

# **Systems Programming Unit 4 :**

## **Compilers**

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**Prof. Reshma Pise**  
**Computer Engg. Dept**  
**Vishwakarma University**

# Unit 4 : Compiler Design

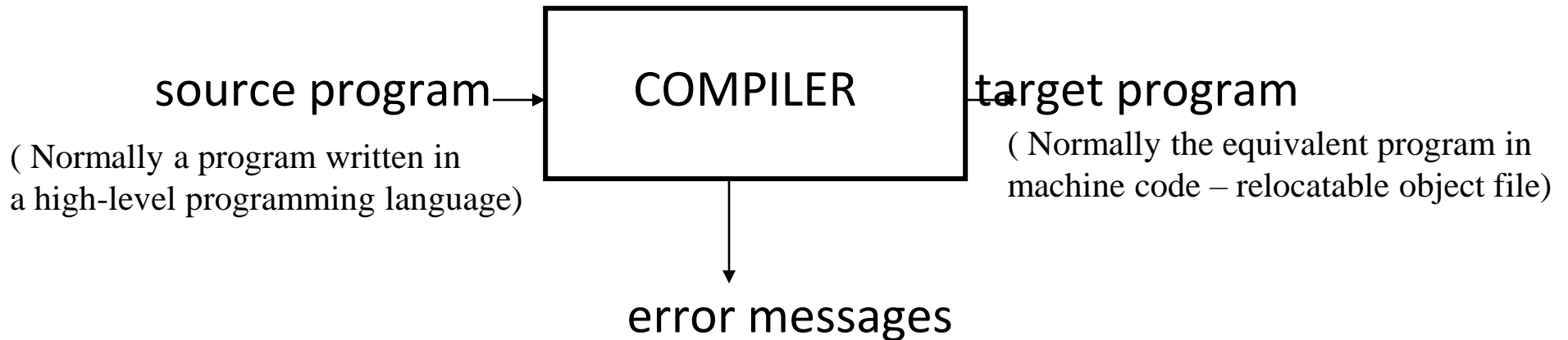
- Introduction to Compiling
- Phases in Compilation
- Lexical Analysis
- Syntax Analysis
  - Context Free Grammars
  - Top-Down Parsing,
  - Bottom-Up Parsing,
  - Ambiguity in Grammar

# Translators

- Programs written in high-level languages need to be translated into low-level (machine code) for processing and execution by the CPU. This is done by a translator program.
- There are two types of translator program:
  - interpreters
  - compilers

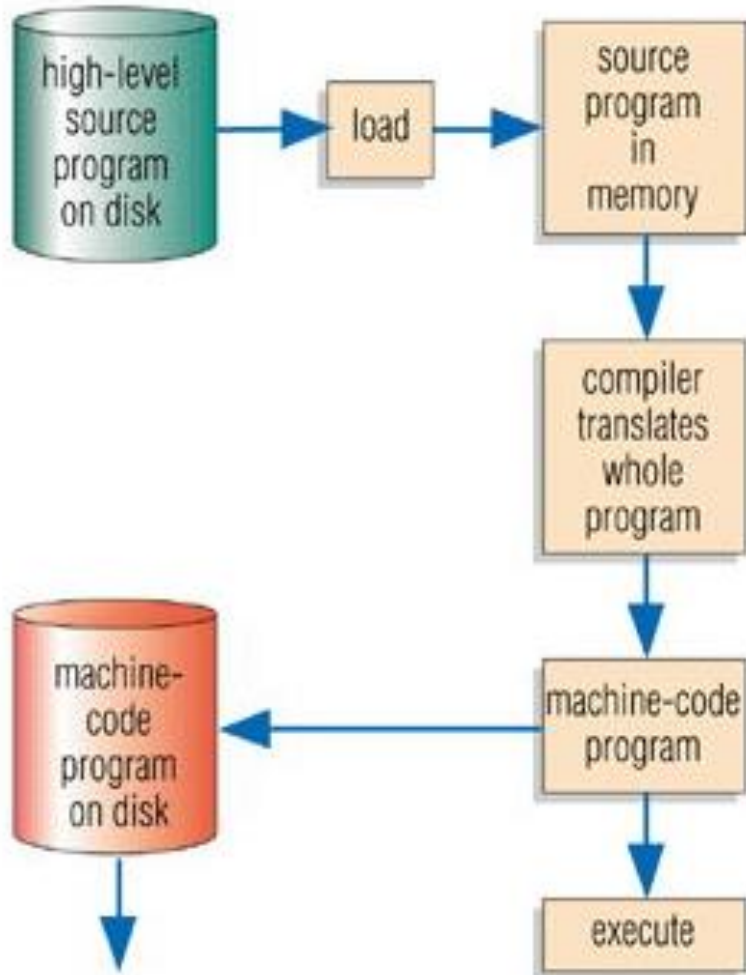
# COMPILERS

- A **compiler** is a program that takes a program written in a source language and translates it into an equivalent program in a target language.



# Compilers

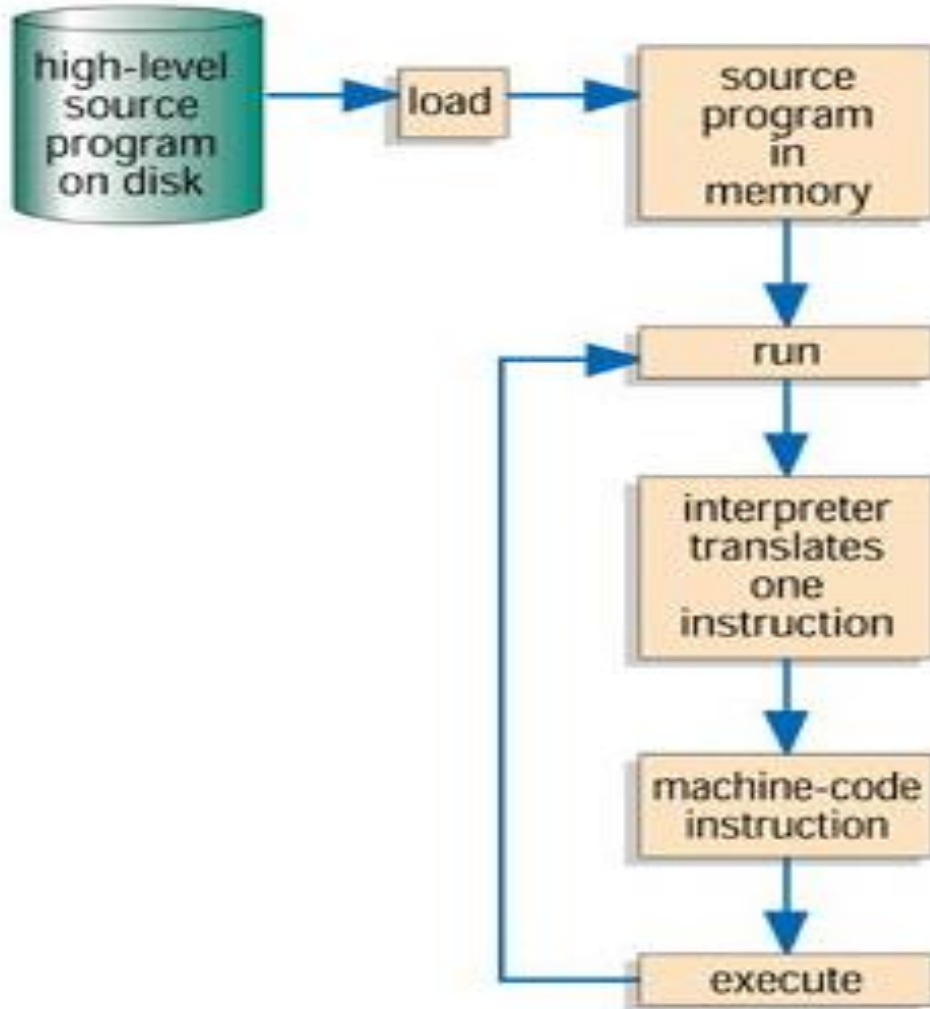
A Compiler program translates the whole program into a machine code version that can be run without the compiler being present.



