

SYLLABUS:

Disjoint Set Union: Disjoint set and its operations, Union Find Algorithm, Lexicographically Smallest Equivalent String, Number of Distinct Islands, and Number of Connected Components in an Undirected Graph.

Introduction:

Disjoint sets in mathematics are two sets that don't have any element in common. Sets can contain any number of elements, and those elements can be of any type. We can have a set of cars, a set of integers, a set of colors, etc. Sets also have various operations that can be performed on them, such as union, intersection, difference, etc.

We focus on **Disjoint Set Data Structure** and the operations we can perform. we will go through what a disjoint set data structure is, the disjoint set operations, as well as examples.

Disjoint Set Data Structure:

Before we look at disjoint set operations, let us understand what the disjoint set data structure itself is.

A disjoint set data structure is a data structure that stores a list of disjoint sets. In other words, this data structure divides a set into multiple subsets - such that no 2 subsets contain any common element. They are also called union-find data structures or merge-find sets.

Example: if the initial set is [1,2,3,4,5,6,7,8].

A Disjoint Set Data Structure might partition it as - [(1,2,4), (3,5), (6,8),(7)].

This contains all of the elements of the original set, and no 2 subsets have any element in common.

The following partitions would be invalid:

[(1,2,3),(3,4,5),(6,8),(7)] - invalid because 3 occurs in 2 subsets.

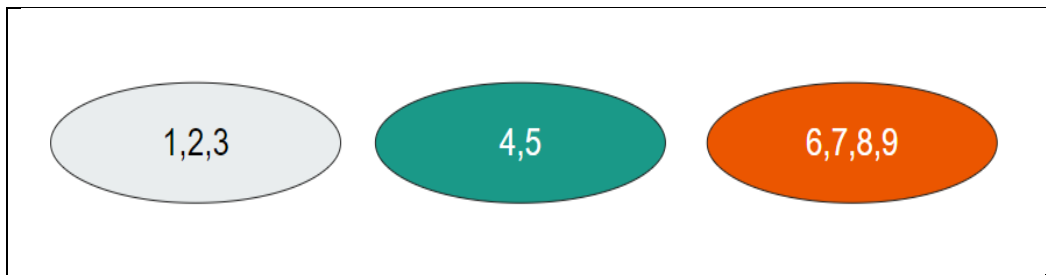
[(1,2,3),(5,6),(7,8)] -invalid as 4 is missing.

Representative Member

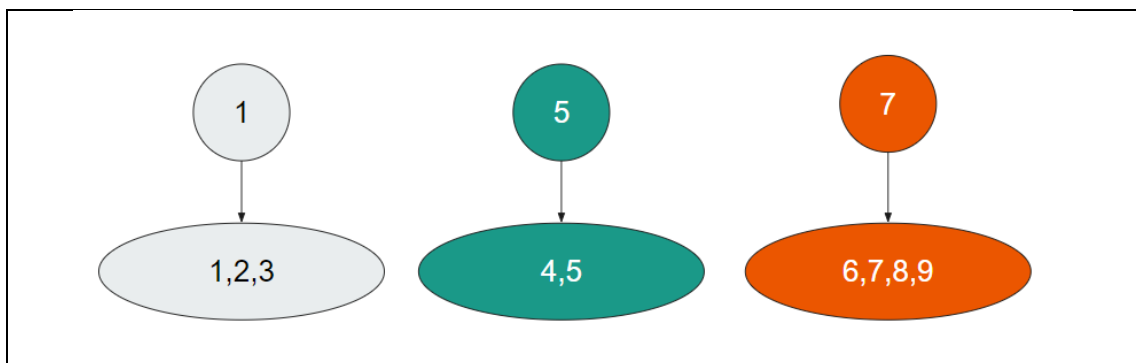
Each subset in a Disjoint Set Data Structure has a **representative member**. More commonly, we call this the **parent**. This is simply the member *responsible for identifying* the subset.

Let's understand this with an example.

Suppose this is the partitioning of sets in our Disjoint Set Data structure.



We wish to identify each subset, so we assign each subset a value by which we can identify it. The easiest way to do this is to choose a **member** of the subset and make it the **representative member**. This representative member will become the **parent** of all values in the subset.



Here, we have assigned representative members to each subset. Thus, if we wish to know which subset 3 is a part of, we can simply say - 3 is a part of the subset with representative member 1. More simply, we can say that the parent of 3 is 1.

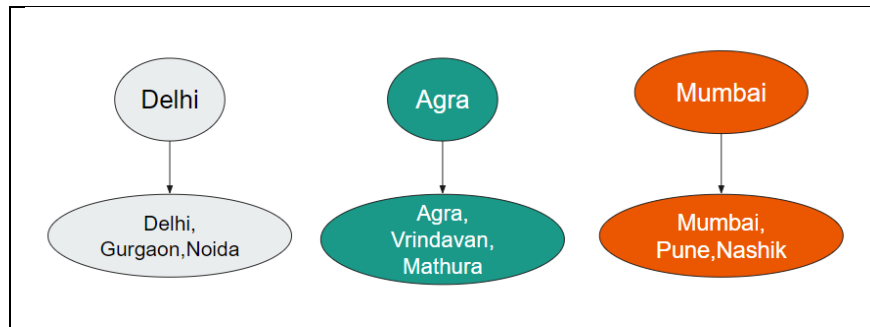
Here, 1 is its own parent.

Disjoint Set Operations:

There are 2 major disjoint set operations:

1. **Union/Merge** - this is used to merge 2 subsets into a single subset.
2. **Find** - This is used to find which subset a particular value belongs to.

We will take a look at both of them. To make things easier, we will change our sets from integers to Cities.

