

GS3

GENOMIC SELECTION — GIBBS SAMPLING — GAUSS SEIDEL

(AND BAYESC π)

Andrés Legarra^{1 2} Anne Ricard^{3 4} Olivier Filangi^{5 6}

November 2, 2016



¹`andres.legarra [at] toulouse.inra.fr`

²INRA, UR 631, F-31326 Auzeville, France

³`anne.ricard [at] toulouse.inra.fr`

⁴INRA, UMR 1313, 78352 Jouy-en-Josas, France

⁵`olivier.filangi [at] rennes.inra.fr`

⁶INRA, UMR 598 35042 Rennes, France

This program has been partially financed by FEDER European funds through POCTEFA: <http://www.poctefa.eu/>.



and ANR project Rules & Tools.

Copyright (C) 2010 A Legarra, A Ricard, O Filangi

This program is free software: you can redistribute it and/or modify it under the terms of the GNU General Public License as published by the Free Software Foundation, either version 3 of the License, or (at your option) any later version.

This program is distributed in the hope that it will be useful, but WITHOUT ANY WARRANTY; without even the implied warranty of MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU General Public License for more details.

You should have received a copy of the GNU General Public License along with this program. If not, see <<http://www.gnu.org/licenses/>>.

Contents

1	Introduction	5
1.1	History	5
2	Background	5
3	Models	6
3.1	General model	6
3.2	Heterogeneity of variances	6
3.3	Submodels	7
3.4	Mixture (BayesCPi) modelling of marker locus effects	7
3.5	Bayesian Lasso	7
3.6	A priori information	8
4	Functionality	9
4.1	MCMC	9
4.2	BLUP	9
4.3	MCMCBLUP	9
4.3.1	Bayes Factors	9
4.4	PREDICT	10
5	Use	10
5.1	Parameter file	10
5.1.1	Files and input-output	12
5.1.2	Model features	12
5.1.3	How to use the Bayesian Lasso	14
5.1.4	MCMC and convergence features	14
5.1.5	A priori and starting information	15
5.2	Pedigree file	16
5.3	Data file	16
5.4	Genotype file	17
5.5	Missing values of traits or genotypes	17
5.6	Binary (all or none) traits	18
5.7	Variations	18
5.7.1	Changing random seeds	18
5.8	Compiling	18
5.9	Run	18
5.10	Output	18
5.10.1	Solution file	20
5.10.2	Variance components samples	20

5.10.3	EBV file	21
5.10.4	Prediction file	21
6	Reminder of all different options	22

1 Introduction

This draft describes using and understanding a software for genome-wide genetic evaluations and validations, inspired in the theory by [11], and used for own our research in [9].

In short: it estimates effects of SNPs, either using a priori normal distributions (GBLUP), or the Bayesian Lasso [14, 1, 8] or a mixture of π normal and $1 - \pi$ a mass point at 0, namely BayesC(Pi) [5, 2]. *Note that our definition of π here is opposite to those authors: π = the fraction of SNPs “having” an effect.*

The program is self-contained, using modules from Ignacy Misztal’s BLUPF90 distribution at <http://nce.ads.uga.edu/~ignacy>. Some functions and subroutines have been taken from the Alan Miller web page at <http://users.bigpond.net.au/amiller/>. It has been tested with NAG f95, ifort and gfortran ≥ 4.3 . Gustavo de los Campos helped us with the heterogeneous variances and an R code for the Bayesian Lasso.

The computing methods have been described in [7], as well as in [2].

1.1 History

We wrote this program to implement genome-wide genetic evaluation (*aka* genomic selection) in mice [9], as there was nothing available around. The program uses Gibbs sampling, by means of an unconventional Gibbs sampling scheme [7]. It accepts quite general models.

We added BayesCPi end 2010, motivated basically for GWAS; and Bayesian Lasso in August 2011 as our previous version was not very user-friendly.

2 Background

Recently, the availability of massive “cheap” marker genotyping raised up the question on how to use these data for genetic evaluation and marker assisted selection. Proposals by [6, 11] among others, use a linear model for this purpose, in which each marker variant across the genome is assigned a linear effect, as follows:

$$y_i = \sum_{j=1}^n (z_{ijk} a_{jk}) + e_i$$

where y_i is the phenotype of the i -th animal, z_{ijk} is an indicator covariate for the i -th animal and the j -th marker locus in its k -th allelic form, and e_i

is a residual term. Hereinafter and for the sake of clarity we will refer to a_{jk} as “*marker locus effects*”.

