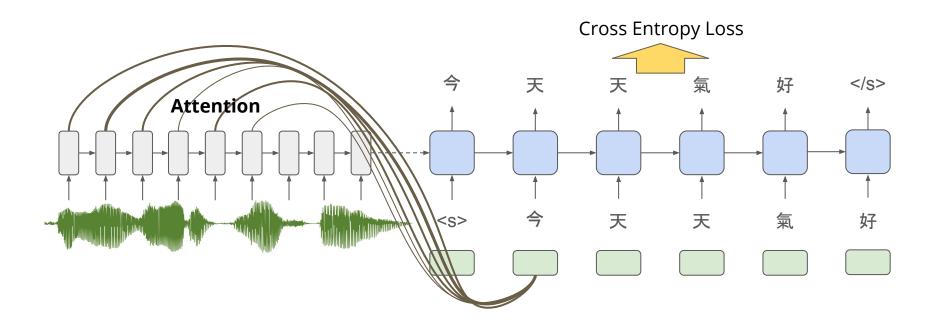
# DLHLP - HW1 End-to-end Speech Recognition

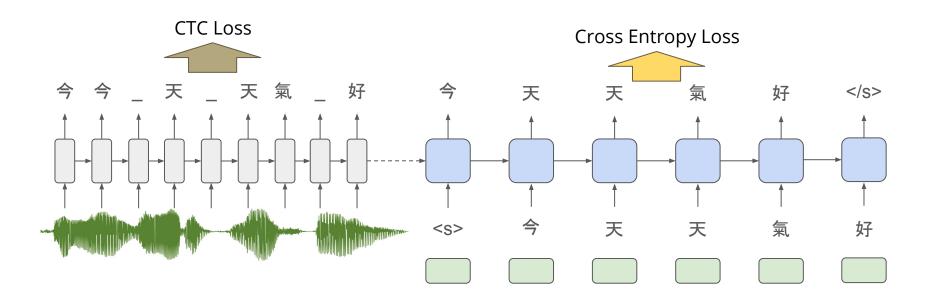
TA: 莊永松、柯上優

dlhlp.ta@gmail.com

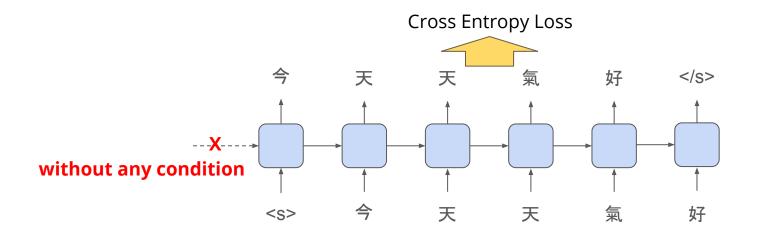
# **Seq2Seq attention-based ASR**



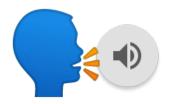
### **Joint CTC-attention based ASR**



# RNN-LM (for re-scoring)



### **Dataset**



To make HW1 easier...

- We use a small 10-hours Mandarin Chinese audio corpus
- All from a single speaker (no speaker varient problem)
- We transform text to  $5 \times 1 = 10$  to make vocabulary size smaller and help the model to converge faster
- You would not need to train a "real-world" ASR model
- About 2~4 hours to converge on K80, while real-world ASR may need weeks to converge

### **Download Data**

- Download Data(2.88G)
  - https://drive.google.com/file/d/1BPR3IfAEOFOQzsU4vDs2fezDyEsU7bvQ/view?usp=sharing
  - o train: 8000, dev: 1000, test: 1000
  - the transcript file in testing set is not removed for convenience, but all the answer is replaced with ケタロロ...

