# Notes - Theory session 5

Geometry Processing (GPR)

## 1 Reconstruction

### 1.1 Radial Basis Functions (RBF)

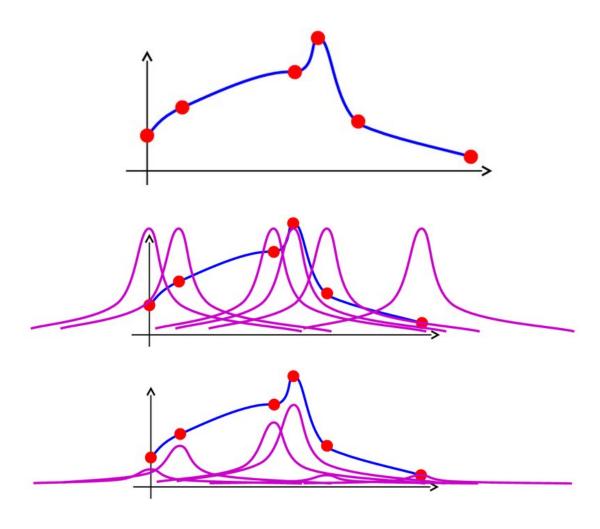


Figure 1: Interpolating with RBFs.

**Input**: Set of pairs  $(p_i, v_i), p_i \in \mathbb{R}^3, v_i \in \mathbb{R}$ 

**Output**: Smooth interpolating function f.

$$f(p) = \sum_{i=1}^{m} f_i(p)$$
  $f_i(p) = \phi(||p - p_i||) \cdot c_i$ 

Gaussian RBF:

$$\phi(r) = exp(-r^2/2c^2)$$

Interpolating conditions:

$$f(p_i) = v_i \implies f(p_i) = \sum_{j=1}^m f_j(p_i) = v_i \implies \sum_{j=1}^m \phi(\|p_i - p_j\|) \cdot c_j = v_i \implies \mathbf{A} \cdot \mathbf{c} = \mathbf{v}$$

$$\mathbf{A} = \begin{pmatrix} \phi(\|p_1 - p_1\|) & \phi(\|p_1 - p_2\|) & \cdots & \phi(\|p_1 - p_m\|) \\ \phi(\|p_2 - p_1\|) & \phi(\|p_2 - p_2\|) & \cdots & \phi(\|p_2 - p_m\|) \\ \vdots & \vdots & \ddots & \vdots \\ \phi(\|p_m - p_1\|) & \phi(\|p_m - p_2\|) & \cdots & \phi(\|p_m - p_m\|) \end{pmatrix}$$

$$\mathbf{c} = \begin{pmatrix} c_1 & c_2 & \cdots & c_m \end{pmatrix}^T \qquad \mathbf{v} = \begin{pmatrix} v_1 & v_2 & \cdots & v_m \end{pmatrix}^T$$

Problems:

- We want to interpolate, so  $f(p_i) = 0$  for all input points. Which means  $\mathbf{A} \cdot \mathbf{c} = \mathbf{0}$ . The trivial solution is  $\mathbf{c} = \mathbf{0}$ .
  - Create artificial points. For each  $p_i$ , create  $p_i^+ = p_i + d \cdot n_i$  and  $p_i^- = p_i d \cdot n_i$ , such that  $f(p_i^+ = d)$  and  $f(p_i^- = -d)$ .
- As m increases matrix **A** is going to become ill-conditioned.
  - Apply a regularization by  $\mathbf{A}' = \mathbf{A} + \lambda \mathbf{I}$ .
- Matrix **A** is dense and can be very large  $(m \times m)$ .
  - Use gaussian RBFs with compact support for a sparse matrix.
  - Loses its generalization power (holes are undefined).
  - Solution: Give increasing support to a decreasing number of points.

$$\phi(r) = \begin{cases} exp(-r^2/2c^2) & \text{if } r < 3c \\ 0 & \text{otherwise} \end{cases}$$

