, 1), (6, 1), (7, 1), (8, 1), (9, 1)]
Word counts for the first project (first 10 components):
[('access', 1), ('across', 2), ('adoption', 1), ('aim', 3), ('allows', 1),
('also', 1), ('amenable', 1), ('another', 1), ('approach', 1), ('area', 1)]
```

Note that we can interpret each element of corpus_bow as a sparse_vector. For example, a list of tuples

```
[(0, 1), (3, 3), (5,2)]
```

for a dictionary of 10 elements can be represented as a vector, where any tuple (id, n) states that position id must take value n. The rest of positions must be zero.

```
[1, 0, 0, 3, 0, 2, 0, 0, 0, 0]
```

These sparse vectors will be the inputs to the topic modeling algorithms.

As a summary, the following variables will be relevant for the next chapters: * D: A gensim dictionary. Term strings can be accessed using the numeric identifiers. For instance, D[0] contains the string corresponding to the first position in the BoW representation. * corpus_bow: BoW corpus. A list containing an entry per project in the dataset, and consisting of the (sparse) BoW representation for the abstract of that project. * NSF_data: A list containing an entry per project in the dataset, and consisting of metadata for the projects in the dataset

The way we have constructed the corpus_bow variable guarantees that the order is preserved, so that the projects are listed in the same order in the lists corpus_bow and NSF_data.

Before starting with the semantic analysis, it is interesting to observe the token distribution for the given corpus.

```
[14]: # SORTED TOKEN FREQUENCIES (I):
    # Create a "flat" corpus with all tuples in a single list
    corpus_bow_flat = [item for sublist in corpus_bow for item in sublist]

# Initialize a numpy array that we will use to count tokens.
    # token_count[n] should store the number of ocurrences of the n-th token, D[n]
    token_count = np.zeros(n_tokens)

# Count the number of occurrences of each token.
```

```
for x in corpus_bow_flat:
    # Update the proper element in token_count
    # scode: <FILL IN>
    token_count[x[0]] += x[1]

# Sort by decreasing number of occurences
ids_sorted = np.argsort(- token_count)
tf_sorted = token_count[ids_sorted]
```

ids_sorted is a list of all token ids, sorted by decreasing number of occurrences in the whole corpus. For instance, the most frequent term is

```
[15]: print(D[ids_sorted[0]])
```

student

which appears

```
[16]: print("{0} times in the whole corpus".format(tf_sorted[0]))
```

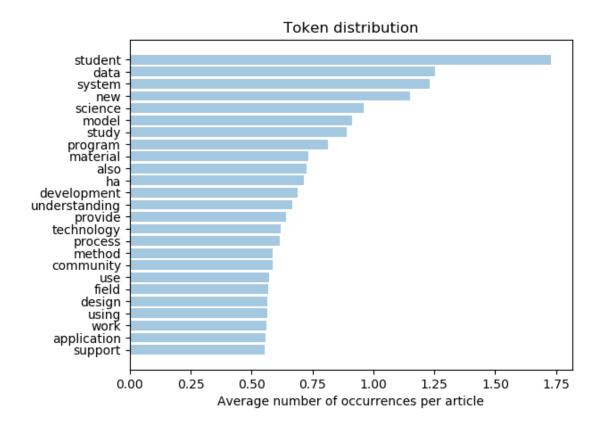
42100.0 times in the whole corpus

In the following we plot the most frequent terms in the corpus.

```
[17]: # SORTED TOKEN FREQUENCIES (II):
    plt.rcdefaults()

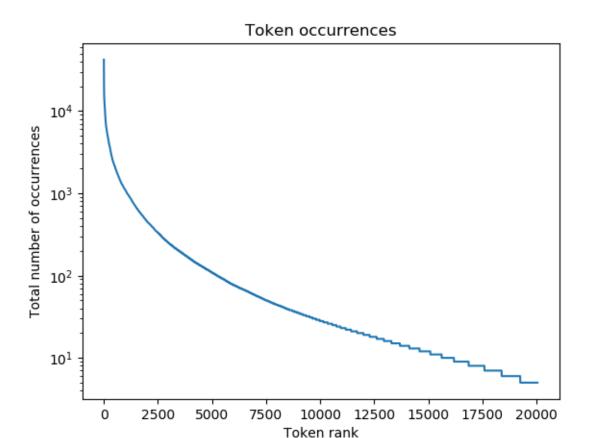
# Example data
    n_art = len(NSF_data)
    n_bins = 25
    hot_tokens = [D[i] for i in ids_sorted[n_bins-1::-1]]
    y_pos = np.arange(len(hot_tokens))
    z = tf_sorted[n_bins-1::-1]/n_art

    plt.figure()
    plt.barh(y_pos, z, align='center', alpha=0.4)
    plt.yticks(y_pos, hot_tokens)
    plt.xlabel('Average number of occurrences per article')
    plt.title('Token distribution')
    plt.show()
```



