

# Matière VAS

## Vérification par Analyse Statique

- Intervenants :
- Intervenants :  
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  - Cours : 9 séances
  - Travaux Pratiques : 3 séances
  - Bureau d'études : 2 séances

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Contrôle des connaissances : 1 Bureau d'études

- Forme des supports : Choix pédagogique
- Intuition, Exemples : Tableau noir
  - Formalisation : Transparents

# Plan

- 1 Introduction
- 2 Contexte certification
  - DO178/ED12 safety standards
- 3 Approche déductive

# Validation, Verification, Certification, Qualification

Validation  
Verification  
Certification  
Qualification

# Validation, Verification, Certification, Qualification

**Validation** System satisfies the user needs (make the right product)

**Verification** System satisfies the requirements (make the product right)

**Certification**

**Qualification**

# Validation, Verification, Certification, Qualification

Validation

Verification

Certification System satisfies standard rules (usually public rules)

Qualification Development tools satisfies standard rules

# Software and System Engineering

- Process, Methods and Tools
  - Process : Workflow of activities allowing to build a product (software or system)
  - Method : How to conduct an activity
  - Tools : Partially automated support for the activity and its method
- Common activities: requirement analysis, architectural and component design, implementation, verification, validation, delivery, maintenance
- Common lifecycle models (high-level workflows): cascade, V, W, iteration
- Model Driven Engineering: Rely on the most appropriate domain specific methods and tools for each activity (instead of generic ones)

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# Formal methods

- Rely on mathematical formalism to assess:
  - consistency (remove ambiguities)
  - completeness
  - correctness of something (implementation) versus something else (specification)
- Formal specification (logic, set theory, algebra, transition systems, ... )
  - Requirements
  - System execution context
  - System implementation
- Formal verification (consistency, completeness, correctness, ... )
  - Deductive methods: Translation to logic and semi-automated proof
  - Abstract execution: Execution in an abstract domain
  - Model checking: Exhaustive test of finite models (explicit or implicit – symbolic)

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# DO-178/ED-12 safety standards: Certification

- Onboard software in aeronautics: Design Assurance Level  
Failure impact: DAL A – Catastrophic failure ... DAL E – No impact
- Early releases in the 80s, major revision in 1992 (B – 3 years of work), and 2012 (C – 7 years of work): adaptation to technological changes
- Most constraining standard up to now  
accepted by other standards (automotive, space, ...)
- Main concern: Safety of passengers  
System requirement :  $10^{-9}$  per flight hour for DAL A – ARP 4754
- Main purpose:  
Provide confidence in the system and its development

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# DO-178/ED-12 safety standards: Certification

- Key issue:  
Choose the strategy and technologies that will minimize risks
- Assessment: Stochastic for system, Zero-default for Software
- Process and test-centered approach
  - Definition of a precise process (development/verification)
  - MC-DC test coverage for DAL A  
truth-table lines of sub-expressions in conditions (some can be merged)
  - Asymmetry with independence argument: several activities (and products) by different teams, with different tools, ...



# Process centered approach

- Requirement: What is expected from a system
  - High level (HLR): focus on end users needs (user provided)
  - Low level (LLR): focus on technical solutions (developer provided)
- Traceability: Explicit relations between various elements in a system development (requirements, design and implementation choices)
- Verification: System fulfills its requirements **explicit specification** (make the product **right**)
- Validation: System fulfills its requirements **implicit human needs** (make the **right** product)
- Certification: System (and its development) follows standards (safety in our case: DO-178/ED-12, IEC-61508, ISO-26262, ...)
- Qualification: Tools for system development follows standards
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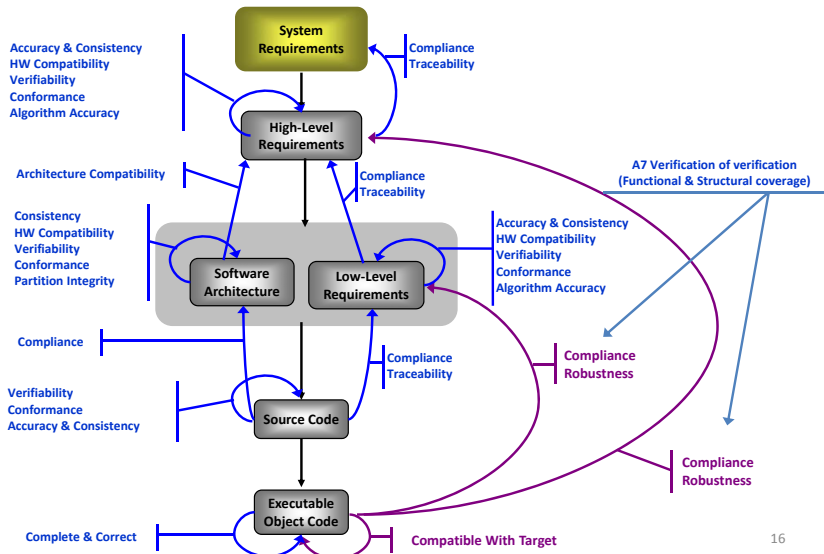
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# DO-178/ED-12 Global process