Hint: In Linux, use bash get\_dataset.sh <a href="https://github.com/DLHLP2020/hw1-speech-recognition/blob/master/getdataset.sh">https://github.com/DLHLP2020/hw1-speech-recognition/blob/master/getdataset.sh</a>

```
/DLHLP
  /train
   000001.wav
    008000. way
    bopomo.trans.txt
  /dev
   008001.wav
   009000.wav
   bopomo.trans.txt
 /test
   009001.wav
   010000 way
    bopomo.trans.txt
 text-data.txt
```

### Extract features from audio file w/ torchaudio

#### **Step 1. Load WAV File**

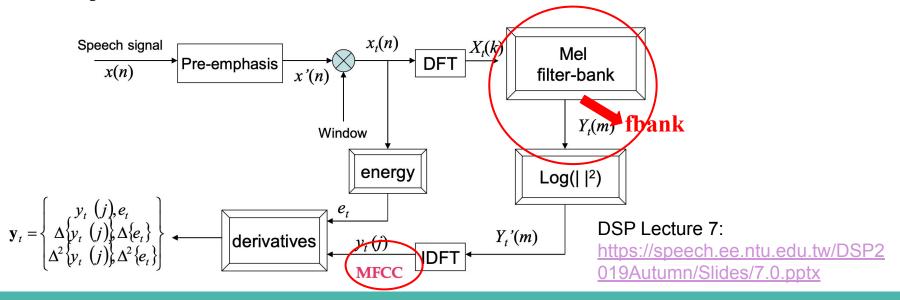
```
waveform, sample_freq = torchaudio.load("000001.wav")
waveform.shape: (1, 116400)
sample freq: 48000
```



1 Second

#### **Step 2. Get fbank or MFCC from waveform**

- Mel-Frequency Cepstral Coefficients (MFCC)
  - Most widely used in the speech recognition
  - Has generally obtained a better accuracy at relatively low computational complexity
  - The process of MFCC extraction :



#### Step 2. Get Fbank or MFCC from waveform

#### **MFCC**

feature.shape: (241, 13)

#### **Fbank**

feature.shape: (241, 40)



d2

### **Step 3. Add Deltas**

```
d1 = torchaudio.functional.compute_deltas(feature)
d2 = torchaudio.functional.compute_deltas(d1)
feature = torch.cat([feature, d1, d2], dim=-1)
feature.shape: (241, 39) or (241, 120)
```

241

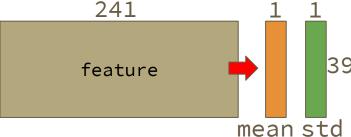
feature

39

#### **Step 4. CMVN (Normalization)**

eps = 1e-10
mean = feature.mean(0, keepdim=True)
std = feature.std(0, keepdim=True)
feature = (feature - mean) / (std + eps)

13



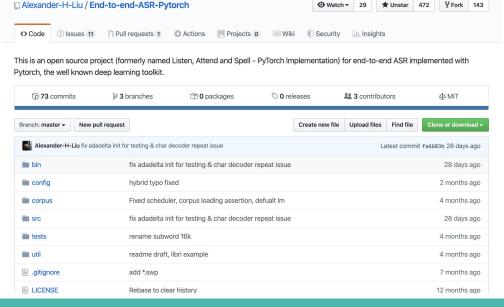
39

# Sample code (strongly recommended)

https://github.com/Alexander-H-Liu/End-to-end-ASR-Pytorch

A completed & stable ASR implementation (Thanks to 劉浩然學長!)

Please read README before using it!



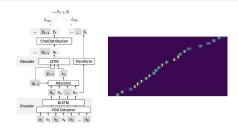
### End-to-end Automatic Speech Recognition Systems - PyTorch Implementation

This is an open source project (formerly named Listen, Attend and Spell - PyTorch Implementation) for end-to-end ASR by Tzu-Wei Sung and me. Implementation was mostly done with Pytorch, the well known deep learning toolkit.

The end-to-end ASR was based on Listen, Attend and Spell<sup>1</sup>. Multiple techniques proposed recently were also implemented, serving as additional plug-ins for better performance. For the list of techniques implemented, please refer to the highlights, configuration and references.

Feel free to use/modify them, any bug report or improvement suggestion will be appreciated. If you find this project helpful for your research, please do consider to cite our paper, thanks!

