Supplemental Materials

Predicting Acute Graft-versus-Host Disease Using Machine Learning and Longitudinal Vital Sign Data from Electronic Health Records

Details of vital sign features

For each of the six vital signs, four daily summary statistics were computed: mean, standard deviation, minimum and maximum. This transformed the six irregularly-spaced time-series into 24 regularly-spaced time series of length 10. Five trend features were then calculated on each of the 'summary' time series: average value, linear slope, sample entropy, 30 and the absolute value and angle of the first fast Fourier transform coefficient.³¹ This produced 120 continuous variables describing each patient's vital trajectories (Table S2). Each continuous variable was then discretized with respect to the study population into quintiles³² and each quintile mapped to a binary feature. 14,15

Details of model selection

To select the model hyperparameter C (inverse of regularization strength), we performed a grid search from 1e-6 to 1e6 for the C value with 5-fold cross validation (repeated 20 times). The best hyperparameter setting was determined based on maximum area under the receiver operating characteristic curve (AUC) averaged across validation folds.

Alternative evaluation

Given time to event (aGVHD onset), we display the Kaplan Meier plot for high-risk and low-risk patients (from the held-out testing set, N=85) in Figure S1. Patients were stratified into two risk groups based on the 30th percentile of the predicted risk scores. We note a good separation between the two groups (high and low risk), where patients in the high risk group exhibited poorer outcomes compared to the low risk group.

Non-linear model

On the task of predicting grade II-IV aGVHD, random forest (a non-linear model) achieved an AUC of 0.651 (95%CI 0.525-0.768); the difference in performance compared to the proposed logistic regression model (AUC=0.659, 95%CI 0.536–0.784) is not statistically significant (p>0.05).

Alternative outcome

When using an alternative outcome definition to predict grade III-IV aGVHD, the fraction of positive cases (over the entire dataset) decreased from 31.8% to 13.6%, leading to a greater class imbalance. On this task, the proposed model achieved an AUC of 0.596 (95%CI 0.423-0.761) on the held-out test set.

Feature importance

Among the models that leveraged a single vital sign (in addition to "baseline features"), the model using temperature achieved a higher AUC of 0.595 (95%Cl 0.470-0.720; Figure S2). A detailed breakdown of estimated feature importance is provided in Figure S3. The model relied most on temperature and SBP, and longitudinal patterns (e.g., increasing/decreasing as characterized by positive/negative slopes) were more important than the average values. We display the row-wise and column-wise average importance in Figure 4A and Figure 4B of the main text.

Visualization & clustering of important features

In Figure S4, we display the trajectories of Temperature and Systolic BP for two patients (from the testing set) with the highest/lowest predicted risk scores. In Figure S5, we display the clustering results using the important features pertaining to Temp-slope, Temp-abs(A1), Tempangle(A1), and SBP-abs(A1).

Supplemental References

- 30. Richman JS, Moorman JR: Physiological time-series analysis using approximate entropy and sample entropy. Am J Physiol Heart Circ Physiol 278:H2039-49, 2000
- 31. Fu T-c: A review on time series data mining. Engineering Applications of Artificial Intelligence 24:164-181, 2011
- 32. Cochran WG: The effectiveness of adjustment by subclassification in removing bias in observational studies. Biometrics 24:295-313, 1968

Table S1. The 52 baseline features.