# Phase 1: Process definition and early certification

- Plan for Software Aspects of Certification (PSAC)
- Software Development Plan (SDP)
- **Software Verification Plan (SVP)**
- Software Configuration Management Plan (SCMP)
- Software Quality Assurance Plan (SQAP)  
applied only to the other plans
- Tool Qualification Plan (TQP)  
it tools are used to automatize activities

## Phase 2: Process application verification

- User requirements (HLR)
- Software architecture (elementary parts and their assembly)
- Software requirements (Detailed design of elementary parts):  
Can be refined user requirements or derived requirements (linked to technology choices, should be avoided or strongly justified)
- Executable Object Code (EOC) integration on Hardware
- Verification results
- Traceability links between requirements and software



# DO-178C/ED-12C: Main changes

- Convergence with DO-278 (ground software)
- Merge elements from DO-248 and many CASTs
- Supplements:
  - DO-331: Model based development and verification
  - DO-332: Object oriented technologies and related technics
  - DO-333: Formal methods
- New document: DO-330 Tool Qualification

# Model based development and verification

- Use of models as requirements: HLR from System phases and LLR from Design
- Applies to any models related to Software elements (including System phases)
- Can be used for communication or automatization (analysis, code generation)
- Models can be more abstract the Software and partial
- Requires **Higher Lever Requirements (HiLR)** to assess the models
- Modeling language must be **precise and appropriate**
  - Specification models: HLR (can be Design models HiLR)
  - Design models: LLR (requires test based on HiLR)

# Formal methods

- A formal method must be correctly defined, justified and appropriate
  - Correctly defined: precise, unambiguous, mathematically defined syntax and semantics
  - Justified: Sound (never assert a false property)
  - Appropriate: Assumptions required by formal analysis must be described and justified
- Requirement formalization correctness
- Formal analysis can replace:
  - Review and analysis objectives
  - Conformance tests versus HLR and LLR
  - Robustness tests
  - Compatibility with the hardware (WCET, ...)
- Adapted coverage analysis:
  - Complete coverage of each requirement
  - Completeness of the requirements
  - Detection of unintended data flow
  - Detection of extraneous code (dead or deactivated)
- But: Formal analysis cannot replace hardware/software integration tests.  
Tests is still a required activity at higher level

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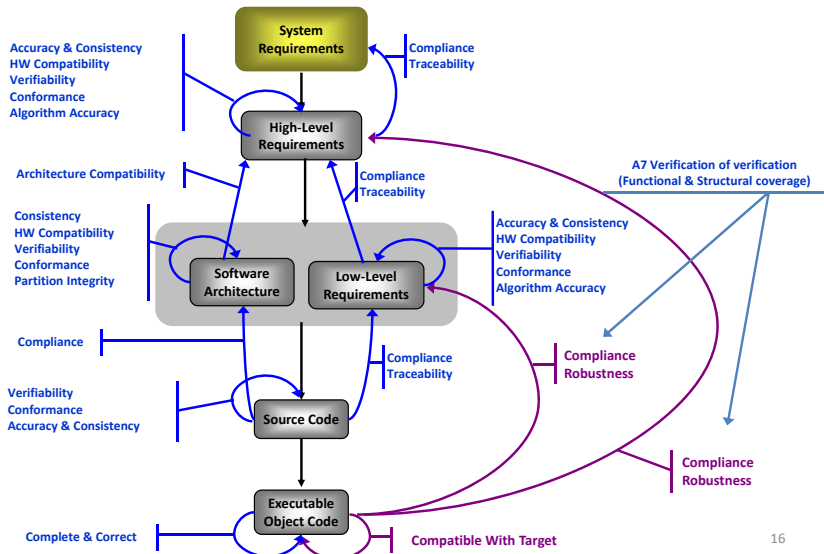
# Formal methods