```
[18]: # SORTED TOKEN FREQUENCIES:

# Example data
plt.figure()
plt.semilogy(tf_sorted)
plt.ylabel('Total number of occurrences')
plt.xlabel('Token rank')
plt.title('Token occurrences')
plt.show()
```



```
[19]: # cold_tokens = <FILL IN>
cold_tokens = [D[i] for i in ids_sorted if tf_sorted[i]==1]

print("There are {0} cold tokens, which represent {1}% of the total number of u →tokens in the dictionary".format(
len(cold_tokens), float(len(cold_tokens))/n_tokens*100))
```

There are 0 cold tokens, which represent 0.0% of the total number of tokens in the dictionary

1.2.3 2.5. Dictionary properties

As a final comment, note that gensim dictionaries contain a method dfs to compute the word counts automatically. In the code below we build a list all_counts that contains tuples (terms, document_counts).

```
[20]: all_counts = [(D[el], D.dfs[el]) for el in D.dfs]
all_counts = sorted(all_counts, key=lambda x: x[1])
```

1.3 3. Topic Modeling

There are several implementations of the LDA topic model in python:

- Python library lda.
- Gensim module: gensim.models.ldamodel.LdaModel
- Sci-kit Learn module: sklearn.decomposition

In the following sections we explore the use of gensim

1.3.1 3.1. Training a topic model using Gensim LDA

Since we already have computed the dictionary and documents BoW representation using Gensim, computing the topic model is straightforward using the LdaModel() function. Please, refer to Gensim API documentation for more information on the different parameters accepted by the function:

Exercise 3: Create an LDA model with the 50 topics using corpus_bow and the dictionary, D.

1.3.2 3.2. LDA model visualization

Gensim provides a basic visualization of the obtained topics:

```
[22]: | ldag.print_topics(num_topics=-1, num_words=10)
[22]: [(0,
                            '0.105*"workshop" + 0.012*"science" + 0.011*"discussion" + 0.010*"researcher"
                    + 0.010*"together" + 0.010*"participant" + 0.010*"challenge" + 0.008*"field" +
                    0.007*"new" + 0.007*"identify"'),
                         (1.
                            '0.041*"energy" + 0.018*"building" + 0.017*"model" + 0.016*"storage" +
                    0.015*"system" + 0.011*"uncertainty" + 0.010*"grid" + 0.009*"method" +
                    0.008*"methodology" + 0.007*"statistical"'),
                         (2,
                            '0.062*"earthquake" + 0.035*"wave" + 0.031*"fault" + 0.027*"seismic" +
                    0.014*"seismicity" + 0.013*"oklahoma" + 0.011*"induced" + 0.010*"data" +
                    0.007*"region" + 0.007*"acoustic"'),
                         (3,
                            '0.020*"earth" + 0.015*"mantle" + 0.012*"rock" + 0.010*"fluid" +
                    0.009*"process" + 0.009*"seismic" + 0.008*"deformation" + 0.007*"composition" + 0.009*"process" + 0.009*"seismic" + 0.008*"deformation" + 0.007*"composition" + 0.009*"seismic" + 0.008*"deformation" + 0.007*"composition" + 0.008*"deformation" + 0.007*"composition" + 0.008*"deformation" + 0.007*"composition" + 0.008*"deformation" + 0.008*"deformation + 0.008*"deformation + 0.008*"deformation + 0.008*"deformation + 0.008*"deformation + 0.009*"deformation + 0.008*"deformation + 0.008
                    0.007*"zone" + 0.006*"mineral"'),
```