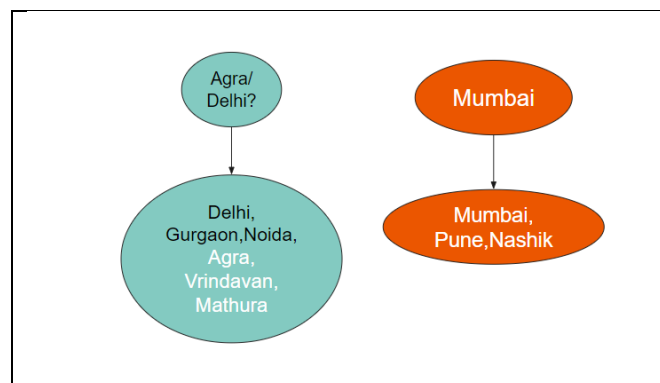


Let's say this data structure represents the places connected via express trains/ metro lines or any other means.

Union/Merge:

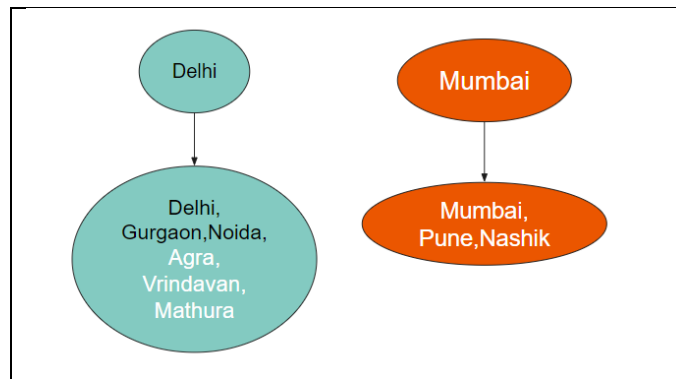
Suppose, in our example - a new express train started from Delhi to Agra. This would mean that *all* the cities connected to Delhi are now connected to *all* the cities connected to Agra.

This simply means that we can **merge** the subsets of Agra and Delhi into a single subset. It will look like this.



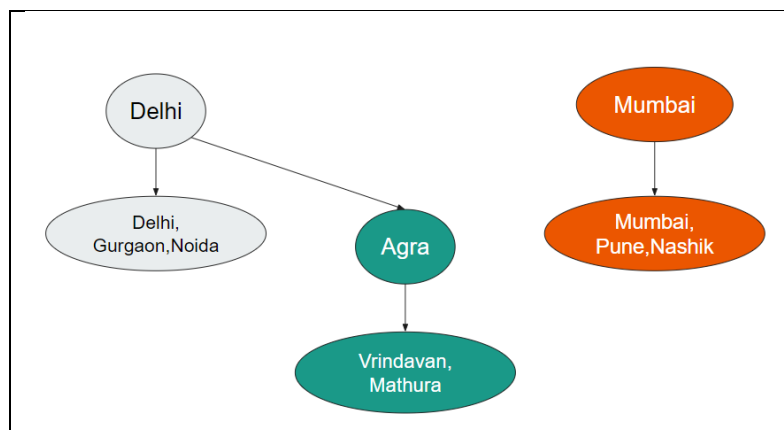
One key issue here is which out of Agra and Delhi will be the new Parent? For now, we will just choose any of them, as it will be sufficient for our purposes. Let's take Delhi for now.

Further optimizations can be made to this by choosing the parent based on which subset has a larger number of values.



- This is the new state of our Disjoint Set Data Structure. Delhi is the parent of Delhi, Gurgaon, Noida, Agra, Vrindavan, and Mathura.
- This would mean that we would have to change the parent of Agra, Vrindavan, and Mathura (basically, all the children of Agra), to Delhi.
- This would be linear in time. If we perform the union operation m times, and each union takes $O(n)$ times, we would get a quadratic $O(mn)$ time complexity. This is not optimized enough.

Instead, we structure it like this:



Earlier, Agra was its own parent. Now, Delhi is the parent of Agra.

Now, you might be wondering - The parent of Vrindavan is still Agra. It should have been Delhi now.

This is where the next operation helps us - the **find** operation.

Find:

The find operation helps us find the parent of a node. As we saw above, the direct parent of a node might not be its actual (logical) parent. E.g., the logical parent of Vrindavan should be Delhi in the above example. But its direct parent is Agra.

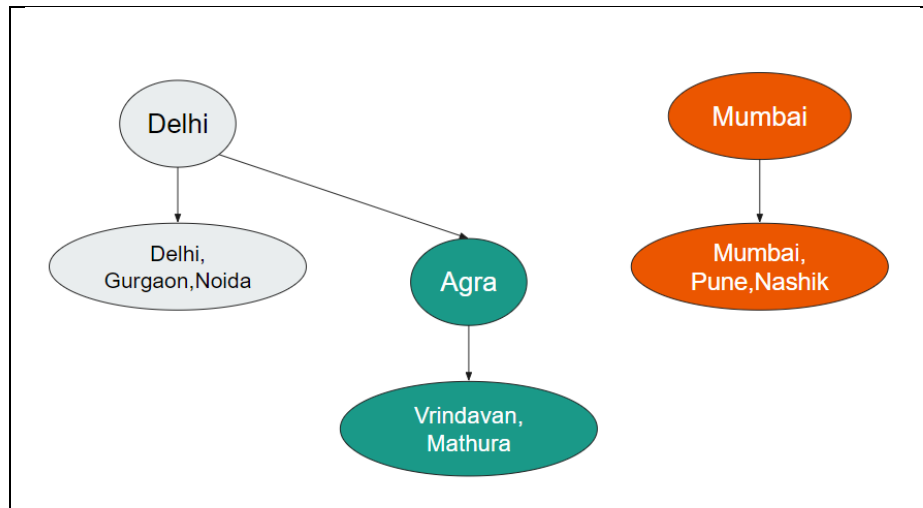
So, how do we find the actual parent?

The find operation helps us to find the actual parent. In pseudocode, the find operation looks like this:

```
find(node):  
if (parent(node)==node) return node;  
else return find(parent(node));
```

We don't return the direct parent of the node. We keep going up the tree of parents until we find a node that is its own parent.

