



**Software Engineering**  
**Software Architecting**  
Elegant tools for a more civilized age.

**Dr. Hale**

University of Nebraska at Omaha  
Secure Web Application Development –  
Lecture 4

# Continued from last time.

## Part 3: Software Architectures

- Architectures 101

- Architectural patterns

- Benefits

- Examples

- Adding Security Components

- Asking the right questions

## Hands-on

- Build an architecture diagram of your app idea

- What security components should be added?

# Part 3: Software architecture

# Software Architecture: Origins

Software Engineers have always employed software architectures  
Very often without realizing it!

A “field” was needed to address issues identified by researchers and practitioners

- Essential software engineering difficulties
- Need for software reuse

Christopher Alexander – Architect and professor

*Notes on the Synthesis of Form*

- required reading for researchers in computer science throughout the 1960s.
- Influenced programming language design, modular programming, object-oriented programming, software engineering and other design methodologies

Edsger Dijkstra

*A Discipline of Programming*

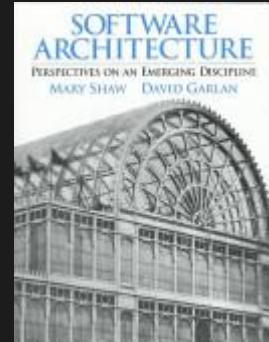
- Emphasized mathematical concepts, orientation, and system structure

Mary Shaw and David Garlan

*Software Architecture: Perspectives on an Emerging Discipline*

- Codified standard terms like component, connector, and design patterns

NOTES ON THE  
SYNTHESIS  
OF FORM  
CHRISTOPHER ALEXANDER



# Software Architecting

- An architecture-centric approach to software engineering **places an emphasis on design**
- Design **pervades the engineering activity** from the very beginning
- This occurs in three phases (usually iterative)
  - **Analysis**
    - Determining Requirements from the customer
    - Analyzing requirements to determine what components are needed (what tools?).
  - **Design (modeling)**
    - Designing and diagramming components and there interactions.
    - Refining the design to improve efficiency, usefulness, security, etc.
  - **Implementation**
    - Coding components and integrating them together
    - Testing to make sure the implementation meets the design

# What is an Architecture?

A software architecture defines four Cs

**Components:** computational elements

clients, servers, databases, filters, layers

**Connections:** interactions among components

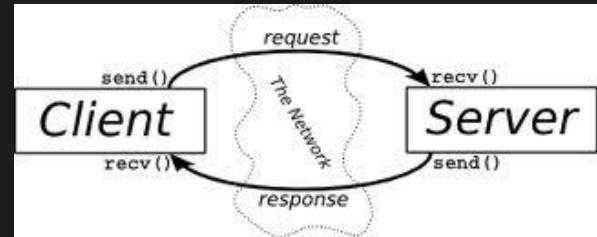
procedure call, shared variable, protocols, events

**Configuration:** patterns that guide composition of system elements

throughput, capacity, performance, scalability

**Control Flow:** how information passes through the elements

component capability, consistency with requirements



## Ex. Client-server architecture

- Should be familiar, server provides a service, client requests and uses the service
- Client browser (at least) provides the UI
- Server provides the application and database

# Components

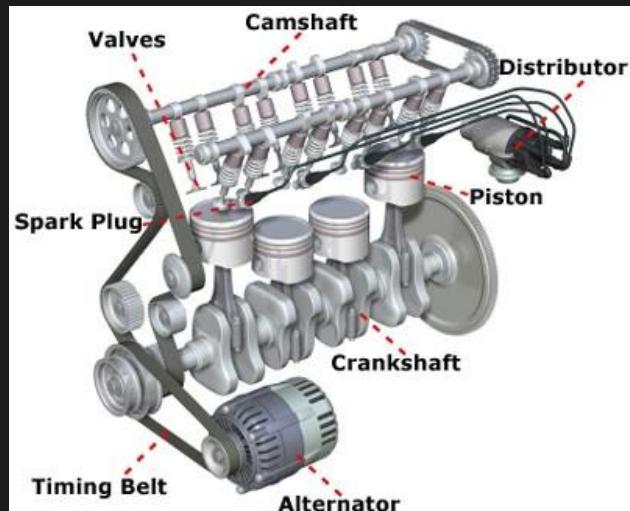
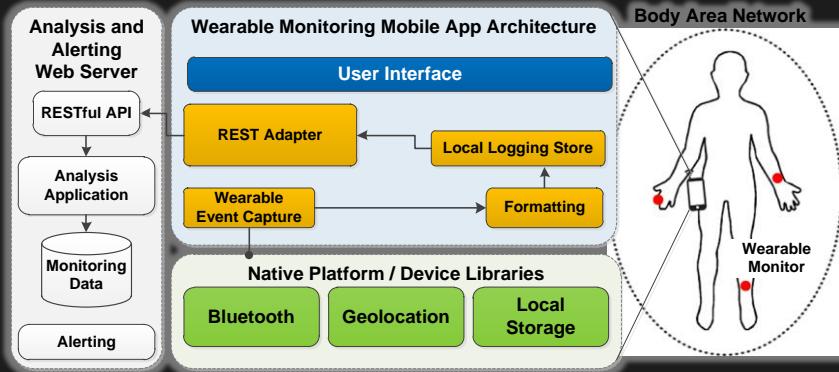
Elements that **encapsulate processing and data** in a system's architecture are referred to as software components

## Definition

A *software component* is an architectural entity that

- encapsulates a subset of the system's functionality and/or data
- restricts access to that subset via an explicitly defined interface
- has explicitly defined dependencies on its required execution context

Components are separable chunks much like car parts



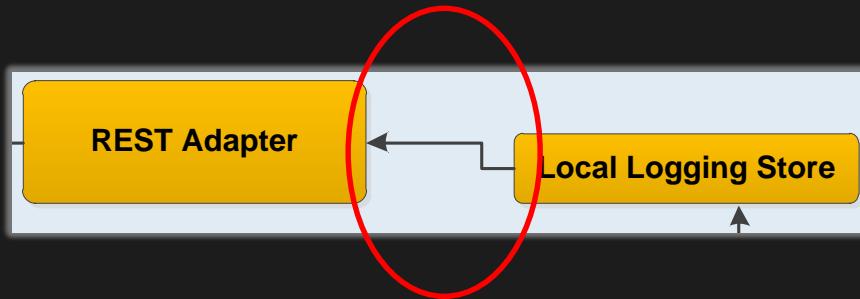
# Connectors

In complex systems interaction may become more important and challenging than the functionality of the individual components

## Definition

A *software connector* is an architectural building block tasked with effecting and regulating interactions among components. They define *interfaces*.

Connectors allow concerns to be separated into chunks

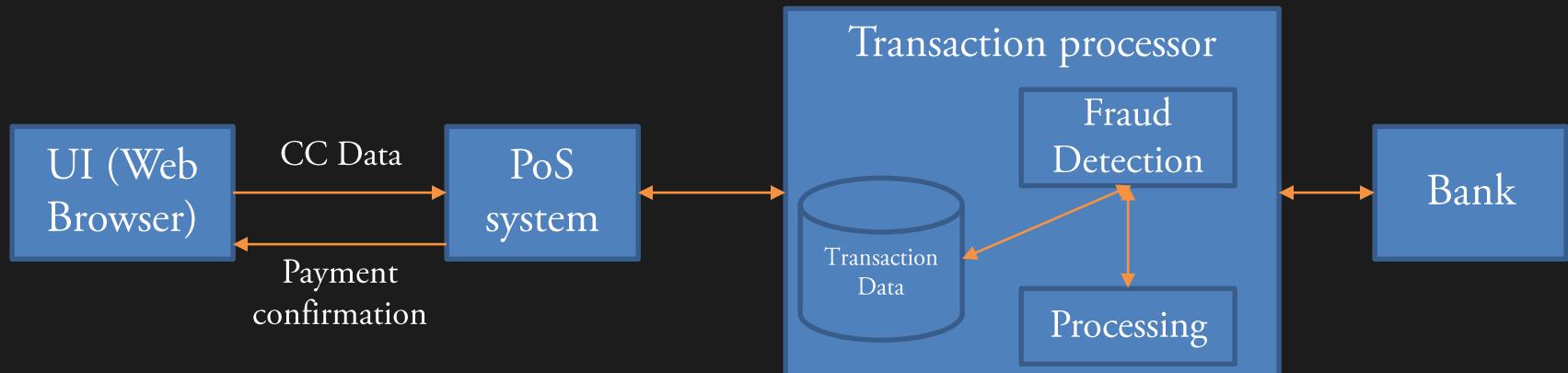


# Configuration: Architectural models

Components and connectors are composed in a specific way in a given system's architecture to accomplish that system's objective

## Definition

An *architectural configuration*, or topology, is a set of specific associations between the components and connectors of a software system's architecture. It can be high or low level.



a high level architecture

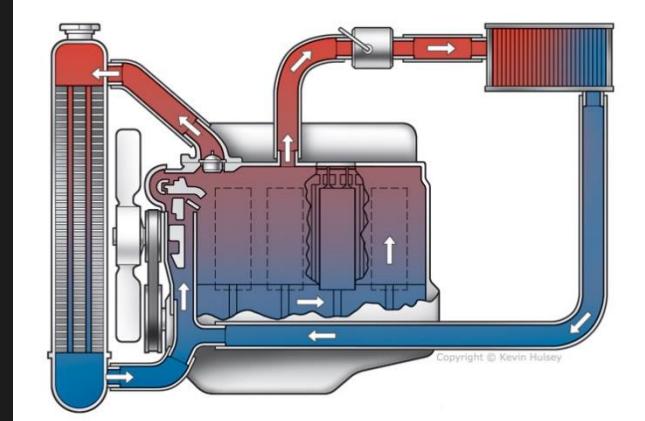
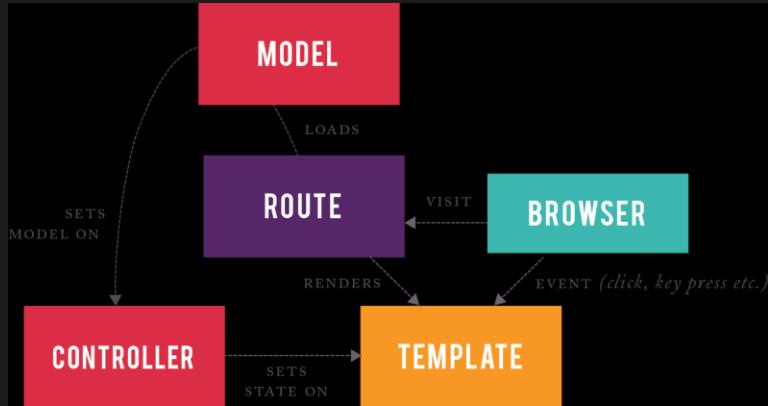
# Control Flow

Control flow dictates how execution proceeds logically

## Definition

A *control flow*, is a logical progression between components and across connectors that characterizes how an application works.