### 2 Curvatures

#### Characteristics:

- Coordinate system independent.
- Local surface characteristic  $\rightarrow$  Geometric signature
- For curves:
  - Connected to the second derivative.
  - Inverse of the radius of the osculating circle.
- For surfaces:
  - Plane intersection  $\rightarrow$  Curve  $\rightarrow$  Curvature
  - Only two directions needed: principal curvature directions (min and max curvature  $K_{min}$  and  $K_{max}$ ).
  - Positive curvature  $\rightarrow$  Convex, Negative  $\rightarrow$  Concave, Zero  $\rightarrow$  No curvature

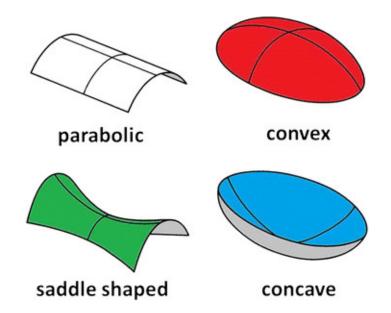


Figure 2: Local shapes depending on principal curvatures.

Given a surface expressed as w(u, v), the curvature at (0, 0) can be computed from its Hessian matrix, which collects the second derivatives:

$$\mathbf{H}_{\mathbf{w}} = \begin{pmatrix} w_{uu} & w_{vu} \\ w_{uv} & w_{vv} \end{pmatrix} = \begin{pmatrix} \frac{\partial^2 w}{\partial u^2} & \frac{\partial^2 w}{\partial v \partial u} \\ \frac{\partial^2 w}{\partial u \partial v} & \frac{\partial^2 w}{\partial v^2} \end{pmatrix}$$

The principal curvatures are the eigenvalues of  $\mathbf{H_w}$  and its directions are the corresponding eigenvectors.

We can combine both values into a single one. The Gaussian curvature:

$$K = K_{min} \cdot K_{max}$$

and the Mean curvature:

$$H = \frac{K_{min} + K_{max}}{2}$$

and use both to characterize the shape:

- $K = 0 \rightarrow \text{Planar or cylindrical}$
- $K < 0 \rightarrow \text{Saddle point}$
- $K > 0 \rightarrow \text{Convex or concave}$ 
  - $-H>0\rightarrow \text{Convex}$
  - $-H < 0 \rightarrow \text{Concave}$

The principal curvatures can also be used to compute  $K_{min}$  and  $K_{max}$ :

$$K_{max}, K_{min} = H \pm \sqrt{H^2 - K}$$

When we have a point cloud or triangle mesh we compute the Hessian at a point by locally adjusting a function. This is known as a *Monge patch*.

For a given point  $\mathbf{p}$ , its normal  $\mathbf{n}$ , and its neighbors  $\mathcal{P} = \{p_i\}_{1 \leq i \leq m}$ , we need to fit a quadratic function:

$$w(u, v) = au^2 + buv + cv^2 + du + ev + f = \mathbf{q}^T \mathbf{s}$$

$$\mathbf{q} = \begin{pmatrix} u^2 & uv & v^2 & u & v & 1 \end{pmatrix}^T, \quad \mathbf{s} = \begin{pmatrix} a & b & c & d & e & f \end{pmatrix}^T$$

First we need to transform the neighbors to a coordinate system  $(\mathbf{u}, \mathbf{v}, \mathbf{w})$  aligned with the normal  $\mathbf{n}$ . The origin will be point  $\mathbf{p}$  itself.

$$\mathbf{w} = -\mathbf{n}$$
 $\mathbf{u} = \mathbf{OX} \times \mathbf{w}$ 
 $\mathbf{v} = \mathbf{w} \times \mathbf{v}$ 

We transform all the neighbors to this system from  $\mathbf{p_i}$  to  $(u_i, v_i, w_i)$ :

$$u_i = \langle \mathbf{u}, \mathbf{p_i} - \mathbf{p} \rangle$$
  
 $v_i = \langle \mathbf{v}, \mathbf{p_i} - \mathbf{p} \rangle$   
 $w_i = \langle \mathbf{w}, \mathbf{p_i} - \mathbf{p} \rangle$ 