Let's understand this via our example.

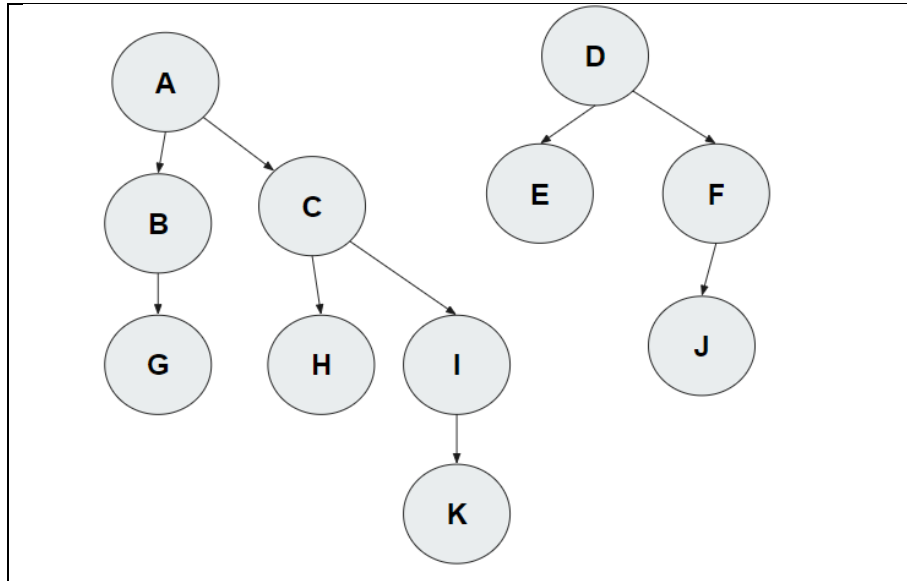


Suppose we have to find the parent of Mathura.

1. Check the direct parent of Mathura. It's Agra. Is Agra == Mathura?
The answer is false. So, now we call the find operation on Agra.
2. Check the direct parent of Agra. It's Delhi. Is Delhi == Agra?
The answer is false. So now we call the find operation on Delhi.
3. Check the direct parent of Delhi. It's Delhi. Is Delhi == Delhi.
The answer is true! So, our final answer for the parent of Mathura is Delhi.

This way, we keep going "up" the tree until we reach a root element. A root element is a node with its own parent - in our case, Delhi.

Example:



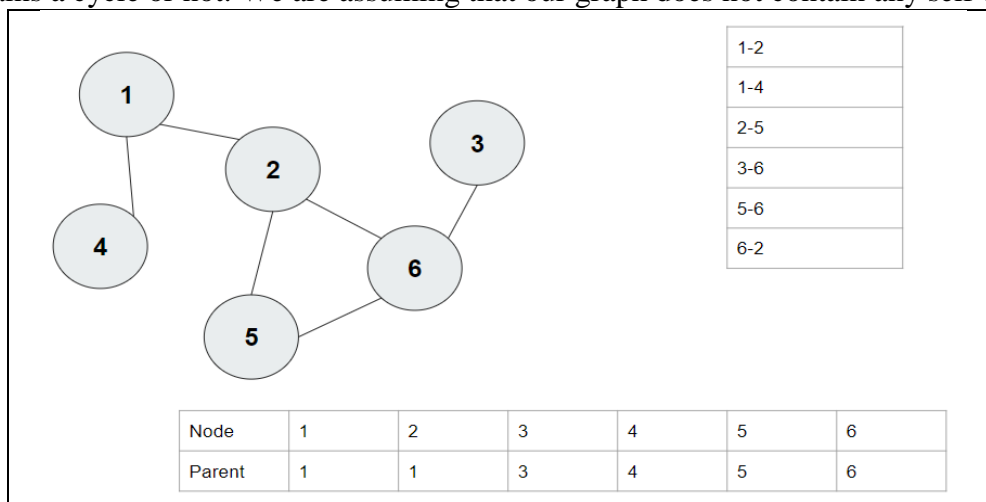
Let's try to find the parent of K in this example. (A and D are their own parents)

1. ParentOf(K) is I. Is $I == K$?
False. So, we move upward, now using I.
2. ParentOf(I) is C. Is $C == I$?
False. Move upward using C.
3. ParentOf(C) is A. Is $A == C$?
False. Move upward using A.
4. ParentOf(A) is A. Is $A == A$?
True! Our final answer is A.

Let's understand the use of a Disjoint Set Data Structure through a simple application - Finding a cycle in an undirected graph.

Find a Cycle in an Undirected Graph using Disjoint Set Data Structure:

Let us understand the disjoint set operations in daa more clearly with the help of an example. Here, we will use a disjoint set data structure to find whether an undirected graph contains a cycle or not. We are assuming that our graph does not contain any self-loops.



Let this be our graph. Each node is initialized as its own parent. The list of edges is shown on the top right, and the parents are shown at the bottom. We have shown each edge only once for simplicity (i.e., 1-2 and 2-1 aren't shown separately)

Algorithm:

We will go through each edge and do the following. Let the edge be u-v.