#### ® Highlights



### **TODO: Add new Dataset**

- See the example <u>corpus/librispeech.py</u>
- Create a copy corpus/dlhlp.py. Replace all "Libri" to "Dlhlp" in the file.
  - 1. open each **\*.flac** files → open each **\*.wav** files
  - def read\_text()
    - origin: src\_file = '-'.join(file.split('-')[:-1])+'.trans.txt'
    - ours: src\_file = file.rsplit('/', 1)[0]+'/bopomo.trans.txt'
    - Base on the format of our dataset

### **TODO:** Import new Dataset

- In the <u>src/data.py</u>
  - def create\_dataset
  - def create\_textset

### **TODO:** Prepare vocab-count file

we provide "text-data.txt" in the dataset as input\_text file.

# TODO: Write your own config file (1/3)

- See example <u>config/libri/asr\_example.yaml</u>
- Create copys as you want to train a new model (e.g. asr\_dlhlp.yaml)
  - o path: where you unzip the data (e.g. 'data/DLHLP')
  - train/dev\_split: dir name for train/dev under 'path'
  - audio feature setting: follow the original setting
  - o mode: 'character'
  - vocab\_file: the vocab file your have prepared

```
name: 'Dlhlp'
 path: 'data/DLHLP'
 train_split: ['train']
 dev_split: ['dev']
 bucketing: True
 batch size: 16
feat_type: 'fbank'
 feat dim: 40
 frame_length: 25
 frame shift: 10
 dither: 0
 apply cmvn: True
 delta_order: 2
 delta window size: 2
 mode: 'character'
 vocab_file: 'bopomo_vocab_file'
```

# TODO: Write your own config file (2/3)

- Training Hyperparams
  - set valid\_step to 500 (step for one epoch)
  - max\_step: 12k step is enough actually
  - teather forcing: always use it=> tf start: 1.0, tf end:1.0
  - optimizer and Ir and eps:
     just follow the original settings

```
22
    hparas:
     valid step: 500
23
24
      max_step: 12001
25
     tf start: 1.0
     tf_end: 1.0
26
27
     tf_step: 500000
      optimizer: 'Adadelta'
28
29
     lr: 1.0
30
      eps: 0.00000001
     lr_scheduler: 'fixed'
31
      curriculum: 0
32
```

# TODO: Write your own config file (3/3)

- Model Architecture:
  - A thinner model (1~2 layer LSTM) is enough
  - ctc\_weight:
    - set to 0.0 to train seg2seg without CTC
    - set between 0.0~1.0 to jointly optimize for CTC + seq2seq
  - Other settings: just follow the original settings

```
ctc_weight: 0.0
     encoder:
       prenet: 'vgg'
       # vgg: True
       module: 'LSTM'
       bidirection: True
    dim: [512,512]
       dropout: [0,0]
   layer norm: [False, False]
   proj: [True, True]
   sample rate: [1,1]
       sample_style: 'drop'
    mode: 'loc'
      dim: 300
   num head: 1
    v proj: False
    temperature: 0.5
52
   loc_kernel_size: 100
       loc_kernel_num: 10
54
     decoder:
       module: 'LSTM'
    dim: 512
       layer: 1
       dropout: 0
```

### TODO: Write your own config file for LM

- See example <u>config/libri/lm\_example.yaml</u>
- Need to modify:
  - o path
  - train/dev\_spit
  - vocab\_file
- - just replace ['train'] with ['xxx.txt']where xxx.txt is your collected corpus

```
data:
   # The following depends on corpus
   name: 'dlhlp'
  path: 'data/DLHLP'
  train_split: ['train']
  dev_split: ['dev']
   bucketing: True
   batch_size: 32
10
   text:
   mode: 'character'
11
   vocab_file: 'bopomo_vocab_file'
12
```