- A formal method must be correctly defined, justified and appropriate
  - Formalization of requirements and/or verifiable safety critical system
  - Formalization of properties (the property)
  - Formalization of algorithms (the algorithm)
  - Formalization of algorithms by formal methods must be checked and proved
- Requirement formalization correctness
- Formal analysis can replace:
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# DO-178/ED-12 Formal method use





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# Principes généraux

- Travaux de Floyd (Turing 1978), Hoare (Turing 1980) et Dijkstra (Turing 1972)
  - Annotations des programmes par les propriétés des états intermédiaires
  - Préconditions (resp. Postconditions) : propriétés satisfaites avant (resp. Après) l'exécution d'un programme (d'une instruction)
  - Invariants : Propriétés toujours satisfaites durant l'exécution
  - Variants : Expressions strictement décroissantes et bornées inférieurement (ordre bien fondée)
- Origine de la programmation par contrats (Meyer)
- Exemples : Méthodes B et Event-B
- Exemples : Outils CAVEAT, frama-C, Spark-Ada, Spec-#, Why3, Boogie, F\*

## Exemple : factorielle

 $\{n \geq 0\}$  $\Rightarrow$  $\{1 \times n! = n! \wedge n \geq 0\}$  $x := 1;$  $\{x \times n! = n! \wedge n \geq 0\}$  $y := n;$  $\{x \times y! = n! \wedge y \geq 0\}$ *while*  $y \neq 0$  *inv*  $x \times y! = n! \wedge y \geq 0$  *do* $\{x \times y! = n! \wedge y \geq 0 \wedge y \neq 0\}$  $\Rightarrow$  $\{x \times y \times (y-1)! = n! \wedge y \geq 1\}$  $x := x \times y;$  $\{x \times (y-1)! = n! \wedge (y-1) \geq 0\}$  $y := y - 1$  $\{x \times y! = n! \wedge y \geq 0\}$ *od*; $\{x \times y! = n! \wedge y \geq 0 \wedge \neg y \neq 0\}$  $\Rightarrow$  $\{x = n!\}$

# Exemple : factorielle

 $\{n \geq 0\}$  $\Rightarrow$  $\{1 \times n! = n! \wedge n \geq 0\}$  $x := 1;$  $\{x \times n! = n! \wedge n \geq 0\}$  $y := n;$  $\{x \times y! = n! \wedge y \geq 0\}$  $\text{while } y \neq 0 \text{ inv } x \times y! = n! \wedge y \geq 0 \text{ do}$  $\{x \times y! = n! \wedge y \geq 0 \wedge y \neq 0\}$  $\Rightarrow$  $\{x \times y \times (y-1)! = n! \wedge y \geq 1\}$  $x := x \times y;$  $\{x \times (y-1)! = n! \wedge (y-1) \geq 0\}$  $y := y - 1$  $\{x \times y! = n! \wedge y \geq 0\}$  $\text{od};$  $\{x \times y! = n! \wedge y \geq 0 \wedge \neg y \neq 0\}$  $\Rightarrow$  $\{x = n!\}$