*Advantage:* program runs fast as already in machine code, translator program only needed at the time of compiling

*Disadvantage:* slow to compile as whole program translated

# Interpreters



Interpreter program translates HLL code into machine code one line at a time.

*Advantage:* easy to find errors, better for learners

*Disadvantage:* program runs slow as have to be continually interpreted, interpreter program always in memory to interpret program.

# Major Parts of Compilers

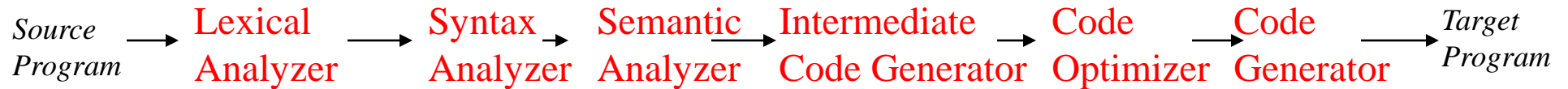
- There are two major parts of a compiler: **Analysis** and **Synthesis**

**Analysis - > Front End**

**Synthesis - > Back end**

- In analysis phase, an intermediate representation is created from the given source program.
- In synthesis phase, the equivalent target program is created from this intermediate representation.

# Phases of A Compiler



- Each phase transforms the source program from one representation into another representation.
- They communicate with error handlers.
- They communicate with the symbol table.



# 1. Lexical Analyzer

- **Lexical Analyzer** reads the source program character by character and returns the *tokens* of the source program.
- A *token* describes a **pattern of characters having same meaning** in the source program. (such as identifiers, operators, keywords, numbers, delimiters and so on)

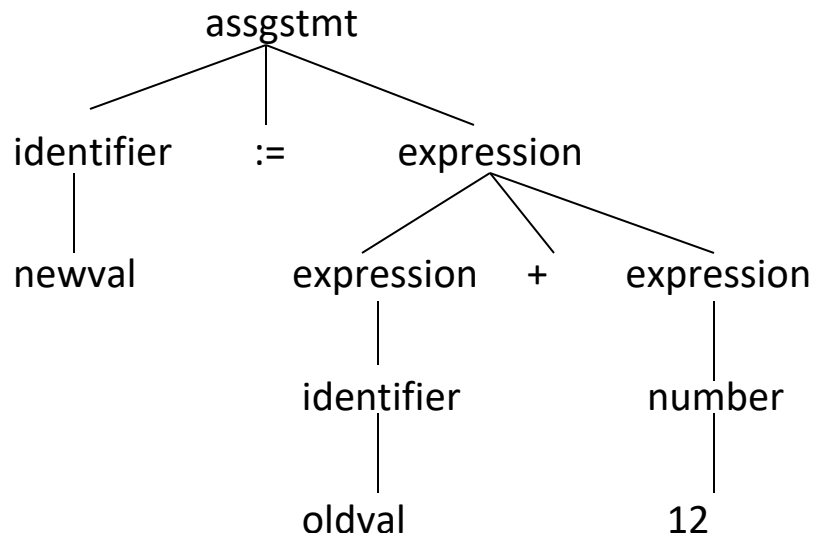
Ex:    newval := oldval + 12      =>    tokens:

newval	identifier
:=	assignment operator
oldval	identifier
+	add operator
12	a number

- Puts **information about identifiers into the symbol table**.
- Regular expressions are used to describe tokens (lexical constructs).
- A (Deterministic) Finite State Automaton can be used in the implementation of a lexical analyzer.

## 2. Syntax Analyzer

- A **Syntax Analyzer** creates the syntactic structure (generally a parse tree) of the given program.
- A syntax analyzer is also called as a **parser**.
- A **parse tree** describes a syntactic structure.



- In a parse tree, **all terminals are at leaves**.
- All **inner nodes are non-terminals** in a context free grammar.

# Syntax Analyzer

- The syntax of a language is specified by a **context free grammar (CFG)**.
- The rules in a CFG are mostly **recursive**.
- A syntax analyzer checks whether a given program satisfies the rules implied by a CFG or not.
  - If it satisfies, the syntax analyzer creates a parse tree for the given program.
- Ex: We use BNF (Backus Naur Form) to specify a CFG
  - assgstmt -> identifier := expression
  - expression -> identifier
  - expression -> number
  - expression -> expression + expression

# Syntax Analyzer versus Lexical Analyzer

- Which constructs of a program should be recognized by the lexical analyzer, and which ones by the syntax analyzer?
  - Both of them do similar things; But the **lexical analyzer deals with the simple non-recursive constructs** of the language.
  - The syntax analyzer deals with the **recursive constructs** of the language.
  - The lexical analyzer simplifies the job of the syntax analyzer.
  - The lexical analyzer **recognizes the smallest meaningful units** (tokens) in a source program.
  - The syntax analyzer works on the smallest meaningful units (tokens) in a source program to **recognize meaningful structures** in our programming language.

# Parsing Techniques

- Depending on how the parse tree is created, there are different parsing techniques.
- These parsing techniques are categorized into two groups:
  - *Top-Down Parsing,*
  - *Bottom-Up Parsing*
- **Top-Down Parsing:**
  - Construction of the parse tree **starts at the root, and proceeds towards the leaves.**
  - Efficient top-down parsers can be easily constructed by hand.
  - Recursive Predictive Parsing, Non-Recursive Predictive Parsing (LL Parsing).
- **Bottom-Up Parsing:**
  - Construction of the parse tree **starts at the leaves, and proceeds towards the root.**
  - Normally efficient bottom-up parsers are created with the help of some software tools.
  - Bottom-up parsing is also known as **shift-reduce** parsing.
  - Operator-Precedence Parsing – simple, restrictive, easy to implement
  - LR Parsing – much general form of shift-reduce parsing **LR SLR LALR**

# 3. Semantic Analyzer

- A semantic analyzer checks the source program for semantic errors and collects the type information for the code generation.
- Type-checking is an important part of semantic analyzer.
- Context-free grammars used in the syntax analysis are integrated with attributes (semantic rules)
  - the result is a syntax-directed translation,
  - Attribute grammars
- Ex:  
newval := oldval + 12
  - The type of the identifier *newval* must match with type of the expression (*oldval+12*)

# 4. Intermediate Code Generation

- A compiler may produce an **explicit intermediate codes** representing the source program.
- These intermediate codes are generally **machine (architecture) independent**. But the level of intermediate codes is closer to the level of machine codes.
- Ex:

newval := oldval \* fact + 1



id1 := id2 \* id3 + 1



temp1 := id2 \* id3

temp2 := temp1 + 1

id1 := temp2

*Intermediates Codes (three address code)*

# Intermediate Code Generation

- Properties of IR-
  - Easy to produce
  - Easy to translate into the target program
- IR can be in the following forms-
  - Syntax trees
  - Postfix notation
  - Three address statements
- Properties of three address statements-
  - At most one operator in addition to an assignment operator
  - Must generate temporary names to hold the value computed at each instruction
  - Some instructions have fewer than three operands