Although not always present on an architecture, control flows can be very beneficial for descriptive purposes, especially with abstract architectures



**Another great tool for thinking about what a product should do**

You should ALWAYS have a high level architecture diagram before you create anything.

It represents your single or collective vision.

# Coupling vs Cohesion

Building blocks (component AKA module)



Good architectures:

Minimize coupling

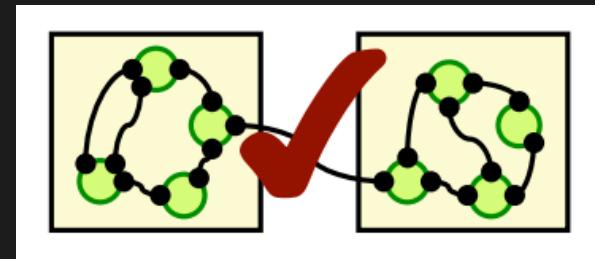
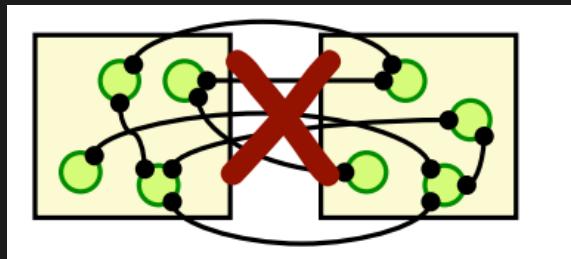
Goal: Modules don't need to know much about each other to interact

Improves: resiliency and extensibility

Maximize cohesion

Goal: the contents of a single module are strongly inter-related

Improves: separation of concerns, extensibility, and reuse

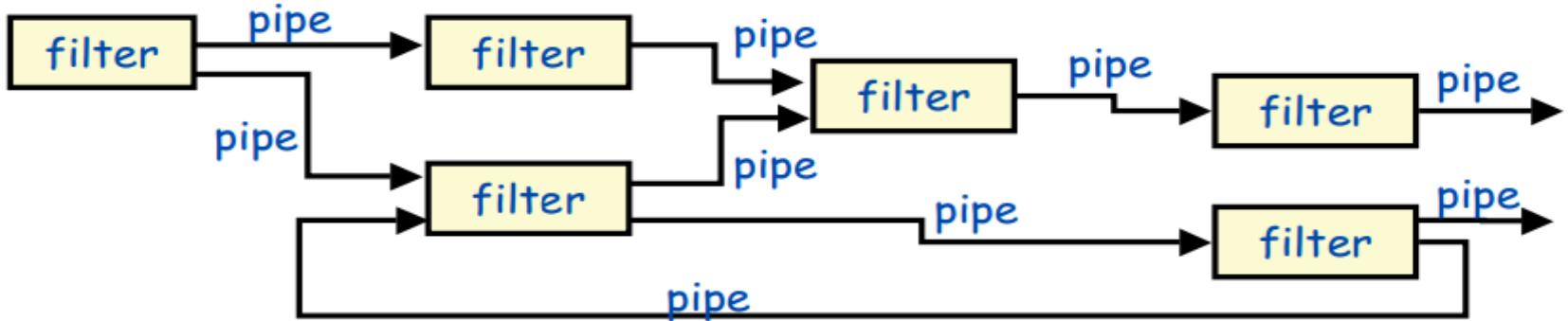






# Pipe and Filter

*Source: Adapted from Shaw & Garlan 1996, p21-2. See also van Vliet, 1999 Pp266-7 and p279*



## Examples:

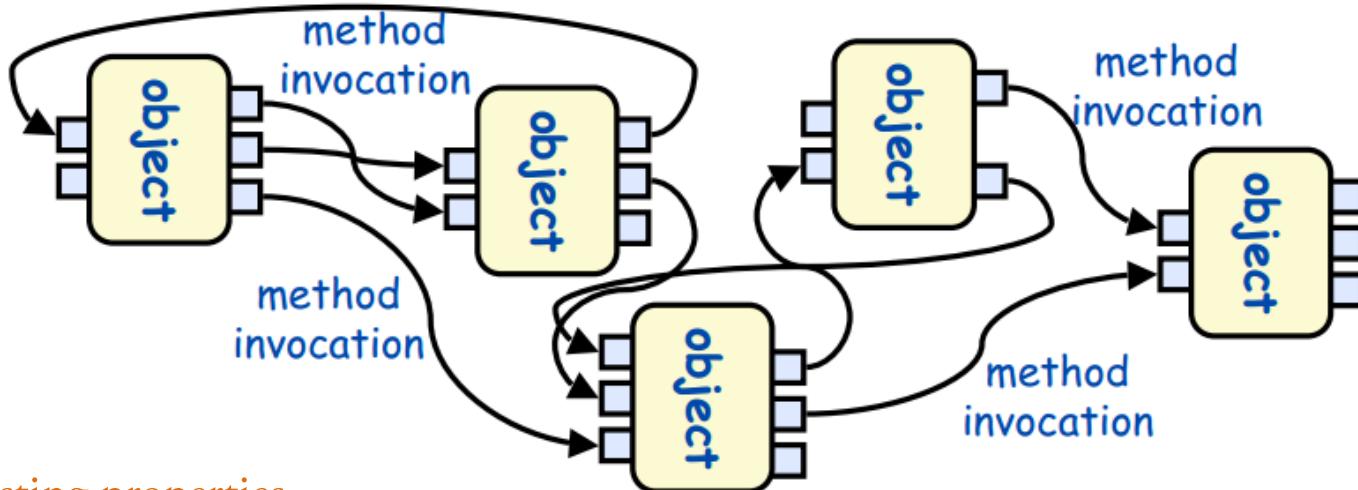
- Unix shell commands
- Compilers
  - Lexical analysis -> parsing -> semantic analysis -> code generation
- Signal processing

## Interesting properties:

- Filters don't need to know anything about what they are connected to
- Can be parallelized
- System behavior is defined by the composition and behavior of filters

# Object Oriented

*Source: Adapted from Shaw & Garlan 1996, p22-3.*



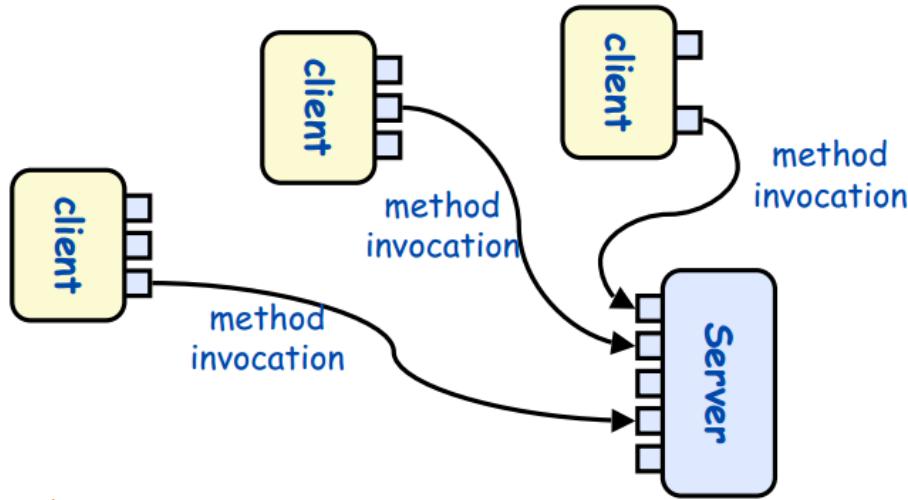
## Interesting properties

- Data hiding (e.g. private, public, protected)
- Can be multi-threaded or single threaded
- Can decompose problems into sets of interacting agents

## Disadvantages

Objects must know the identity of other objects they need to interact with

## Object Oriented: Variant 1 – Client Server



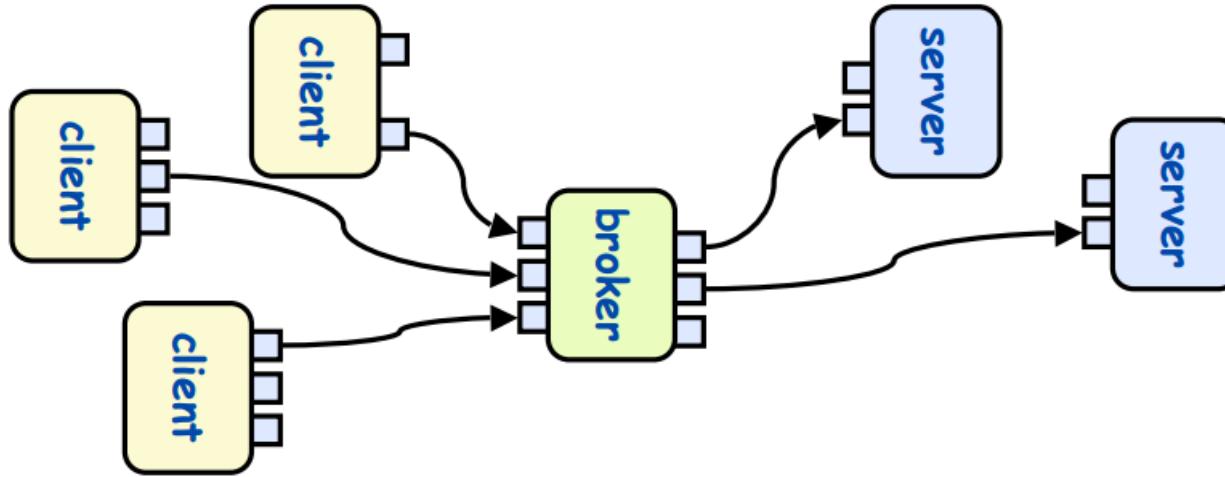
### Interesting properties

- Object oriented properties
- Clients do not need to know about one another

### Disadvantages

Objects must know the identity of other objects they need to interact with

## Object Oriented: Variant 2 – Object Brokers



Example:

Load balancing, cloud services

Interesting properties:

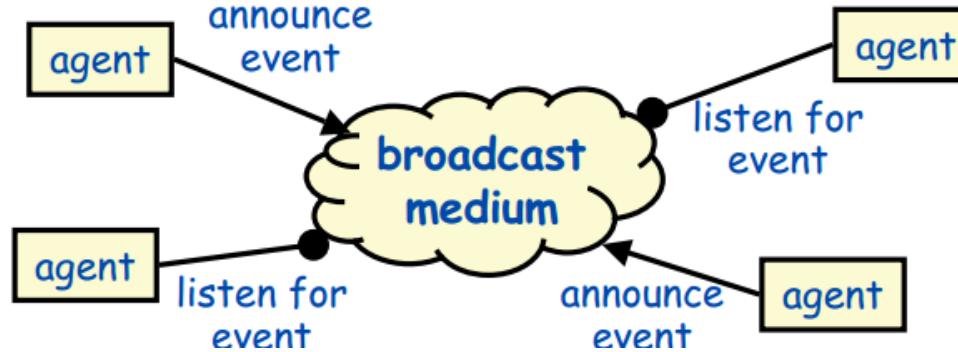
- Clients no longer need to know which server they are using
- Can have many servers
- Clients can move between servers (e.g. mobile location change)