```
(4,
  '0.041*"theory" + 0.019*"group" + 0.015*"geometry" + 0.014*"study" +
0.012*"number" + 0.011*"problem" + 0.010*"area" + 0.010*"algebraic" + 0.010*"ha"
+ 0.010*"pi"'),
 (5,
  '0.033*"carbon" + 0.029*"soil" + 0.019*"water" + 0.017*"lake" +
0.014*"ecosystem" + 0.013*"gas" + 0.010*"land" + 0.009*"methane" +
0.008*"microbial" + 0.008*"forest"'),
 (6,
  "0.024*"change" + 0.010*"environmental" + 0.009*"response" + 0.007*"coastal" +
0.007*"marine" + 0.007*"study" + 0.007*"ecosystem" + 0.007*"effect" +
0.007*"climate" + 0.006*"population"'),
 (7,
  '0.017*"animal" + 0.016*"biology" + 0.014*"human" + 0.012*"biological" +
0.011*"body" + 0.010*"function" + 0.008*"study" + 0.007*"mechanism" +
0.007*"hypothesis" + 0.007*"student"'),
 (8,
  '0.018*"technology" + 0.013*"manufacturing" + 0.012*"patient" + 0.012*"cost" +
0.009*"potential" + 0.009*"product" + 0.008*"phase" + 0.008*"device" +
0.007*"system" + 0.007*"medical"'),
 (9,
  '0.020*"energy" + 0.013*"ion" + 0.010*"electron" + 0.009*"surface" +
0.008*"gas" + 0.008*"graphene" + 0.008*"high" + 0.008*"using" +
0.008*"radiation" + 0.008*"liquid"'),
 (10,
  '0.052*"network" + 0.028*"system" + 0.024*"graph" + 0.019*"algorithm" +
0.018*"distributed" + 0.012*"node" + 0.011*"design" + 0.011*"control" +
0.010*"power" + 0.010*"thrust"'),
  '0.072*"brain" + 0.032*"neural" + 0.025*"neuroscience" + 0.015*"neuron" +
0.010*"visualization" + 0.009*"network" + 0.009*"spatial" + 0.009*"activity" +
0.009*"function" + 0.009*"mri"'),
 (12,
  '0.047*"plant" + 0.016*"specie" + 0.010*"crop" + 0.008*"tree" + 0.008*"forest"
+ 0.007*"insect" + 0.007*"diversity" + 0.006*"study" + 0.006*"data" +
0.005*"leaf"'),
 (13.
  '0.023*"network" + 0.018*"wireless" + 0.018*"communication" + 0.016*"service"
+ 0.016*"mobile" + 0.013*"user" + 0.012*"technology" + 0.012*"spectrum" +
0.010*"device" + 0.007*"proposed"'),
  '0.047*"equation" + 0.033*"problem" + 0.026*"mathematical" + 0.022*"solution"
+ 0.019*"differential" + 0.013*"analysis" + 0.012*"nonlinear" + 0.012*"partial"
+ 0.012*"method" + 0.011*"theory"'),
 (15,
  '0.020*"particle" + 0.016*"flow" + 0.014*"model" + 0.010*"dynamic" +
0.009*"fluid" + 0.009*"simulation" + 0.009*"study" + 0.008*"field" +
```