Then we fit the function using least squares:

$$E(\mathcal{P}, w(u, v)) = \sum_{i=1}^{m} (w(u, v) - w_i)^2 = \sum_{i=1}^{m} (\mathbf{q_i}^T \mathbf{s} - w_i)^2$$
$$= \sum_{i=1}^{m} [(\mathbf{q_i}^T \mathbf{s})^2 + w_i^2 - 2w_i(\mathbf{q_i}^T \mathbf{s})]$$
$$= \mathbf{s}^T (\sum_{i=1}^{m} \mathbf{q_i} \mathbf{q_i}^T) \mathbf{s} + \sum_{i=1}^{m} w_i^2 - 2\mathbf{s}^T \sum_{i=1}^{m} w_i \mathbf{q_i}$$

$$\min_{w} E(\mathcal{P}, w) \rightarrow \nabla E(\mathcal{P}, w) = 0 \iff 2(\sum_{i=1}^{m} \mathbf{q_{i}} \mathbf{q_{i}}^{T}) \mathbf{s} - 2\sum_{i=1}^{m} w_{i} \mathbf{q_{i}} = 0 \iff (\sum_{i=1}^{m} \mathbf{q_{i}} \mathbf{q_{i}}^{T}) \mathbf{s} = \sum_{i=1}^{m} w_{i} \mathbf{q_{i}} \iff \mathbf{A} \mathbf{s} = \mathbf{b}$$

Then the Hessian  $\mathbf{H}_{\mathbf{w}}$  is simple to extract from the function w(u, v):

$$\mathbf{H}_{\mathbf{w}} = \begin{pmatrix} \frac{\partial^2 w}{\partial u^2} & \frac{\partial^2 w}{\partial v \partial u} \\ \frac{\partial^2 w}{\partial u \partial v} & \frac{\partial^2 w}{\partial v^2} \end{pmatrix} = \begin{pmatrix} 2a & b \\ b & 2c \end{pmatrix}$$

If we have a triangle mesh we can use its topology to compute the curvatures. For the Gaussian curvature:

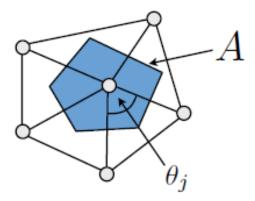


Figure 3: Gaussian curvature using angle deficit.

$$K = (2\pi - \sum_{j} \theta_{j})/A$$

where A is one third of the area of the 1-ring of triangles around a vertex of the mesh. For the Mean curvature, we can use the norm of the Laplace-Beltrami operator:

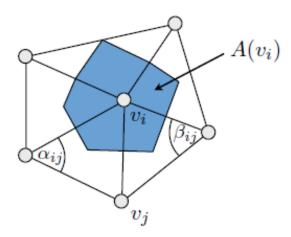


Figure 4: Mean curvature as the norm of Laplace-Beltrami.

$$H(v_i) = \|\Delta_s v_i\|$$

$$\Delta_s v_i = \frac{1}{2 \cdot A(p)} \sum_{i} (\cot \alpha_{ij} + \cot \beta_{ij}) \cdot (v_j - v_i)$$

A(p) is the area of influence of vertex p on its adjacent triangles. It can use:

- Barycentric cells
- Voronoi cells
- $\bullet$  Mixed cells

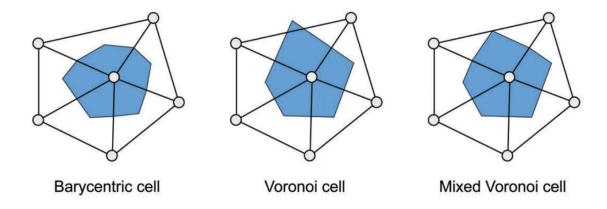


Figure 5: Area of influence of a vertex in a mesh.

## 3 Smoothing

To reduce noise in a mesh or a point cloud we need to apply smoothing algorithms.