# 5. Code Optimizer (for Intermediate Code Generator)

- The code optimizer **optimizes the code** produced by the intermediate code generator in the terms of **time and space**.
- Ex:  
temp1 := id2 \* id3  
id1 := temp1 + 1

# 6. Code Generator

- Produces the **target language in a specific architecture**.
- The target program is normally a **relocatable object file** containing the machine code or assembly code.
- Intermediate instructions are each translated into a **sequence of machine instructions** that perform the same task.
- Ex:  
( assume that we have an architecture with instructions whose at least one of its operands is a machine register)

MOVE      id2,R1

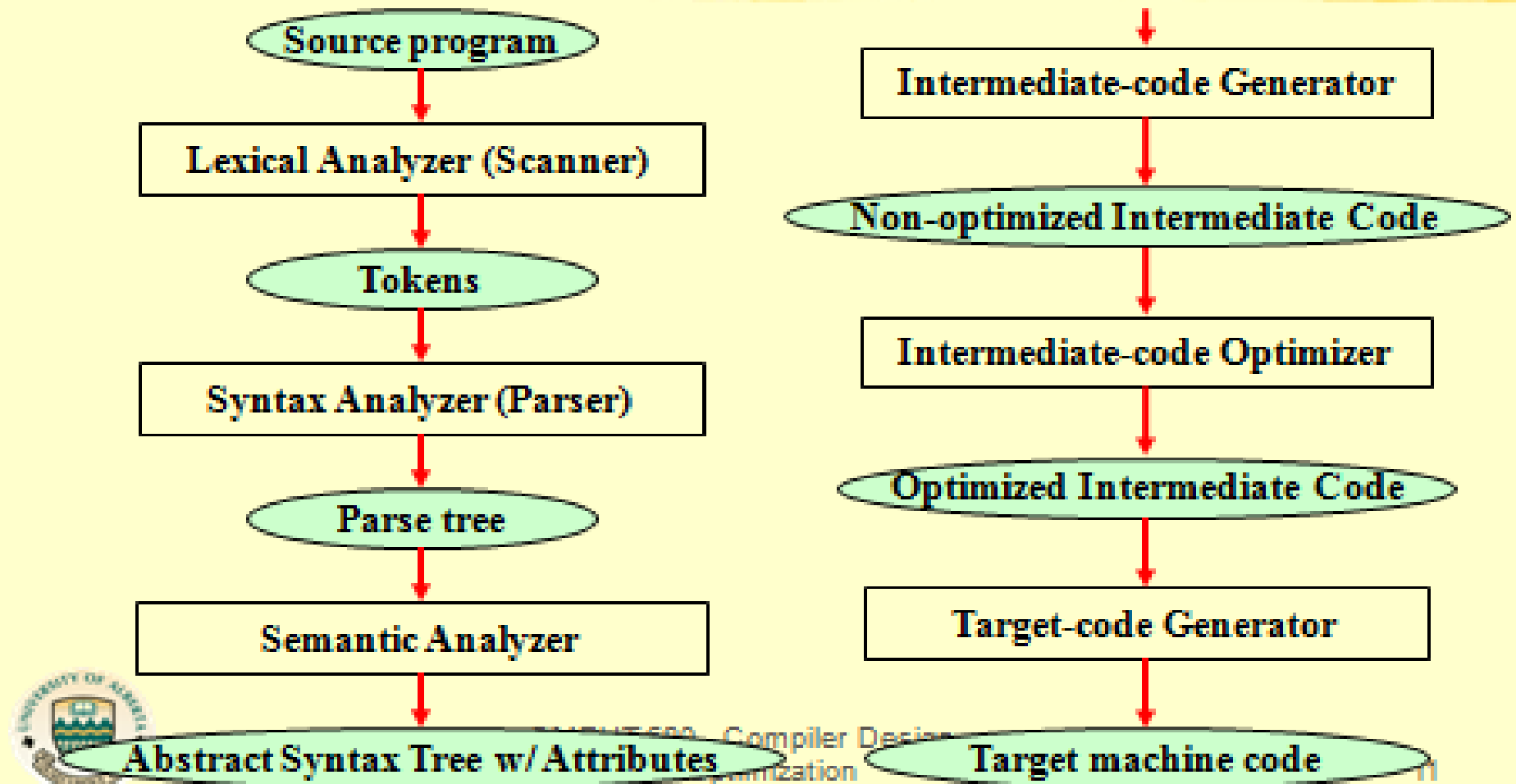
MULT      id3,R1

ADD        #1,R1

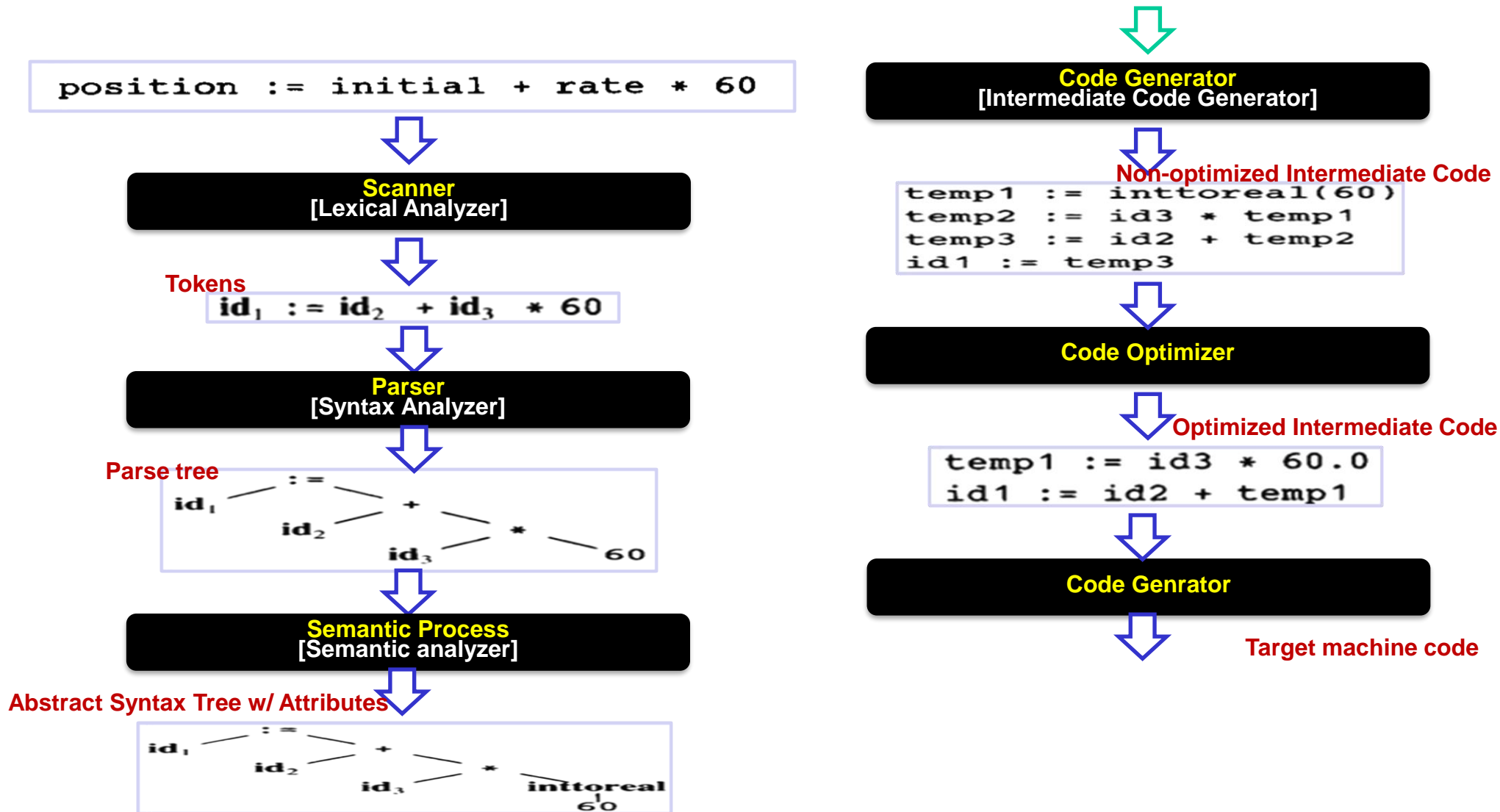
MOVE      R1,id1

# Phases of compiler

# Phases of a Compiler



# The Structure of a Compiler



**The input program as you see it.**

```
main ()  
{  
    int i,sum;  
    sum = 0;  
    for (i=1; i<=10; i++);  
    sum = sum + i;  
    printf("%d\n",sum);  
}
```

The same program – as the compiler sees it (initially).

```
main() {  
    int i, sum;  
    sum = 0;  
    for (i=1; i<=10; i++);  
    sum = sum + i;  
    printf ("%d\n", sum);  
}
```

*How do you make the compiler see what you see?*

# Lexemes, Tokens and Patterns

**Definition:** *Lexical analysis* is the operation of dividing the input program into a sequence of *lexemes* (*tokens*).

Distinguish between

- *lexemes* – smallest logical units (words) of a program.

Examples – *i, sum, for, 10, ++, "%d\n", <=*.



# Lexemes, Tokens and Patterns

**Definition:** *Lexical analysis* is the operation of dividing the input program into a sequence of *lexemes* (*tokens*).

Distinguish between