```
0.008*"cloud" + 0.008*"understanding"'),
 (16,
  '0.042*"ocean" + 0.038*"climate" + 0.021*"ice" + 0.019*"model" +
0.016*"change" + 0.013*"sea" + 0.011*"temperature" + 0.011*"variability" +
0.010*"region" + 0.010*"atmospheric"'),
 (17,
  '0.042*"student" + 0.025*"program" + 0.021*"university" + 0.019*"support" +
0.018*"science" + 0.012*"graduate" + 0.011*"faculty" + 0.010*"institution" +
0.009*"community" + 0.009*"opportunity"'),
  '0.029*"imaging" + 0.022*"instrument" + 0.018*"laser" + 0.016*"measurement" +
0.011*"light" + 0.011*"instrumentation" + 0.010*"optical" + 0.009*"technique" +
0.008*"source" + 0.008*"resolution"'),
 (19,
  '0.038*"disease" + 0.036*"virus" + 0.024*"infection" + 0.017*"pathogen" +
0.011*"host" + 0.011*"model" + 0.011*"viral" + 0.011*"spread" + 0.010*"health" +
0.010*"transmission"'),
  '0.033*"security" + 0.016*"policy" + 0.014*"system" + 0.012*"risk" +
0.011*"privacy" + 0.011*"health" + 0.010*"memory" + 0.009*"food" +
0.009*"infrastructure" + 0.008*"decision"'),
 (21.
  '0.027*"device" + 0.016*"material" + 0.013*"new" + 0.011*"optical" +
0.010*"crystal" + 0.009*"soft" + 0.009*"application" + 0.009*"structure" +
0.007*"design" + 0.007*"circuit"'),
  '0.024*"physic" + 0.013*"experiment" + 0.011*"nuclear" + 0.010*"particle" +
0.010*"new" + 0.008*"matter" + 0.007*"detector" + 0.006*"student" +
0.006*"neutron" + 0.006*"measurement"'),
 (23,
  '0.018*"stress" + 0.016*"boundary" + 0.013*"wall" + 0.012*"plate" +
0.012*"grain" + 0.011*"crack" + 0.010*"model" + 0.010*"tectonic" +
0.010*"fracture" + 0.009*"loading"'),
 (24,
  '0.019*"social" + 0.011*"study" + 0.008*"people" + 0.008*"data" +
0.007*"understanding" + 0.006*"information" + 0.006*"community" +
0.006*"individual" + 0.005*"policy" + 0.005*"public"'),
  '0.116*"material" + 0.033*"property" + 0.016*"thermal" + 0.014*"composite" +
0.012*"new" + 0.011*"application" + 0.010*"film" + 0.009*"thin" + 0.009*"high" +
0.008 * "fundamental"'),
 (26.
  '0.030*"arctic" + 0.023*"fellowship" + 0.019*"ocean" + 0.018*"fellow" +
0.017*"scientist" + 0.015*"fossil" + 0.013*"science" + 0.012*"collection" +
0.011*"training" + 0.010*"postdoctoral"'),
  '0.078*"water" + 0.015*"sediment" + 0.014*"data" + 0.013*"record" +
```

```
0.012*"region" + 0.011*"crust" + 0.011*"precipitation" + 0.010*"surface" +
0.010*"river" + 0.009*"estimate"'),
  '0.055*"conference" + 0.022*"researcher" + 0.018*"2016" + 0.015*"meeting" +
0.013*"held" + 0.011*"field" + 0.011*"2017" + 0.011*"student" +
0.010*"international" + 0.010*"topic"'),
 (29,
  '0.024*"stem" + 0.024*"learning" + 0.020*"student" + 0.018*"education" +
0.016*"science" + 0.010*"development" + 0.008*"program" + 0.008*"practice" +
0.007*"teacher" + 0.007*"community"'),
 (30.
  '0.046*"3d" + 0.030*"battery" + 0.021*"image" + 0.013*"volunteer" +
0.011*"microscope" + 0.010*"asymmetric" + 0.008*"electrode" + 0.007*"new" +
0.007*"imaging" + 0.007*"resolution"'),
  '0.024*"state" + 0.016*"site" + 0.013*"mt" + 0.012*"mexico" + 0.010*"student"
+ 0.009*"alaska" + 0.008*"early" + 0.008*"court" + 0.008*"africa" +
0.007*"northern"'),
 (32,
  '0.018*"industry" + 0.015*"engineering" + 0.015*"technology" + 0.011*"center"
+ 0.010*"cybersecurity" + 0.009*"design" + 0.009*"new" + 0.009*"innovation" +
0.007*"need" + 0.007*"education"'),
 (33,
  '0.057*"student" + 0.035*"engineering" + 0.022*"program" + 0.018*"school" +
0.016*"stem" + 0.014*"education" + 0.014*"undergraduate" + 0.013*"college" +
0.013*"career" + 0.013*"science"'),
 (34.
  '0.015*"process" + 0.014*"size" + 0.010*"coating" + 0.009*"surface" +
0.008*"residual" + 0.008*"metal" + 0.008*"design" + 0.008*"nanoparticles" +
0.007*"performance" + 0.006*"growth"'),
 (35,
  '0.016*"data" + 0.011*"hazard" + 0.010*"community" + 0.010*"network" +
0.008*"workflow" + 0.007*"resource" + 0.007*"system" + 0.006*"violence" +
0.006*"provide" + 0.006*"archaeological"'),
  '0.041*"language" + 0.022*"star" + 0.016*"galaxy" + 0.012*"mesa" +
0.010*"astronomy" + 0.009*"team" + 0.009*"black" + 0.008*"speech" +
0.008*"planet" + 0.007*"telescope"'),
 (37.
  '0.068*"cell" + 0.014*"cancer" + 0.013*"tissue" + 0.010*"drug" +
0.010*"treatment" + 0.007*"student" + 0.007*"delivery" + 0.006*"wastewater" +
0.006*"cellular" + 0.006*"development"'),
 (38,
  '0.028*"building" + 0.023*"structural" + 0.020*"structure" +
0.018*"simulation" + 0.017*"membrane" + 0.016*"computational" + 0.014*"design" +
0.012*"material" + 0.009*"modeling" + 0.009*"concrete"'),
 (39,
```