### Train model!

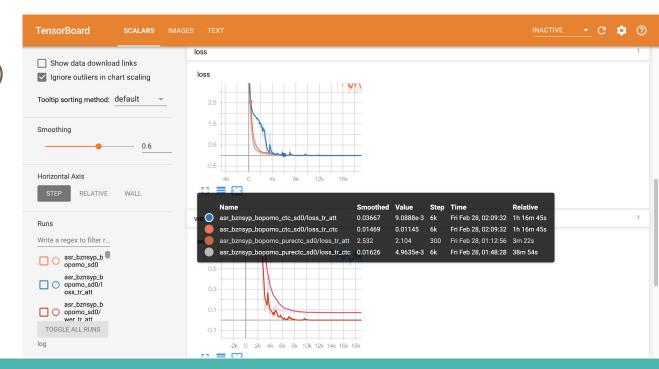
```
ASR:
$ python3 main.py --config config/dlhlp/asr_dlhlp.yaml

LM:
$ python3 main.py --config config/dlhlp/lm_dlhlp.yaml --lm
```

### **Tensorboard**

\$ tensorboard --logdir log/ --port <port\_you\_want>

Training curve (loss &WER / train&dev)



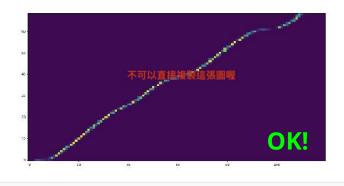
### **Alignment**

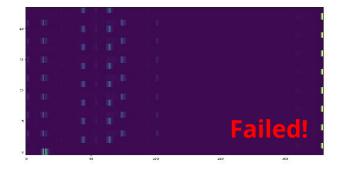
#### att\_align0

att\_align0 step **48,500** Fri Feb 28 2020 10:12:30 GMT+0800 (台北標準時間)

att\_align0 step **90,000** Thu Feb 27 2020 10:06:02 GMT+0800 (台北標準時間)

SCALARS





### **Output example**

SCALARS

**IMAGES** 

TEXT



### Test your model - seq2seq

- See example config/libri/decode example.yaml
- set max\_len\_ratio: 0.30
- set ctc\_weight: 0.0
- set beam size 2~20 (1 not support)

#### If you want to use external LM:

- set lm\_config&lm\_path
- set lm\_weight>0.0

```
# Most of the parameters will be imported from the
    src:
    ckpt: 'ckpt/asr_dlhlp_bopomo_sd0/best_att.pth'
    config: 'config/dlhlp/asr_dlnlp_bopomo.yaml'
    corpus:
    name: 'Dlhlp'
   dev_split: ['dev']
    test_split: ['test']
10
    decode:
    beam_size: 2
11
      min_len_ratio: 0.01
12
13
     max len ratio: 0.30
14
     lm_path: 'lm_dlhlp_sd0/best_ppx.pth'
      lm_config: 'config/dlhlp/lm_dlhlp.yaml'
15
     lm_weight: 0.3
17
      ctc_weight: 0.0
```

### Test your model - CTC

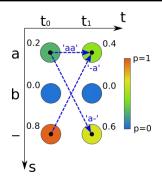
- Original code has some problems for jointly decode by seq2seq&CTC
- Add few lines of code to support decode only by CTC (works for ctc\_weight=1.0)
- src/decode.py line 101

### Patch for pure CTC decode (add to src/decode.py line 101)

#### Simply Greedy Decode!

Actually, pure CTC decode also needs beam search. *It could be a good bonus topic for you to implement it.* 

https://towardsdatascience.com/beam-search-decoding-in-ctc-trained-neural-networks-5a889a3d85a7



### Test your model - CTC

- set ctc\_weight = 1.0
- external LM is not supported to jointly decode with CTC in our code (But you can implement it as bonus!)

```
# Most of the parameters will be imported from the
    src:
    ckpt: 'ckpt/asr_dlhlp_bopomo_sd0/best_ctc.pth'
      config: 'config/dlhlp/asr_dlnlp_bopomo.yaml'
    data:
 6
      corpus:
    name: 'Dlhlp'
     dev_split: ['dev']
     test_split: ['test']
    decode:
     beam_size: 2
12
      min_len_ratio: 0.01
13
      max_len_ratio: 0.30
14
15
16
      lm_weight: 0.0
      ctc_weight: 1.0
```