- *lexemes* – smallest logical units (words) of a program.

Examples – *i*, *sum*, *for*, *10*, *++*, *"%d\n"*, *<=*.

- *tokens* – sets of similar lexemes.

Examples –

*identifier* = {*i*, *sum*, *buffer*, ...}

*int\_constant* = {*1*, *10*, ...}

*addop* = {*+*, *-*}

---

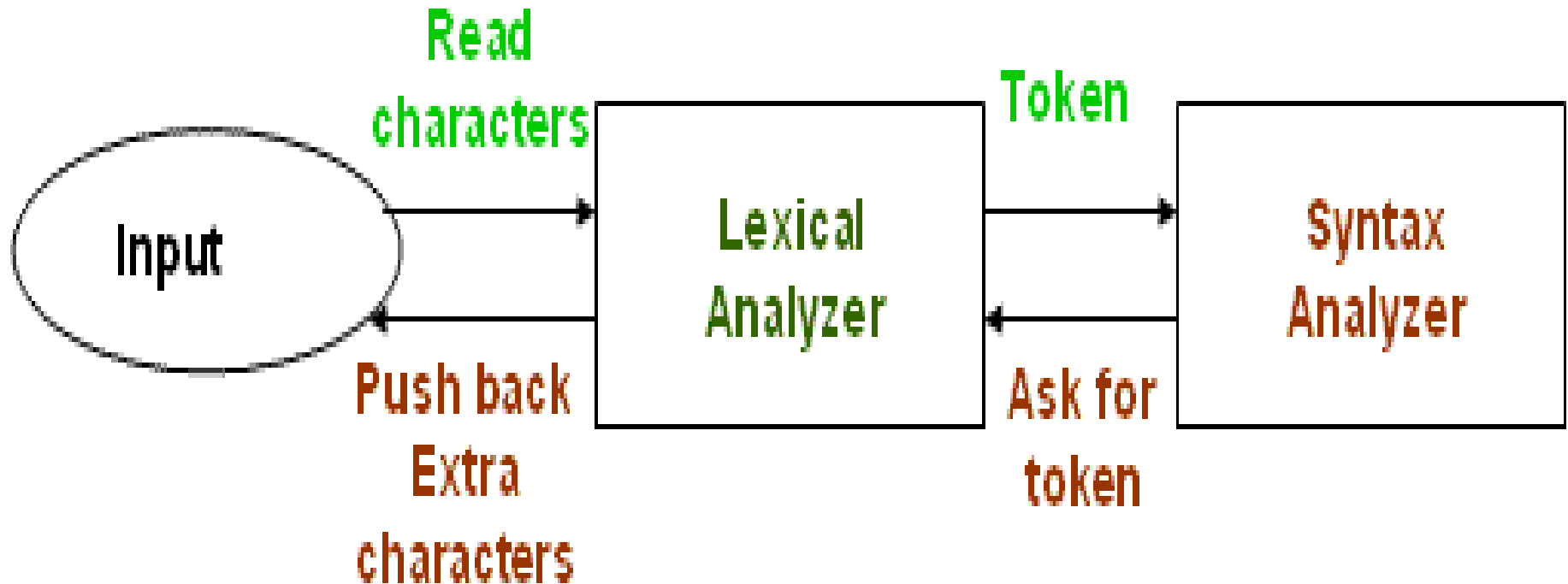
## Lexemes, Tokens and Patterns

Things that are not counted as lexemes –

- white spaces – tab, blanks and newlines
- comments

These too have to be detected and ignored.

# Role of the Lexical Analyzer



## **Tasks of Lexical Analyzer**

- Reads source text and detects the token
- Strips out comments, white spaces, tab, newline characters.
- Correlates error messages from compilers to source program

## **Approaches to implementation**

- . Use assembly language- Most efficient but most difficult to implement
- . Use high level languages like C- Efficient but difficult to implement
- . Use tools like lex, flex- Easy to implement but not as efficient as the first two cases

# LEXICAL ERRORS

Primarily of two kinds:

1. Lexemes whose length exceed the bound specified by the language.
  - ▶ In Fortran, an identifier more than 7 characters long is a lexical error.
  - ▶ Most languages have a bound on the precision of numeric constants. A constant whose length exceeds this bound is a lexical error.
2. Illegal characters in the program.
  - ▶ The characters ~, & and @ occurring in a Pascal program (but not within a string or a comment) are lexical errors.
3. Unterminated strings or comments.

# Creating a Lexical Analyzer

Two approaches:

1. *Hand code* – This is only of historical interest now.
  - ▶ Possibly more efficient.
2. *Use a generator* – To generate the lexical analyser from a formal description.
  - ▶ The generation process is faster.
  - ▶ Less prone to errors.