```
'0.018*"object" + 0.014*"ring" + 0.013*"study" + 0.012*"structure" +
0.012*"geometry" + 0.012*"property" + 0.011*"cartilage" + 0.011*"manifold" +
0.010*"complex" + 0.008*"geometric"'),
  '0.022*"gene" + 0.021*"specie" + 0.014*"genetic" + 0.012*"evolution" +
0.012*"evolutionary" + 0.009*"study" + 0.009*"organism" + 0.007*"population" +
0.007*"analysis" + 0.007*"genome"'),
 (41,
  '0.030*"model" + 0.014*"method" + 0.013*"problem" + 0.012*"system" +
0.008*"computational" + 0.008*"new" + 0.007*"algorithm" + 0.007*"analysis" +
0.007*"time" + 0.007*"statistical"'),
  '0.043*"data" + 0.013*"software" + 0.011*"system" + 0.009*"application" +
0.009*"new" + 0.008*"computing" + 0.008*"tool" + 0.007*"analysis" +
0.007*"algorithm" + 0.007*"computer"'),
 (43,
  '0.067*"power" + 0.018*"energy" + 0.017*"sensor" + 0.016*"wind" +
0.015*"system" + 0.010*"electric" + 0.009*"monitoring" + 0.009*"combustion" +
0.008*"sensing" + 0.007*"control"'),
 (44,
  '0.013*"science" + 0.012*"community" + 0.008*"national" + 0.008*"data" +
0.007*"scientific" + 0.005*"resource" + 0.005*"magma" + 0.005*"tribal" +
0.005*"new" + 0.005*"researcher"'),
  '0.042*"solar" + 0.035*"energy" + 0.032*"polymer" + 0.027*"material" +
0.027*"cell" + 0.019*"efficiency" + 0.016*"tandem" + 0.011*"high" +
0.011*"conversion" + 0.009*"alloy"'),
 (46,
  '0.034*"protein" + 0.021*"molecular" + 0.014*"molecule" + 0.010*"function" +
0.009*"cell" + 0.008*"dna" + 0.007*"interaction" + 0.006*"student" +
0.006*"mechanism" + 0.006*"cellular"'),
 (47,
  '0.057*"quantum" + 0.027*"system" + 0.019*"physic" + 0.018*"state" +
0.013*"integrable" + 0.012*"electron" + 0.011*"new" + 0.010*"matter" +
0.010*"topological" + 0.010*"theory"'),
 (48,
  '0.030*"system" + 0.015*"control" + 0.011*"design" + 0.008*"robot" +
0.006*"sensor" + 0.006*"vehicle" + 0.005*"new" + 0.005*"technology" +
0.005*"performance" + 0.005*"robotics"'),
  '0.036*"chemical" + 0.031*"chemistry" + 0.026*"reaction" + 0.014*"catalyst" +
0.013*"synthesis" + 0.013*"organic" + 0.013*"molecule" + 0.013*"professor" +
0.012*"new" + 0.012*"metal"')]
```

A more useful visualization is provided by the python LDA visualization library, pyLDAvis. Before executing the next code fragment you might need to install pyLDAvis:

```
>> pip install (--user) pyLDAvis
```

```
import pyLDAvis.gensim as gensimvis
import pyLDAvis

vis_data = gensimvis.prepare(ldag, corpus_bow, D)
pyLDAvis.display(vis_data)

/Users/jcid/anaconda/lib/python3.7/site-packages/pyLDAvis/_prepare.py:257:
FutureWarning: Sorting because non-concatenation axis is not aligned. A future version
    of pandas will change to not sort by default.

To accept the future behavior, pass 'sort=False'.