For the sake of simplicity, we further assumed biallelic loci and a simpler model as follows. In the j -th locus, there are two possible alleles for each SNP (say a and A), and there are three possible genotypes: “ aa ”, “ aA ” and “ AA ”. We arbitrarily assign the value $-\frac{1}{2}a_j$ to the allele “ a ” and the value $+\frac{1}{2}a_j$ to the allele “ A ”¹ This follows a classical parameterization in which a_j is half the difference between the two homozygotes [10]. These are the additive effects of the SNP’s and they can be thought of as classical substitution effects in the infinitesimal model.

As for the dominant effect d_j , it comes up when the genotype is “ aA ”.

3 Models

3.1 General model

The following kind of linear models is supported:

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{Z}\mathbf{a} + \mathbf{W}\mathbf{d} + \mathbf{T}\mathbf{g} + \mathbf{S}\mathbf{p} + \mathbf{e} \quad (1)$$

Including any number and kind (cross-classified, covariates) fixed effects (\mathbf{b}), and random (multivariate normal) additive \mathbf{a} and dominant \mathbf{d} marker locus effects, polygenic infinitesimal effects \mathbf{g} , and random environmental effects \mathbf{p} (also known as permanent effects).

If the prior distribution of \mathbf{a} is considered to be normal [15], this model is often called GBLUP or BLUP.SNP. Random effects have associated variance components. You can estimate them using the software, or (much faster), if you have previous estimates of genetic variance σ_u^2 , you can use an *approximate* formula which is extensively discussed in [3]: $\sigma_a^2 = \sigma_u^2/2 \sum p_i q_i$ where p_i is the allelic frequency at SNP i .

3.2 Heterogeneity of variances

Heterogeneity of variances in the residual is accepted (v.gr., for use of DYD’s with their accuracies) through a column of weights. These works as follows: let ω_i be the weight for record i . These implies that the distribution for y_i is:

$$y_i | \dots = N(\hat{y}_i, \sigma_e^2/\omega_i), \text{ where } \hat{y}_i = \mathbf{x}_i\mathbf{b} + \mathbf{z}_i\mathbf{a} + \mathbf{w}_i\mathbf{d} + \mathbf{t}_i\mathbf{u} + \mathbf{s}_i\mathbf{c}.$$

¹Convention for sign of a has changed to the opposite as of 19/02/2013 (version 2.2.3). This generates an incompatibility backwards: solutions ofr additive SNP effects have signs reversed. EBVs, variances, and other efefcts keep unchanged.

Thus $\mathbf{e} \sim N(0, \mathbf{R})$, where $\mathbf{R}_{i,i} = \sigma_e^2/\omega_i$.

In a typical case, weights ω are reliabilities of DYD's expressed as "equivalent daughter contributions".

3.3 Submodels

Any submodel from the above can be used *but* random effects can only be included once, e.g., there is no possibility of including two random environmental effects (say litter and herd-year-season).

3.4 Mixture (BayesCPi) modelling of marker locus effects

It is reasonable to assume that most marker loci are *not* in linkage disequilibrium with markers. A way of selecting a subset of them is by fixing a non-negligible *a priori* probability of their effects to be zero. Method BayesB [11] achieved this through variance components having values of zero. An alternative approach is to set up an indicator variable (δ) stating whether the marker has any effect (1) or not (0). That is, the model becomes:

$$y_i = \text{other effects} + \sum_{j=1}^n (z_{ij}a_j\delta_j) + e_i$$

with $\delta_j = (0, 1)$. The distribution of $\boldsymbol{\delta} = (\delta_1 \dots \delta_n)$ can be posited as a binomial, with probability π . This model (a mixture model) is more parsimonious than [11] and MCMC is straightforward [2]. On the other hand a prior distribution has to be postulated for π , and this is a beta distribution. Details can be found in [5].

3.5 Bayesian Lasso

The Lasso (least absolute shrinkage and selection operator [14]) combines variable selection and shrinkage. Its Bayesian counterpart, the Bayesian Lasso [12] provides a more natural interpretation in terms of a priori distributions. In particular, Bayesian Lasso provides a fully parametric model with a simple Gibbs sampler implementation. Further, the exponential distribution of the Lasso is thought to reflect reasonably well the nature of quantitative trait locus (QTL) effects [4]. The Bayesian Lasso has been used in genomic selection with good results [1, 8]. There are two possible implementations of the Bayesian Lasso [14, 12]; [8] compared both. In this program, only Tibshirani's implementation is used; this was called BL2Var

by [8]. To use Park & Casella 's [12], I recommend package BLR for R, available in <http://cran.r-project.org/web/packages/BLR/index.html>.