# Automatic Generation of Lexical Analysers

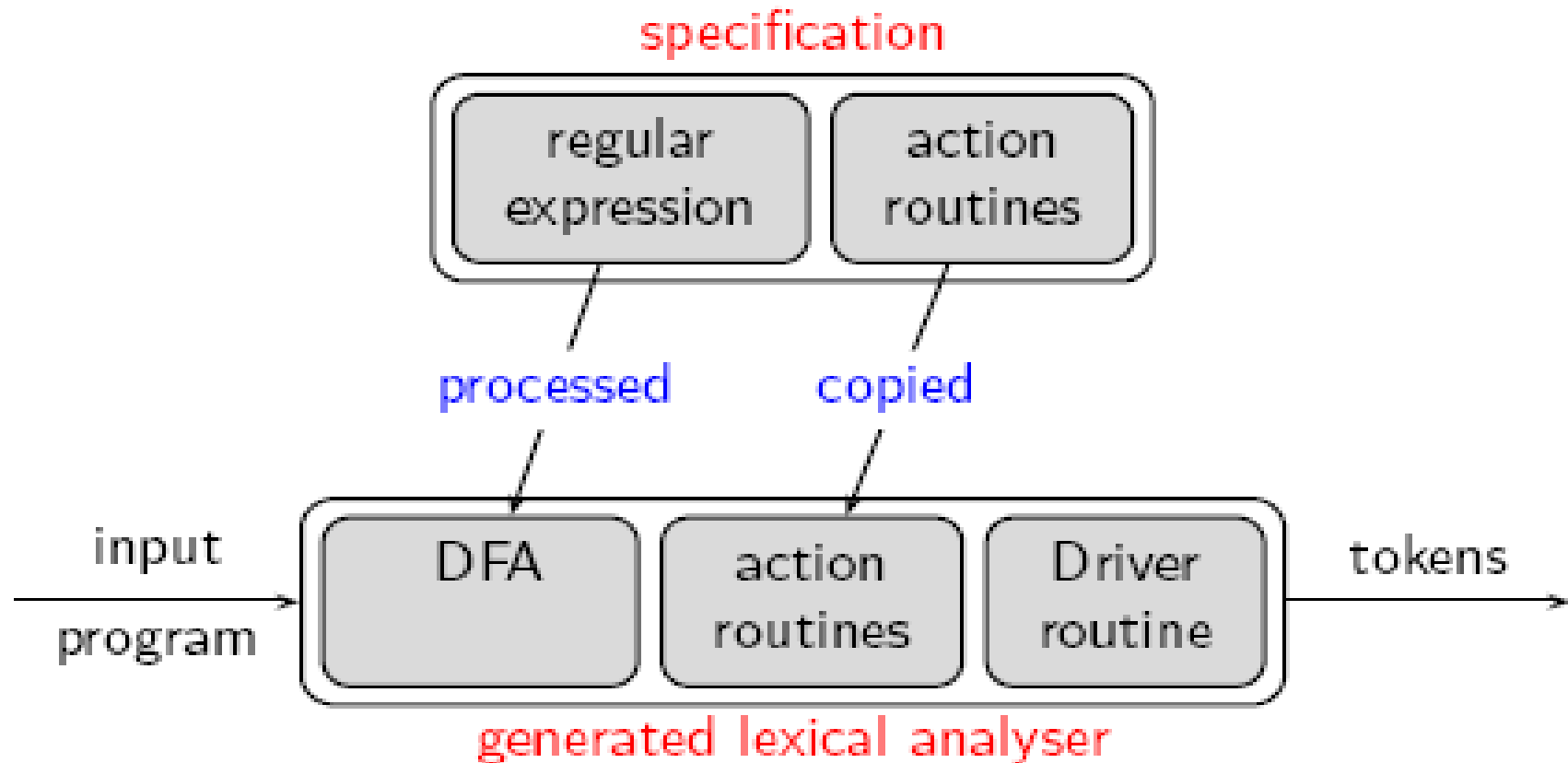
Inputs to the lexical analyser generator:

- A **specification** of the tokens of the source language, consisting of:
  - ▶ a **regular expression** describing each **token**, and
  - ▶ a **code fragment** describing the **action to be performed**, on identifying each token.

The generated lexical analyser consists of:

- A *deterministic finite automaton (DFA)* constructed from the token specification.
- A *code fragment* (a driver routine) which can traverse *any DFA*.
- Code for the *action specifications*.

# Automatic Generation of Lexical Analysers



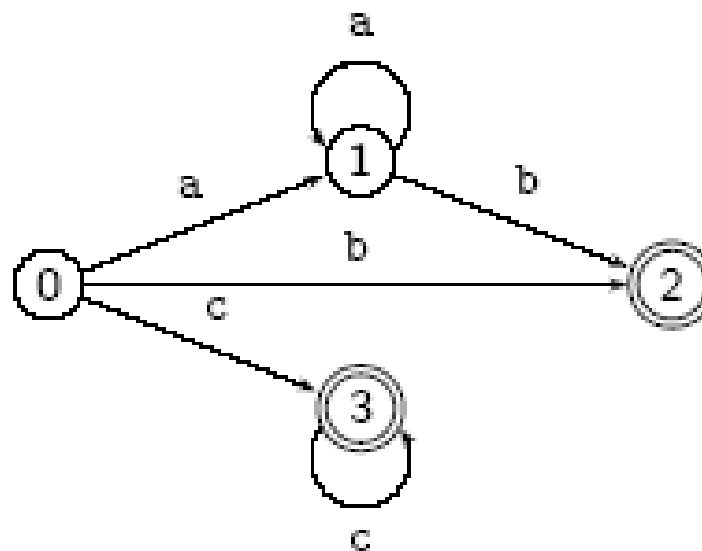


## Example of Lexical Analyser Generation

Suppose a language has two tokens

Pattern	Action
a*b	{ printf( "Token 1 found"); }
c+	{ printf( "Token 2 found"); }

From the description, construct a structure called a deterministic finite automaton (DFA).



# Example of Lexical Analyser Generation

In summary:

- The DFA, the driver routine and the action routines taken together, constitute the lexical analyser.
- - ▶ actions are supplied as part of specification.
  - ▶ driver routine is common to all generated lexical analyzers

The only issue – how are the patterns, specified by regular expressions, converted to a DFA.

In two steps:

- ▶ Convert regular expression into NFA.
- ▶ Convert NFA to DFA.

# Example of Lexical Analyser Generation

Consider a language with the following tokens:

- *begin* – representing the lexeme `begin`
- *integer* – Examples: `0`, `-5`, `250`
- *identifier* – Examples: `a`, `A1`, `max`

# lex Programming Utility

## General Information:

- Input is stored in a file with \*.l extension
- File consists of three main sections
- lex generates C function stored in lex.yy.c

## Using lex:

- 1) Specify words to be used as tokens (Extension of regular expressions)
- 2) Run the lex utility on the source file to generate **yylex()**, a C function
- 3) Declares global variables **char\*** yytext and **int** yyleng

# lex Programming Utility

## Three sections of a lex input file:

```
/* C declarations and #includes lex definitions */
```

```
%{ #include "header.c"
```

```
int i; }%
```

```
%%
```

```
/* lex patterns and actions */
```

```
{INT}          {sscanf (yytext, "%d", &i);  
                printf("INTEGER\n");}
```

```
%%
```

```
/* C functions called by the above actions */
```

```
{ yylex(): }
```

# flex - fast lexical analyzer generator

- Flex is a tool for generating scanners.
- Flex source is a table of regular expressions and corresponding program fragments.
- Generates *lex.yy.c* which defines a routine *yylex()*

# Format of the Input File

- The flex input file consists of three sections, separated by a line with just %% in it:

definitions

%%

rules

%%

user code

# Definitions Section

- The definitions section contains declarations of simple name definitions to simplify the scanner specification.
- Name definitions have the form:

`name definition`

- Example:

```
DIGIT      [0-9]
ID          [a-z][a-z0-9]*
```



# Rules Section

- The rules section of the flex input contains a series of rules of the form:

```
pattern action
```

- Example:

```
{ID} printf( "An identifier: %s\n", yytext );
```

- The *yytext* and *yylength* variable.
- If action is empty, the matched token is discarded.