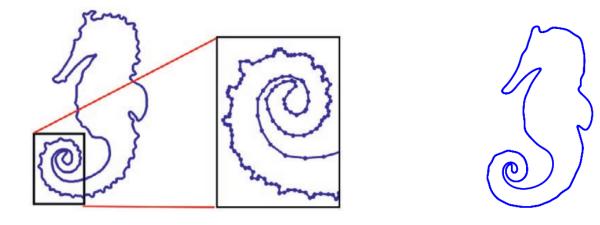


Figure 6: Smoothing a curve.

One of the simplest is known as the *midpoint smoothing* algorithm, which can be applied to polygonals. It substitutes the polygonal for a new one where every edge generates a vertex at its midpoint location, and every vertex transforms into an edge. For an edge between vertices  $v_{i-1}$  and  $v_i$  it generates a vertex at coordinates  $(v_{i-1} + v_i)/2$ .

Two applications of midpoint smoothing result into *laplacian smoothing*. Here a vertex remains a vertex of the result and its coordinates are:

$$v_i' = \frac{1}{2} \cdot \left(\frac{v_{i-1} + v_i}{2}\right) + \frac{1}{2} \cdot \left(\frac{v_i + v_{i+1}}{2}\right) =$$

$$= \frac{1}{4}v_{i-1} + \frac{1}{2}v_i + \frac{1}{4}v_{i+1}$$

and the displacement vector from its previous position to its "smoothed" position is called the laplacian of vertex  $v_i$ :

$$\delta(v_i) = v_i' - v_i = \frac{1}{4}v_{i-1} + \frac{1}{2}v_i + \frac{1}{4}v_{i+1} - v_i =$$

$$= \frac{1}{4}(v_{i-1} + v_{i+1}) - \frac{1}{2}v_i$$

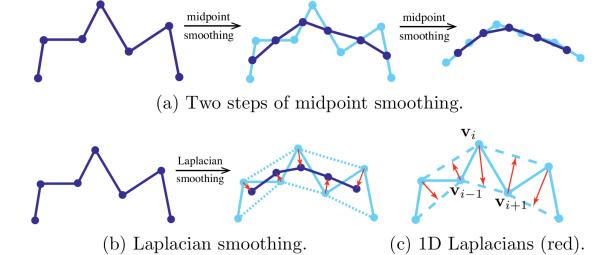


Figure 7: Midpoint and laplacian smoothing.

Laplacians can be applied to a full dataset simultaneously in matrix form. As an example, for a 1D periodic signal:

$$\mathbf{x}' = \mathbf{S} \cdot \mathbf{x} \qquad \mathbf{S} = \begin{pmatrix} 1/2 & 1/4 & 0 & \cdots & 0 & 1/4 \\ 1/4 & 1/2 & 1/4 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1/2 & 1/4 \\ 1/4 & 0 & 0 & \cdots & 1/4 & 1/2 \end{pmatrix}$$

which can also be expressed using a laplacian update matrix L:

$$\mathbf{S} = \mathbf{I} - \frac{1}{2} \cdot \mathbf{L}$$

$$\mathbf{L} = \begin{pmatrix} 1 & -1/2 & 0 & \cdots & 0 & -1/2 \\ -1/2 & 1 & -1/2 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \\ 0 & 0 & 0 & \cdots & 1 & -1/2 \\ -1/2 & 0 & 0 & \cdots & -1/2 & 1 \end{pmatrix}$$

Matrix L is called the 1D discrete Laplacian operator.

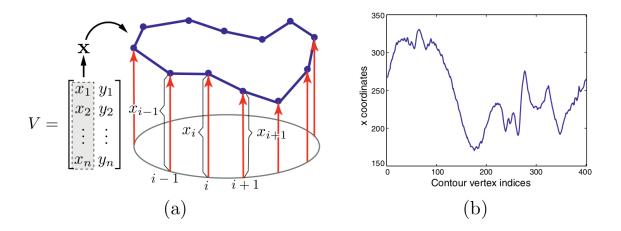


Figure 8: 1D signal.