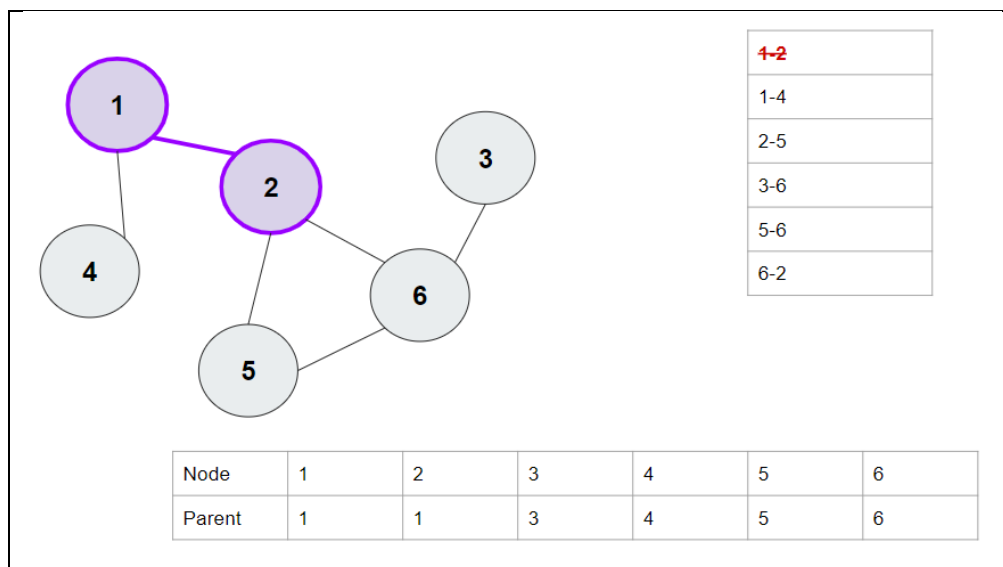
1. Find the parent of u and v. If both parents are the same, it means u and v are part of the same subset, and we have found a cycle.v
2. If they are not the same, merge u and v. We don't merge u and v directly. Instead, we merge the parents of u and v. This ensures that all the siblings of u and all the siblings of v have the same common parent.

We do this for all edges.

Walkthrough

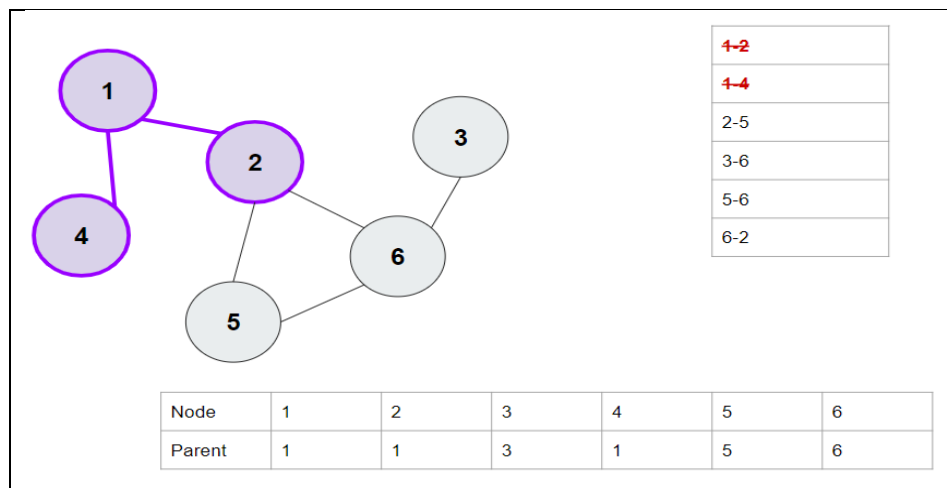
1. 1-2 Edge.

Parent of 1 is **1**, parent of 2 is **2**. They are different, so we will merge them. Set the parent of 2 as **1** (this is chosen randomly, setting the parent of 1 as 2 would also have been correct).



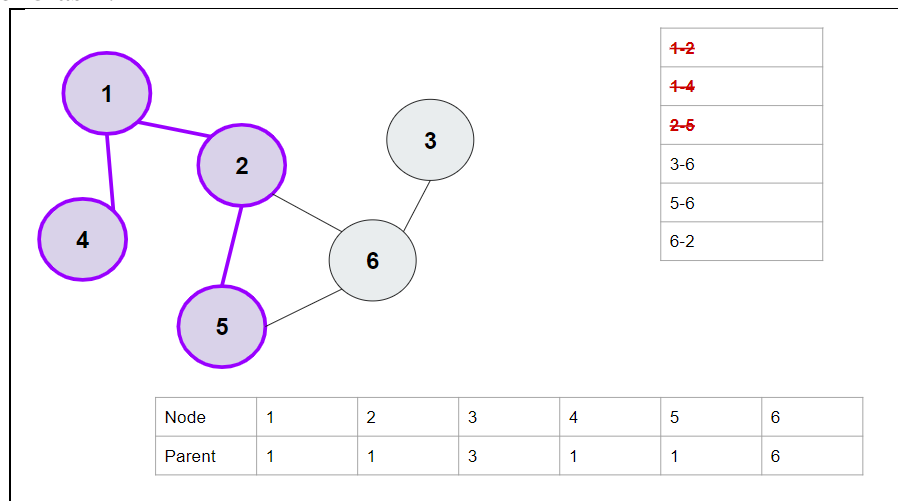
2. 1-4 Edge.

Parent of 1 is **1**. Parent of 4 is **4**. They are not the same, so merge 1 and 4. Set parent of **4** as **1**.



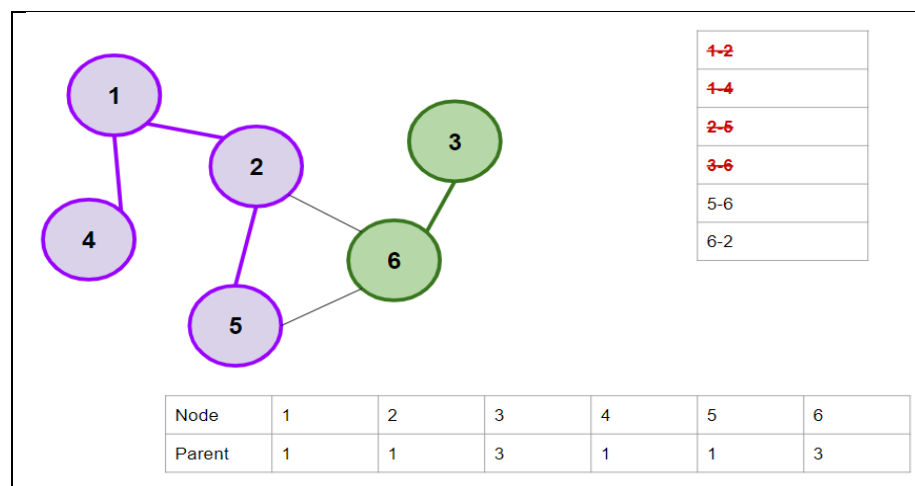
3. 2-5 Edge.