# Action

- If the action contains a `{`, the action spans till the balancing `}` is found, as in C.
- An action consisting only of a vertical bar (`|`) means "same as the action for the next rule."
- The *return* statement, as in C.
- In case no rule matches: simply copy the input to the standard output (A default rule).

# Precedence Problem

- For example: a “<” can be matched by “<” and “<=”.
- The one matching most text has higher precedence.
- If two or more have the same length, the rule listed first in the flex input has higher precedence.

# User Code Section

- The user code section is simply copied to `lex.yy.c` verbatim.
- The presence of this section is optional; if it is missing, the second `%%` in the input file may be skipped.
- In the definitions and rules sections, any indented text or text enclosed in `% {` and `% }` is copied verbatim to the output (with the `% { }`'s removed).

# A Simple Example

```
%{  
    int num_lines = 0, num_chars = 0;  
}%  
  
%%  
\n    ++num_lines; ++num_chars;  
.  
    ++num_chars;  
  
%%  
main()  {  
    yylex();  
    printf( "# of lines = %d, # of chars = %d\n",  
            num_lines, num_chars );  
}
```

# Syntax Analyzer

## Introduction to the parser

- Context-free grammars
- Writing a grammar
- Using ambiguous grammars

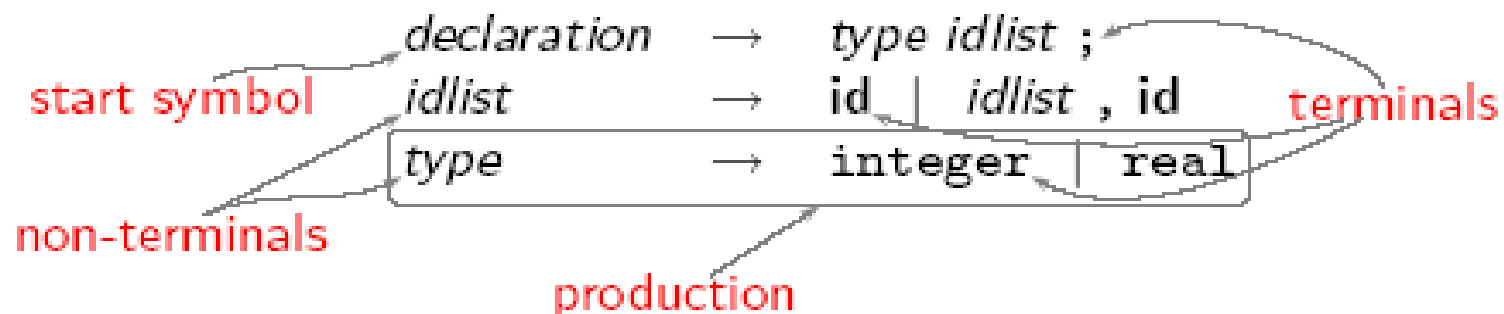
# Syntax Analyzer

- *Syntax Analyzer* creates the syntactic structure of the given source program.
- This syntactic structure is mostly a *parse tree*.
- Syntax Analyzer is also known as *parser*.
- The syntax of a programming is described by a *context-free grammar (CFG)*. We will use BNF (Backus-Naur Form) notation in the description of CFGs.
- The syntax analyzer (parser) checks whether a given source program satisfies the rules implied by a context-free grammar or not.
  - If it satisfies, the parser creates the parse tree of that program.
  - Otherwise the parser gives the error messages.
- A context-free grammar
  - gives a precise syntactic specification of a programming language.
  - the design of the grammar is an initial phase of the design of a compiler.
  - a grammar can be directly converted into a parser by some tools.

## Context Free Grammar

A CFG  $G$  is formally defined to have four components  $(N, T, S, P)$ :

1.  $T$  is a finite set of terminals.
2.  $N$  is a finite set of nonterminals.
3.  $S$  is a special nonterminal ( from  $N$  ) called the *start symbol*.
4.  $P$  is a finite set of production rules of the form such as  $A \rightarrow \alpha$ , where  $A$  is from  $N$  and  $\alpha$  from  $(N \cup T)^*$





## Derivation

Example :  $G = (\{list\}, \{id, ,\}, list, \{list \rightarrow list, id, list \rightarrow id\})$

A derivation is traced out as follows

$list \Rightarrow list, id$   
 $\Rightarrow list, id, id$   
 $\Rightarrow id, id, id$

- The transformation of a string of grammar symbols by replacing a non-terminal by the corresponding right hand side of a production is called a *derivation*.
- The set of all possible *terminal strings* that can be derived from the start symbol of a CFG is the language generated by the CFG.

This grammar generates a list of one or more ids separated by commas.



## Why the Term Context Free ?

Why the term context free ?

1. The only kind of productions permitted are of the form  
*non-terminal*  $\rightarrow$  *sequence of terminals and non-terminals*
2. Rules are used to replace an occurrence of the lhs non-terminal by its rhs. The replacement is made regardless of the context (symbols surrounding the non-terminal).

## Notational Conventions

Symbol type	Convention
single terminal	letters $a, b, c$ , operators delimiters, keywords
single nonterminal	letters $A, B, C$ and names such as <i>declaration</i> , <i>list</i> and $S$ is the start symbol
single grammar symbol (symbol from $\{N \cup T\}$ )	$X, Y, Z$
string of terminals	letters $x, y, z$
string of grammar symbols	$\alpha, \beta, \gamma$
null string	$\epsilon$

## Formal Definitions

- The *language*  $L(G)$  generated by a context free grammar  $G$  is defined as  $\{w \mid S \xRightarrow{+} w, w \in T^*\}$ . Strings in  $L(G)$  are called *sentences* of  $G$ .
- A string  $\alpha$ ,  $\alpha \in (N \cup T)^*$ , such that  $S \xRightarrow{*} \alpha$ , is called a *sentential form* of  $G$ .
- Two grammars are *equivalent*, if they generate the same language.

## Basic Concepts in Parsing

- For constructing a derivation, there are choices at each sentential form.
  - ▶ choice of the nonterminal to be replaced
  - ▶ choice of a rule corresponding to the nonterminal.
- Instead of choosing the nonterminal to be replaced, in an arbitrary fashion, it is possible to make a uniform choice at each step.
  - ▶ replace the leftmost nonterminal in a sentential form
  - ▶ replace the rightmost nonterminal in a sentential form

The corresponding derivations are known as *leftmost* and *rightmost* derivations respectively.

- Given a sentence  $w$  of a grammar  $G$ , there are several distinct derivations for  $w$ .

## Parse Trees

A *parse tree* is a pictorial form of depicting a derivation.

1. root of the tree is labeled with  $S$
2. each leaf node is labeled by a token or by  $\epsilon$
3. an internal node of the tree is labeled by a nonterminal
4. if an internal node has  $A$  as its label and the children of this node from left to right are labeled with  $X_1, X_2, \dots, X_n$  then there must be a production

$$A \rightarrow X_1 X_2 \dots X_n$$

where  $X_i$  is a grammar symbol.