For an individual SNP, the prior distribution is thus as follows:

$$\Pr(a_i|\lambda) = \frac{\lambda}{2} \exp(-\lambda|a_i|)$$

But this can be written as:

$$\Pr(a_i|\tau^2) = N(0, \tau_i^2)$$

$$\Pr(\tau_i^2) = \frac{\lambda^2}{2} \exp(-\lambda^2|\tau_i^2|)$$

So, basically we are estimating individual variances for each SNP (as in BayesB). These variances can be used to weight each SNP when constructing a genomic relationship matrix. Initial value for parameter *lambda* is not entered as such; rather, an initial value of $\lambda^2 = 2/\sigma_a^2$ is used.

3.6 A priori information

Prior inverted-chi squared distributions can be postulated for variance components $\sigma_a^2, \sigma_d^2, \sigma_u^2, \sigma_c^2, \sigma_e^2$ for estimation with **VCE**. These are also starting values. For ease of use, we have considered that beta distributions (with α and β parameters) for π and inverted-chi squared distributions for the different variances. Note that values of $\alpha = 0$ or $\beta = 0$ will cause problems because the Beta distribution will be ill-defined. Note also that

- $\alpha = 1$, $\beta = 1 \rightarrow$ uniform distribution on π .
- $\alpha = 1$, $\beta = 10d10 \rightarrow \pi$ almost certainly close to 0 (most SNPs have no effect).
- $\alpha = 10d8$, $\beta = 10d10 \rightarrow \pi$ almost exactly fixed to 0.01 (on average, 10% SNPs will have an effect).

These prior distributions are used when a full MCMC is run but not for BLUP estimation or in the **PREDICT** option.

For λ the prior is bounded between 0 and 10^7 .

4 Functionality

4.1 MCMC

A full MCMC is run with the keyword **VCE**. This samples all possible unknowns ($\mathbf{y}, \mathbf{b}, \mathbf{a}, \mathbf{d}, \mathbf{g}, \mathbf{p}, \sigma_a^2, \sigma_d^2, \sigma_g^2, \sigma_p^2, \sigma_e^2$) and $\boldsymbol{\delta}$ and hyperparameter π if requested. Output are samples of variance components components and π and a posteriori means for $\mathbf{b}, \mathbf{a}, \mathbf{d}, \mathbf{g}, \mathbf{p}$. “Generalized” genomic breeding value estimates (EBV’s), i.e., the sum of the “polygenic” (pedigree based) and the SNP effects: $EBV_i = \hat{g}_i + \mathbf{z}_i \hat{\mathbf{a}} + \mathbf{z}_i \hat{\mathbf{d}}$ are also in the output.

Continuation (in the case of sudden interruption or just the desire of running more iterations) are possible via a specific keyword (but not for the Bayesian Lasso). The continuation is done by reading the last saved state of the MCMC chain, so be careful not to delete that file (named `parameter_file_cont`).

4.2 BLUP

BLUP is defined here in the spirit of Henderson’s BLUP, as in [11]. Therefore it is an estimator that assumes known variances for all random effects and $\boldsymbol{\delta} = \mathbf{1}, \pi = 1$ (i.e. there is no filtering on which markers trace QTLs). The keyword is **BLUP**.

4.3 MCMCBLUP

Same as before, but random effects are estimated via Gibbs sampler (assuming known variances). These provides standard errors of the estimates. The keyword is **MCMCBLUP**.

4.3.1 Bayes Factors

Here there is the way of computing Bayes Factor from results of SNP-BLUP. Including at the end the option

OPTION Bayes Factor n a b

where (optional, with default value 1) n indicates the number of consecutive markers (bracket) considered (e.g. Bayes Factors will be computed for sizes 1, then 2... up to n), and (optional, but if they are included n must be explicitly indicated) a and b indicate the first and last marker to be included in the computations. Note that the larger the n the more resources will be consumed. The output is on file `parameter file_BF`. with

columns **i pos nBF BF logp0 logp1**: number of the leftmost marker considered, number of the marker in the middle of the bracket, the *log* (in natural base) of the Bayes Factor and its two components logL0 and logL1.