To retain the current behavior and silence the warning, pass 'sort=True'.

return pd.concat([default_term_info] + list(topic_dfs))

[23]: <IPython.core.display.HTML object>
```

1.3.3 3.3. Gensim utility functions

In addition to visualization purposes, topic models are useful to obtain a semantic representation of documents that can later be used with some other purpose:

- In document classification problems
- In content-based recommendations systems

Essentially, the idea is that the topic model provides a (semantic) vector representation of documents, and use probability divergences to measure document similarity. The following functions of the LdaModel class will be useful in this context:

- get_topic_terms(topic_id): Gets vector of the probability distribution among words for the indicated topic
- get_document_topics(bow_vector): Gets (sparse) vector with the probability distribution among topics for the provided document

Exercise 4: Show the probability distribution over words for topic 0.

```
[24]: # <SOL>
print(ldag.get_topic_terms(topicid=0))
# </SOL>

[(836, 0.104921356), (291, 0.012429194), (2679, 0.010999934), (490, 0.010416916), (639, 0.010362864), (2113, 0.009578622), (15, 0.00955166), (207, 0.009578622)
```

Exercise 5: Show the probability distribution over topics for document 0.

0.008054779), (475, 0.00730686), (598, 0.0066519473)]

```
[25]: print(ldag.get_document_topics(corpus_bow[0]))
```

```
[(12, 0.032040942), (13, 0.755762), (24, 0.121610224), (42, 0.08544395)]
```

An alternative to the use of the get_document_topics() function is to directly transform a dataset using the ldag object as follows. You can apply this transformation to several documents at once, but then the result is an iterator from which you can build the corresponding list if necessary

```
[26]: print(ldag[corpus_bow[0]])
    print('When applied to a dataset it will provide an iterator')
    print(ldag[corpus_bow[:3]])

print('We can rebuild the list from the iterator with a one liner')
    print([el for el in ldag[corpus_bow[:3]]])
```

```
[(12, 0.032082487), (13, 0.75590795), (24, 0.12179432), (42, 0.085072316)] When applied to a dataset it will provide an iterator <gensim.interfaces.TransformedCorpus object at 0x1a4efd6f28> We can rebuild the list from the iterator with a one liner [[(12, 0.03200142), (13, 0.7557476), (24, 0.12168423), (42, 0.08542377)], [(2, 0.012327205), (8, 0.12496957), (9, 0.32233655), (13, 0.022063384), (18, 0.047230493), (21, 0.16798146), (28, 0.015669137), (37, 0.02133713), (43, 0.037457075), (48, 0.20906661)], [(15, 0.02648347), (20, 0.13932611), (24, 0.028354654), (32, 0.0242126), (36, 0.20196049), (42, 0.57084066)]]
```

Finally, Gensim provides some useful functions to convert between formats, and to simplify interaction with numpy and scipy. The following code fragment converts a corpus in sparse format to a full numpy matrix

```
[27]: reduced_corpus = [el for el in ldag[corpus_bow[:3]]]
reduced_corpus = gensim.matutils.corpus2dense(reduced_corpus, num_topics).T
print(reduced_corpus)
```

```
ΓΓΟ.
               0.
                            0.
                                         0.
                                                      0.
                                                                   0.
                                         0.
                                                      0.
  0.
               0.
                            0.
                                                                   0.
  0.03203269 0.75585985 0.
                                         0.
                                                      0.
                                                                   0.
               0.
                            0.
                                         0.
                                                      0.
                                                                   0.
  0.12176557 0.
                            0.
                                         0.
                                                      0.
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                            0.
                                         0.
                                                      0.
  0.
               0.
                                                                   0.
  0.
                            0.
                                         0.
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                                                                   0.
               0.
  0.08519898 0.
                            0.
                                         0.
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  0.
               0.
 ΓΟ.
               0.
                            0.0123288
                                         0.
                                                      0.
                                                                   0.
                            0.12501329 0.32237455 0.
  0.
               0.
                                                                   0.
  0.
               0.02206147 0.
                                         0.
                                                      0.
                                                                   0.
  0.04716912 0.
                            0.
                                         0.1679898
                                                      0.
                                                                   0.
                            0.
  0.
               0.
                                         0.
                                                      0.01567039 0.
               0.
                            0.
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                                                                   0.
```