The parent of 2 is **1**, and the parent of 5 is **5**. They aren't the same, so we'll merge them. Set the parent of **5** as **1**.



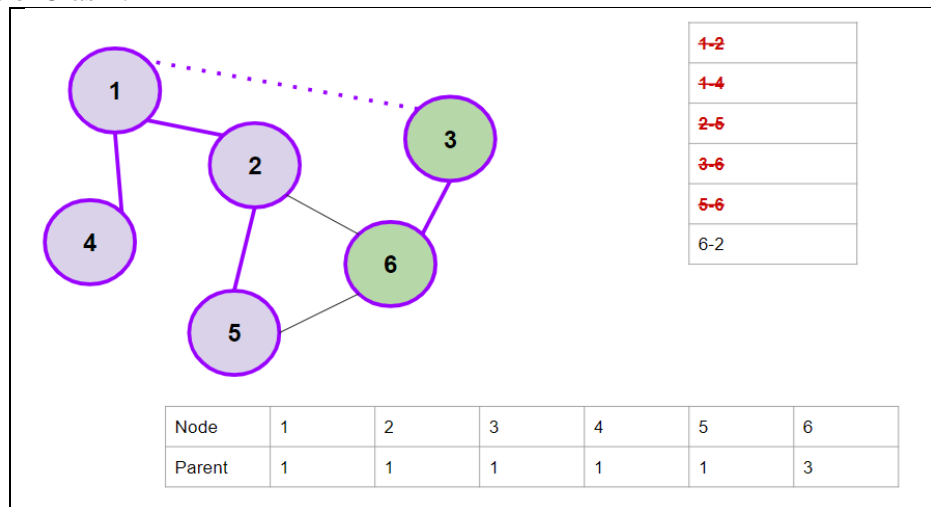
4. 3-6 Edge.

The parent of 3 is **3**, and the parent of 6 is **6**. They aren't the same, so we'll merge them. Set the parent of **6** as **3**.



5. 5-6 Edge.

The parent of 5 is 1, and the parent of 6 is 3. They aren't the same, so we'll merge them. Set the parent of 3 as 1.



Note here - the direct parent of 6 is still 3. But, the actual parent becomes 1, because the parent of 3 is 1. (The dotted line is not an edge in the graph, it shows that the parent of 3 is 1)

6. 6-2 Edge.

The parent of 6 is 1 (actual parent), and the parent of 2 is also 1. **These are both the same.** Thus, our graph contains a cycle.

Complexities for Disjoint Set Operations

- The **space complexity** is $O(n)$, where n is the number of vertices. The parent array stores the parent of each vertex. Hence we get $O(n)$ space complexity. We aren't considering the space complexity of the graph in this because the graph is the input here.
- The **time complexity** is $O(\log N)$ in the average case because the find operation will have to go up a tree with n nodes. The height of a tree in the average case will be $\log N$. In the worst case, we can have a skewed tree, and our time complexity will become $O(n)$.

Analysis Union-Find Operations

- For a set of n elements each in a set of its own, then the result of the union function is a degenerate tree.
- The time complexity of the following union-find operation is **$O(n^2)$** .
- The complexity can be improved by using weighting rule for union.

$\text{union}(0, 1), \text{find}(0)$
 $\text{union}(1, 2), \text{find}(0)$
 \vdots
 $\text{union}(n-2, n-1), \text{find}(0)$

Union operation
 $O(n)$

Find operation
 $O(n^2)$



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Weighted Rule for Union (i,j):

- If the number of nodes in the tree with root i is less than the number in the tree with root j , then make j the parent of i ; otherwise make i the parent of j .

Pseudo code

Algorithm WeightedUnion(i, j)
 // Union sets with roots i and j , $i \neq j$, using the
 // weighting rule. $p[i] = -count[i]$ and $p[j] = -count[j]$.
 {
 $temp := p[i] + p[j]$;
 if ($p[i] > p[j]$) **then**
 { // i has fewer nodes.
 $p[i] := j$; $p[j] := temp$;
 }
 else
 { // j has fewer or equal nodes.
 $p[j] := i$; $p[i] := temp$;
 }
 }

Array-Representation:

i	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
parent				-1	-1	-1	-1	-1	-1	-1

```

void union2 (int i, int j)
{
    int temp = parent[i] + parent[j];
    if (parent[i] > parent[j])
    { // i has fewer nodes
        parent[i] = j;
        parent[j] = temp;
    }
    else
    { // j has fewer nodes or equal nodes
        parent[j] = i;
        parent[i] = temp;
    }
}

```

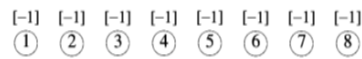
union2(0, 1)
union2(0, 2)
union2(0, 3)

temp = -3

0 . . . (n-1)

EX: union2(0, 1), union2(0, 2), union2(0, 2)

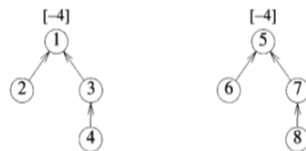
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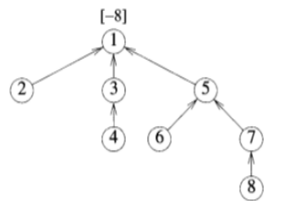
(a) Initial height-1 trees



(b) Height-2 trees following Union(1,2), (3,4), (5,6), and (7,8)



(c) Height-3 trees following Union(1,3) and (5,7)



(d) Height-4 tree following Union(1,5)

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Collapsing Rule(finding an element):

- If j is a node on the path from i to its root and $\text{parent}[i] \neq \text{root}(i)$, then set $\text{parent}[j]$ to $\text{root}(i)$.
- The first run of find operation will collapse the tree. Therefore, all following find operation of the same element only goes up one link to find the root.