4.4 PREDICT

Option PREDICT computes estimates of the prediction of phenotype given model estimates. This is useful for cross-validation, but for computation of overall individual genetic values as well, if any of **a,d,u** are included. Additive values would be **a,u**. The keyword is PREDICT.

For example, if you have candidates for selection, create a file with dummy phenotypes (e.g. 0) and pass them through PREDICT.

5 Use

5.1 Parameter file

This is an example of a typical file running a full MCMC analysis. It is quite messy :-). Be careful, the order has to be kept!

```
DATAFILE
./exo_data.txt
PEDIGREE FILE
./pedigri.dat
GENOTYPE FILE
./exo_genotypes.txt
NUMBER OF LOCI (might be 0)
10946
METHOD (BLUP/MCMCBLUP/VCE/PREDICT)
VCE
SIMULATION
F
GIBBS SAMPLING PARAMETERS
NITER
10
BURNIN
2
THIN
10
CONV_CRIT (MEANINGFUL IF BLUP)
1d-4
CORRECTION (to avoid numerical problems)
```

```

1000
VARIANCE COMPONENTS SAMPLES
var2
SOLUTION FILE
solutions2
TRAIT AND WEIGHT COLUMNS
1 0 #weight
NUMBER OF EFFECTS
5
POSITION IN DATA FILE TYPE OF EFFECT  NUMBER OF LEVELS
6 cross 1
5 add_animal 2272
7 perm_diagonal 2000
8 add_SNP 0
8 dom_SNP 0
VARIANCE COMPONENTS (fixed for any BLUP, starting values for VCE)
vara
2.52d-04 2
vard
1.75d-06 2
varg
3.56 2
varp
2.15 2
vare
0.19 2
RECORD ID
5
CONTINUATION (T/F)
F
MODEL (T/F for each effect)
T T T T T
A PRIORI a
1 1
a PRIORI D
1 1
USE MIXTURE (BAYES C)
T

```

Let analyze by *logical* sections.

5.1.1 Files and input-output

This should be self-explanatory. If you do not have pedigree file, put a blank line.

```
DATAFILE
./exo.txt
PEDIGREE FILE
./pedigri.dat
GENOTYPE FILE
./exo_genotypes.txt
...
VARIANCE COMPONENTS SAMPLES
var.cage.animal.txt
SOLUTION FILE
solutions.cage.animal.txt
```

Note that the continuation file is automatically created as parameter file.cont.

Other files automatically created are **predictions** (if PREDICT) and parameter file.EBVs with estimated breeding values.

5.1.2 Model features

```
NUMBER OF LOCI (might be 0)
10946
METHOD (BLUP/MCMCBLUP/VCE/PREDICT)
BLUP
...
TRAIT AND WEIGHT COLUMNS
1 0 #column 0 for weight means no weight
NUMBER OF EFFECTS
5
POSITION IN DATA FILE TYPE OF EFFECT  NUMBER OF LEVELS
6 cross 1
5 add_animal 2272
7 perm_diagonal 600
8 add_SNP 0
8 dom_SNP 0
...
MODEL (T/F for each effect)
T T T T T
```

```

...
USE MIXTURE (BAYESC)
T

```

In the **TRAIT AND WEIGHT COLUMNS** the column of trait and its weight have to be specified. If the column for weight is 0, then no weight is assumed.

For the methods, see above.

This is a model with one fixed effect (overall mean), 2272 polygenic (pedigree-based) random effects, 600 “permanent” effects and dominant and additive effects for the SNPs. The number of loci is the total number of SNPs, but this is again computed from the data file.

This section allows to describe your model and put or remove effects. Remember: you need to put at least a “fixed” cross-classified effect, for instance an overall mean. This is not done automatically. If you only fit random effects, you might get very, very weird results.

Write as many lines under **POSITION...** as number of effects. The **POSITION** means in which the column the effect is located in the data file (which has to be in free format, i.e., columns separated by spaces). This is irrelevant for **add_SNP** and **dom_SNP**, they are read from genotype file. The **TYPE OF EFFECT** is one of the following (with their respective keywords):

- **cross** generic cross-classified ”fixed” effect
- **cov** generic covariable
- **add_SNP** additive SNP effect
- **dom_SNP** dominant SNP effect
- **add_animal** additive infinitesimal effect
- **(perm_diagonal)** generic environmental random effect

You can put in your model as many generic covariables and cross-classified “fixed” effects as you want but you can put *only one* (or none) of the other.

The **NUMBER OF LEVELS** has to be 1 for covariables (no possibility for nested covariables and the like); for the SNP effects, it is determined by the **NUMBER OF LOCI**.

The `MODEL` statement allows to quickly change the model fixing a logical variable `in_model` to true (`t`) or false (`f`). But using this feature quickly becomes confusing.

The `USE MIXTURE (BAYESC)` statement starts (if `VCE`) the BayesCPi method.

5.1.3 How to use the Bayesian Lasso

This is done adding at the end of the parameter file *exactly* the following line: `OPTION BayesianLasso Tibshirani.`

And also:

- Setting option as `VCE`
- Putting `USE MIXTURE` as `F`

5.1.4 MCMC and convergence features

```
GIBBS SAMPLING PARAMETERS
NITER
10000
BURNIN
2000
THIN
10
CONV_CRIT (MEANINGFUL IF BLUP)
1d-4
CORRECTION (to avoid numerical problems)
1000
```

That is, a number of iterations of 10000 with a burn-in of 2000 and a thin interval of 10. The convergence criteria `CONV_CRIT` is used for BLUP, where Gauss Seidel with Residual Update is used [7]. The `CORRECTION` is used for this same strategy. Rules of thumb are:

- For MCMC: number of iterations of 100000 and burn-in of 20000. This is a *minimum* if you include SNPs and you estimate variances. Correction every 10000 iterations.
- For BLUP (known variances): number of iterations of 10000 (it will stop before); put a convergence criteria of 10^{-12} (`1d-12`) and correction every 100 iterations.

5.1.5 A priori and starting information

```
VARIANCE COMPONENTS (fixed for any BLUP, starting values for VCE)
vara
2.52d-04 -2
vard
1.75d-06 -2
varg
3.56 -2
varp
2.15 -2
vare
0.19 -2
RECORD ID
5
CONTINUATION (T/F)
F
...
A PRIORI a
1 10
a PRIORI D
1 1
```

Under **VARIANCE COMPONENTS** initial or a priori values are given for SNP effects (SNP effects **a** and **d**, polygenic breeding values **g**, permanent effects **p**. So, **vara vard varg varp vare** are, respectively, the variance of the additive SNP effect (σ_a^2), the variance of the dominance SNP effect (σ_d^2), the variance of the polygenic, pedigree-based genetic effect (σ_g^2), the variance of the permanent effect (σ_p^2), and the variance of the residual, σ_e^2 . If the strategy is BLUP, these are the known variances; otherwise, for any MCMC, the values that we provide here are a priori distributions (inverted chi squared) for variance components. The first value is the *expectation* of the a priori distribution; the second one are the degrees of freedom. If the degrees of freedom are -2, these are “flat” (improper) distributions (roughly) equivalent to assumptions under REML.

If (moreover) the task is MCMC, these variances will be estimated (sampled) as far as their corresponding effects are included in the model; for instance, if the model is $\mathbf{y} = \mathbf{Xb} + \mathbf{Za} + \mathbf{Tg} + \mathbf{e}$, only variances σ_a^2 , σ_g^2 and σ_e^2 will be estimated, whereas the others will remain at initial values.

Under **A PRIORI** the proportions of the BayesCPi mixture are given as values of the (in the example $\alpha = 1, \beta = 10$; in this order) parameters of the Beta distribution.

The `RECORD` ID is used to trace the records across the cross-validation process. This should be numeric field with a unique number for each record (not necessarily correlative).

The `CONTINUATION` statement implies this run (a MCMC one) is a continuation of a previous, interrupted one. *If this is the case*, a new file with variance components samples is created, as *variances file_cont*.

5.2 Pedigree file

The pedigree file has three columns: animal, sire, dam, separated by white spaces (free format). All have to be renumbered consecutively from 1 to n . Unknown parents are identified as 0. A fragment follows:

342	0	0
343	0	0
344	0	0
345	150	323
346	104	277
347	91	263
348	81	253
349	141	314
350	157	330

5.3 Data file

The format is free format (e.g. column separated by spaces). Trait values, covariables, cross-classified effects (coded from 1 to the number of levels), and the record ID can be in any order.