| Sex: Female | Disease Code category: Malignant | | |
|---------------------------------|--|--|--|
| Sex: Male | Disease Code category: Non-malignant | | |
| Age (-0.001, 18.0] | Disease Risk: 0 - Non-malignant | | |
| Age (18.0, 45.0] | Disease Risk: 1 - Low | | |
| Age (45.0, 75.0] | Disease Risk: 2 - Intermediate | | |
| Prophy: ATG | Disease Risk: 3 - High | | |
| Prophy: Cyclo | Intensity: 0 - Full | | |
| Prophy: Enbrel | Intensity: 1 - Reduced | | |
| Prophy: IL-11 | Donor source: 0 - Related | | |
| Prophy: KGF | Donor source: 1 - Unrelated | | |
| Prophy: MMF | Match: No | | |
| Prophy: MTX | Match: Yes | | |
| Prophy: Siro | Stem cell source: 0 - Bone Marrow | | |
| Prophy: Steroid | Stem cell source: 1 - Peripheral Blood | | |
| Prophy: Tacro | Stem cell source: 2 - Cord Blood | | |
| Race: African American | Season: Spring | | |
| Race: Asian | Season: Summer | | |
| Race: Bi/Multi Racial | Season: Fall | | |
| Race: Black or African American | Season: Winter | | |
| Race: Caucasian | Marital Status: Divorced | | |
| Race: Declined | Marital Status: Legally Separated | | |
| Race: Hispanic | Marital Status: Married | | |
| Race: Middle Eastern | Marital Status: Significant Other | | |
| Race: Native American | Marital Status: Single | | |
| Race: Unknown/Other | Marital Status: Unknown | | |
| Race: White | Marital Status: Widowed | | |

Table S2. Details on vital sign features. The following transformations are applied to each of the 6 vital signs (temperature, heart rate, respiratory rate, diastolic blood pressure, systolic blood pressure, and peripheral capillary oxygen saturation). A1 refers to the first Fourier coefficient of the one-dimensional discrete Fourier transform by the fast Fourier transformation algorithm. Each transformed quantity is then quantized based on quintiles and mapped to five binary features, resulting in a total of 600 vital sign features.

| Function | Daily summary statistics | | | | |
|----------------------|---|--|--|--|--|
| | mean | std | min | max | |
| average value | average value of daily mean | average value of daily std | average value of daily min | average value of daily max | |
| linear slope | linear slope of daily mean | linear slope of daily std | linear slope of daily min | linear slope of daily max | |
| sample entropy | sample entropy of daily mean | sample entropy of daily std | sample entropy of daily min | sample entropy of daily max | |
| abs(A ₁) | abs(A ₁) of daily mean | abs(A₁) of daily std | abs(A₁) of daily min | abs(A ₁) of daily max | |
| angle(A₁) | angle(A ₁) of daily mean | angle(A ₁) of daily std | angle(A ₁) of daily min | angle(A ₁) of daily max | |

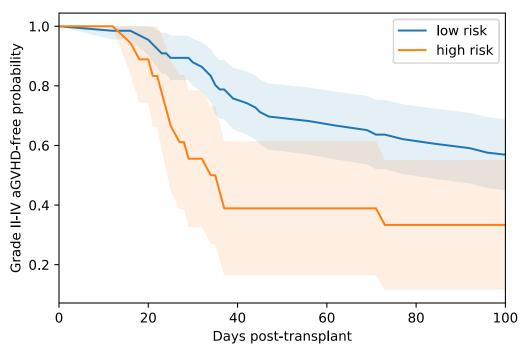


Figure S1. Kaplan Meier plot and 95% confidence intervals for patients in the held-out test set (N=85) within 100 days of transplant. Patients were stratified into two risk groups based on the 30th percentile of the predicted risk scores. Patients in the high risk exhibited poorer outcomes group compared to the low risk group.

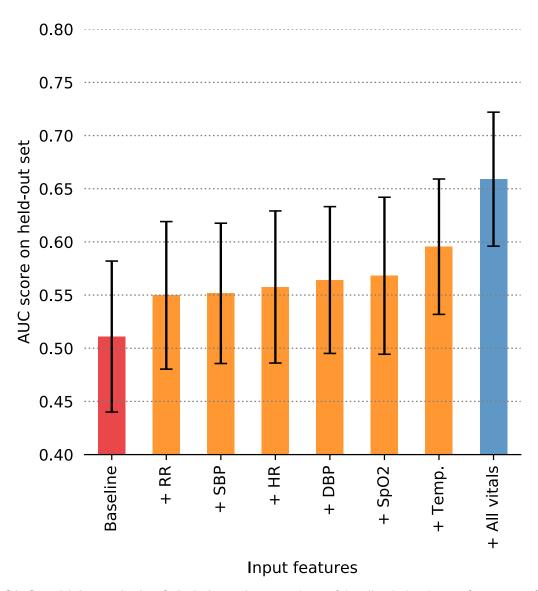


Figure S2. Sensitivity analysis of vital signs. A comparison of the discriminative performance of models trained using features from a single vital sign. Error bars represent plus/minus one standard error calculated on 1,000 bootstrapped samples of the held-out test set (N=85). Among the models that used a single vital sign, the model using temperature led to a higher AUC.