Pseudo code:

```

1  Algorithm CollapsingFind( $i$ )
2  // Find the root of the tree containing element  $i$ . Use the
3  // collapsing rule to collapse all nodes from  $i$  to the root.
4  {
5       $r := i$ ;
6      while ( $p[r] > 0$ ) do  $r := p[r]$ ; // Find the root.
7      while ( $i \neq r$ ) do // Collapse nodes from  $i$  to root  $r$ .
8      {
9           $s := p[i]$ ;  $p[i] := r$ ;  $i := s$ ;
10     }
11     return  $r$ ;
12 }
```

Algorithm 2.15 Find algorithm with collapsing rule

Applications:

1. **Lexicographically Smallest Equivalent String.**
2. **Number of Distinct Islands.**
3. **Number of Connected Components in an Undirected Graph.**

1. Lexicographically Smallest Equivalent String:

You are given two strings of the same length $s1$ and $s2$ and a string $baseStr$.
We say $s1[i]$ and $s2[i]$ are equivalent characters.

For example, if $s1 = "abc"$ and $s2 = "cde"$, then we have $'a' == 'c'$, $'b' == 'd'$, and $'c' == 'e'$.

Equivalent characters follow the usual rules of any equivalence relation:

Reflexivity: $'a' == 'a'$.

Symmetry: $'a' == 'b'$ implies $'b' == 'a'$.

Transitivity: $'a' == 'b'$ and $'b' == 'c'$ implies $'a' == 'c'$.

For example, given the equivalency information from $s1 = "abc"$ and $s2 = "cde"$, $"acd"$ and $"aab"$

are equivalent strings of $baseStr = "eed"$, and $"aab"$ is the lexicographically smallest equivalent string of $baseStr$.

Return the lexicographically smallest equivalent string of $baseStr$ by using the equivalency information from $s1$ and $s2$.

Example 1:

Input: $s1 = "parker"$, $s2 = "morris"$, $baseStr = "parser"$

Output: $"makkek"$

Explanation: Based on the equivalency information in $s1$ and $s2$, we can group their characters as $[m,p]$, $[a,o]$, $[k,r,s]$, $[e,i]$.

The characters in each group are equivalent and sorted in lexicographical order.

So the answer is $"makkek"$.

Example 2:

Input: $s1 = "hello"$, $s2 = "world"$, $baseStr = "hold"$

Output: $"hdld"$

Explanation: Based on the equivalency information in $s1$ and $s2$, we can group their characters as $[h,w]$, $[d,e,o]$, $[l,r]$.

So only the second letter $'o'$ in $baseStr$ is changed to $'d'$, the answer is $"hdld"$.

Example 3:

Input: $s1 = "leetcode"$, $s2 = "programs"$, $baseStr = "sourcecode"$

Output: $"aauaaaaada"$

Explanation: We group the equivalent characters in $s1$ and $s2$ as $[a,o,e,r,s,c]$, $[l,p]$, $[g,t]$ and $[d,m]$, thus all letters in $baseStr$ except $'u'$ and $'d'$ are transformed to $'a'$, the answer is $"aauaaaaada"$.

APPROACH:

- The idea here is to use disjoint set union or union-find data structure.
- It provides the following capabilities. We are given several elements, each of which is a separate set. A DSU will have an operation to combine any two sets, and it will be able to tell in which set a specific element is.
- Thus the basic interface of this data structure consists of only three operations:
- `union_sets(a, b)` - merges the two specified sets (the set in which the element `a` is located, and the set in which the element `b` is located), as we need the lexicographically smallest element as root so we will add one more condition while union two sets.
- `find_set(v)` - returns the representative (also called leader) of the set that contains the element `v`. This representative is an element of its corresponding set. It is selected in each set by the data structure itself (and can change over time, namely after `union_sets` calls). This representative can be used to check if two elements are part of the same set or not. `a` and `b` are exactly in the same set, if `find_set(a) == find_set(b)`. Otherwise, they are in different sets.
- We iterate both string 's' and 't' and union all characters placed at the same index i.e.
- `union(s[i],t[i])` where `i` goes from `0 -> s.length-1`.
- And to make the lexicographically smallest string we just return the `find_set` value of each character of 'str'.

Algorithm:

- Declare an array `parent` of size 26 and initialize it with its index value.
- `for(i : 0 -> s.length)`
- `union_set(s[i],t[i])`
- Declare an empty string `res = ""`
- `for(i : 0 -> str.length)`
- `res += find_set(str[i])`
- `return res`
- Description of `union_set(int a, int b)`
- first find representation of each set
- `a = find_set(a)`
- `b = find_set(b)`
- `If (a != b)`
- `if(a < b)`
- `Parent[b] = a.`
- `else`
- `Parent[a] = b`
- Description of `find_set(int v)`
- `if(v == parent[v]) return v.`
- `return parent[v] = find_set(v)`