Disadvantages

- Broker can be a bottleneck
- Degraded performance (e.g. response time)

# Event Based

Source: Adapted from Shaw & Garlan 1996, p23-4. See also van Vliet, 1999 Pp264-5 and p278



## Example:

- Debugging systems (listen for breakpoints)
- Dbms (data integrity checking)
- GUIs – listen for on-click or on-key events and handle them somehow

## Interesting properties:

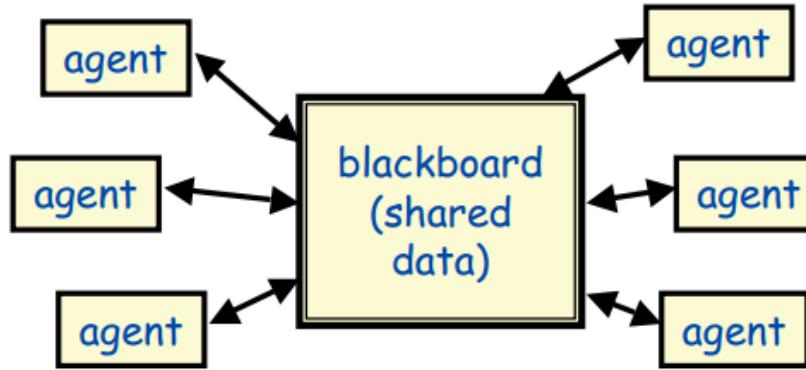
- Announcers don't need to know who will handle the event
- Ease of re-use and emergent evolution (add new agents)
- Asynchronous

## Disadvantages

- Components have little-to-no control over temporal execution flows
- Asynchronous systems can be harder to code

# Repositories

Source: Adapted from Shaw & Garlan 1996, p26-7. See also van Vliet, 1999, p280



Example:

- Databases
- Blackboard expert systems
- MVC frameworks

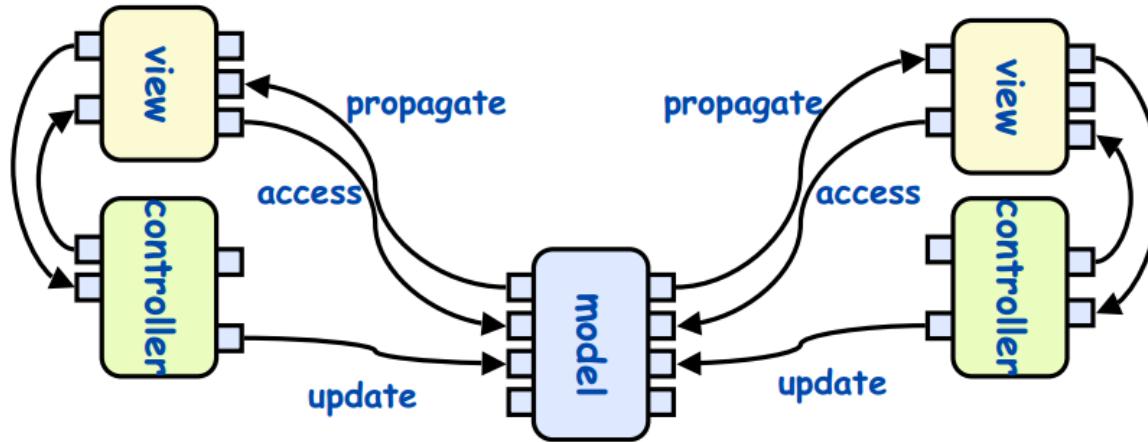
Interesting properties:

- Can choose where control is (agents, blackboard, both)
- Reduces the need to duplicate complex data

Disadvantages

- Blackboard can become a bottleneck (e.g. SQLite)

# Repositories: Most important variant



## Interesting properties:

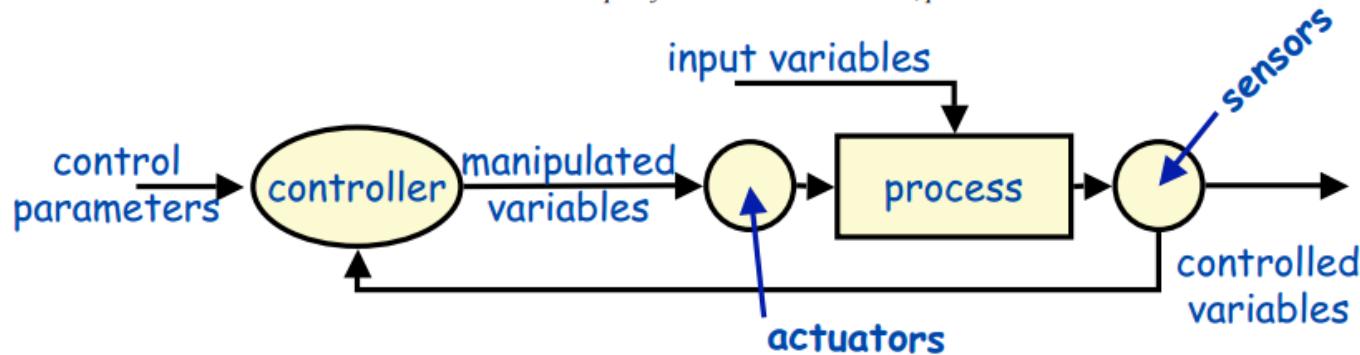
- One central model, many viewers
  - Changes to the model are propagated to all viewers
- Each view has a controller
  - Handles all user updates to model
- Separation of concerns much like a 3-layer architecture
  - Business logic in the controller
  - Database logic in the model
  - UI logic in the View

## Challenges

- Ensuring separation of concerns (e.g. making sure UI logic stays out of the controller)
- Static vs asynchronous loading

# Process Control

*Source: Adapted from Shaw & Garlan 1996, p27-31.*



## Example:

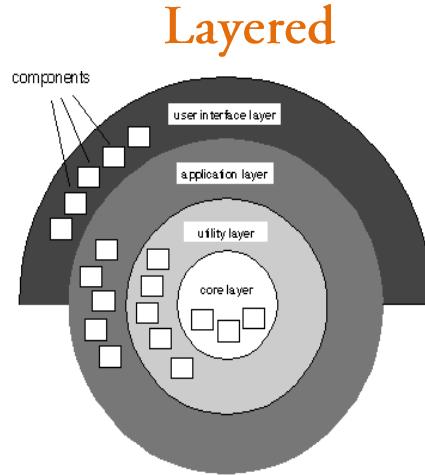
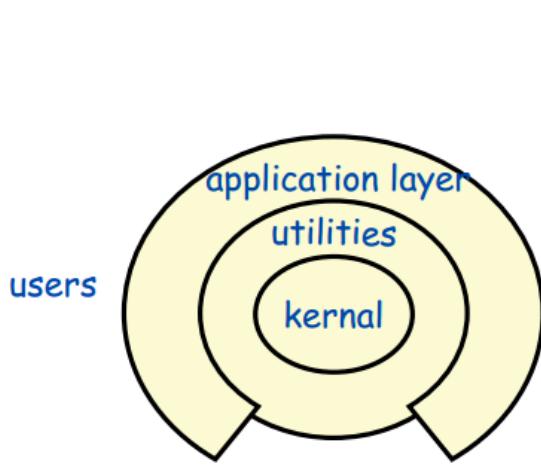
- Aircraft/spacecraft flight control systems
- Controllers for industrial production lines, power stations, etc
- Chemical engineering

## Interesting properties:

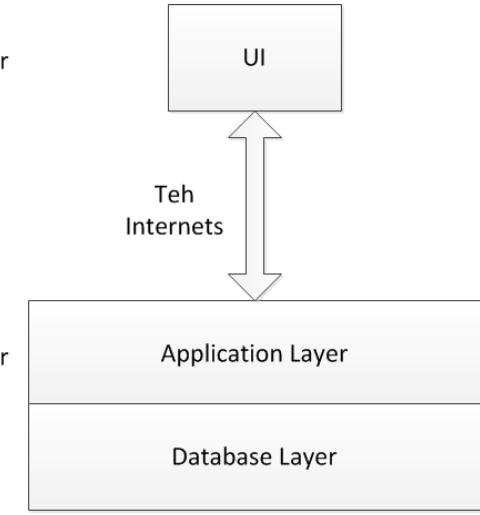
- Separates control policy from the controlled process
- Handles real-time, reactive computations

## Disadvantages

- Difficult to specify timing characteristics and disturbance responses



Client Layer



#### Example:

- Operating systems, django, clouds (e.g. AWS), VMs

#### Interesting properties:

- Support increasing levels of abstraction during design
- Support enhancement and re-use
- Allows for separation of concerns using standardized layer interfaces

#### Disadvantages

- May not be able to cleanly separate layers

# Layered: Open vs Closed

## Closed architecture

Each layer only uses services of the layer below it

### Advantages:

- Minimizes dependencies between layers
- Reduces the impact of layer change cascading

## Open architecture

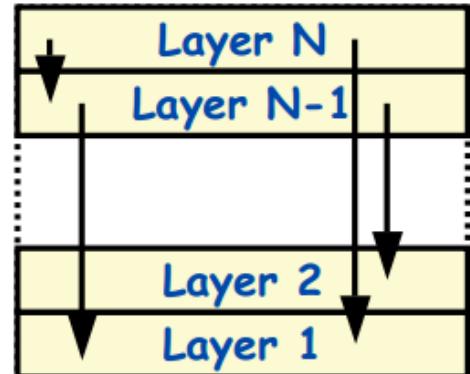
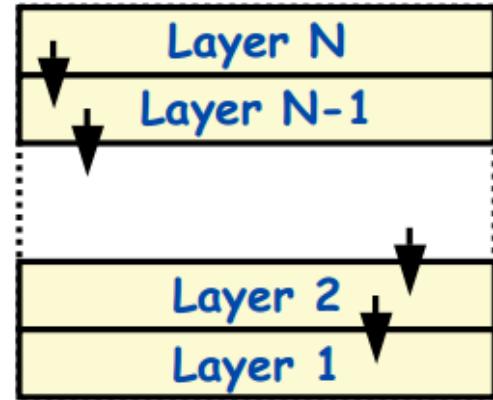
Each layer can use services from any lower layer

### Advantages:

- More compact code (less middleware function calls)

### Disadvantages:

- Breaks layer encapsulation increasing dependencies between layers
- makes layer change impact higher