## Exemple : factorielle

```
{n ≥ 0}
⇒
{1 × n! = n! ∧ n ≥ 0}
x := 1;
{x × n! = n! ∧ n ≥ 0}
y := n;
{x × y! = n! ∧ y ≥ 0}
while y ≠ 0 inv x × y! = n! ∧ y ≥ 0 do
  {x × y! = n! ∧ y ≥ 0 ∧ y ≠ 0}
  ⇒
  {x × y × (y - 1)! = n! ∧ y ≥ 1}
  x := x × y;
  {x × (y - 1)! = n! ∧ (y - 1) ≥ 0}
  y := y - 1
  {x × y! = n! ∧ y ≥ 0}
od;
{x × y! = n! ∧ y ≥ 0 ∧ ¬y ≠ 0}
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  ⇒
  {x × y × (y - 1)! = n! ∧ y ≥ 1}
  x := x × y;
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# Exemple : factorielle

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{ $n \geq 0$ }
 $\Rightarrow$ 
{ $1 \times n! = n! \wedge n \geq 0$ }
 $x := 1$ ;
{ $x \times n! = n! \wedge n \geq 0$ }
 $y := n$ ;
{ $x \times y! = n! \wedge y \geq 0$ }
while  $y \neq 0$  inv  $x \times y! = n! \wedge y \geq 0$  do
    { $x \times y! = n! \wedge y \geq 0 \wedge y \neq 0$ }
     $\Rightarrow$ 
    { $x \times y \times (y-1)! = n! \wedge y \geq 1$ }
     $x := x \times y$ ;
    { $x \times (y-1)! = n! \wedge (y-1) \geq 0$ }
     $y := y - 1$ 
    { $x \times y! = n! \wedge y \geq 0$ }
od;
{ $x \times y! = n! \wedge y \geq 0 \wedge \neg y \neq 0$ }
 $\Rightarrow$ 
{ $x = n!$ }

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  {x × y! = n! ∧ y ≥ 0 ∧ y ≠ 0}
  ⇒
  {x × y × (y - 1)! = n! ∧ y ≥ 1}
  x := x × y;
  {x × (y - 1)! = n! ∧ (y - 1) ≥ 0}
  y := y - 1
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od;
{x × y! = n! ∧ y ≥ 0 ∧ ¬y ≠ 0}
⇒
{x = n!}
```



# Correction partielle : Système de déduction

$$\{\varphi\} P \{\psi\}$$

$\varphi$  et  $\psi$  fonctions de l'état de la mémoire

$$\begin{array}{c}
 \{[E/x]\varphi\} x := E \{\varphi\} \\
 \\
 \frac{\{\varphi \wedge C\} P \{\psi\} \quad \{\varphi \wedge \neg C\} Q \{\psi\}}{\{\varphi\} \text{ if } C \text{ then } P \text{ else } Q \text{ fi } \{\psi\}} \\
 \\
 \frac{\{\varphi \wedge C\} P \{\varphi\}}{\{\varphi\} \text{ while } C \text{ do } P \text{ od } \{\varphi \wedge \neg C\}} \\
 \\
 \frac{\{\varphi\} P \{\chi\} \quad \{\chi\} Q \{\psi\}}{\{\varphi\} P; Q \{\psi\}} \\
 \\
 \frac{\{\varphi\} P \{\chi\} \quad \chi \Rightarrow \psi}{\{\varphi\} P \{\psi\}} \\
 \\
 \frac{\varphi \Rightarrow \chi \quad \{\chi\} P \{\psi\}}{\{\varphi\} P \{\psi\}}
 \end{array}$$

## Exemple : division entière

```
{ $x \geq 0 \wedge y > 0$ }  
 $q := 0$ ;  
 $r := x$ ;  
tantque  $y \leq r$  faire  
     $q := q + 1$ ;  
     $r := r - y$   
fait;  
{ $x = q \times y + r \wedge 0 \leq q \wedge 0 \leq r < y$ }
```

# Correction totale : Système de déduction

$$\{\varphi\} P \{\psi\}$$

Construction d'une relation d'ordre bien fondée pour chaque boucle : Variant (pratiquement une fonction de la mémoire vers  $\mathbb{N}$ , c'est à dire une expression  $v$  qui exploite les variables qui représentent la mémoire)

$$\frac{\{\varphi \wedge C \wedge v = V \wedge v \in \mathbb{N}\} P \{\varphi \wedge v < V \wedge v \in \mathbb{N}\}}{\{\varphi\} \text{ while } C \text{ do } P \text{ od } \{\varphi \wedge \neg C\}}$$