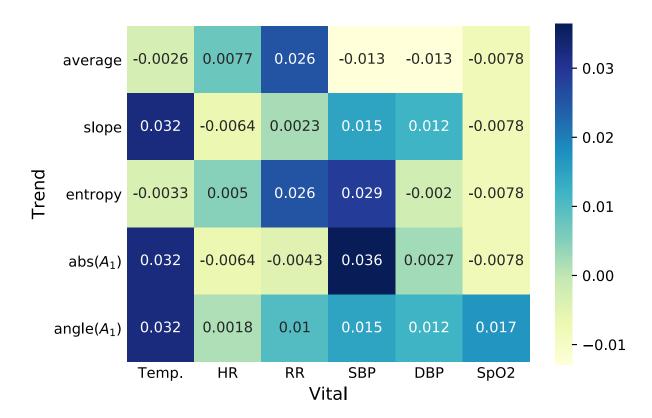


Figure S3. Heatmap of feature importance of different vital signs and different trend features. The importance of a feature group is defined as the decrease in AUC when that group of features are excluded from the model. Darker shades correspond to greater importance. Among vital signs, temperature and systolic blood pressure are important. Among trends, features pertaining to longitudinal patterns (e.g., slope and fast Fourier transform coefficients) are usually more important than the average values.

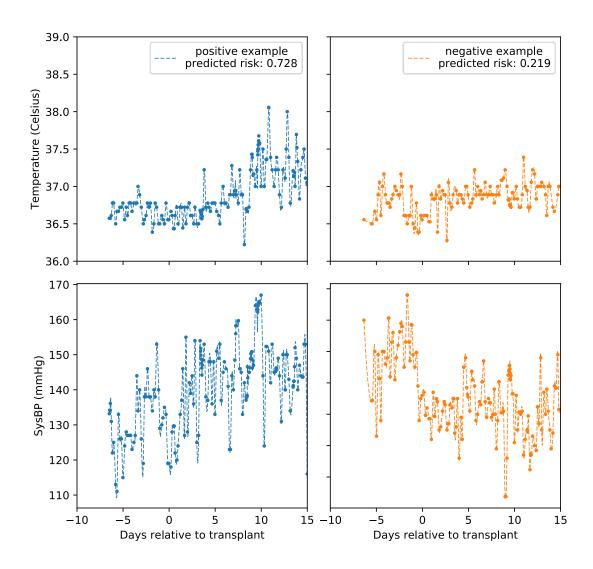


Figure S4. Trajectories of temperature and SBP values for two patients with different outcomes. These two patients are from the held-out test set and had the highest/lowest risk score as predicted by our proposed model. Plotted values are smoothed by moving averages with a window size of 0.1 days.

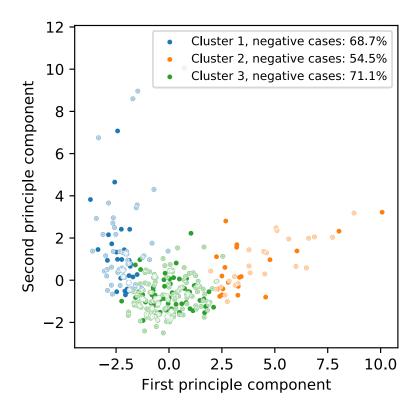


Figure S5. Clustering results of all patients (N=324) using only the important features, plotted in the space of the first two principle components. Input features are 16-dimensional, real-valued, and pertain to Temp-slope, Temp-abs(A1), Temp-angle(A1), and SBP-abs(A1). The clusters have varying class balance (light color - negative examples, dark color - positive examples), suggesting the important features capture part of the differences in patients with different outcomes.