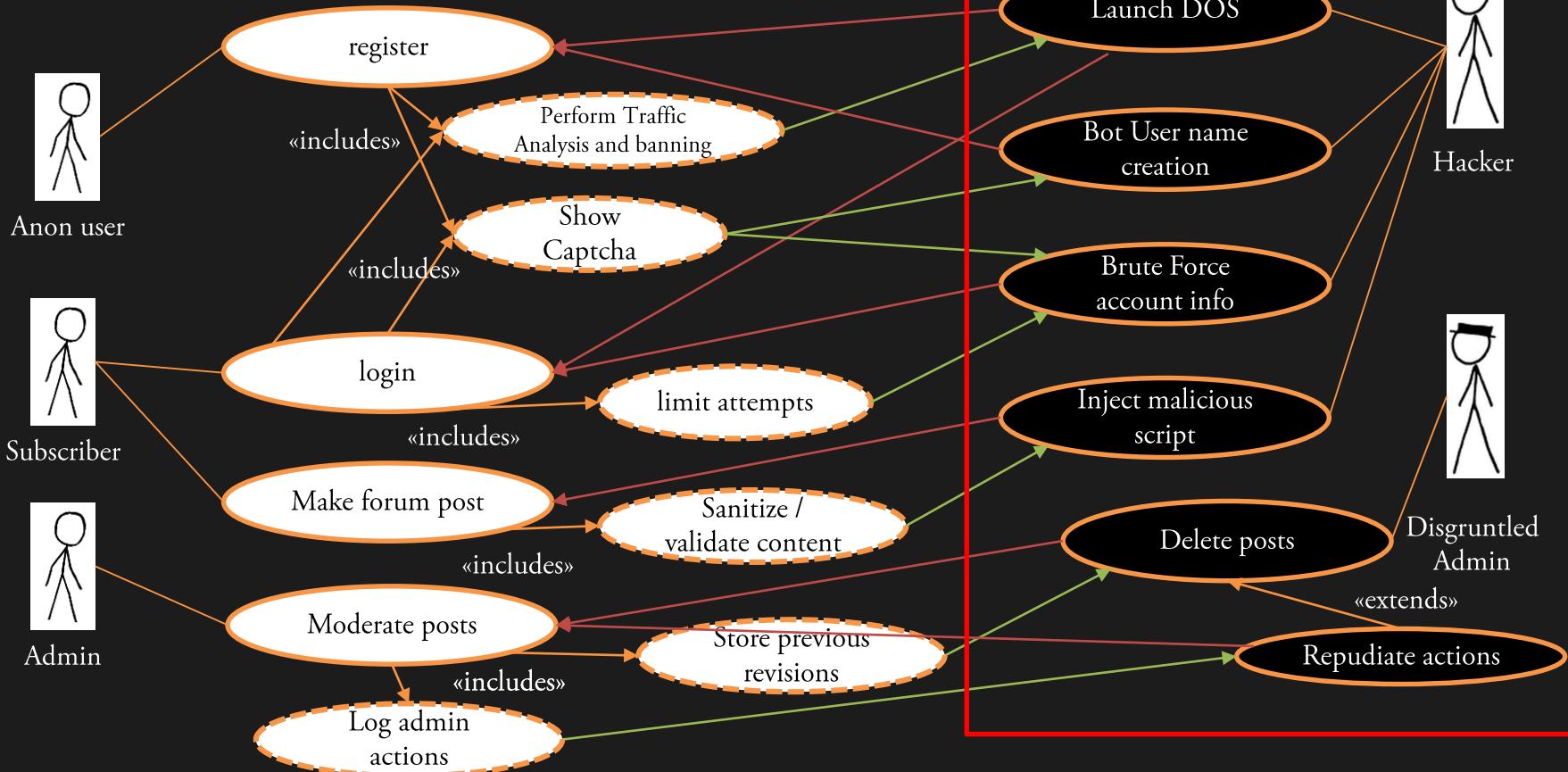


Ok... how do developers make architecture diagrams?

→ They use requirements and use cases

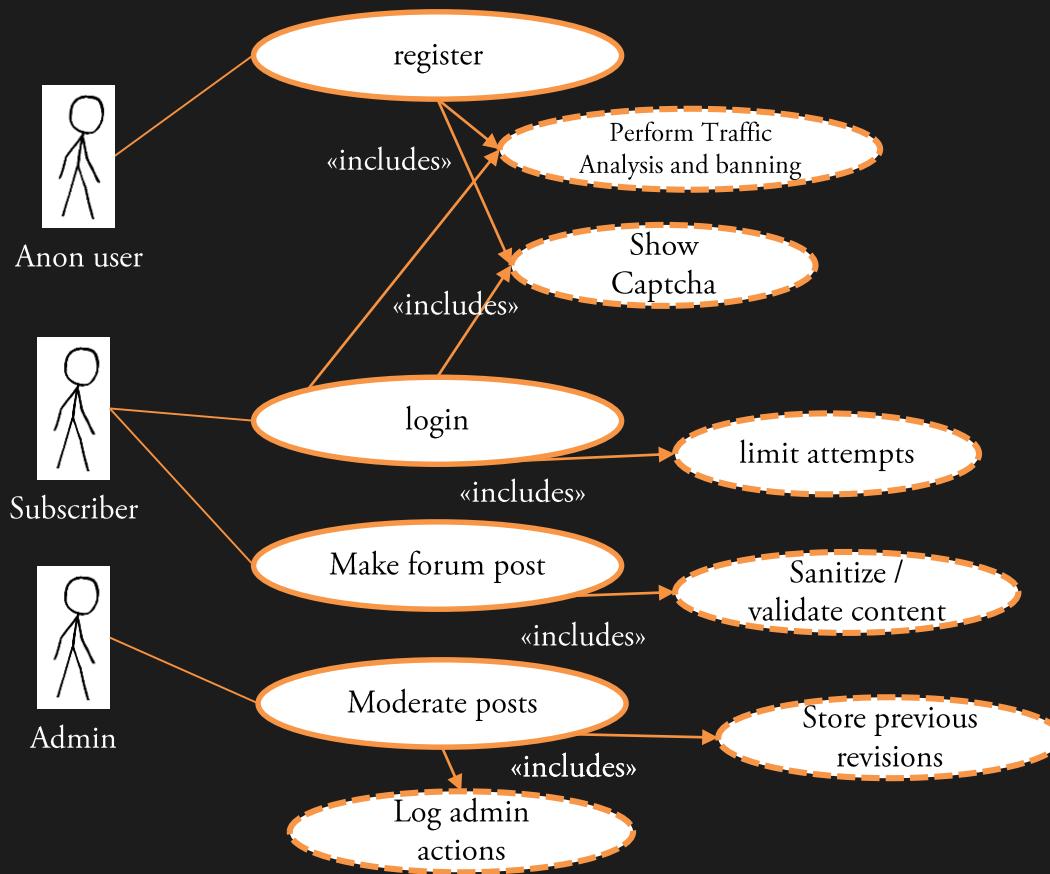
# Returning to our system example (a forum)

Translate use cases to components



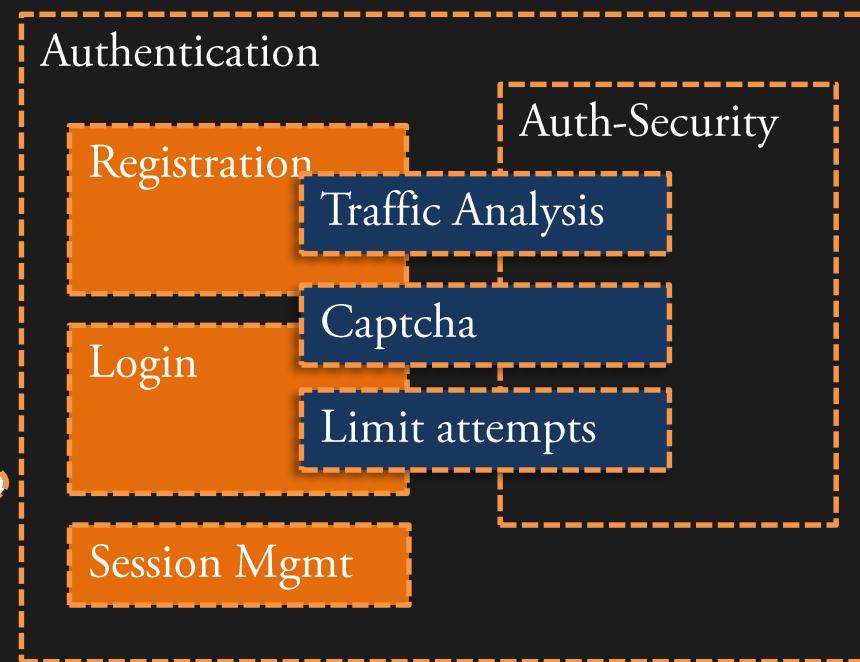
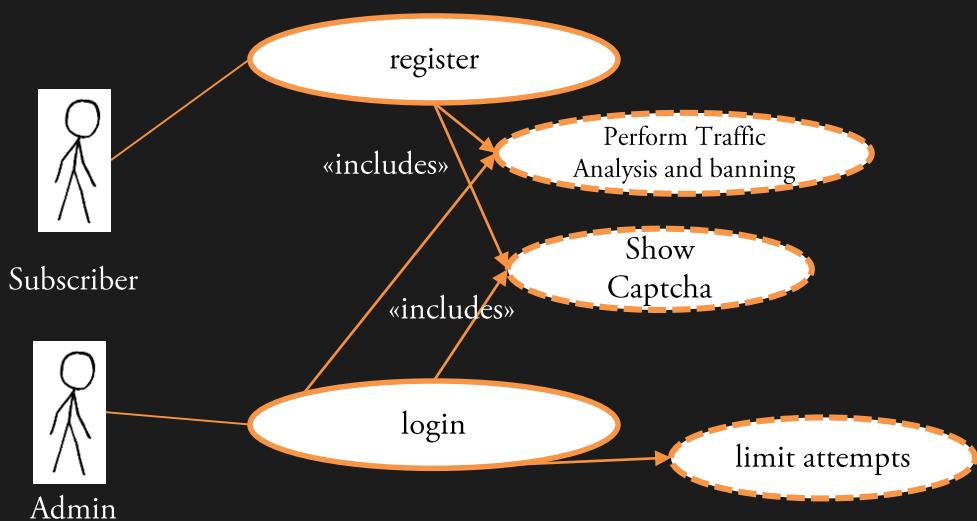
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Translate use cases to components