# Exemple : factorielle

Variant :  $v = y$

```

{ $n \geq 0$ }
 $\Rightarrow$ 
{ $1 = 1 \wedge n \geq 0$ }( $\varphi_1$ )
 $x := 1$ ;
{ $x = 1 \wedge n \geq 0$ }( $\varphi_1$ )
 $y := n$ ;
{ $x \times y! = n! \wedge y \geq 0$ }
while  $y \neq 0$  inv  $x \times y! = n! \wedge y \geq 0$  var  $y$  do
    { $x \times y! = n! \wedge y \geq 0 \wedge y \neq 0 \wedge y = V \wedge y - 1 < y \wedge y \in \mathbb{N}$ }
     $\Rightarrow$ 
    { $x \times y! = n! \wedge y \geq 0 \wedge y \neq 0 \wedge y = V \wedge y - 1 < V \wedge y - 1 \in \mathbb{N}$ }
     $x := x \times y$ ;
    { $x \times (y - 1)! = n! \wedge (y - 1) \geq 0 \wedge y - 1 < V \wedge y - 1 \in \mathbb{N}$ }
     $y := y - 1$ 
{ $x \times y! = n! \wedge y \geq 0 \wedge y < V \wedge y \in \mathbb{N}$ }
od;
{ $x \times y! = n! \wedge y \geq 0 \wedge y = 0$ }
 $\Rightarrow$ 
{ $x = n!$ }

```

# Automatisation : Abstraction du langage

Les règles de preuve sont spécifiques aux langages

Les propriétés prouvées sont génériques

Obligation de preuves (appelée ici Verification Condition) : Transformation des triplets de Hoare en formules logiques indépendantes du langage

$$\forall \varphi, \psi, vc(\{\varphi\} P \{\psi\}) \Rightarrow \{\varphi\} P \{\psi\}$$

$$vc(\{\varphi\} \text{skip} \{\psi\}) = \{\varphi \Rightarrow \psi\}$$

$$vc(\{\varphi\} id := E \{\psi\}) = \{\varphi \Rightarrow [E/id]\psi\}$$

# Automatisation : Abstraction du langage

$$vc(\{\varphi\} P; \{\chi\} Q \{\psi\}) = vc(\{\varphi\} P \{\chi\}) \cup vc(\{\chi\} Q \{\psi\})$$

$$vc(\{\varphi\} \text{ if } (C) \text{ then } P \text{ else } Q \text{ fi } \{\psi\}) = \begin{array}{l} vc(\{C \wedge \varphi\} P \{\psi\}) \\ \cup \\ vc(\{\neg C \wedge \varphi\} Q \{\psi\}) \end{array}$$

$$vc(\{\varphi\} \text{ while } (C) \text{ invariant } \chi \text{ do } P \text{ od } \{\psi\}) = \begin{array}{l} \{\varphi \Rightarrow \chi, \chi \wedge \neg C \Rightarrow \psi\} \\ \cup \\ vc(\{C \wedge \chi\} P \{\chi\}) \end{array}$$

Exercice : Calculer les verification conditions pour l'exemple précédent (factorielle)

# Automatisation : Minimisation des formules générées

Problème majeur : déterminer les variants et invariants

Annotation du programme par l'utilisateur au niveau des boucles

Calcul de la plus faible précondition (weakest precondition) telle que :

$$\forall \psi, \{wp(P, \psi)\} P \{ \psi \}$$

$$\text{c'est-à-dire } \forall \varphi, \psi, \{ \varphi \} P \{ \psi \} \Rightarrow (\varphi \Rightarrow wp(P, \psi))$$

Peut prendre la forme d'un transformateur de prédicat  $[P]$  :

$$[P](\psi) = wp(P, \psi)$$

# Weakest precondition

$$wp(\text{skip}, \psi) = \psi$$

$$wp(id := E, \psi) = [E/id]\psi$$

$$wp(P; Q, \psi) = wp(P, wp(Q, \psi))$$

$$wp(\text{if } (C) \text{ then } P \text{ else } Q \text{ fi}, \psi) = (C \Rightarrow wp(P, \psi)) \wedge (\neg C \Rightarrow wp(Q, \psi))$$



# Weakest precondition

## Répétition et invariant

$$\begin{aligned} wp(\text{while}(C) \text{ do } P \text{ od}, \psi) = & (C \Rightarrow wp(P, wp(\text{while}(C) \text{ do } P \text{ od}, \psi))) \\ & \wedge \\ & (\neg C \Rightarrow \psi) \end{aligned}$$

Dans le cas du `while`, il faut développer expliciter le point fixe en fonction du nombre d'étapes de calcul ce que n'est pas praticable.

$$\begin{aligned} wp(\text{while}(C) \text{ do } P \text{ od}, \psi) &= \bigwedge_{i \in \mathbb{N}} \psi_i \\ \psi_0 &= \psi \\ \psi_{i+1} &= (C \Rightarrow wp(P, \psi_i)) \wedge (\neg C \Rightarrow \psi_i) \end{aligned}$$

# Mélange des deux approches

J'utilise ici le terme de *Proof Obligation* pour la combinaison de WP et VC.

$$vc(\{\varphi\} P \{\psi\}) = \{\varphi \Rightarrow \chi\} \cup \mathcal{E} \text{ avec } \langle \chi, \mathcal{E} \rangle = po(Q, \psi)$$

$$po(\text{skip}, \psi) = \langle \psi, \emptyset \rangle$$

$$po(id := E, \psi) = \langle [E/id]\psi, \emptyset \rangle$$

$$po(P; Q, \psi) = \langle \varphi_2, \mathcal{E}_1 \cup \mathcal{E}_2 \rangle \text{ avec } \langle \varphi_2, \mathcal{E}_2 \rangle = po(P, \varphi_1) \text{ et } \langle \varphi_1, \mathcal{E}_1 \rangle = po(Q, \psi)$$

# Mélange des deux approches

$$\begin{aligned}
 po(\text{if } (C) \text{ then } P \text{ else } Q \text{ fi}, \psi) &= \langle (C \Rightarrow \varphi_1) \wedge (\neg C \Rightarrow \varphi_2), \mathcal{E}_1 \cup \mathcal{E}_2 \rangle \\
 &\text{avec } \langle \varphi_1, \mathcal{E}_1 \rangle = po(P, \psi) \\
 &\text{avec } \langle \varphi_2, \mathcal{E}_2 \rangle = po(Q, \psi)
 \end{aligned}$$

$$\begin{aligned}
 po(\text{while } (C) \text{ invariant } \chi \text{ do } P \text{ od}, \psi) &= \langle \chi, \mathcal{E}_1 \cup \mathcal{E}_2 \rangle \\
 &\text{avec } \mathcal{E}_2 = \left\{ \begin{array}{l} C \wedge \chi \Rightarrow \varphi, \\ \neg C \wedge \chi \Rightarrow \psi \end{array} \right\} \\
 &\text{avec } \langle \varphi, \mathcal{E}_1 \rangle = po(P, \chi)
 \end{aligned}$$

## Exercice : factorielle

Exercice : Calculer les obligations de preuve pour le programme suivant.

```
{n ≥ 0}  
x := 1;  
y := n;  
while y ≠ 0 invariant x × y! = n! ∧ y ≥ 0 do  
    x := x × y;  
    y := y - 1  
od;  
{x = n!}
```

Notons le programme P, la partie avant la boucle A, la boucle B, le corps de boucle C.

## Exemple : factorielle

Calculons les obligations de preuve pour les différentes parties de l'exemple A, C et B puis concluons pour P.

$$\begin{aligned} po(A, x \times y! = n! \wedge y \geq 0) &= \langle 1 \times n! = n! \wedge n \geq 0, \emptyset \rangle \\ &= \langle n \geq 0, \emptyset \rangle \end{aligned}$$

$$\begin{aligned} po(C, x \times y! = n! \wedge y \geq 0) &= \langle x \times y \times (y - 1)! = n! \wedge y - 1 \geq 0, \emptyset \rangle \\ &= \langle x \times y! = n! \wedge y > 0, \emptyset \rangle \end{aligned}$$

$$po(B, x = n!) = \langle x \times y! = n! \wedge y \geq 0, \mathcal{E} \rangle$$

$$\mathcal{E} = \left\{ \begin{array}{l} (x \times y! = n! \wedge y \geq 0) \wedge y \neq 0 \Rightarrow (x \times y! = n! \wedge y > 0), \\ (x \times y! = n! \wedge y \geq 0) \wedge \neg(y \neq 0) \Rightarrow x = n! \end{array} \right\}$$

$$vc(\{n \geq 0\} P \{x = n!\}) = \{n \geq 0 \Rightarrow n \geq 0\} \cup \mathcal{E}$$

Toutes les obligations sont valides donc le programme est correct.