### **Test!**

```
$ python3 main.py --config <config file> --test --njobs 8
```

- result will be produced at:
  - result/<config file>\_dev\_output.csv
  - o result/<config file>\_dev\_beam.csv 用不到
  - result/<config file>\_test\_output.csv
  - o result/<config file>\_test\_beam.csv 用不到
- The format of output file is `<id> <predicted seq> <truth seq>` (line by line)
- The truth sequence of testing set was replaced with  $5 \times 1 = 1$ .
- --njobs decides the number of threads used for decoding, very important in terms of efficiency. You can set it higher as your machine have more cores.

### **Process the output from CTC**

CTC has repeated tokens Process it by yourself!

Or you can add additional code to the patch in page 25 to process the 'output\_seq' directly before it is written to file.

### **Eval your output (dev set)**

\$ python3 eval.py --file result/<config\_file>\_dev\_output.csv
hint: python2 is not supported here (unicode would be treat as two char)

```
Result of result/decode bopomofo beam2 lm0.3 dev output.csv =======
  Statics
                           Truth
                                           Prediction
                                                           Abs. Diff.
  Avg. # of chars
                           66.99
                                           66.96
                                                            0.37
  Avg. # of words
                           17.14
                                            17.12
                                                            0.02
               report this!
  Error Rate (%) | Mean
                                  Std.
                                                  Min./Max.
  Character
                                  2.43
                                                  0.00/33.33
                  2.1157
  Word
                  7.0030
                                  7.48
                                                   0.00/100.00
Note: If the text unit is phoneme, WER = PER and CER is meaningless.
```

### Submit your result (test set)

- Extract the result in kaggle format
- Sample script:
   <a href="https://github.com/DLHLP2020/hw1-speech-recognition/blob/master/format.py">https://github.com/DLHLP2020/hw1-speech-recognition/blob/master/format.py</a>
- usage: python3 format.py result/<config>\_test\_output.csv <u>answer.csv</u>
- upload <u>answer.csv</u> to kaggle

### Kaggle rules

- website: <a href="https://www.kaggle.com/c/dlhlp2020spring-asr">https://www.kaggle.com/c/dlhlp2020spring-asr</a>
- Your team name should be in [team\_github\_id]\_[any\_string]
   e.g. daikin\_大金
- 5 submission per team & per day
- Using any <u>extra kaggle account</u> to submit is cheating!

### **Kaggle Evaluation Metric**

Mean **Levenshtein Distance** calculated for each sentence in char-level.

• the minimum number of single-character edits (insertions, deletions or substitutions) required to change one word into the other.

$$LevDistance = N_{ins} + N_{del} + N_{sub}$$

Word Error Rate:

$$WER = \frac{N_{ins} + N_{del} + N_{sub}}{N_{target-length}}$$

### Baselines (5 points)

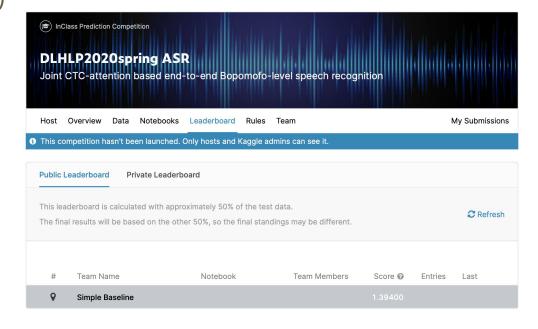
Public Simple Baseline: 1.394 (2 pt) Public Strong Baseline: 1.278 (1 pt)

Private Simple Baseline: (?) (1 pt) Private Strong Baseline: (?) (1 pt)

p.s. private score will be shown after kaggle deadline

Both would be easy to beat~

#### https://www.kaggle.com/c/dlhlp2020spring-asr



In 1.  $\sim$  3., just decode without language model. **Report Questions (1/2)** In 1.  $\sim$  4., set beam size = 2 for speeding.