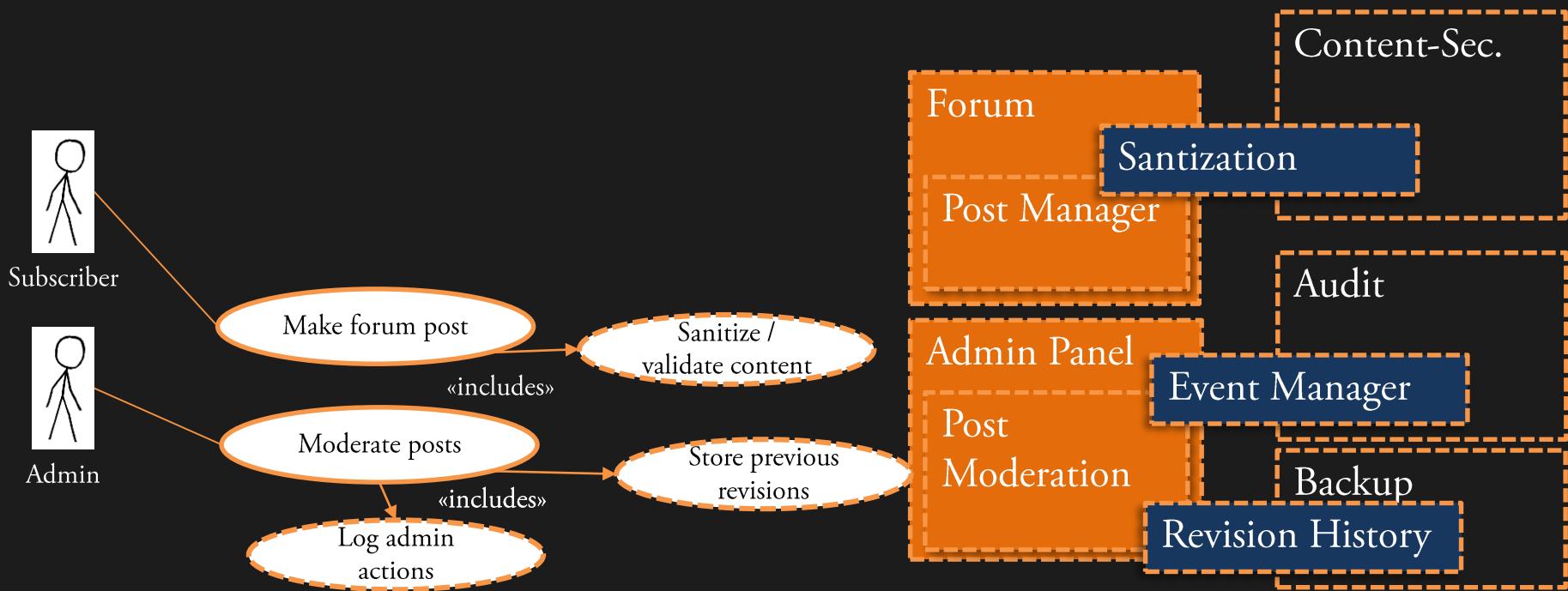


# Functional security requirements are realized by building components

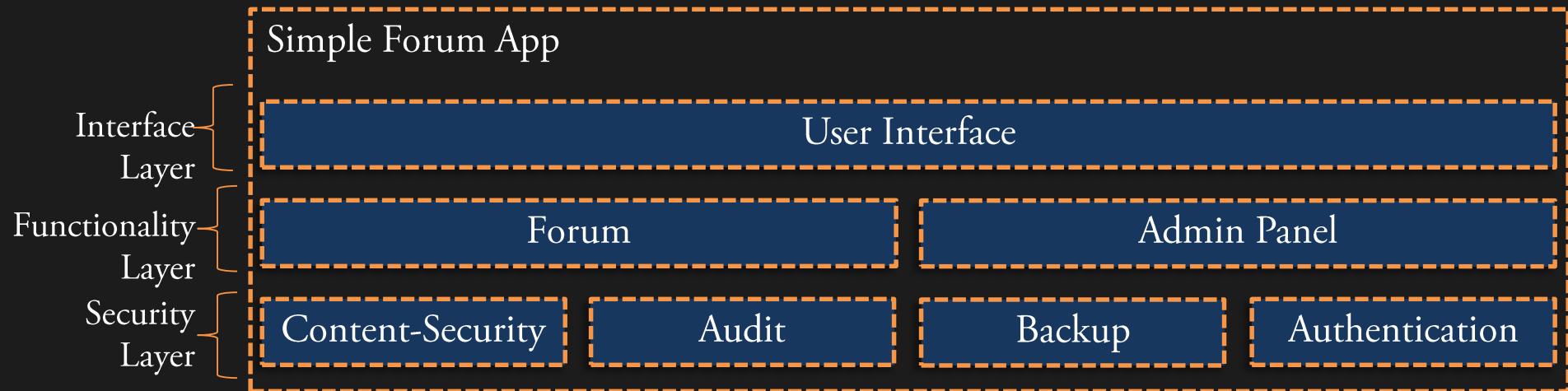
Mentioned this before, now it makes more sense.



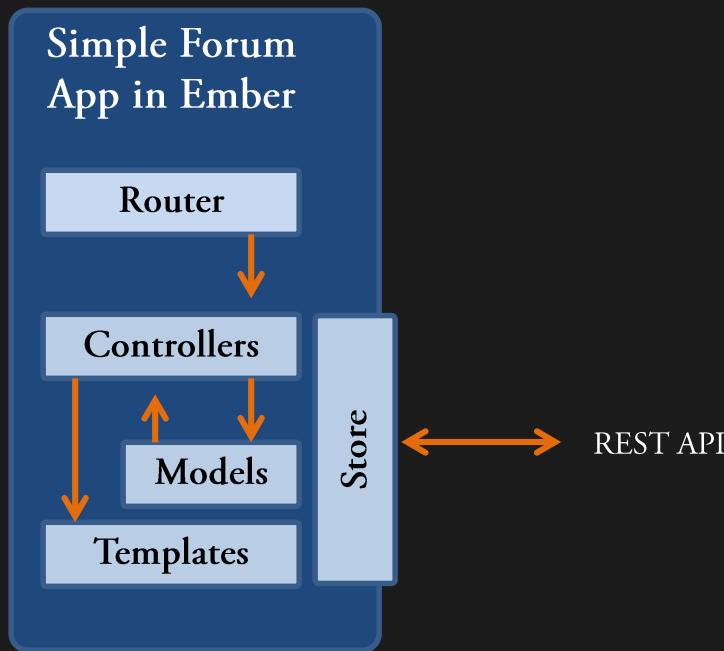
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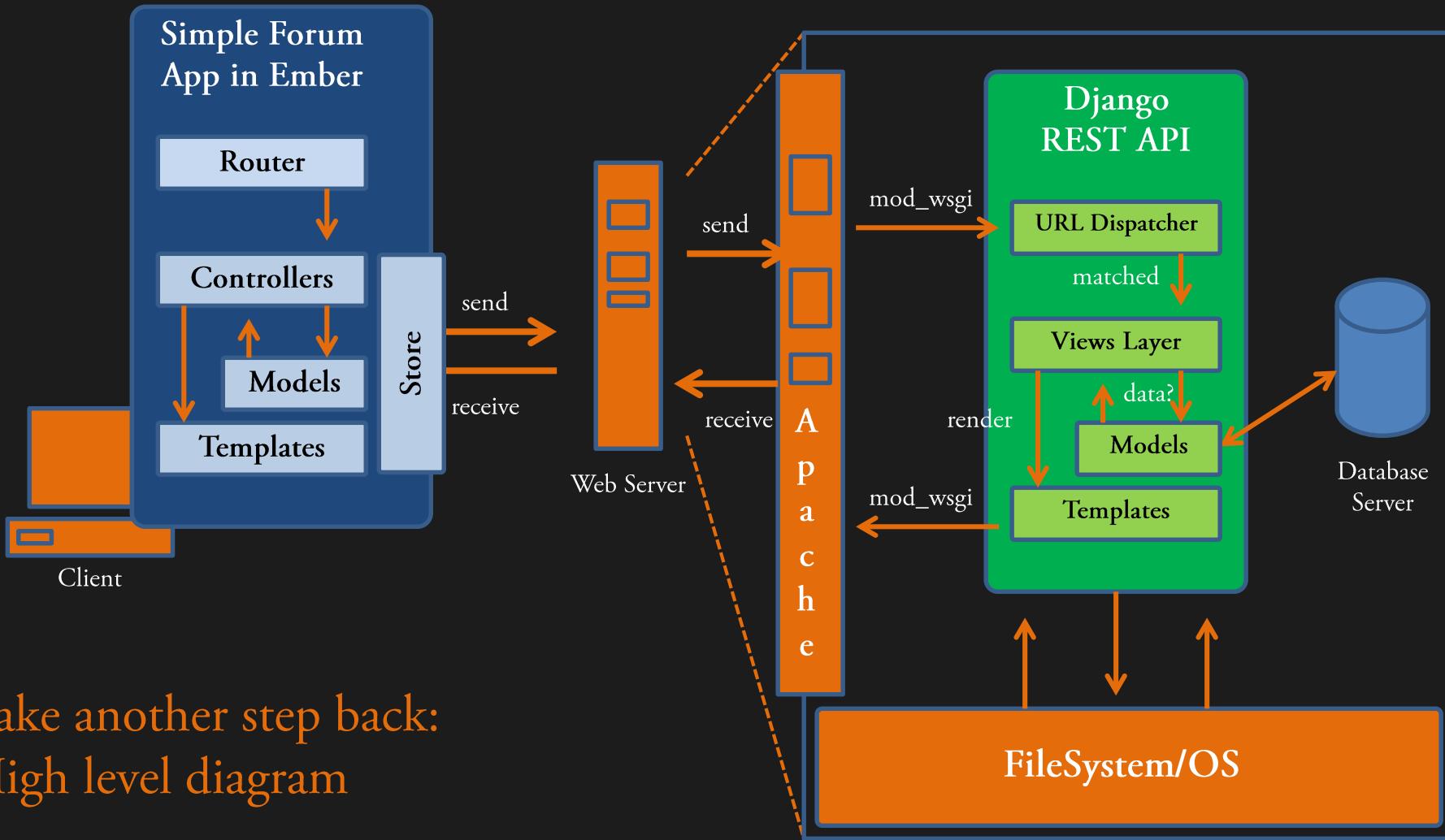


# Take a step back: Application level (layered) diagram



# Take another step back: Framework Level diagram





Take another step back:  
High level diagram

# The point

An architecture isn't limited to one diagram.

Each (use cases, application level, high level, etc) has its purposes.