Java Program for Lexicographically Smallest Equivalent String:**LexSmallestEquivalentString.java**

```
import java.util.*;
class LexSmallestEquivalentString
{
    private class UnionFind
    {
        private int[] parent;

        private UnionFind(int n)
        {
            parent = new int[n];
            for(int i=0;i<n;i++)
            {
                parent[i] = i;
            }
        }
        private int getAbsoluteParent(int i)
        {
            {
                if(parent[i]==i)
                {
                    // absolute parent
                    return i;
                }
                parent[i]=getAbsoluteParent(parent[i]);
                return parent[i];
            }
        }

        private void unify(int i, int j)
        {
            {
                int absoluteParentI = getAbsoluteParent(i);
                int absoluteParentJ = getAbsoluteParent(j);
                if(absoluteParentI<absoluteParentJ)
                {
                    parent[absoluteParentJ] = absoluteParentI ;
                }
                else
                {
                    parent[absoluteParentI] = absoluteParentJ ;
                }
            }
        }
    }

    public String smallestEquivalentString(String s1, String s2, String baseStr)
```

```
{
    UnionFind uf = new UnionFind(26);

    StringBuilder sb = new StringBuilder();

    for(int i=0 ; i<s1.length() ; i++){

        int charS1 = s1.charAt(i)-'a';
        int charS2 = s2.charAt(i)-'a';

        uf.unify(charS1, charS2 );
    }

    for(int i=0 ; i<baseStr.length() ; i++)
    {
        int smallestMappedChar = uf.getAbsoluteParent(baseStr.charAt(i)-'a');

        sb.append((char)(smallestMappedChar+'a'));
    }

    return sb.toString();
}

public static void main(String args[])
{
    Scanner sc=new Scanner(System.in);
    String A=sc.next();
    String B=sc.next();
    String T=sc.next();
    LexSmallestEquivalentString lses=new LexSmallestEquivalentString();
    System.out.println(lses.smallestEquivalentString(A,B,T));
}
}
```

Sample input's and output's:**Example 1:****Input** =attitude progress apriori**Output** =aaogoog**Example 2:****Input** =kmit ngit mgit**Output** =ggit**Example 3:****Input** =hello world hold**Output** =hdld

2. Number of Distinct Islands:

Number of Distinct Islands states that given a $n \times m$ binary matrix. An island is a group of 1's (representing land) connected **4-directionally** (horizontal or vertical).

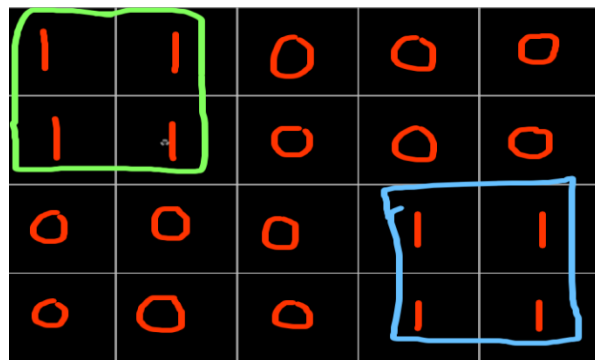
An island is considered to be the same as another if and only if one island can be translated (and not rotated or reflected) to equal the other.

Example-1:

Input: `[[1,1,0,0,0],[1,1,0,0,0],[0,0,0,1,1],[0,0,0,1,1]]`

Output: 1

Explanation:



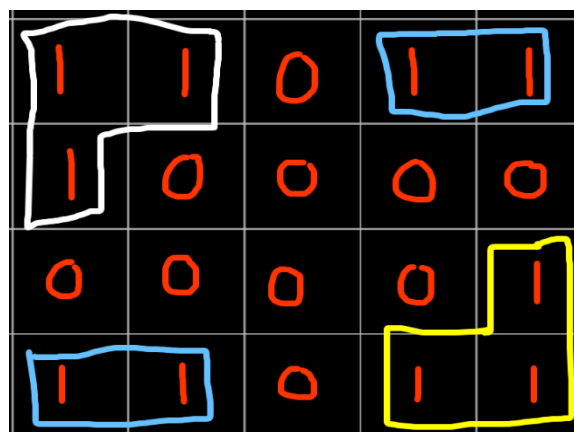
- Check the above diagram for a better understanding.
- Note that we cannot rotate or reflect the orientation of the island.
- In the above figure, both the islands are identical, hence the number of distinct islands is 1.

Example-2:

Input: `[[1,1,0,1,1],[1,0,0,0,0],[0,0,0,0,1],[1,1,0,1,1]]`

Output: 3

Explanation:



- Check the above diagram for a better understanding.
- Note that islands on the top right corner and bottom left corner are identical, while islands on the top left corner and bottom right corner are different.
- Hence, the total number of distinct islands is 3.

Java Program for Number of Distinct Islands: DistinctIslandsUF.java

```
import java.util.*;
public class DistinctIslandsUF
{
    private int size[];
    private int p[];
    private int N, M;

    public int find(int i)
    {
        while (p[i]>=0)
            i=p[i];
        return i;
    }
    public void union(int i, int j)
    {
        int ri = find(i);
        int rj = find(j);

        if (ri == rj)
            return;

        if (size[ri] < size[rj])
        {
            size[rj] += size[ri];
            p[ri] = rj;
        }
        else
        {
            size[ri] += size[rj];
            p[rj] = ri;
        }
    }
    private boolean inside(int x, int y)
    {
```

```
        return (x >= 0 && y >= 0 && x < N && y < M);
    }
    public int numIslands(int grid[][])
    {
        if (grid == null || grid.length == 0) return 0;
        N = grid.length;
        M = grid[0].length;
        size = new int[N*M];
        p = new int[N*M];
        List<int[]> ones = new ArrayList<>();

        for (int i = 0; i < N*M; i++)
        {
            p[i] = -1;
            size[i] = 1;
        }

        for (int i = 0; i < N; i++)
        {
            for (int j = 0; j < M; j++)
            {
                if (grid[i][j] != 0)
                {
                    int[] pos = new int[2];
                    pos[0] = i;
                    pos[1] = j;
                    ones.add(pos);
                    int tmp = i*M + j;
                    if (inside(i-1, j) && grid[i-1][j] != 0) union(tmp, tmp - M);
                    if (inside(i, j-1) && grid[i][j-1] != 0) union(tmp, tmp - 1);
                    if (inside(i+1, j) && grid[i+1][j] != 0) union(tmp, tmp + M);
                    if (inside(i, j+1) && grid[i][j+1] != 0) union(tmp, tmp + 1);
                }
            }
        }

        HashMap<Integer, Queue<int[]>> map = new HashMap<>();
        for (int[] pos : ones)
        {
            int x = pos[0], y = pos[1], p = find(x * M + y);
            Queue<int[]> queue = map.getOrDefault(p, new LinkedList<int[]>());
            queue.add(pos);
        }
    }
}
```

```
        map.put(p, queue);
    }

    HashSet<String> strs = new HashSet<>();
    for (int k : map.keySet())
    {
        Queue<int[]> queue = map.get(k);
        int[] dd = queue.peek();
        int dx = dd[0], dy = dd[1];
        StringBuilder sb = new StringBuilder();
        while (!queue.isEmpty())
        {
            int[] cur = queue.remove();
            sb.append(cur[0] - dx);
            sb.append(",");
            sb.append(cur[1] - dy);
            sb.append(";");
        }
        strs.add(sb.toString());
    }

    return strs.size();
}

public static void main(String[] args)
{
    Scanner sc=new Scanner(System.in);
    int m=sc.nextInt();
    int n=sc.nextInt();
    int grid[][]=new int[m][n];
    for(int i=0;i<m;i++)
        for(int j=0;j<n;j++)
            grid[i][j]=sc.nextInt();
    System.out.println(new DistinctIslandsUF().numIslands(grid));
}
}
```