- 1. Train a **seq2seq attention-based** ASR model. Paste the learning curve and alignment plot from tensorboard. Report the CER/WER of dev set and kaggle score of testing set. (2 points)
- 2. Repeat 1. by training a **joint CTC-attention** ASR model (decoding with seq2seq decoder). Which model converges faster? Explain why. (2 points)
- 3. Use the model in 2. to **decode only in CTC** (ctc\_weight=1.0). Report the CER/WER of dev set and kaggle score of testing set. Which model performs better in 1. 2. 3.? Explain why. (2 points)

# Report Questions (2/2)

- 4. Train an **external language model.** Use it to help the model in 1. to decode. Report the CER/WER of dev set and kaggle score of testing set. (2 points)
- 5. Try decoding the model in 4. with **different beam size** (e.g. 2, 5, 10, 20). Which beam size is the best? (2 points)

#### **Bonus. Other Improvement and Innovation**

- If you don't have anything to share, you can just say 'Nothing'. It will be fine.
- Guidiance
  - Read new papers
  - Browse github
  - Apply some cool tips

### **Scoring: Submission**

- Kaggle Deadline: 3/22(Sun) 23:59
- Github submission Deadline: 3/25(Wed) before class
- Create a folder 'hw1' under your team Github repo
- 'hw1/' contains:
  - report.pdf
  - reproduce.sh
  - o other files and directories
- Report Template: <a href="https://docs.google.com/document/d/1NylgXrlai9Lgysplh9p742zgn2j3cE3B7epqiLDAesE/">https://docs.google.com/document/d/1NylgXrlai9Lgysplh9p742zgn2j3cE3B7epqiLDAesE/</a>
- We restrict Python version 3.6.8 and must compatible with <u>these package</u>
- Scoring
  - o report 10pts
  - kaggle 5pts (over baseline + successfully reproduce)

### **Github maximum capacity**

- within 100MB
- use Dropbox to put your model, use 'wget' to download
- Dropbox Tutorial:
   <a href="https://docs.google.com/presentation/d/1Sslelij9ZOEN TGdbAS1oWcl6bT">https://docs.google.com/presentation/d/1Sslelij9ZOEN TGdbAS1oWcl6bT</a>

   1uSTl6b5 u2wdDc/edit?usp=sharing
- your shell script files should be able to download the model automatically

# **Scoring: Reproduce**

[03/23更新] reproduce.sh內若需要指定--njobs xx 的時候 請統一指定成 --njobs \$NJOBS 方便助教直接從外面調整 \$NJOBS (這邊完全是方便助教改作業, 弄錯不會扣分)

- bash reproduce.sh \$1 \$2
  - `\$1` is the audio testing set directory (e.g. data/DLHLP/test)
  - `\$2` is name of the output prediction csv file (e.g. ans.csv )
- This script should produce the same result (in kaggle submission format)
  as your best submission on public leaderboard.
- Hint: use sed to modify the data path (e.g. 'data/DLHLP') in your config
- It HAVE to automatically download EVERYTHING you want to wget
- If your code reproduce fail, your **CANNOT** get kaggle score (5%)
- Prepare it carefully!

# **Scoring: Bonus**

- Presentation in class
- Selection criteria
  - 1. Innovation
  - 2. Different ways compare to LAS
    - Exiting github is valid, but you have to understand and explain it.
  - 3. Good performance
- 1 extra pts
- The team quota and the presentation time will be announced based on the time we have.

# Late submission policy

- You can submit file until 3 days after deadline
- The score will be calculated as:

$$ext{score}_{ ext{final}}\left( ext{hr}
ight) = egin{cases} ext{score}_{ ext{original}} imes 0.985^{ ext{hr}} &, ext{hr} \leqslant 72 \ 0 &, ext{hr} > 72 \end{cases}$$

Late submision form would be anounced after deadline



FB Group:
Deep Learning for Human
Language Processing
(2020,Spring)