```
0.
             0.02134164 0.
                                     0.
                                                 0.
                                                              0.
             0.0373646 0.
                                                 0.
                                                              0.
0.
                                     0.
0.20912564 0.
                        ]
ГО.
            0.
                         0.
                                     0.
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                         0.
0.
             0.
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                                                              0.
0.
             0.
                         0.
                                     0.02649002 0.
                                                              0.
0.
             0.
                         0.13928716 0.
                                                 0.
                                                              0.
0.02836796 0.
                         0.
                                                 0.
                                                              0.
                         0.02424332 0.
                                                 0.
                                                              0.
             0.
0.20196319 0.
                         0.
                                     0.
                                                 0.
                                                              0.
0.5708263 0.
                         0.
                                     0.
                                                 0.
                                                              0.
0.
             0.
                        ]]
```

Exercise 6: Build a function that returns the most relevant projects for a given topic

```
[28]: def most_relevant_projects(ldag, topicid, corpus_bow, nprojects=10):
          """This function returns the most relevant projects in corpus_bow
          : ldag: The trained topic model object provided by gensim
          : topicid: The topic for which we want to find the most relevant documents
          : corpus bow: The BoW representation of documents in Gensim format
          : nprojects: Number of most relevant projects to identify
          : Returns: A list with the identifiers of the most relevant projects
          print('Computing most relevant projects for Topic', topicid)
          print('Topic composition is:')
          print(ldag.show_topic(topicid))
          #<SOL>
          document_topic = [el for el in ldag[corpus_bow]]
          document_topic = gensim.matutils.corpus2dense(document_topic, ldag.
       →num_topics).T
          return np.argsort(document topic[:,topicid])[::-1][:nprojects].tolist()
          #</SOL>
      #To test the function we will find the most relevant projects for a subset of []
      \rightarrow the NSF dataset
      project_id = most_relevant_projects(ldag, 17, corpus_bow[:10000])
      #Print titles of selected projects
      for idproject in project_id:
          print(NSF_data[idproject]['title'])
```

```
Computing most relevant projects for Topic 17
Topic composition is:
[('student', 0.0416345), ('program', 0.025278637), ('university', 0.020965578),
```

```
('support', 0.018697618), ('science', 0.018104237), ('graduate', 0.012483657),
('faculty', 0.011421016), ('institution', 0.010093892), ('community',
0.009353421), ('opportunity', 0.009143553)]
Student Travel Support for ACM HPDC 2015
Doctoral Mentoring Consortium at the 24th International Joint Conference on
Artificial Intelligence (IJCAI 2015)
Student and Mentor-Student Travel Scholarship Program
UNS Proposal for conference support for the 2015 InterPACK/ICNMM conference July
6-9 in San Francisco, CA
NSF CISE CAREER Proposal Writing Workshop 2015
WORKSHOP: Computer Supported Cooperative Work 2015 Doctoral Research Colloquium
Collaborative Research: Access Network: Supporting Retention and Representation
in Physics Through an Alliance of Campus-Based Diversity Programs
Collaborative Research: The Access Network: Supporting Retention and
Representation in Physics Through an Alliance of Campus-Based Diversity Programs
Collaborative Research: The Access Network: Supporting Retention and
Representation in Physics through an Alliance of Campus-Based Diversity Programs
Student Travel Support for ACM HotMobile 2015
```

Exercise 7: Build a function that computes the semantic distance between two documents. For this, you can use the functions (or code fragments) provided in the library dist_utils.py.

```
[29]: def pairwase_dist(doc1, doc2):
    """This function returns the Jensen-Shannon
    distance between the corresponding vectors of the documents

: doc1: Semantic representation for the doc1 (a vector of length ntopics)
: doc2: Semantic representation for the doc2 (a vector of length ntopics)

: Returns: The JS distance between doc1 and doc2 (a number)
    """
#<SOL>
#</SOL>
```

Exercise 8: Explore the influence of the concentration parameters, *alpha* and *eta*. In particular observe how do topic and document distributions change as these parameters increase.

[]:

[]:

** Exercise 9**: Note that we have not used the terms in the article titles, though the can be expected to contain relevant words for the topic modeling. Include the title words in the analysis. In order to give them a special relevante, insert them in the corpus several times, so as to make their words more significant.

[]:

1.4 4. Saving data.

The following function creates the Node CSV file that could be usefult for further processing. In particular, the output files could be used to visualize the document corpus as a graph using a visualization software like Gephi.

[]: