

# Generative Adversarial Networks (GANs)

From Ian Goodfellow et al.

A short tutorial by :-

**Binglin, Shashank & Bhargav**

# Outline

- **Part 1: Introduction to GANs**
- **Part 2: Some challenges with GANs**
- **Part 3: Applications of GANs**

# Part 1

- Motivation for Generative Models
- From Adversarial Training to GANs
- GAN's Architecture
- GAN's objective
- DCGANs

# GANs

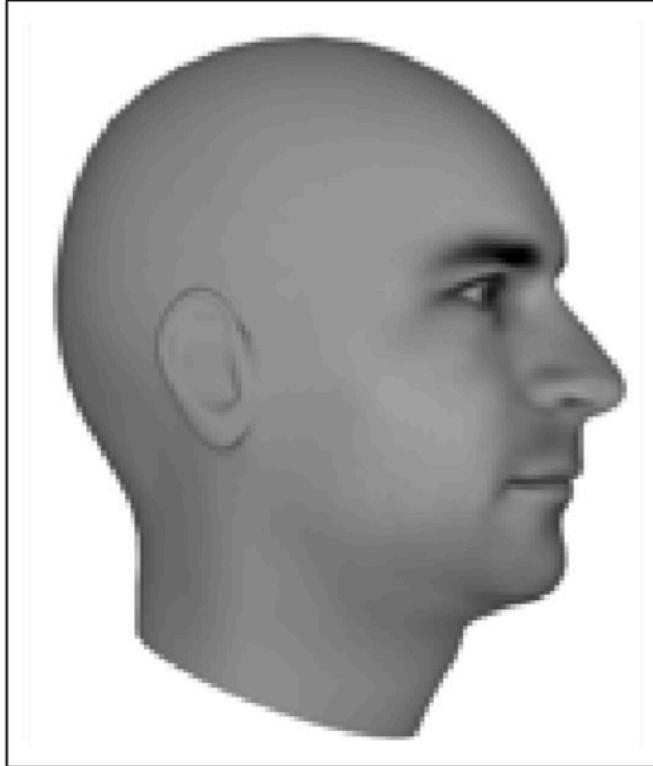
- **Generative**
  - Learn a generative model
- **Adversarial**
  - Trained in an adversarial setting
- **Networks**
  - Use Deep Neural Networks

# Why Generative Models?

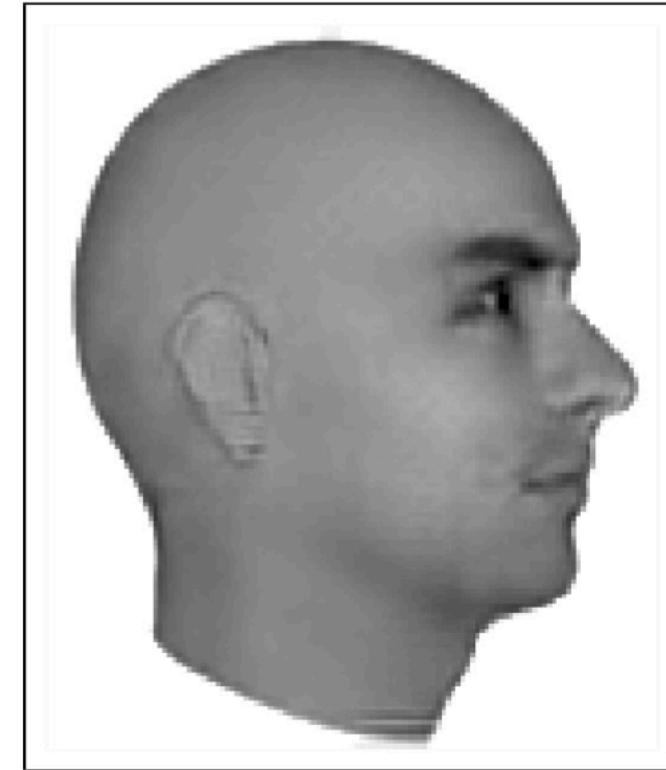
- **We've only seen discriminative models so far**
  - Given an image  $X$ , predict a label  $Y$
  - Estimates  $P(Y|X)$
- **Discriminative models have several key limitations**
  - Can't model  $P(X)$ , i.e. the probability of seeing a certain image
  - Thus, can't sample from  $P(X)$ , i.e. **can't generate new images**
- **Generative models (in general) cope with all of above**
  - Can model  $P(X)$
  - Can generate new images

# Magic of GANs...

Ground Truth

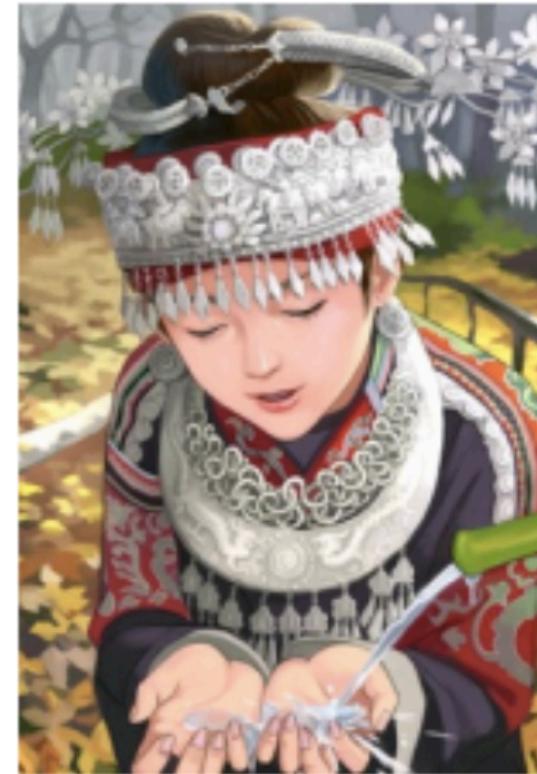


Adversarial

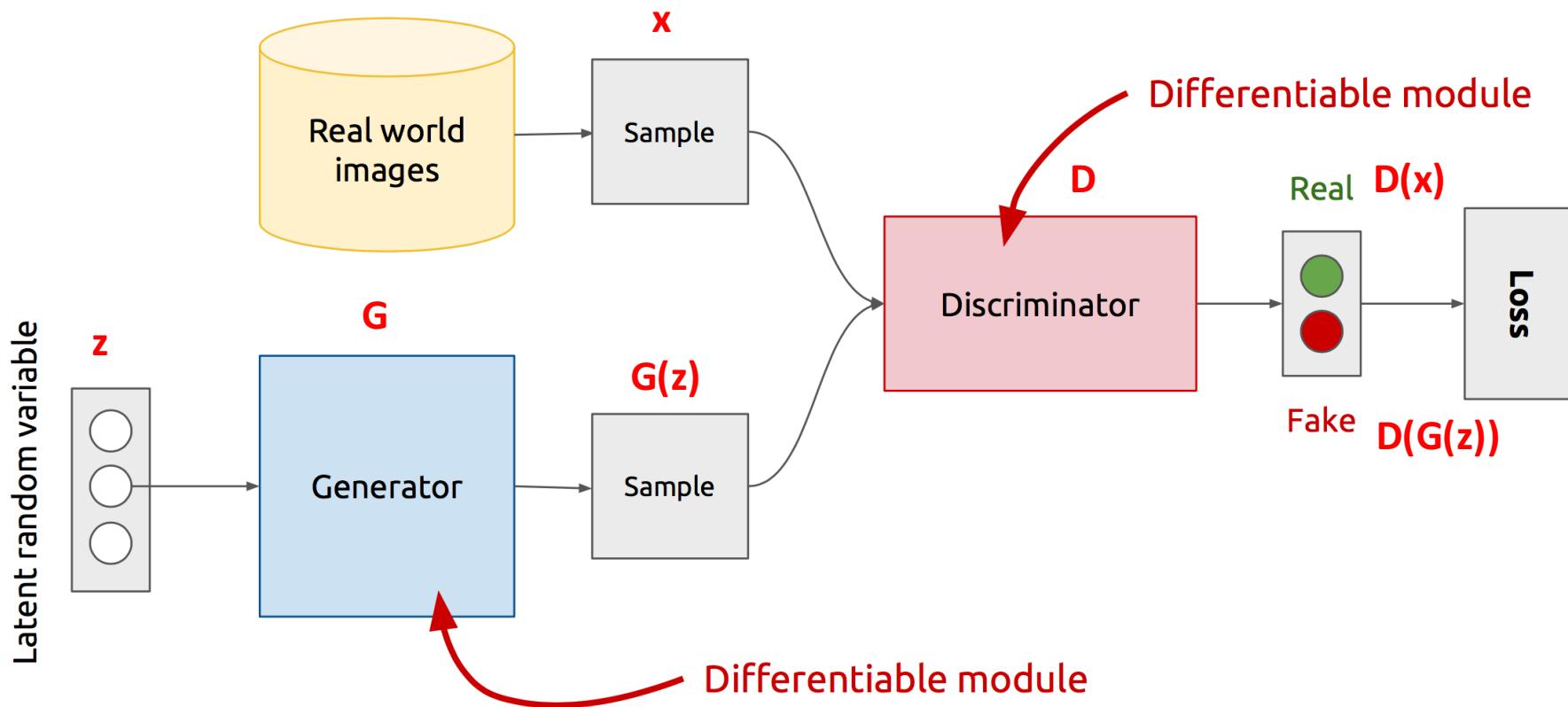


# Magic of GANs...

Which one is Computer generated?

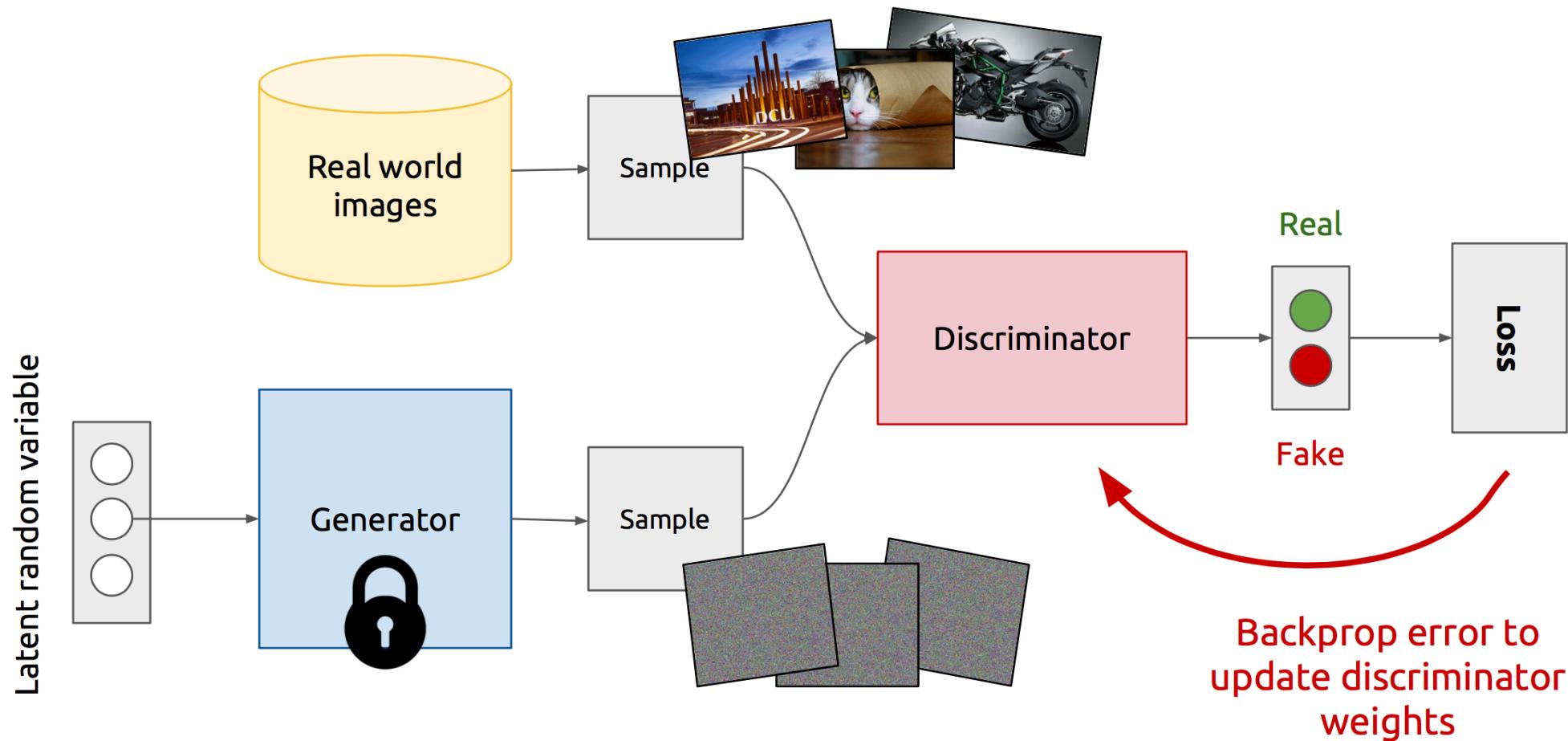


# GAN's Architecture

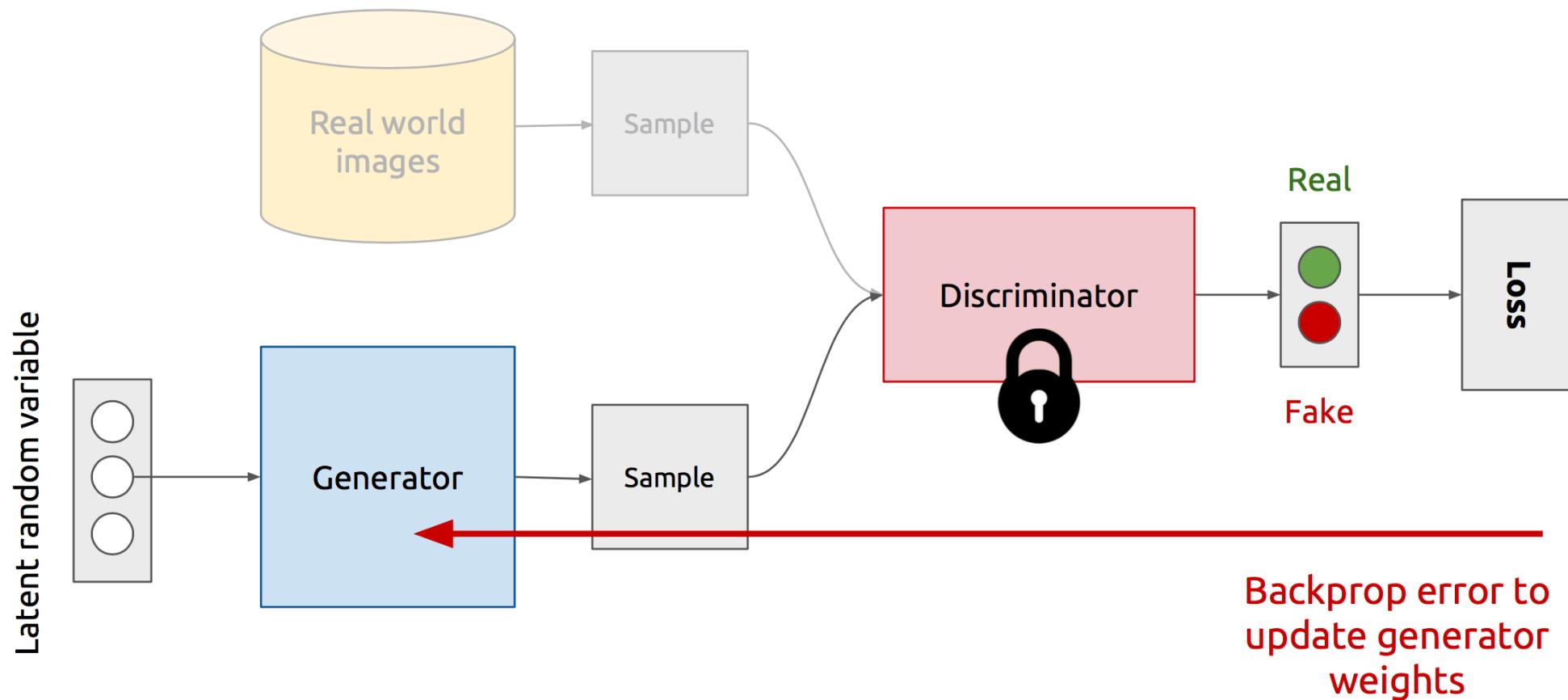


- $Z$  is some random noise (Gaussian/Uniform).
- $Z$  can be thought as the latent representation of the image.

# Training Discriminator



# Training Generator



# GAN's formulation

$$\min_G \max_D V(D, G)$$

- It is formulated as a **minimax game**, where:
  - The Discriminator is trying to maximize its reward  $V(D, G)$
  - The Generator is trying to minimize Discriminator's reward (or maximize its loss)

$$V(D, G) = \boxed{\mathbb{E}_{x \sim p(x)} [\log D(x)]} + \boxed{\mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]}$$

- The Nash equilibrium of this particular game is achieved at:
  - $P_{data}(x) = P_{gen}(x) \quad \forall x$
  - $D(x) = \frac{1}{2} \quad \forall x$

**Discriminator  
updates**

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**Algorithm 1** Minibatch stochastic gradient descent training of generative adversarial nets. The number of steps to apply to the discriminator,  $k$ , is a hyperparameter. We used  $k = 1$ , the least expensive option, in our experiments.

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**for** number of training iterations **do**

**for**  $k$  steps **do**

- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Sample minibatch of  $m$  examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{\text{data}}(x)$ .
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D(x^{(i)}) + \log (1 - D(G(z^{(i)}))) \right].$$

**end for**

- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log (1 - D(G(z^{(i)}))).$$

**end for**

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

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# Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

## Putting it together: GAN training algorithm

**for** number of training iterations **do**

**for**  $k$  steps **do**

- Sample minibatch of  $m$  noise samples  $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$  from noise prior  $p_g(\mathbf{z})$ .
- Sample minibatch of  $m$  examples  $\{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(m)}\}$  from data generating distribution  $p_{\text{data}}(\mathbf{x})$ .
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D_{\theta_d}(\mathbf{x}^{(i)}) + \log(1 - D_{\theta_d}(G_{\theta_g}(\mathbf{z}^{(i)}))) \right]$$

**end for**

- Sample minibatch of  $m$  noise samples  $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$  from noise prior  $p_g(\mathbf{z})$ .
- Update the generator by ascending its stochastic gradient (improved objective):

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log(D_{\theta_d}(G_{\theta_g}(\mathbf{z}^{(i)})))$$

**end for**

Some find  $k=1$  more stable, others use  $k > 1$ , no best rule.

Recent work (e.g. Wasserstein GAN) alleviates this problem, better stability!

# Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

**Generator network:** try to fool the discriminator by generating real-looking images

**Discriminator network:** try to distinguish between real and fake images

Train jointly in **minimax game**

Discriminator outputs likelihood in (0,1) of real image

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log \underbrace{D_{\theta_d}(x)}_{\text{Discriminator output for real data } x} + \mathbb{E}_{z \sim p(z)} \log(1 - \underbrace{D_{\theta_d}(G_{\theta_g}(z))}_{\text{Discriminator output for generated fake data } G(z)}) \right]$$

- Discriminator ( $\theta_d$ ) wants to **maximize objective** such that  $D(x)$  is close to 1 (real) and  $D(G(z))$  is close to 0 (fake)
- Generator ( $\theta_g$ ) wants to **minimize objective** such that  $D(G(z))$  is close to 1 (discriminator is fooled into thinking generated  $G(z)$  is real)

# Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

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Alternate between:

1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

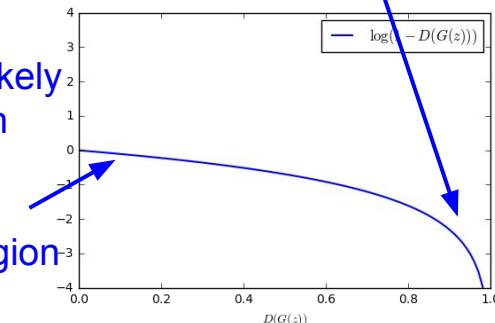
2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

In practice, optimizing this generator objective does not work well!

When sample is likely fake, want to learn from it to improve generator. But gradient in this region is relatively flat!

Gradient signal dominated by region where sample is already good



# Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Minimax objective function:

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Alternate between:

1. **Gradient ascent** on discriminator

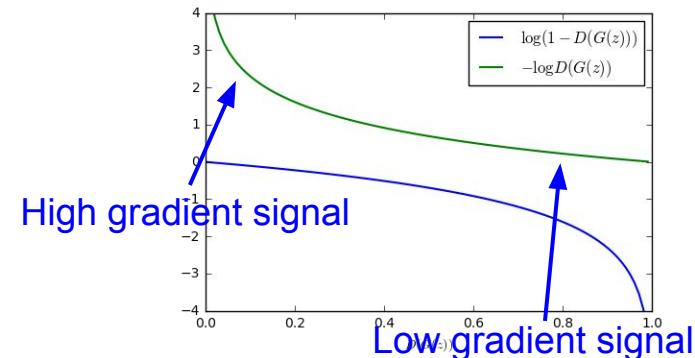
$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. Instead: **Gradient ascent** on generator, different objective

$$\max_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(D_{\theta_d}(G_{\theta_g}(z)))$$

Instead of minimizing likelihood of discriminator being correct, now maximize likelihood of discriminator being wrong.

Same objective of fooling discriminator, but now higher gradient signal for bad samples => works much better! Standard in practice.



# Vanishing gradient strikes back again...

$$V(D, G) = \min_G \max_D V(D, G)$$
$$V(D, G) = \mathbb{E}_{x \sim p(x)} [\log D(x)] + \boxed{\mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]}$$

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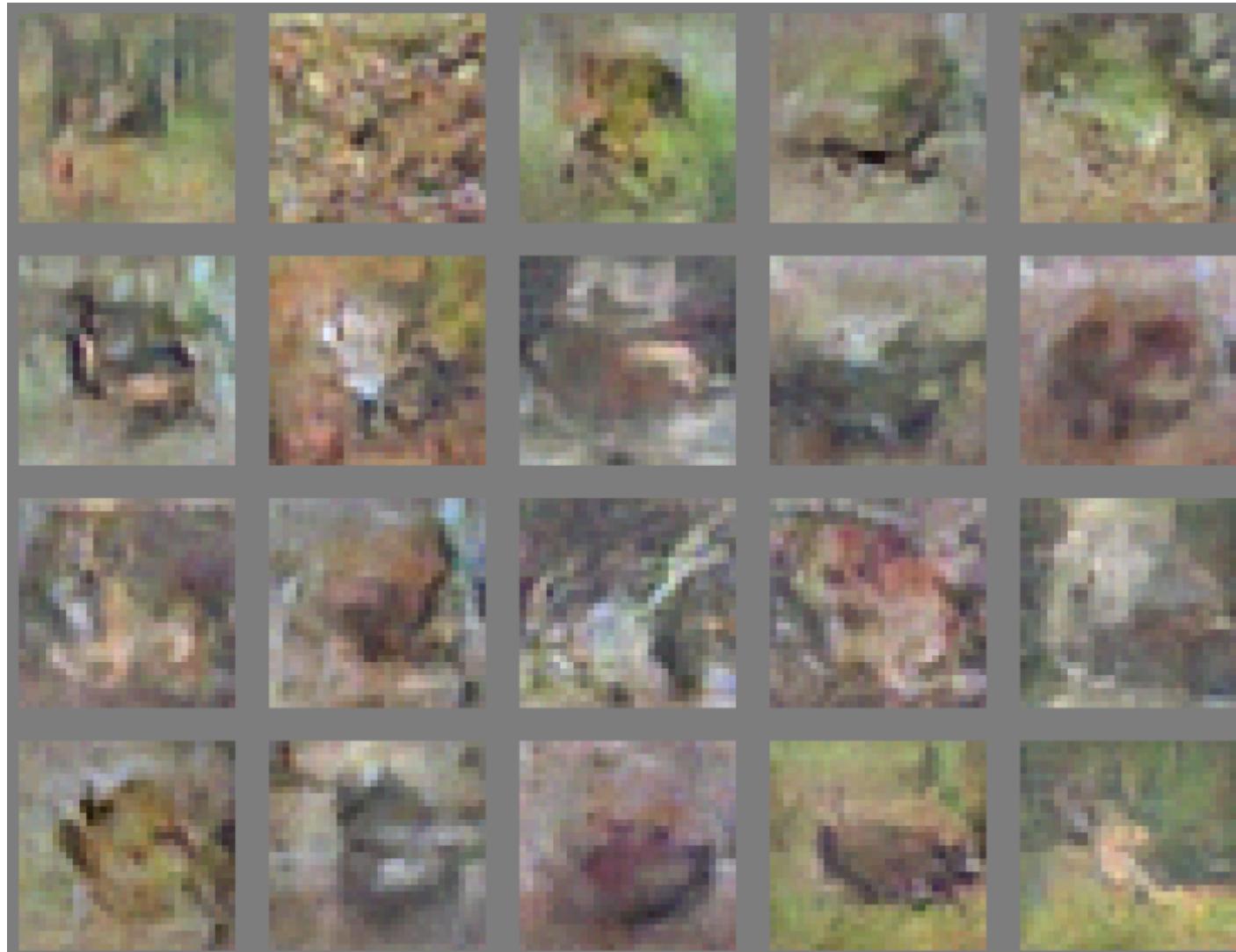
$$\nabla_{\theta_G} V(D, G) = \nabla_{\theta_G} \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

- $\nabla_a \log(1 - \sigma(a)) = \frac{-\nabla_a \sigma(a)}{1 - \sigma(a)} = \frac{-\sigma(a)(1 - \sigma(a))}{1 - \sigma(a)} = -\sigma(a) = -D(G(z))$
- Gradient goes to 0 if  $D$  is confident, i.e.  $D(G(z)) \rightarrow 0$
- Minimize  $-\mathbb{E}_{z \sim q(z)} [\log D(G(z))]$  for **Generator** instead (keep Discriminator as it is)

# Faces

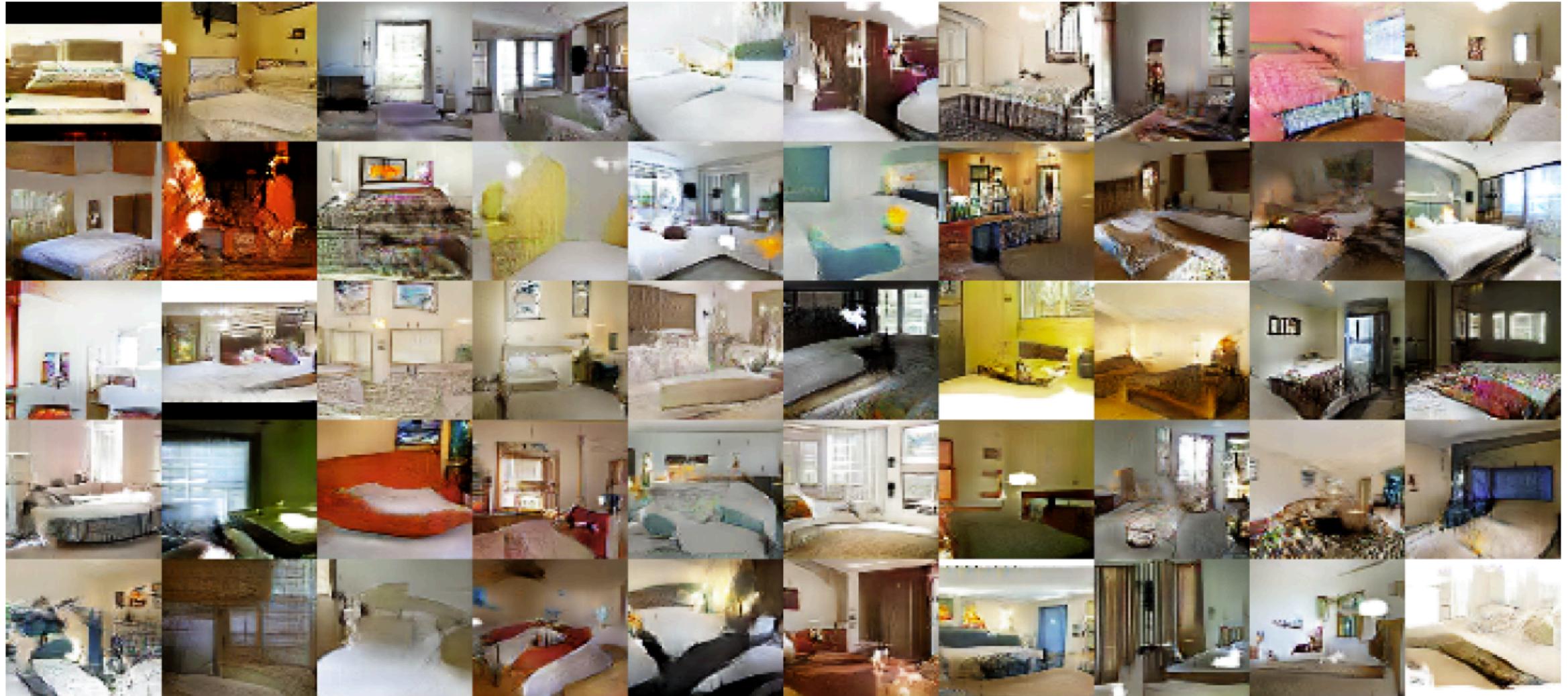


# CIFAR



Goodfellow, Ian, et al. "Generative adversarial nets." *Advances in neural information processing systems*. 2014.

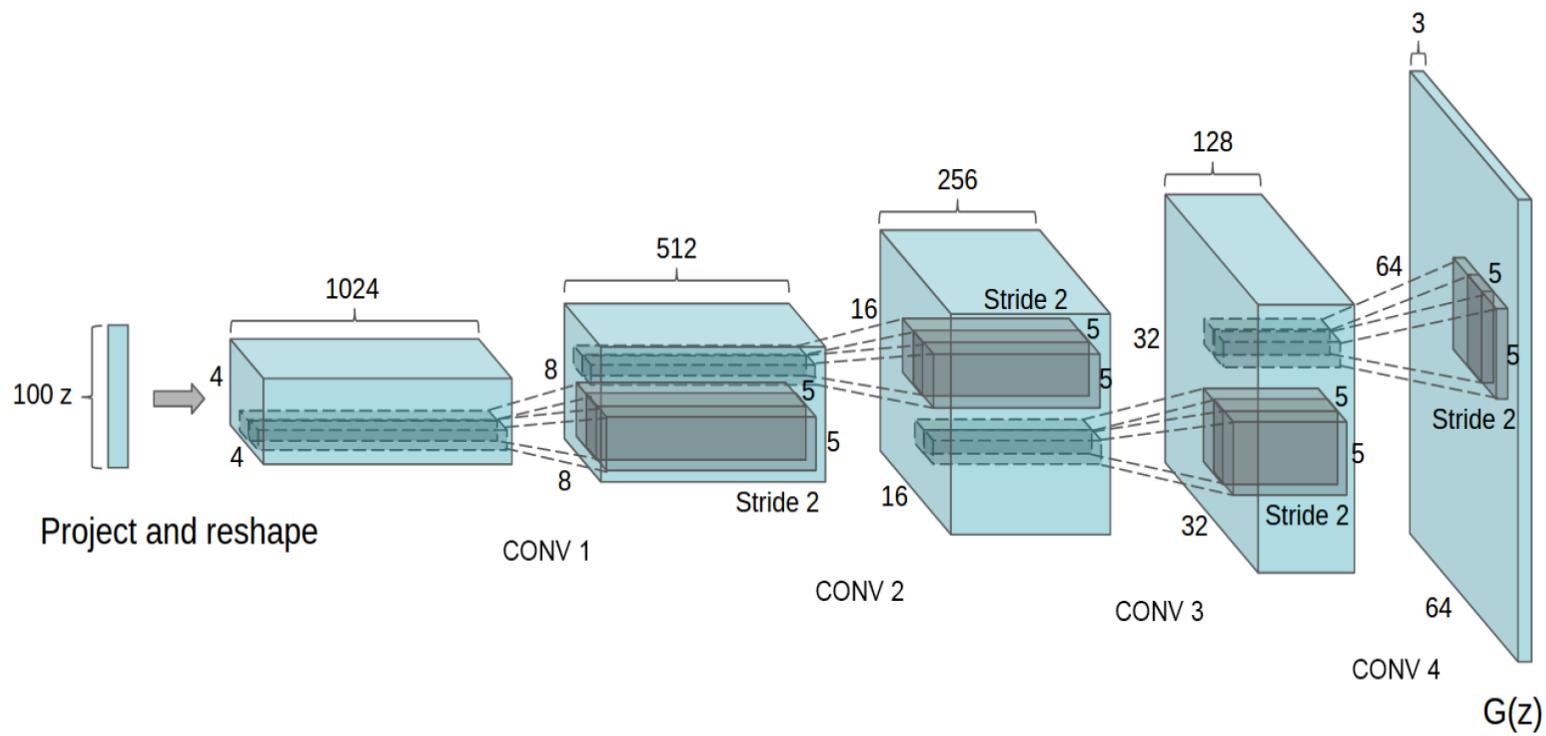
# DCGAN: Bedroom images



Radford, Alec, Luke Metz, and Soumith Chintala. "Unsupervised representation learning with deep convolutional generative adversarial networks." arXiv:1511.06434 (2015).

# Deep Convolutional GANs (DCGANs)

## Generator Architecture



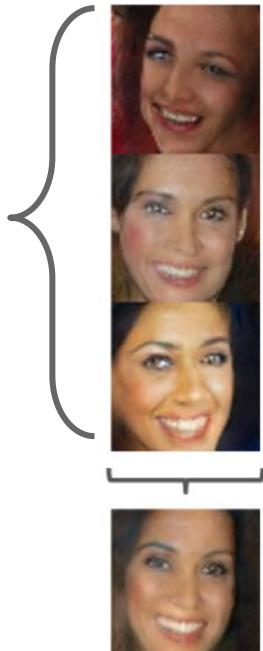
### Key ideas:

- Replace FC hidden layers with Convolutions
  - **Generator:** Fractional-Strided convolutions
- Use Batch Normalization after each layer
- **Inside Generator**
  - Use ReLU for hidden layers
  - Use Tanh for the output layer

# Generative Adversarial Nets: Interpretable Vector Math

Smiling woman   Neutral woman   Neutral man

Samples  
from the  
model



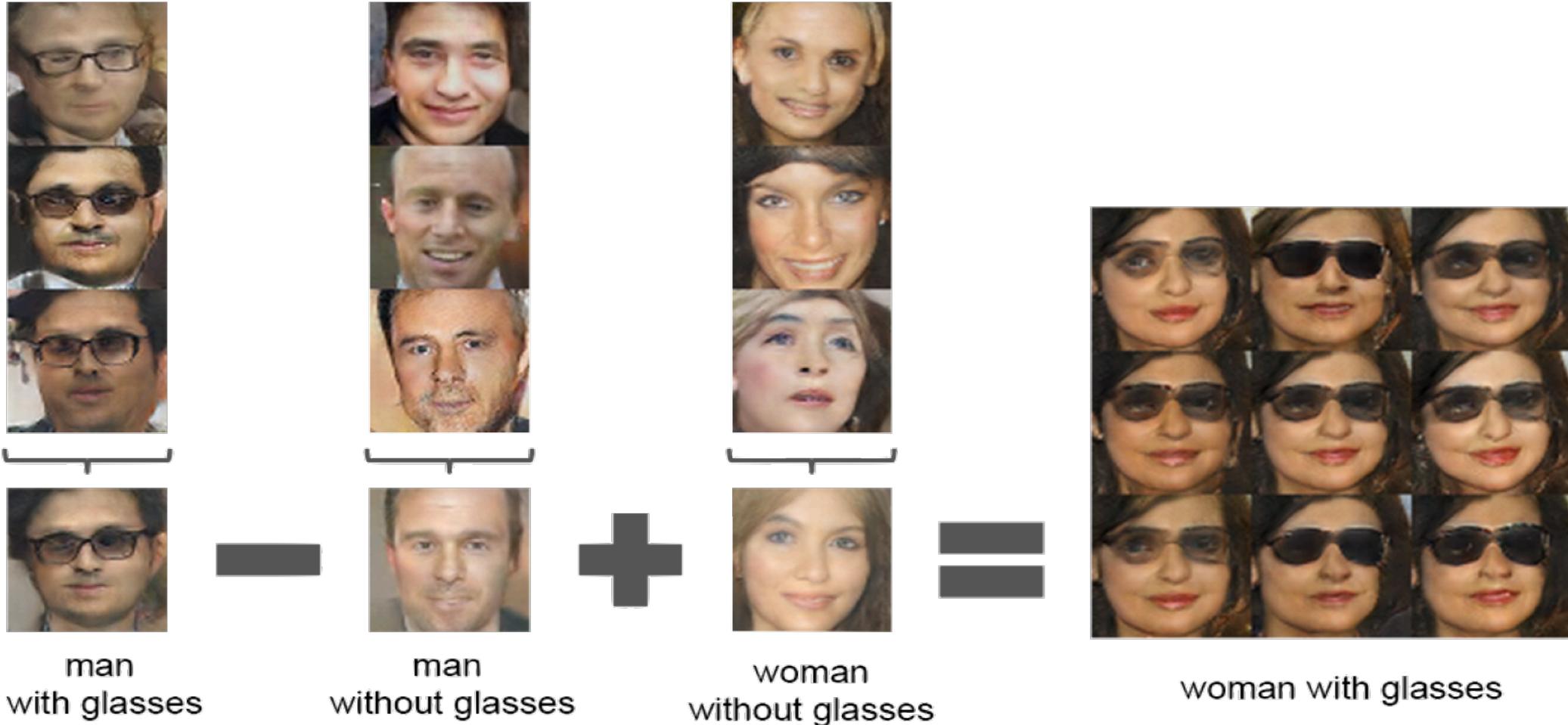
Average Z  
vectors, do  
arithmetic



Radford et al, ICLR 2016

Smiling Man

# Latent vectors capture interesting patterns...



# Part 2

- **Advantages of GANs**
- **Training Challenges**
  - Non-Convergence
  - Mode-Collapse
- **Proposed Solutions**
  - Supervision with Labels
  - Mini-Batch GANs
- **Modification of GAN's losses**
  - Discriminator (**EB-GAN**)
  - Generator (**InfoGAN**)

# Training Problems

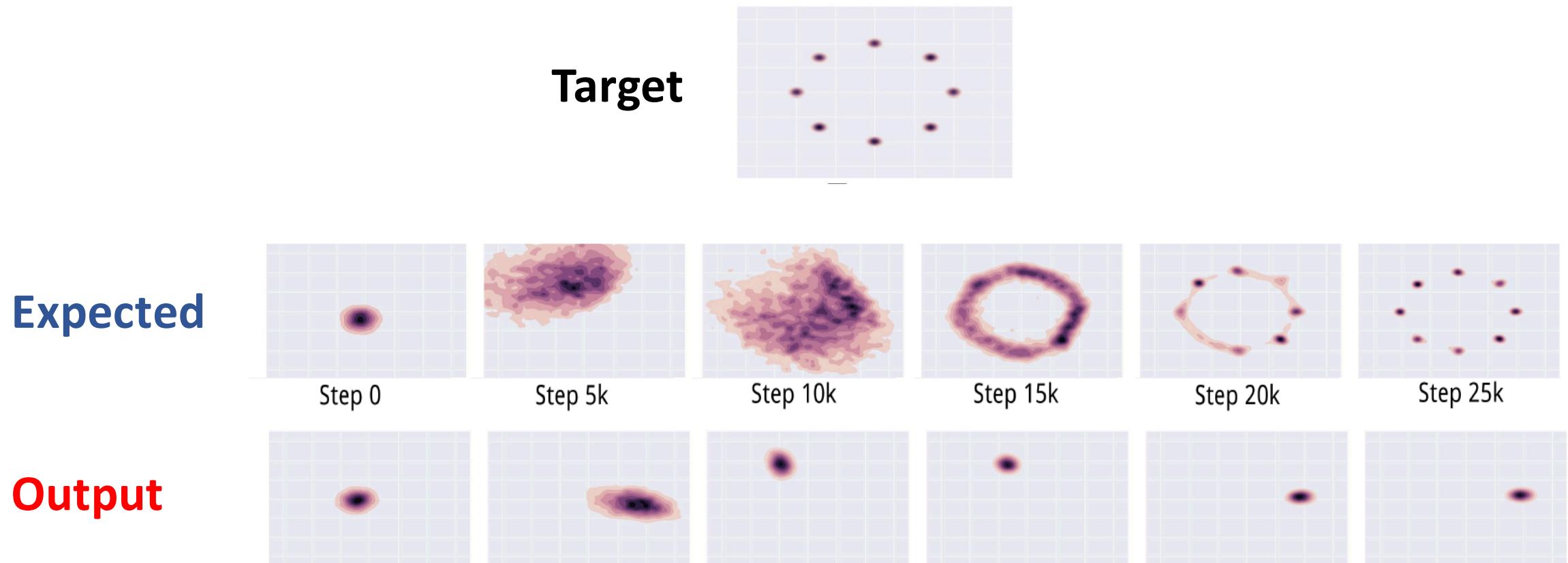
- **Non-Convergence**
- Mode-Collapse

# Problems with GANs

- Non-Convergence
- **Mode-Collapse**

# Mode-Collapse

- Generator fails to output diverse samples



# Some Solutions

- Mini-Batch GANs
- Supervision with labels
- Some recent attempts :-
  - Unrolled GANs
  - W-GANs

# Basic (Heuristic) Solutions

- Mini-Batch GANs
- Supervision with labels

# How to reward sample diversity?

- **At Mode Collapse,**
  - Generator produces good samples, but a very few of them.
  - Thus, Discriminator can't tag them as fake.
- **To address this problem,**
  - Let the Discriminator know about this edge-case.
- **More formally,**
  - Let the Discriminator look at the entire batch instead of single examples
  - If there is lack of diversity, it will mark the examples as fake
- **Thus,**
  - Generator will be forced to produce diverse samples.

# Mini-Batch GANs

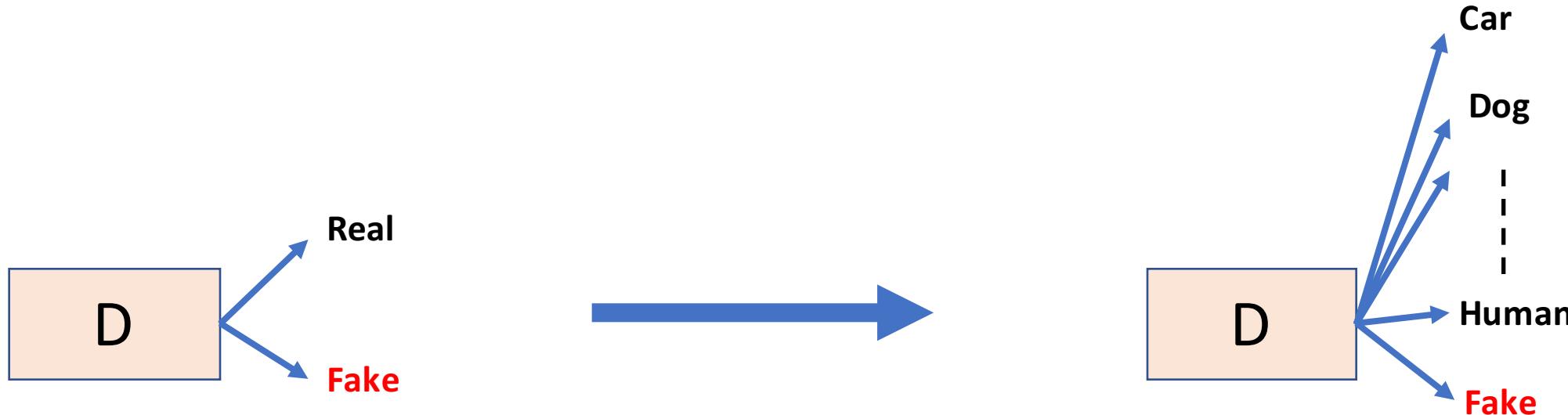
- Extract features that capture diversity in the mini-batch
  - For e.g. L2 norm of the difference between all pairs from the batch
- Feed those features to the discriminator along with the image
- Feature values will differ b/w diverse and non-diverse batches
  - Thus, Discriminator will rely on those features for classification
- This in turn,
  - Will force the Generator to match those feature values with the real data
  - Will generate diverse batches

# Basic (Heuristic) Solutions

- Mini-Batch GANs
- **Supervision with labels**

# Supervision with Labels

- Label information of the real data might help



- Empirically generates much better samples

# Alternate view of GANs

$$\min_G \max_D V(D, G)$$
$$V(D, G) = \mathbb{E}_{x \sim p(x)}[\log D(x)] + \mathbb{E}_{z \sim q(z)}[\log(1 - D(G(z)))]$$

$$D^* = \underset{D}{\operatorname{argmax}} V(D, G)$$

$$G^* = \underset{G}{\operatorname{argmin}} V(D, G)$$

- In this formulation, Discriminator's strategy was  $D(x) \rightarrow 1, D(G(z)) \rightarrow 0$
- Alternatively, we can flip the binary classification labels i.e. **Fake = 1, Real = 0**

$$V(D, G) = \mathbb{E}_{x \sim p(x)}[\log(1 - D(x))] + \mathbb{E}_{z \sim q(z)}[\log(D(G(z)))]$$

- In this new formulation, Discriminator's strategy will be  $D(x) \rightarrow 0, D(G(z)) \rightarrow 1$

## Alternate view of GANs (Contd.)

- If all we want to encode is  $D(x) \rightarrow 0, D(G(z)) \rightarrow 1$

$$D^* = \operatorname{argmax}_D \mathbb{E}_{x \sim p(x)} [\log(1 - D(x))] + \mathbb{E}_{z \sim q(z)} [\log(D(G(z)))]$$

We can use this

$$D^* = \operatorname{argmin}_D \mathbb{E}_{x \sim p(x)} \log(D(x)) + \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

- Now, we can replace cross-entropy with any loss function (**Hinge Loss**)

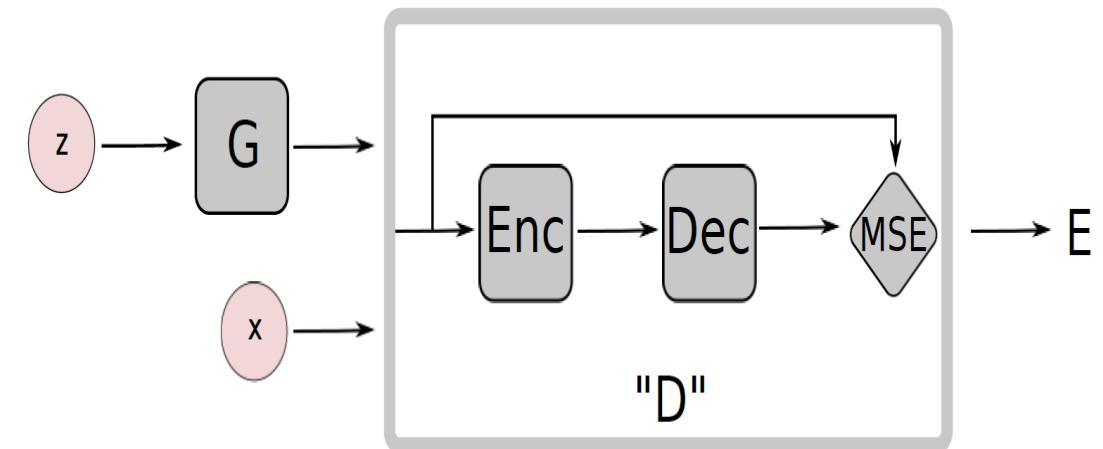
$$D^* = \operatorname{argmin}_D \mathbb{E}_{x \sim p(x)} D(x) + \mathbb{E}_{z \sim q(z)} \max(0, m - D(G(z)))$$

- And thus, instead of outputting probabilities, Discriminator just has to output:-
  - High values for fake samples
  - Low values for real samples

# Energy-Based GANs

- Modified game plans
  - **Generator** will try to generate samples with low values
  - **Discriminator** will try to assign high scores to fake values
- Use AutoEncoder inside the Discriminator
- Use Mean-Squared Reconstruction error as  $D(x)$ 
  - High Reconstruction Error for Fake samples
  - Low Reconstruction Error for Real samples

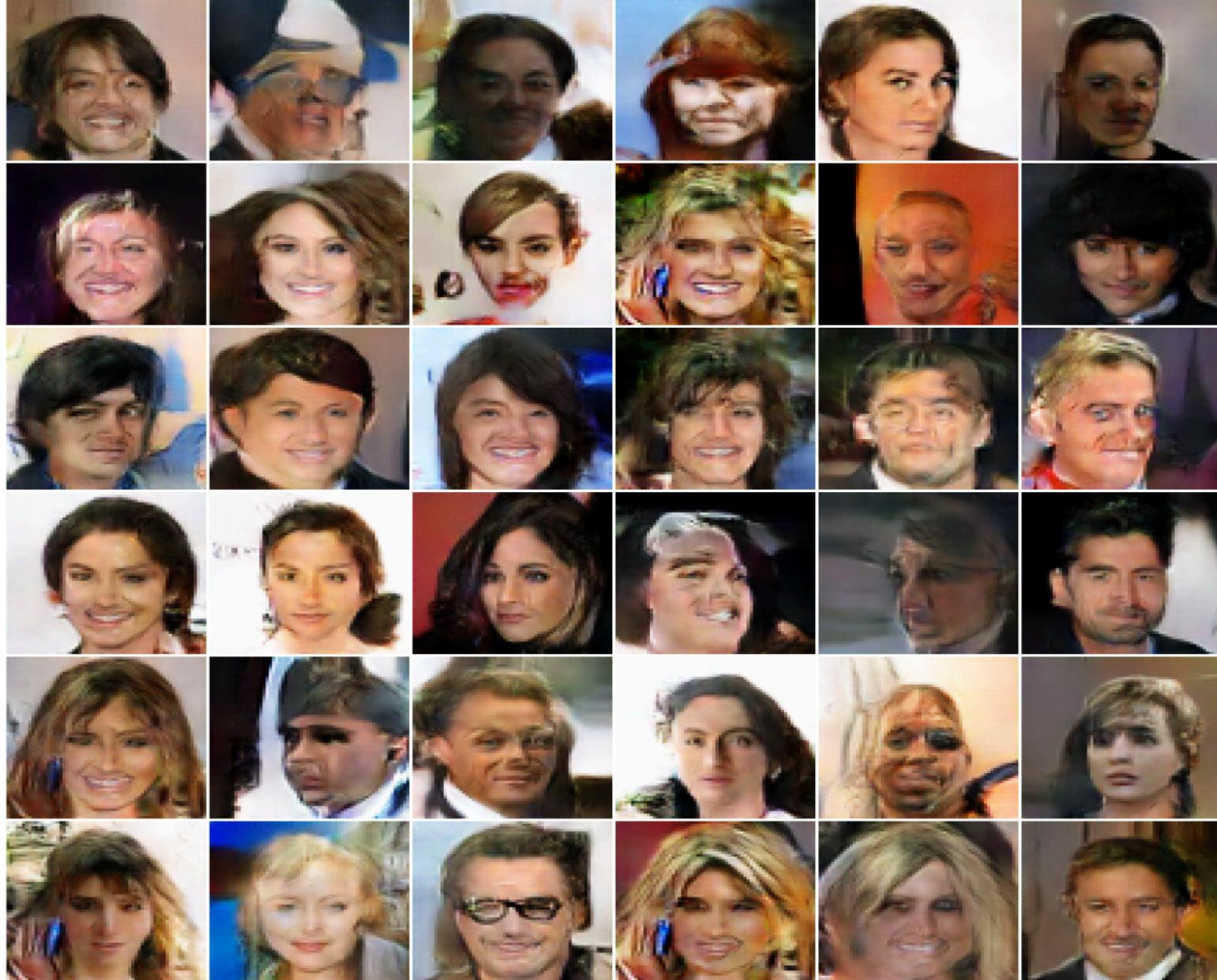
$$D(x) = ||Dec(Enc(x)) - x||_{MSE}$$



# More Bedrooms...



# Celebs...



# 2017: Explosion of GANs

## “The GAN Zoo”

- GAN - Generative Adversarial Networks
- 3D-GAN - Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling
- acGAN - Face Aging With Conditional Generative Adversarial Networks
- AC-GAN - Conditional Image Synthesis With Auxiliary Classifier GANs
- AdAGAN - AdaGAN: Boosting Generative Models
- AEGAN - Learning Inverse Mapping by Autoencoder based Generative Adversarial Nets
- AffGAN - Amortised MAP Inference for Image Super-resolution
- AL-CGAN - Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts
- ALI - Adversarially Learned Inference
- AM-GAN - Generative Adversarial Nets with Labeled Data by Activation Maximization
- AnoGAN - Unsupervised Anomaly Detection with Generative Adversarial Networks to Guide Marker Discovery
- ArtGAN - ArtGAN: Artwork Synthesis with Conditional Categorical GANs
- b-GAN - b-GAN: Unified Framework of Generative Adversarial Networks
- Bayesian GAN - Deep and Hierarchical Implicit Models
- BEGAN - BEGAN: Boundary Equilibrium Generative Adversarial Networks
- BiGAN - Adversarial Feature Learning
- BS-GAN - Boundary-Seeking Generative Adversarial Networks
- CGAN - Conditional Generative Adversarial Nets
- CaloGAN - CaloGAN: Simulating 3D High Energy Particle Showers in Multi-Layer Electromagnetic Calorimeters with Generative Adversarial Networks
- CCGAN - Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks
- CatGAN - Unsupervised and Semi-supervised Learning with Categorical Generative Adversarial Networks
- CoGAN - Coupled Generative Adversarial Networks
- Context-RNN-GAN - Contextual RNN-GANs for Abstract Reasoning Diagram Generation
- C-RNN-GAN - C-RNN-GAN: Continuous recurrent neural networks with adversarial training
- CS-GAN - Improving Neural Machine Translation with Conditional Sequence Generative Adversarial Nets
- CVAE-GAN - CVAE-GAN: Fine-Grained Image Generation through Asymmetric Training
- CycleGAN - Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks
- DTN - Unsupervised Cross-Domain Image Generation
- DCGAN - Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks
- DiscoGAN - Learning to Discover Cross-Domain Relations with Generative Adversarial Networks
- DR-GAN - Disentangled Representation Learning GAN for Pose-Invariant Face Recognition
- DualGAN - DualGAN: Unsupervised Dual Learning for Image-to-Image Translation
- EBGAN - Energy-based Generative Adversarial Network
- f-GAN - f-GAN: Training Generative Neural Samplers using Variational Divergence Minimization
- FF-GAN - Towards Large-Pose Face Frontalization in the Wild
- GAWWN - Learning What and Where to Draw
- GeneGAN - GeneGAN: Learning Object Transfiguration and Attribute Subspace from Unpaired Data
- Geometric GAN - Geometric GAN
- GoGAN - Gang of GANs: Generative Adversarial Networks with Maximum Margin Ranking
- GP-GAN - GP-GAN: Towards Realistic High-Resolution Image Blending
- IAN - Neural Photo Editing with Introspective Adversarial Networks
- iGAN - Generative Visual Manipulation on the Natural Image Manifold
- IcGAN - Invertible Conditional GANs for image editing
- ID-CGAN - Image De-raining Using a Conditional Generative Adversarial Network
- Improved GAN - Improved Techniques for Training GANs
- InfoGAN - InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets
- LAGAN - Learning Particle Physics by Example: Location-Aware Generative Adversarial Networks for Physics Synthesis
- LAPGAN - Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks

<https://github.com/hindupuravinash/the-gan-zoo>

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See also: <https://github.com/soumith/ganhacks> for tips and tricks for trainings GANs

- Context-RNN-GAN - Contextual RNN-GANs for Abstract Reasoning Diagram Generation
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<https://github.com/hindupuravinash/the-gan-zoo>

# Summary

- GANs are generative models that are implemented using two stochastic neural network modules: **Generator** and **Discriminator**.
- **Generator** tries to generate samples from random noise as input
- **Discriminator** tries to distinguish the samples from Generator and samples from the real data distribution.
- Both networks are trained adversarially (in tandem) to fool the other component. In this process, both models become better at their respective tasks.

# Why use GANs for Generation?

- Can be trained using back-propagation for Neural Network based Generator/Discriminator functions.
- Sharper images can be generated.
- Faster to sample from the model distribution: *single* forward pass generates a *single* sample.

# Reading List

- Goodfellow, I., Pouget-Abadie, J., Mirza, M., Xu, B., Warde-Farley, D., Ozair, S., Courville, A. and Bengio, Y. [Generative adversarial nets](#), NIPS (2014).
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- Mirza, Mehdi, and Simon Osindero. [Conditional generative adversarial nets](#). arXiv preprint arXiv:1411.1784 (2014).
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## Applications:

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# Questions?