20.3	1.08004	0.952123	1.45443	345	1	69
26.7	0.99726	1.01302	1.13901	346	2	27
19.5	1.08285	0.900454	1.33243	347	2	43
22.2	1.02697	1.01719	0.92849	348	2	2
17.3	1.05095	0.958695	1.42519	349	1	218
18.1	1.0204	1.05445	0.384847	350	2	17
25.6	0.95566	0.947974	2.06488	351	2	57
20.6	1.01382	0.921759	1.59988	352	2	36
17.3	1.01025	0.99182	1.11917	353	1	550
16.3	1.00517	0.993156	0.815969	354	2	66

The first four columns are the trait values, the 5th column is the animal ID (coded as in the pedigree file), the 6th is a cross-classified sex effect, the 7th column is the “cage” effect.

5.4 Genotype file

This has to be in *fixed* format, i.e. id from column *i* to *j* and SNPs from column *k* to *l*. The format is detected by reading the first line and looking for the first space from column 50 backwards. The SNP effects have to be in one single column, coded as 0/1/2 for aa/Aa/AA (i.e., no letters, no triallelic SNP); a value of 5 implies a missing value (see below). No space is allowed among SNPs. An example (41 SNP loci) follows:

```

45 11112121112121121102111121110101112021000
346 11211112112110211111211121110112012021000
347 202222220202022020222222220202002022000
1358 11112121112121121102111121110101112021000

```

NOTE If the number of SNPs is small, the position of the last SNP will be before column 50. If this is the case, insert a fixed number of spaces, so that the position of the last SNP will be *after* column 50 but the position of the first SNP is before column 50, i.e. the SNP genotypes must overlap with column 50. For instance:

```

45                                00
346                               00
347                               20
1348                              10

```

or

```

45 00
346 00
347 20
1348 10

```

Note that if your SNP column is buggy (less or more SNP than expected) you might have unpredictable results.

5.5 Missing values of traits or genotypes

Values of the trait of -9999 are treated as missing values. For convenience, the following intruction (at the end of the parameter file) **OPTION MissingValue 0** tells GS3 to take 0 (or whatever value you put after **MissingValue**) as a missing value. This is done by setting the weight of the record to a very small value (10^{-50}). For binary traits this is different; see below.

If there are missing values for SNP effects, animals are set to the average of the population for additive SNP effects. Nothing is done for dominant effects (i.e., covariate is set to 0).

5.6 Binary (all or none) traits

A threshold model (or probit) has been implemented, much as in [13]. To use it, write *exactly*

```
OPTION BinaryTrait
```

at the end of the file. In this case, phenotypes need to be coded as 1 or 2; 0 is treated as a missing value. Estimates (SNP effects, genetic values, variance components, heritabilities) will be on the underlying scale known in the literature as “liability”.

5.7 Variations

5.7.1 Changing random seeds

If you want to check your results with a different run, you can change the random seeds in `MODULE Ecuyer_random`, calling subroutine `init_seeds` at the beginning of the main program.

5.8 Compiling

The Fortran code is pretty standard, although some of the libraries might require some compiler switches for portability. The main program uses a list structure using “allocatable components”, aka TR 15581, which is standard in Fortran95 and available in most compilers, in particular in the free (GNU GPL licensed) compilers `gfortran` (≥ 4.3) and `g95`.

5.9 Run

Running is as simple as calling it from the command line stating the parameter file:

```
legarra@cluster:~/mice/gsiiod/gs_sparse$ ./gs3 together.031210.par
```

5.10 Output

The program does some internal checking and informative printouts, as follows:

```
-----  
--          GS3          --  
-----  
by A.Legarra  
A. Ricard, O. Filangi
```

```

INRA, FRANCE
03/12/2010
-----
03/12/2010 16:11:29
parameter file:
together.031210.par

data file:
./exo_data.txt

with:          1884 records
reading positions      6          5          7          0          0
the record id is in column      5
trait read in          1 with weight in col          0
pedigree file:
./pedigri.dat

with:          2272 records read
genotype file:
./exo_genotypes.txt

with:          1884 records read
model with          5 effects=
-> generic cross-classified 'fixed' effect in position          6
    with          2 levels
-> additive infinitesimal effect in position          5
    with          2272 levels
-> generic environmental random effect in position          7
    with          2000 levels
-> additive SNP effect in position          0
    with          10946 levels
-> dominant SNP effect in position          0
    with          10946 levels
for a total of          26166 equations
length(in_data)=          7
reading format(i10,1x,10946i1)
-----

```

With the BLUP option convergence is shown:

```

eps:    6.13867049738422
        10 ef 1 to 3  18.1022540273806      22.4239450726179
0.764741819531106      vara, vard, varg, varp, vare, pa(1), pd(1)
2.520000000000000E-004  1.750000000000000E-006      3.560000000000000
2.150000000000000      0.190000000000000      0.500000000000000
0.500000000000000
03/12/2009 08:07:07
eps:    0.953530105950441
        20 ef 1 to 3  18.1146884454257      22.4040588447695
0.651695870345913      vara, vard, varg, varp, vare, pa(1), pd(1)
2.520000000000000E-004  1.750000000000000E-006      3.560000000000000
2.150000000000000      0.190000000000000      0.500000000000000
0.500000000000000
03/12/2009 08:07:09
...
03/12/2009 08:11:48
1382 eps  9.952282839310986E-005

```

```
solutions stored in file:
solutions.cage.animal.txt

transforming X -> divide, weighted = F
transforming yZW ->divideweighted = F
EBV's written in together.cage.par_EBVs
```

and the PREDICT option:

```
--predicting--
predicting ./exo2.txt from solutions in solutions.cage.animal.txt
to file 'predictions'
...
predictions written
EBV's written in together.cage.predict_EBVs
--prediction finished, end of program!--
```

whereas with the MCMC option there are prints to the screen every *thin* iterations, with current samples for variance components , and the first three effects. It is interesting to check it because very high or low variances usually mean convergence problems. An example of typical output is:

```
10 ef 1 to 3 18.1218315671272 22.4329129824538
4.11723314223575 vara, vard, varg, varp, vare, pa(1), pd(1), includeda
9.322796136633381E-005 2.495193547212199E-006 5.94763640217896
```

5.10.1 Solution file

The solution file name has been written in the parameter file. It looks as follows:

```
effect level solution sderror p tau2 sdttau2
2 1 -0.41E-02 0.24282092E-01 1.0 0.64E-03 0.66E-03
2 2 0.40E-02 0.26491797E-01 1.0 0.71E-03 0.75E-03
...
```

where the effect, level and solution are self-explanatory; as for the sderror, it contains the standard error as computed by VCE or MCMCBLUP options; p is the posterior probability that the SNP is retained in the BayesC model; tau2 are the individual variances τ^2 for each SNP, as computed from Bayesian Lasso.

5.10.2 Variance components samples

Variance components, π 's from BayesCPI and λ^2 from Bayesian Lasso are stored in the appropriate file, which looks as follows:

```
vara vard varg varp vare pa_1 pd_1 2varapqpi lambda2
0.28955E-03 0.175E-05 3.56 2.15 4.4927 1.0 1.0 1.0951 6907.3
0.30484E-03 0.175E-05 3.56 2.15 4.2219 1.0 1.0 1.1529 6560.8
```

where we found the variance components and `pa_1`, `pd_1` are the π proportions of the mixture for non-null additive and dominant marker locus effects, respectively. Also, `2varapqpi` is actually

$$2\sigma_a^2\pi \sum p_i q_i$$

that is, an estimator of the total genetic variance *due to markers* in the population [3]. This estimator is correctly computed for all cases (GBLUP with VCE, BayesCPi, Bayesian Lasso). Actually, in the Bayesian Lasso, $\sigma_a^2 = 2/\lambda^2$. You should run Post-Gibbs analysis to verify convergence using this file. If you fit an infinitesimal effect with pedigree, you'll get as well estimates of σ_g^2 , and therefore the total genetic variance is

$$\text{Total genetic variance} = 2\sigma_a^2\pi \sum p_i q_i + \sigma_g^2$$

5.10.3 EBV file

A file with EBV's is always generated, with name `parameter file_EBVs`. This file contains the sum of marker locus effects for each record (identified by its id) in the data set, as well as the polygenic breeding value for that animal.

id	EBV_aSNP	EBV_dSNP	EBV_anim	EBV_overall
345	-0.593444	0.195513E-01	1.58850	1.01461
346	1.02768	0.133699E-01	1.54519	2.58624
347	-0.463641	0.110049E-01	-1.37548	-1.82812
348	0.709268	0.167737E-01	-1.02831	-0.302271
349	0.536807	0.111886E-01	-0.214559	0.333436
350	0.343763	0.104102E-01	-3.43426	-3.08008