Sample input's and output's:**Example: 1****Input =**4 5

1 1 0 0 0

1 1 0 0 0

0 0 0 1 1

0 0 0 1 1

Output =1**Example:2****Input =**5 5

1 1 0 1 1

1 0 0 0 1

0 0 0 0 0

1 0 0 0 1

1 1 0 1 1

Output =4

3. Number of Connected Components in an Undirected Graph:

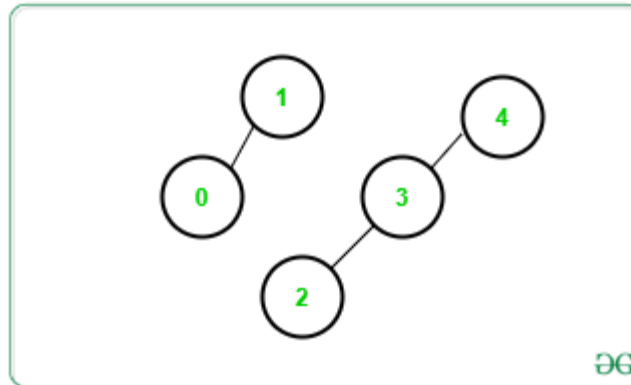
Given an undirected graph **G** with vertices numbered in the range **[0, N]** and an array **Edges[][]** consisting of **M** edges, the task is to find the total number of connected components in the graph using Disjoint Set Union algorithm.

Examples:

Input: N = 5, Edges[][] = {{1, 0}, {2, 3}, {3, 4}}

Output: 2

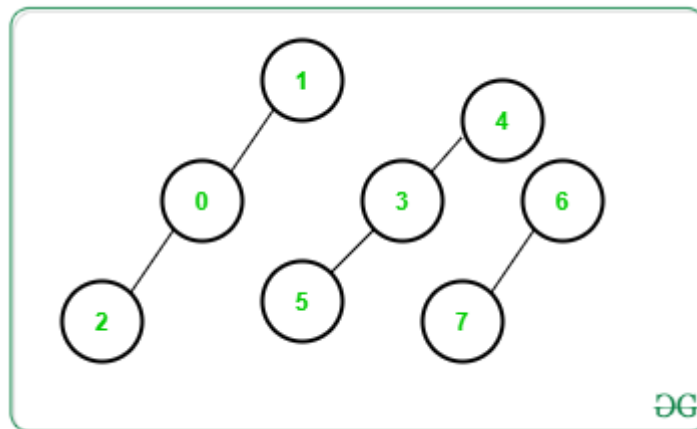
Explanation: There are only 2 connected components as shown below:



Input: N = 8, Edges[][] = {{1, 0}, {0, 2}, {3, 5}, {3, 4}, {6, 7}}

Output: 3

Explanation: There are only 3 connected components as shown below:



Approach:

The problem can be solved using Disjoint Set Union algorithm. Follow the steps below to solve the problem:

- In DSU algorithm, there are two main functions, i.e. **connect()** and **root()** function.
- **connect()**: Connects an edge.
- **root()**: Recursively determine the topmost parent of a given edge.
- For each edge **{a, b}**, check if **a** is connected to **b** or not. If found to be false, connect them by appending their top parents.
- After completing the above step for every edge, print the total number of the distinct top-most parents for each vertex.

Java Program for Number of Connected Components in an Undirected Graph:**ConnectedComponents.java**

```
import java.util.*;

class ConnectedComponents
{
    int[] parent;
    int[] size;
    public int countComponents(int n, int[][] edges)
    {
        parent = new int[n];
        size = new int[n];
        for (int i = 0; i < n; i++)
        {
            parent[i] = -1;
            size[i] = 1;
        }
        int components = n;
        for (int[] e : edges)
        {
            int p1 = find(e[0]);
            int p2 = find(e[1]);
            if (p1 != p2)
            {
                if (size[p1] < size[p2])
                { // Merge small size to large size
                    size[p2] += size[p1];
                    parent[p1] = p2;
                }
                else
                {
                    size[p1] += size[p2];
                    parent[p2] = p1;
                }
                components--;
            }
        }
        return components;
    }
    private int find(int i)
    {
        while(parent[i] >= 0)
            i = parent[i];
    }
}
```

```
        return i;
    }

    public static void main(String args[])
    {
        Scanner sc= new Scanner(System.in);
        int n=sc.nextInt();
        int e=sc.nextInt();
        int edges[][]=new int[e][2];
        for(int i=0;i<e;i++)
            for(int j=0;j<2;j++)
                edges[i][j]=sc.nextInt();
        System.out.println(new ConnectedComponents().countComponents(n,edges));
    }
}
```

Case =1

Input =5 6

0 1

0 2

2 3

1 2

1 4

2 4

Output =1

Case =2

Input =5 4

0 1

0 2

1 2

3 4

Output =2

Case =3

Input =6 3

0 1

2 3

4 5

Output =3