# Types of Diagrams

## Informal Graphical Modeling

- Easy to represent ideas and structure conceptually
- Low learning curve, easy to use
- Most architectures are this

## Formal

### UML

- Easy-moderate to represent ideas and structure
- Moderate learning curve, harder to use (correctly)
- Provides standard parsability and automatic processing
- A lot of architectures are this

### Others (e.g. Wright, Cross-UNITY, etc)

- moderate-hard based on language type
- Huge learning curves
- Potential for formal proofs of properties on systems
- Few architectures are this

# Types of Diagrams: Informal Graphical Modeling

Generally produced in tools like Visio, PowerPoint, or OmniGraffle

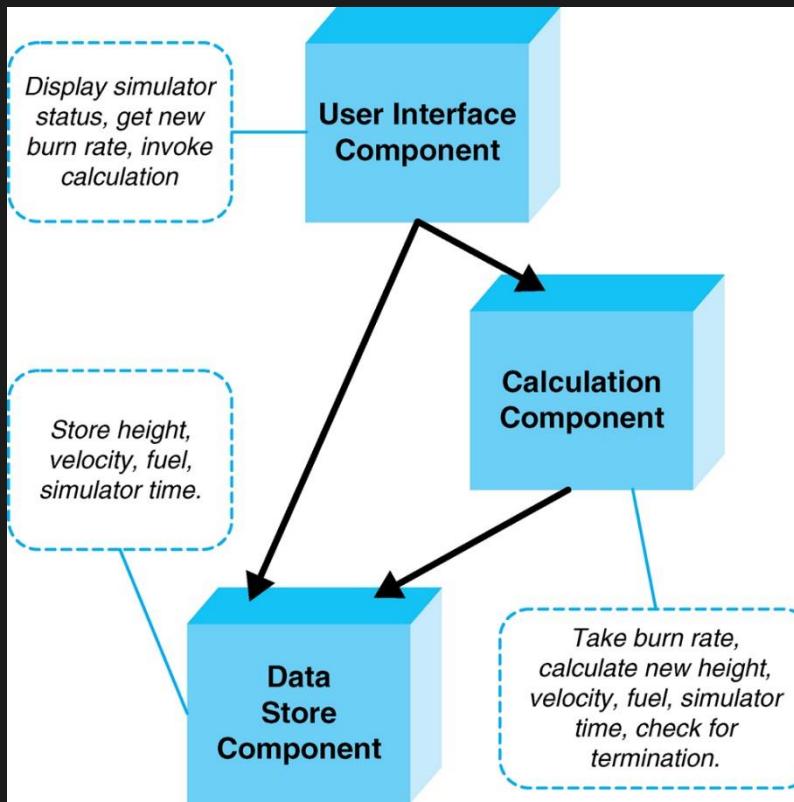
## Advantages

- Can be aesthetically pleasing
- Size limitations (e.g., one slide, one page) generally constrain complexity of diagrams
- Extremely flexible due to large symbolic vocabulary
- Low to no learning curve

## Disadvantages

- Ambiguous, non-rigorous, informal
  - But often treated otherwise
- Cannot be effectively processed or analyzed by machines/software

# Types of Diagrams: Informal Example



# Types of Diagrams: UML (Unified Modeling Language)

13 loosely-interconnected notations called diagrams that capture static and dynamic aspects of software-intensive systems

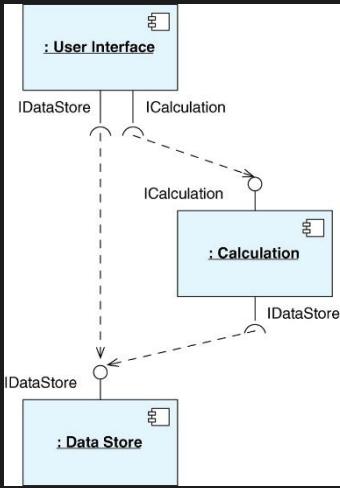
## Advantages

- Support for a diverse array of viewpoints focused on many common software engineering concerns
- Ubiquity improves comprehensibility
- Extensive documentation and tool support from many vendors
- High precision and accuracy, minimal ambiguity

## Disadvantages

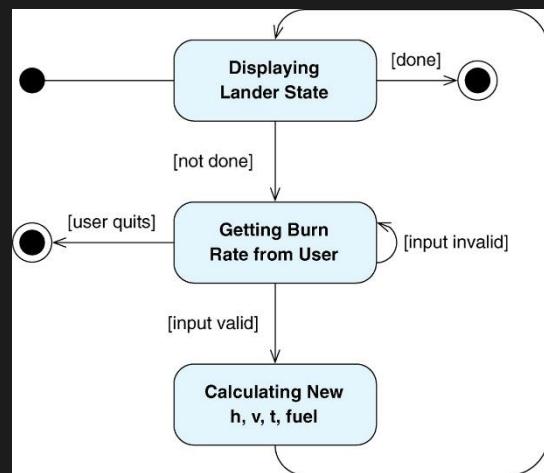
- Needs customization through profiles to reduce ambiguity
- Difficult to assess consistency among views
- Difficult to capture foreign concepts or views
- Medium-high learning curve

# Types of Diagrams



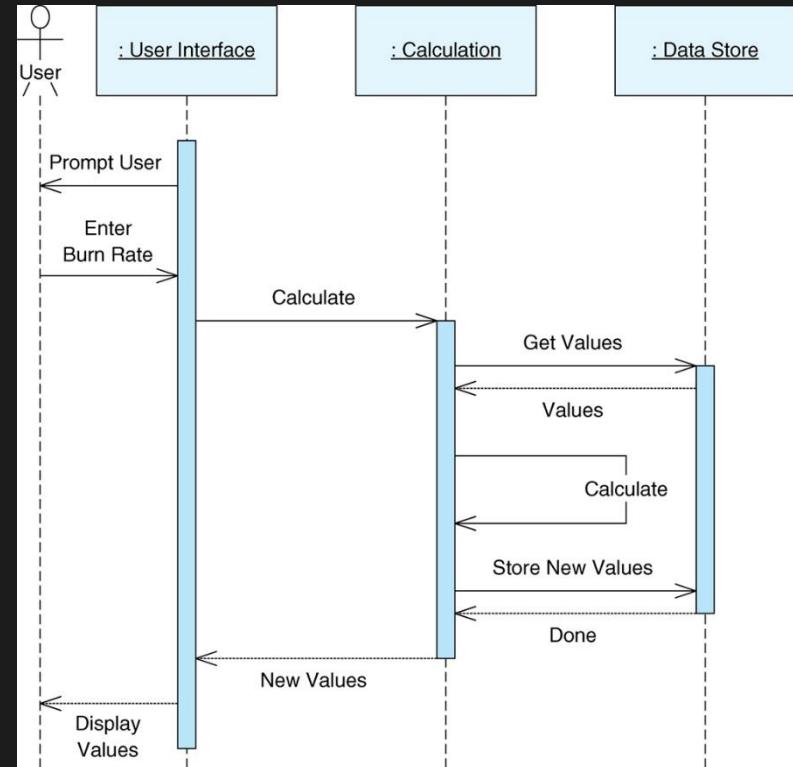
Component Diagram

Activity Diagram



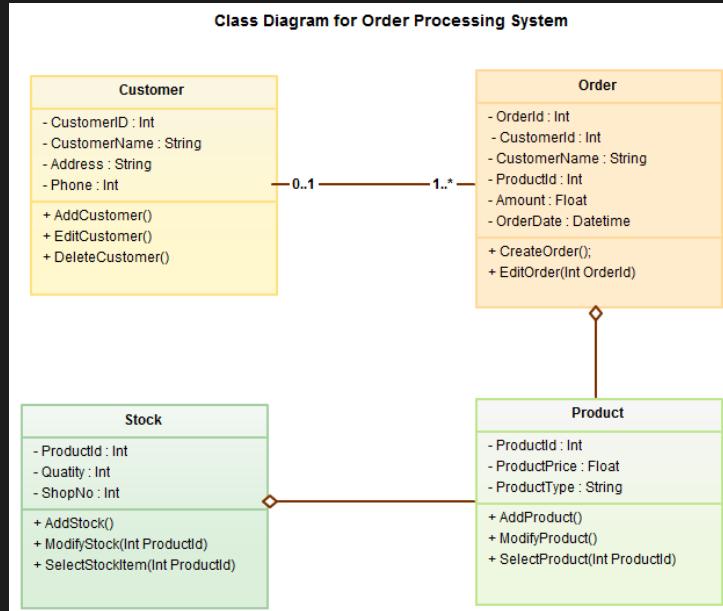
UML Examples

Sequence Diagram



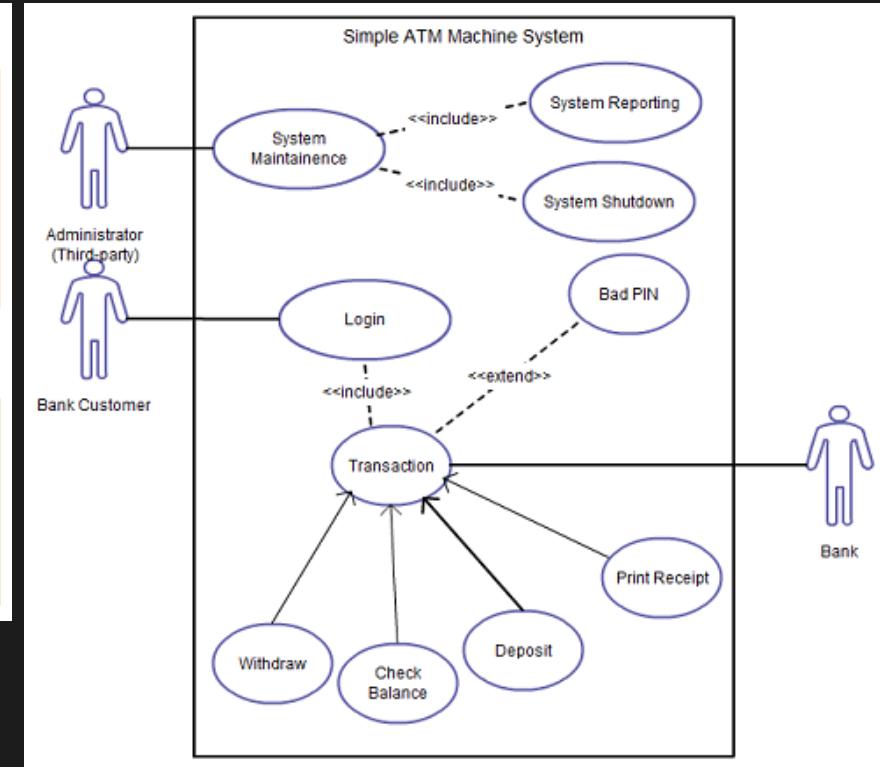
# Types of Diagrams

## Class Diagram



## UML Examples

## Use Case Diagram



Other types

# Types of Diagrams: Other Formal Specification Languages

Other specification languages can be very highly formal and can be used for things such as security property verification (e.g. security controls from NIST SP800-53)

## Advantages

- Can be used to verify system functionality
- Can provide mathematical guarantees
- Low or no ambiguity
- High precision and accuracy

## Disadvantages

- Very high development time
- Verification only as good as the model
- High learning curve

# Types of Diagrams: Formal Specification Languages – X-Unity

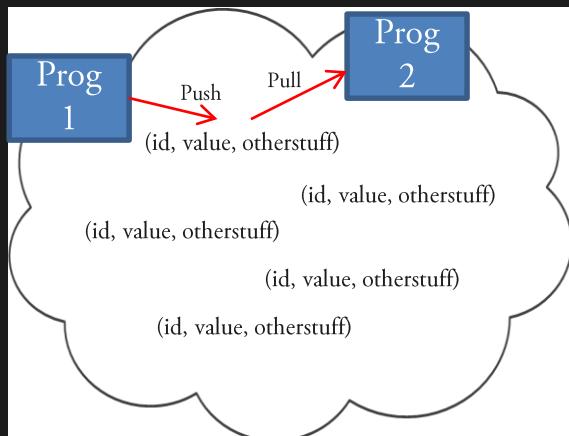
## X-UNITY

- Follows a coordination paradigm
- Uses a formal mathematical representation called a **tuplespace**
- Has a proof logic that allows one to reason over system specification and prove properties about it
  - similar to Dijkstra's weakest precondition refinements

# Types of Diagrams

## X-Unity

- X-Unity: A coordination language
  - Core concept: Services interact using *tuples* of data
  - Services are represented as X-UNITY systems of *programs* that push and pull data from the *tuple space*
  - Execution model non-deterministically selects a program statement from a service program and modifies a data tuple
  - Temporal logic tracks state changes across program execution to allow reasoning over the cloud system



SoS *SoSName*

System *SystemName<sub>1</sub>*

Program<sub>1..n</sub> <reference and parameters>

Components

Instances of programs declared with parameters

Governance

Global impact statements such as Promote

end *SystemName<sub>i</sub>*

...  
System *SystemName<sub>k</sub>* ... end *SystemName<sub>k</sub>*  
end *SoSName*

# X-UNITY Example

## Expressing a cloud web service composition

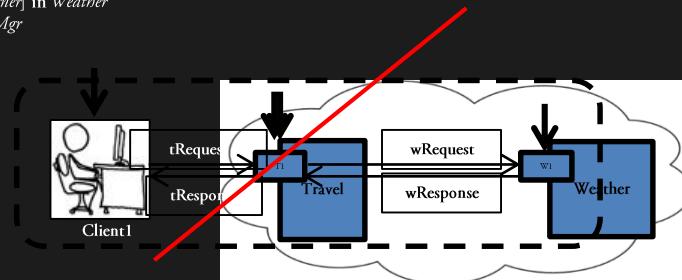
Matthew L. Hale, Michael T. Gamble, and Rose F. Gamble. 2013. "A Design and Verification Framework for Service Composition in the Cloud," in *Proceedings of the IEEE Ninth World Congress on Services*, IEEE Computer Society, Washington, DC, USA, 317-324, 2013. doi: 10.1109/SERVICES.2013.46

```
Proxy Client-Proxy(pid : PID)
declare
    internal
        userInput : (FromDate,ToDate,From,To)
    exposed
        id : PID
    context
        plan : JSON
        travelPartner : ProxyID
initially
    id, userInput := pid, GetUserInput()
    travelPartner, plan :=  $\emptyset$ ,  $\emptyset$ 
assign
    userInput := ( $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ) reacts-to plan  $\neq \emptyset$ 
context
    travelPartner uses dclientChannels[id] in SessionMgr
    given travelPartner =  $\emptyset$ 
    where travelPartner becomes s
plan uses rtRequest[travelPartner] in Travel
    given userInput  $\neq$  ( $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ )
    where userInput impacts r
plan uses ptResponse[travelPartner] in Travel
    sclientChannels[id] in SessionMgr
    given p  $\neq \emptyset$ 
    where
        plan becomes p
        travelPartner becomes  $\emptyset$ 
         $\emptyset$ ,  $\emptyset$  impacts p, s
end Client-Proxy
```

```
Proxy Travel-Proxy(pid : PID)
declare
    exposed
        id : PID
        tRequest : PID  $\rightarrow$  (FromDate,ToDate,From,To)
        tResponse : PID  $\rightarrow$  JSON
    context
        weatherPartner : PID
        forecast : JSON
initially
    id := pid
    tRequest, tResponse := id  $\rightarrow$  ( $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ), id  $\rightarrow$   $\emptyset$ 
    weatherPartner, forecast :=  $\emptyset$ ,  $\emptyset$ 
assign
    <math>tResponse(id) := CreateTravelPlan(tRequest(id), forecast);</math>
    <math>tRequest(id) := (\emptyset, \emptyset, \emptyset, \emptyset); forecast := \emptyset</math>
    reacts-to forecast  $\neq \emptyset$ 
context
    weatherPartner uses stravelChannels[id] in SessionMgr
    given weatherPartner =  $\emptyset$ 
    where weatherPartner becomes s
forecast uses rtwRequest[weatherPartner] in Weather
given
    r = ( $\emptyset$ ,  $\emptyset$ )
    tRequest(id)  $\neq$  ( $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ ,  $\emptyset$ )
where
    (tRequest(id) [0], tRequest(id) [3]) impacts r
forecast uses ptwResponse[weatherPartner] in Weather
    stravelChannels[id] in SessionMgr
given p  $\neq \emptyset$ 
where
    forecast becomes p
    weatherPartner becomes  $\emptyset$ 
     $\emptyset$ ,  $\emptyset$  impacts p, s
end Travel-Proxy
```

```
Proxy Weather-Proxy(pid : PID)
declare
    exposed
        id : PID
        wRequest : PID  $\rightarrow$  (Date, Location)
        wResponse : PID  $\rightarrow$  JSON
    context
        weatherPartner : PID
initially
    id := pid
    wRequest, wResponse, weatherPartner := id  $\rightarrow$  ( $\emptyset$ ,  $\emptyset$ ),  $\emptyset$   $\rightarrow$   $\emptyset$ ,  $\emptyset$ 
assign
    if wRequest(id)  $\neq$  ( $\emptyset$ ,  $\emptyset$ )  $\wedge$  weatherPartner  $\neq \emptyset$ 
    then
        wResponse(weatherPartner) := CreateForecast(wRequest(id));
        wRequest(id) := ( $\emptyset$ ,  $\emptyset$ )
    end
context
    weatherPartner uses sweatherChannels[id] in SessionMgr
    given weatherPartner =  $\emptyset$ 
    where weatherPartner becomes s
end Weather-Proxy
```

Session Management  
(not pictured here)



# Real Diagrams

## Example 1 – AlphaFrog (facebook connect)

Rad bar to level up

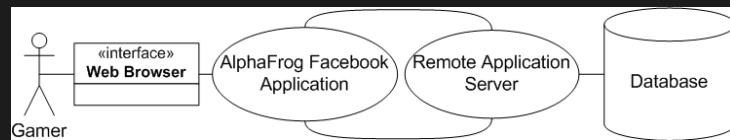
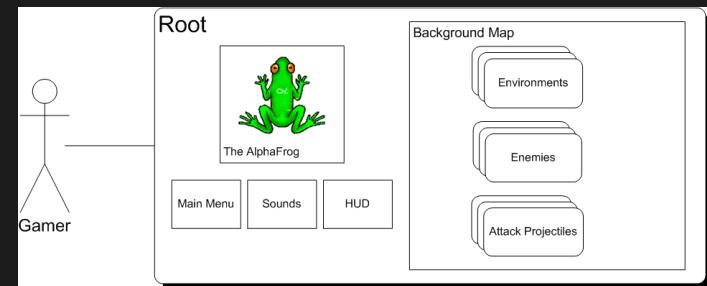
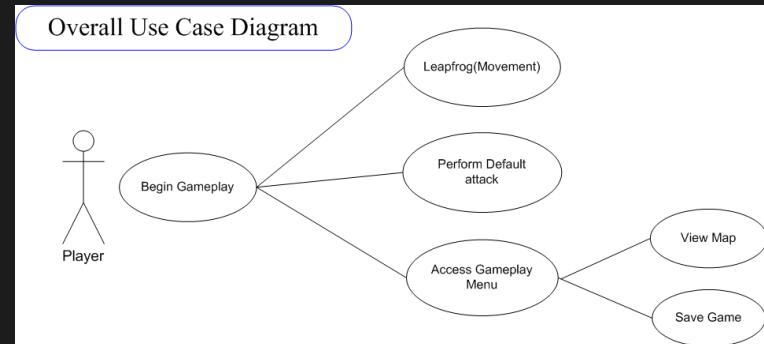
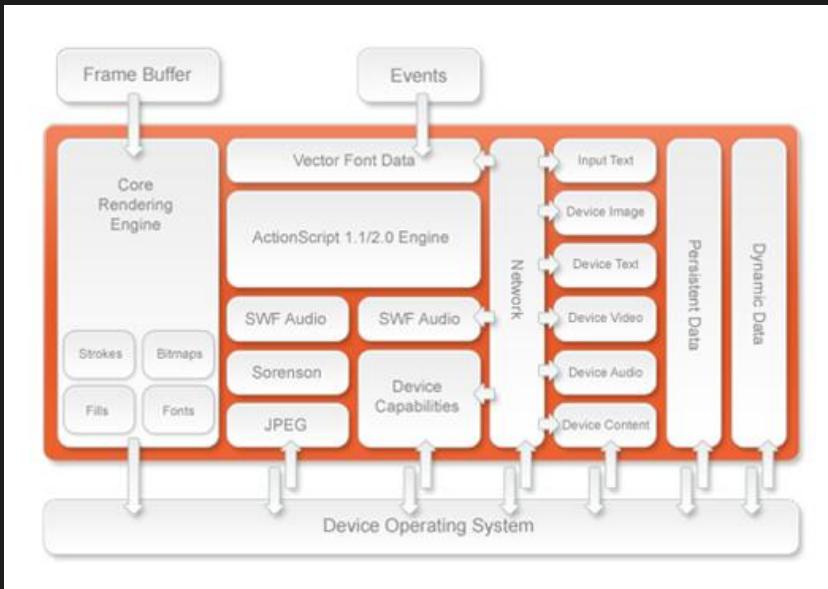
Total  
Points and  
combos

Enemy Poison  
Projectiles



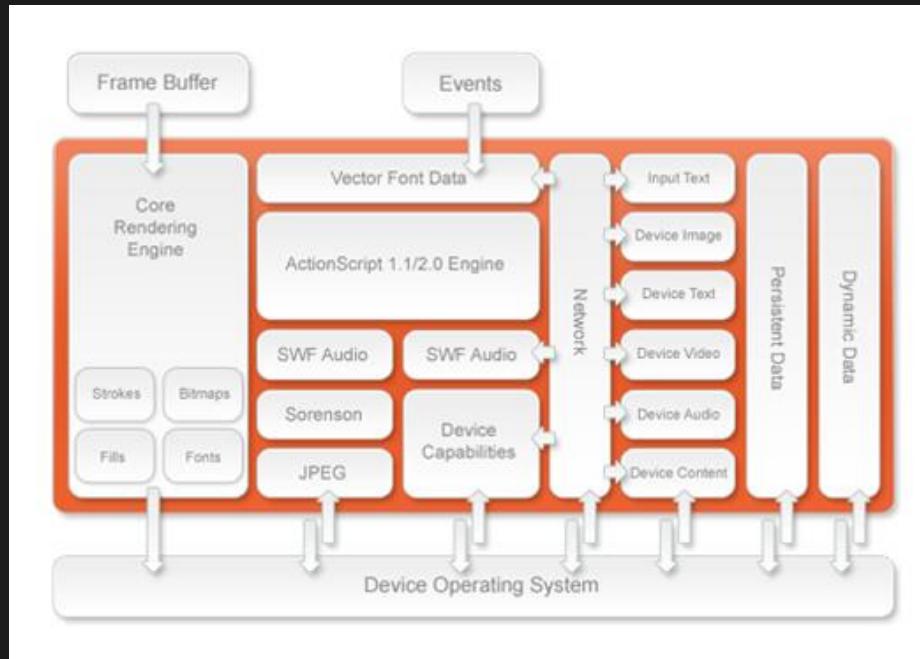
# Real Diagrams

## Example 1 – AlphaFrog (facebook connect)



# Real Diagrams

## Example 2 – VisGA (Genetic algorithm in flash)



<http://www.personal.utulsa.edu/~matt-hale/vis-ga/GA.swf>

# Real Diagrams

## Example 3 - Cybertrust



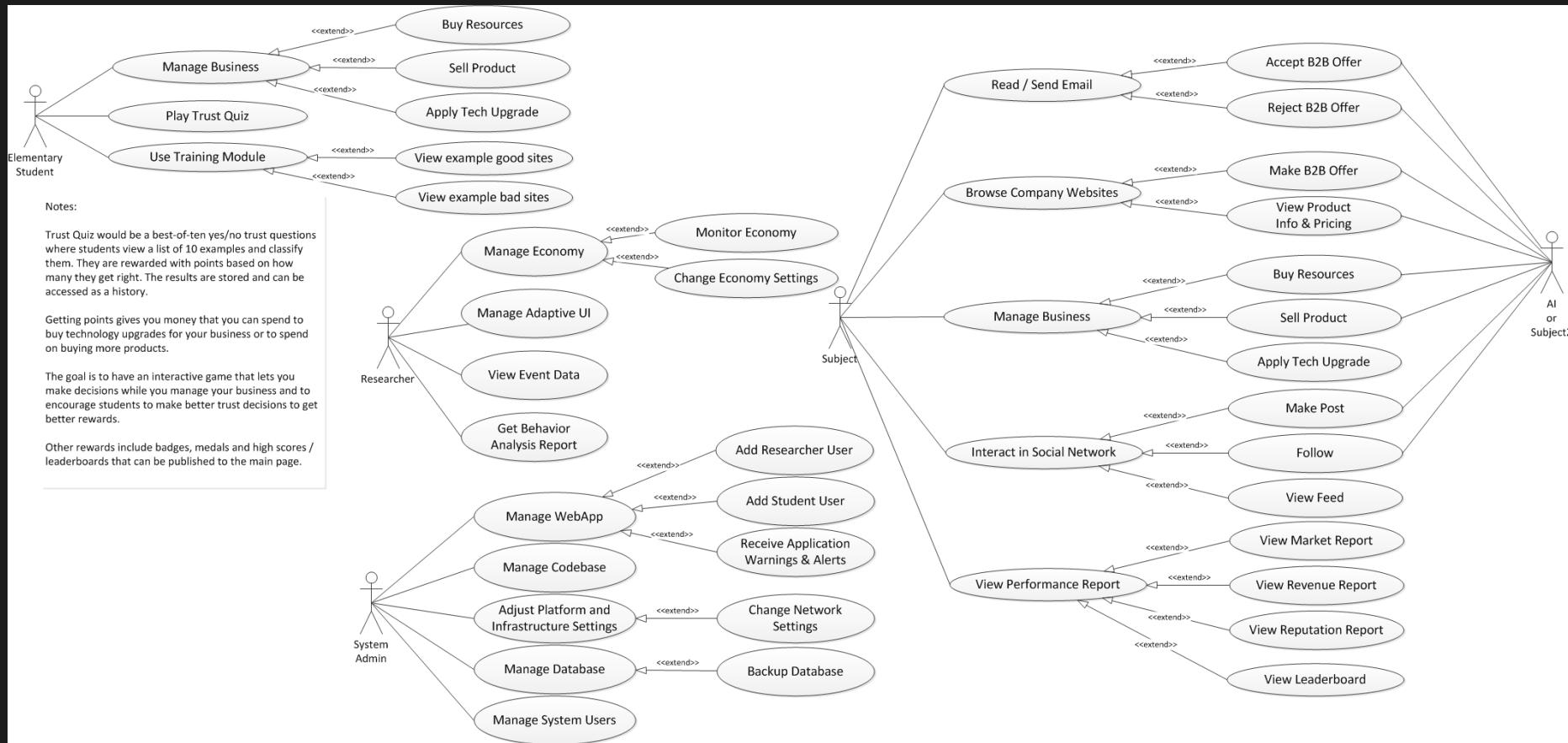
The screenshot shows a web-based email inbox interface for 'admin' (admin). The top navigation bar includes links for Home, Email (28), Web (3), bVerse Social Network (3), and Your status. On the right, there are options to Log out, Switch to Admin View, and Switch to Student View.

The left sidebar features a 'Inbox' section with a list of messages. The messages are listed in a table format:

	From	Subject	Date
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	10/17/13
<input type="checkbox"/>	Jimmy Smith	Join My Network - Jimmy Smith wants to add you as a friend on your social network. To accept his i...	12/16/13
<input type="checkbox"/>	Paul Bunyan Personnel Service	Temporary Lumberjack Agency - I would love to sell you some lumber. Sincerely, Paul Bunyan	Jan 1
<input type="checkbox"/>	Ann Howard	Inventory Control - You are running low on nails. Would you like me to purchase more from the same v...	Jan 1
<input type="checkbox"/>	PaperMe	Corporate Friend Request - I'd like to invite you to join my social network.-Paul BunyanAccept invitation...	Jan 7
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	Jan 12
<input type="checkbox"/>	Jimmy Smith	Join My Network - Jimmy Smith wants to add you as a friend on your social network. To accept his i...	Jan 12
<input type="checkbox"/>	test	test - test	Jan 12
<input type="checkbox"/>	asdf	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 20
<input type="checkbox"/>	asdf	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 20
<input type="checkbox"/>	asdf	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 20
<input type="checkbox"/>	asdf	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 20
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	Jan 20
<input checked="" type="checkbox"/>	me, asdf (2)	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 20
<input type="checkbox"/>	asdf	asdf - asdfdsafasfasdfasfasdfasfasdf	Jan 21
<input type="checkbox"/>	Woodchuck Tool and test	Elevate Your Cutting - Woodchuck, Timberjack, and Groundhog are offering great savings on all products ...	Jan 1
<input type="checkbox"/>	Bob Smith	Check out our new online catalog - Hope you had a great holiday, I know I did. We would like you to check out our i...	12/27/13
<input type="checkbox"/>	Doug Banks	Deal of the month - Congratulations! Wally's is offering you only a deal of 30% off all orders over ...	12/30/13
<input type="checkbox"/>	Your friend	Left your credit card - You left your credit card at Wal-Mart. Go to my website <a href="http://helpyouforreal.c...">http://helpyouforreal.c...</a>	Jan 13
<input checked="" type="checkbox"/>	Your friend	Left your credit card - You left your credit card at Wal-Mart. Go to my website <a href="http://helpyouforreal.c...">http://helpyouforreal.c...</a>	Jan 12
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	Jan 12
<input checked="" type="checkbox"/>	me, Jimmy Smith (2)	Join My Network - Jimmy Smith wants to add you as a friend on your social network. To accept his i...	Jan 12
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	Jan 20
<input type="checkbox"/>	bVerse	Account Compromised - Your bVerse account has been compromised. Please following the link below to upd...	Jan 20
<input type="checkbox"/>	Jimmy Smith	Join My Network - Jimmy Smith wants to add you as a friend on your social network. To accept his i...	Jan 20

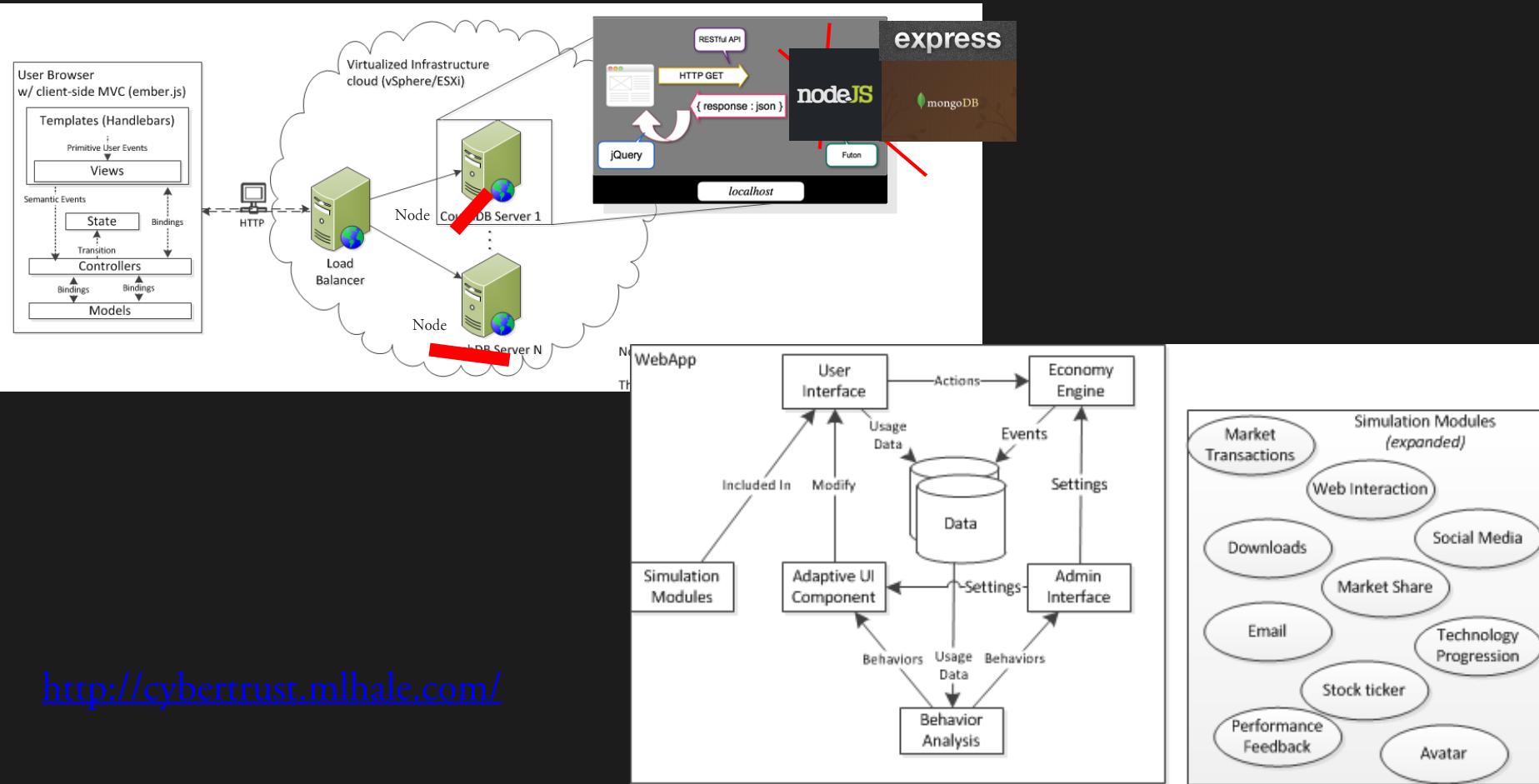
# Real Diagrams

## Example 3 - Cybertrust



# Real Diagrams

## Example 3 - Cybertrust



Hands on  
Draft an application level architecture for your app



# Questions?

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