5.10.4 Prediction file

When the `PREDICT` option is requested, a file `predictions` with predictions is written; this file looks as follows:

id	true	prediction
345	0.0000000000000000E+000	20.1683639909704
346	0.0000000000000000E+000	26.5835060932076
347	0.0000000000000000E+000	19.6251279892269
348	0.0000000000000000E+000	22.1100022521052
349	0.0000000000000000E+000	17.1784939889099
350	0.0000000000000000E+000	18.2351226649716
351	0.0000000000000000E+000	25.4024678477097

6 Reminder of all different options

GBLUP (RR BLUP, BLUP_SNP)	Set TASK to BLUP; define appropriate variance components <code>vara</code> , <code>varp</code> , etc.; define a convergence criterion and a number of iterations
BayesCPi with <code>Pi=1</code> (all SNPs enter in the model)	Set TASK to VCE; define priors for variance components; set USE MIXTURE to F
BayesCPi with “estimated” <code>Pi</code>	Set TASK to VCE; define priors for variance components; set USE MIXTURE to T; define Beta prior for <code>Pi</code>
BayesCPi with “fixed” <code>Pi</code>	Set TASK to VCE; define priors for variance components; set USE MIXTURE to T; define Beta prior for <code>Pi</code> to very high values (so that the prior overwhelms the likelihood), i.e. <code>1d8 99d8</code> for <code>Pi=0.01</code>
Bayesian Lasso	Set TASK to VCE; define priors for variance components (the value of λ is set to $\lambda^2 = 2/\sigma_a^2$) ; set USE MIXTURE to F ; put <code>OPTION BayesianLasso Tibshirani</code>
Prediction (predict phenotypes and EBVs for individuals with no phenotype)(Solutions are assumed to have been computed previously)	Set TASK to PREDICT; make sure that the model is correct and the <code>solutions</code> file is the correct one.

References

- [1] Gustavo de los Campos, Hugo Naya, Daniel Gianola, José Crossa, Andrés Legarra, Eduardo Manfredi, Kent Weigel, and José Miguel Cotes. Predicting quantitative traits with regression models for dense molecular markers and pedigree. *Genetics*, 182(1):375–385, May 2009.
- [2] Rohan L Fernando. Bayesian methods in genome association studies. Technical report, Iowa State University, 2010.

- [3] Daniel Gianola, Gustavo de los Campos, William G Hill, Eduardo Manfredi, and Rohan Fernando. Additive genetic variability and the bayesian alphabet. *Genetics*, 183(1):347–363, Sep 2009.
- [4] Mike Goddard. Genomic selection: prediction of accuracy and maximisation of long term response. *Genetica*, 136(2):245–257, Jun 2009.
- [5] K. Kizilkaya, R. L. Fernando, and D. J. Garrick. Genomic prediction of simulated multibreed and purebred performance using observed fifty thousand single nucleotide polymorphism genotypes. *J Anim Sci*, 88(2):544–551, Feb 2010.
- [6] R. Lande and R. Thompson. Efficiency of marker-assisted selection in the improvement of quantitative traits. *Genetics*, 124(3):743–756, Mar 1990.
- [7] A. Legarra and I. Misztal. Technical note: Computing strategies in genome-wide selection. *J Dairy Sci*, 91(1):360–366, Jan 2008.
- [8] Andrés Legarra, Christèle Robert-Granié, Pascal Croiseau, François Guillaume, and Sébastien Fritz. Improved lasso for genomic selection. *Genet Res (Camb)*, 93(1):77–87, Feb 2011.
- [9] Andrés Legarra, Christèle Robert-Granié, Eduardo Manfredi, and Jean-Michel Elsen. Performance of genomic selection in mice. *Genetics*, 180(1):611–618, Sep 2008.
- [10] M. Lynch and B. Walsh. *Genetics and analysis of quantitative traits*. Sinauer associates., 1998.
- [11] T. H. E. Meuwissen, B. J. Hayes, and M. E. Goddard. Prediction of total genetic value using genome-wide dense marker maps. *Genetics*, 157(4):1819–1829, 2001.
- [12] T. Park and G. Casella. The Bayesian Lasso. *Journal of the American Statistical Association*, 103(482):681–686, 2008.
- [13] Daniel Sorensen and Daniel Gianola. *Likelihood, bayesian and MCMC methods in quantitative genetics*. Springer, 2002.
- [14] R. Tibshirani. Regression shrinkage and selection via the lasso. *Journal of the Royal Statistical Society. Series B (Methodological)*, 58(1):267–288, 1996.

- [15] P. M. VanRaden. Efficient Methods to Compute Genomic Predictions.
J. Dairy Sci., 91(11):4414–4423, 2008.