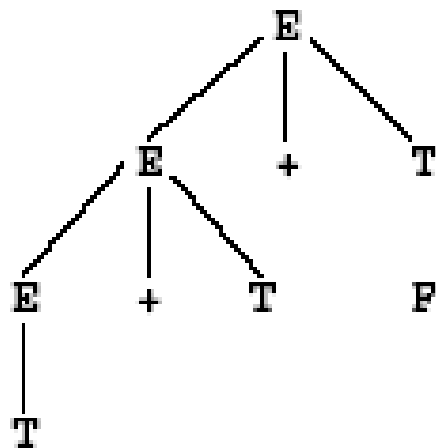
## Illustration

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \text{id}$$

*The parse tree:*



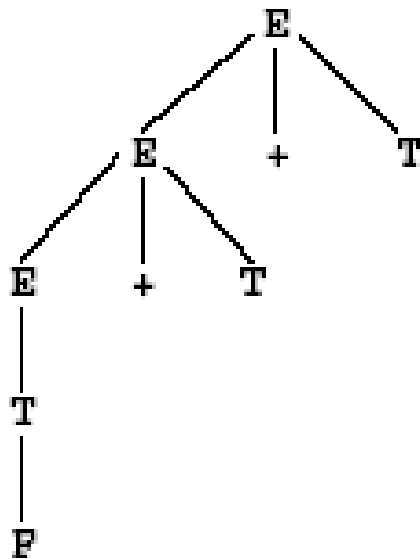
*Leftmost derivation:*

$$\begin{aligned} \underline{E} &\Rightarrow \underline{E} + T \\ &\Rightarrow \underline{E} + T + T \\ &\Rightarrow \underline{T} + T + T \end{aligned}$$

## Illustration

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \text{id} \end{aligned}$$

*The parse tree:*



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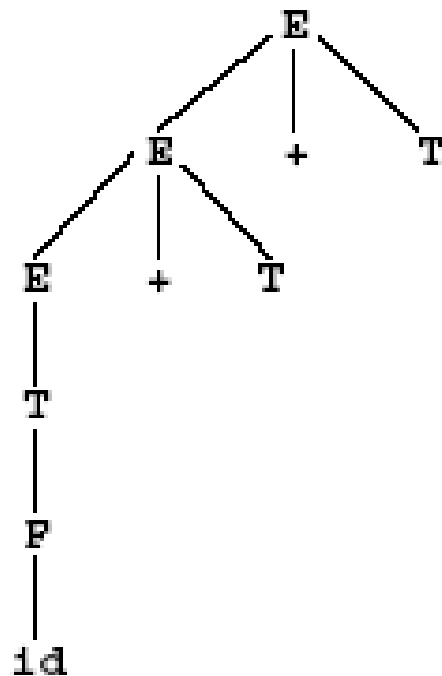
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*Leftmost derivation:*

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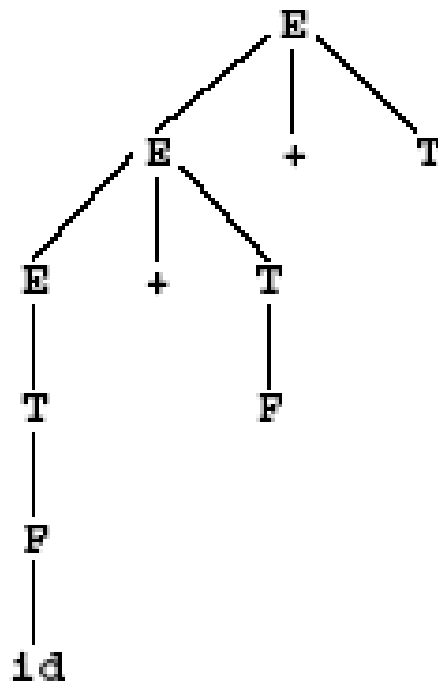
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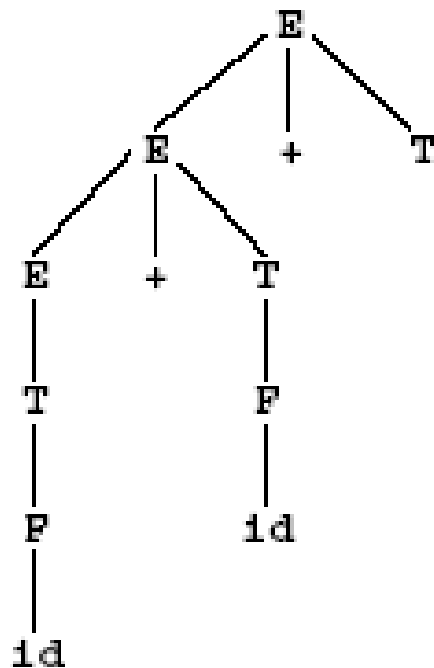
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$$\begin{aligned} \underline{E} &\Rightarrow \underline{E} + T \\ &\Rightarrow \underline{E} + T + T \\ &\Rightarrow \underline{T} + T + T \\ &\Rightarrow \underline{F} + T + T \\ &\Rightarrow id + \underline{T} + T \\ &\Rightarrow id + \underline{F} + T \end{aligned}$$

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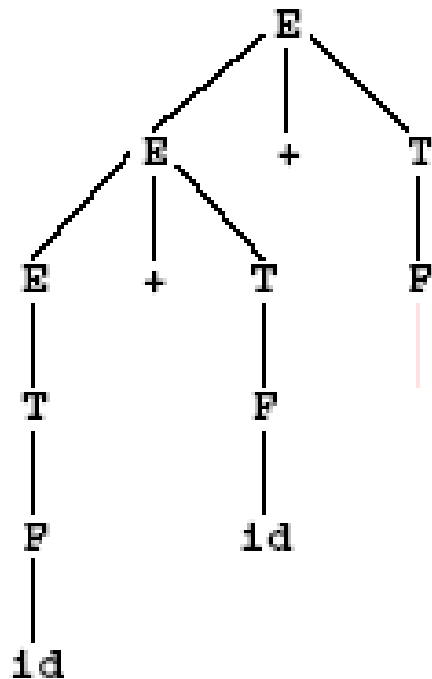
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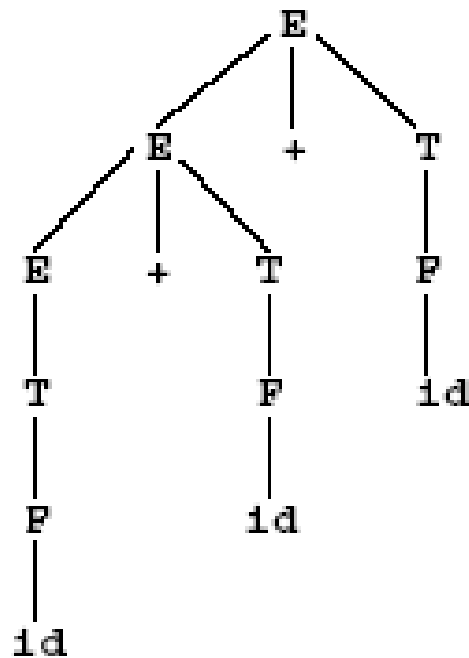
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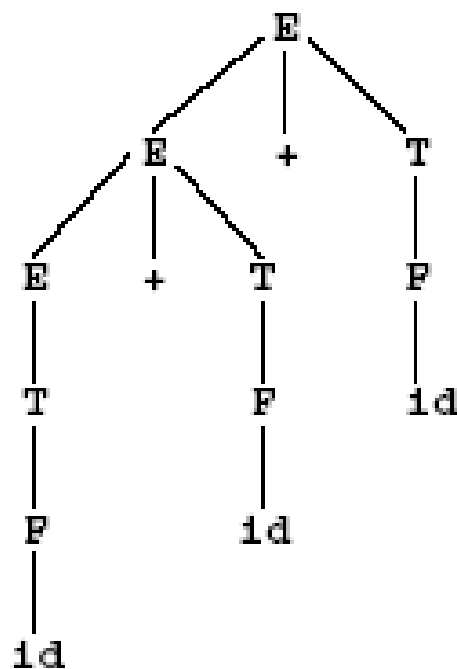
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The parse tree:



Leftmost derivation:

$$\begin{aligned} \underline{E} &\Rightarrow \underline{E} + T \\ &\Rightarrow \underline{E} + T + T \\ &\Rightarrow \underline{T} + T + T \\ &\Rightarrow \underline{F} + T + T \\ &\Rightarrow id + \underline{T} + T \\ &\Rightarrow id + \underline{F} + T \\ &\Rightarrow id + id + \underline{T} \\ &\Rightarrow id + id + \underline{F} \\ &\Rightarrow id + id + id \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} \underline{E} &\Rightarrow E + \underline{T} \\ &\Rightarrow E + \underline{F} \\ &\Rightarrow \underline{E} + id \\ &\Rightarrow E + \underline{T} + id \\ &\Rightarrow E + \underline{F} + id \\ &\Rightarrow \underline{E} + id + id \\ &\Rightarrow \underline{T} + id + id \\ &\Rightarrow \underline{F} + id + id \\ &\Rightarrow id + id + id \end{aligned}$$

# Derivations and Parse Trees

The following summarize some interesting relations between the two concepts

- Parse tree filters out the choice of replacements made in the sentential forms.
- Given a left (right) derivation for a sentence, one can construct a unique parse tree for the sentence.
- For every parse tree for a sentence there is a unique leftmost and a unique rightmost derivation.
- Can a sentence have more than one distinct parse trees, and therefore more than one left (right) derivations?

# Syntax Analysis

How are parsers constructed ?

- Till early seventies, parsers (in fact all of the compiler) were written manually.
- A better understanding of parsing algorithms has resulted in tools that can automatically generate parsers.
- Examples of parser generating tools:
  - ▶ Yacc/Bison: Bottom-up (LALR) parser generator
  - ▶ Antlr: Top-down (LL) scanner cum parser generator.



# Parsers (cont.)

- We categorize the parsers into two groups:
  - 1. Top-Down Parser**
    - the parse tree is created top to bottom, starting from the root.
  - 2. Bottom-Up Parser**
    - the parse is created bottom to top; starting from the leaves
- Both top-down and bottom-up parsers scan the input from left to right (one symbol at a time).
- Efficient top-down and bottom-up parsers can be implemented only for sub-classes of context-free grammars.
  - LL for top-down parsing
  - LR for bottom-up parsing

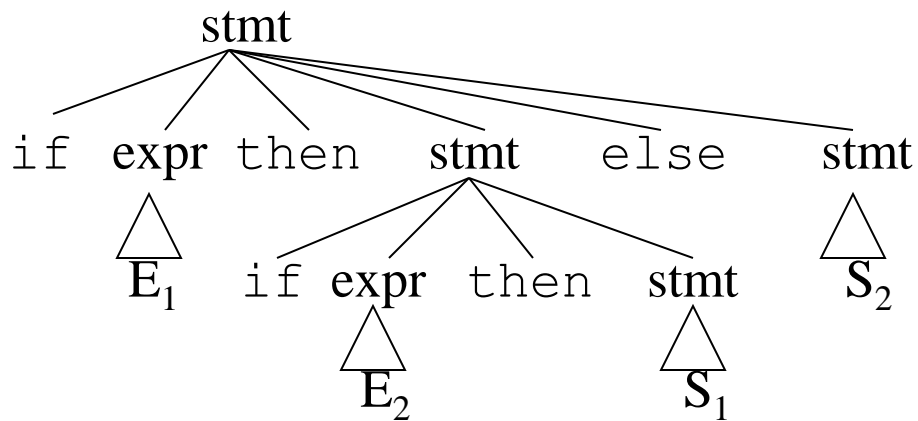
# Ambiguity (cont.)

- For the most parsers, the grammar must be unambiguous.
- unambiguous grammar
  - ➔ unique selection of the parse tree for a sentence
- We should eliminate the ambiguity in the grammar during the design phase of the compiler.
- An unambiguous grammar should be written to eliminate the ambiguity.
- We have to prefer one of the parse trees of a sentence (generated by an ambiguous grammar) to disambiguate that grammar to restrict to this choice.

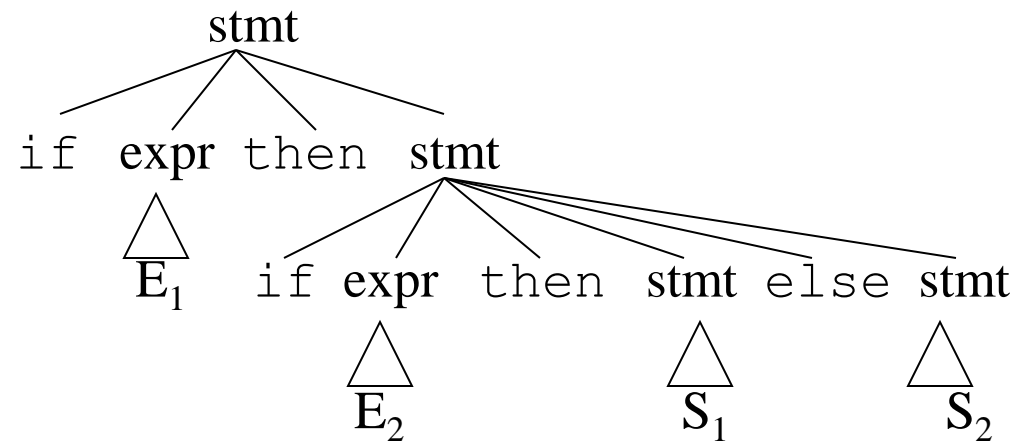
# Ambiguity (cont.)

$\text{stmt} \rightarrow \text{if expr then stmt} \mid$   
 $\text{if expr then stmt else stmt} \mid \text{otherstmts}$

$\text{if } E_1 \text{ then if } E_2 \text{ then } S_1 \text{ else } S_2$



**1**



**2**

# Ambiguity (cont.)

- We prefer the second parse tree (else matches with closest if).
- So, we have to disambiguate our grammar to reflect this choice.
- The unambiguous grammar will be:

$\text{stmt} \rightarrow \text{matchedstmt} \mid \text{unmatchedstmt}$

$\text{matchedstmt} \rightarrow \text{if expr then matchedstmt else matchedstmt} \mid \text{otherstmts}$

$\text{unmatchedstmt} \rightarrow \text{if expr then stmt} \mid$   
 $\text{if expr then matchedstmt else unmatchedstmt}$

**Thank You**