

关系的运算

School of Computer
Wuhan University

1

- 关系的合成
- 关系的幂
- 关系的闭包
- 传递闭包的求解算法

关系上的运算

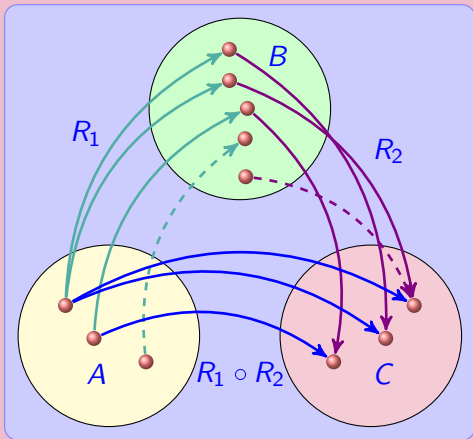
Remark

由于关系就是集合，因此集合上的运算也是关系的运算。

- “ \leq ” - “ $\mathbb{1}_A$ ” = “ $<$ ”;
- \mathbb{R} 上有: “ \leq ” \cap “ \geq ” = “ $=$ ”;
- “ \leq ” \cup “ \geq ” = \mathbb{R} 上的全域关系;
- $\mathcal{P}(A)$ 上有: “ \subseteq ” \cap “ \supseteq ” = “ $=$ ”;
- “ \subseteq ” \cup “ \supseteq ” \neq 全域关系.

由于关系的对象是 n 重组, 因此还有些一般集合不具有的运算.

关系合成的图示



合成的定义

Definition (合成关系, Composite Relation)

设 $\mathcal{R}_1 \subseteq A \times B$, $\mathcal{R}_2 \subseteq B \times C$, \mathcal{R}_1 和 \mathcal{R}_2 的合成记为 $\mathcal{R}_1 \circ \mathcal{R}_2$ ($\mathcal{R}_1 \mathcal{R}_2$) 定义为:

$$\mathcal{R}_1 \mathcal{R}_2 \triangleq \{ \langle a, c \rangle \mid a \in A, c \in C \wedge \exists b \in B \wedge a \mathcal{R}_1 b \wedge b \mathcal{R}_2 c \}$$

是A到C上的关系.

Remark

合成的条件：第一个关系的陪域(codomain)和第二个关系的域(domain)是相同的集合.

Example

- ◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡ ◻ ↺ 🔍 ↻

合成的运算性质(2/2)

Proof.

②的证明:

$$① \quad \forall \langle a, c \rangle \in \mathcal{R}_1(\mathcal{R}_2 \cap \mathcal{R}_3)$$

$$② \quad \iff \exists b(\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_2 \cap \mathcal{R}_3)$$

$$③ \quad \iff \exists b(\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_2 \wedge \langle b, c \rangle \in \mathcal{R}_3)$$

$$④ \quad \iff \exists b((\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_2) \wedge (\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_3))$$

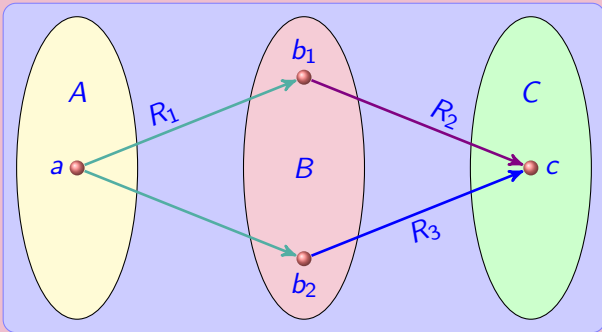
$$⑤ \quad \implies \exists b(\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_2) \wedge \exists b(\langle a, b \rangle \in \mathcal{R}_1 \wedge \langle b, c \rangle \in \mathcal{R}_3)$$

$$⑥ \quad \iff \langle a, c \rangle \in \mathcal{R}_1 \mathcal{R}_2 \wedge \langle a, c \rangle \in \mathcal{R}_1 \mathcal{R}_3$$

$$⑦ \quad \iff \langle a, c \rangle \in \mathcal{R}_1 \mathcal{R}_2 \cap \mathcal{R}_1 \mathcal{R}_3$$



②的反例



$$\begin{aligned}\mathcal{R}_1(\mathcal{R}_2 \cap \mathcal{R}_3) &= \emptyset \\ \mathcal{R}_1 \mathcal{R}_2 \cap \mathcal{R}_1 \mathcal{R}_3 &= \{\langle a, c \rangle\}\end{aligned}$$

Example 2: Six Degrees of Separation (六度分隔)



见http://en.wikipedia.org/wiki/Six_degrees_of_separation.

Definition (关系的幂, Power of relation)

- ① $\mathcal{R}^0 = \mathbb{1}_A$;
- ② $\mathcal{R}^{n+1} = \mathcal{R}^n \mathcal{R}$.

$$\therefore \mathcal{R}^n = \begin{cases} \mathcal{R} & \text{if } n \text{ 是奇数} \\ \mathbb{1}_A & \text{if } n \text{ 是偶数} \end{cases}$$

相关性质

Theorem

- ① $\mathcal{R}^m \mathcal{R}^n = \mathcal{R}^{m+n}$;
- ② $(\mathcal{R}^m)^n = \mathcal{R}^{mn}$;

①的证明.

对 n 用归纳法:

- ① $n = 0$ 时, $\mathcal{R}^m \mathcal{R}^0 = \mathcal{R}^m \mathbb{1}_A = \mathcal{R}^m = \mathcal{R}^{m+0}$;
- ② 设 $n = k$ 时, $\mathcal{R}^m \mathcal{R}^k = \mathcal{R}^{m+k}$;
- ③ $n = k + 1$ 时:

$$\begin{aligned}
 & \mathcal{R}^m \mathcal{R}^{k+1} \\
 &= \mathcal{R}^m (\mathcal{R}^k \mathcal{R}) \quad (\text{def}) \\
 &= (\mathcal{R}^m \mathcal{R}^k) \mathcal{R} \quad (\text{结合律}) \\
 &= \mathcal{R}^{m+k} \mathcal{R} \quad (\text{归纳假设}) \\
 &= \mathcal{R}^{m+k+1} \quad (\text{def})
 \end{aligned}$$



相关性质

Theorem

- ① 设 $|A| = n$, 则存在 i, j $0 \leq i < j \leq 2^{n^2}$, 使得: $\mathcal{R}^i = \mathcal{R}^j$.

Proof.

- ① $|A| = n, \therefore |A \times A| = n^2$;
 ② $\therefore |\mathcal{P}(A \times A)| = 2^{n^2}$;
 ③ 而 $\mathcal{R}^0, \mathcal{R}^1, \dots, \mathcal{R}^{2^{n^2}}$ 共有 $2^{n^2} + 1$ 项;
 ④ 根据抽屉原则, $\exists i, j$ $0 \leq i < j \leq 2^{n^2}$, 使得: $\mathcal{R}^i = \mathcal{R}^j$.



Corollary

$$\forall m \in \mathbb{N} \quad \mathcal{R}^m \in \{ \mathcal{R}^0, \mathcal{R}^1, \dots, \mathcal{R}^{2^{n^2}-1} \}.$$

闭包

Description (闭包, Closure)

数学上把包含某个给定的集合，并且具有某个性质的**最小**集合称为**闭包**。

Example

- ① 所有的可以间接通航的城市之间的关系，是直接通航城市的传递闭包；

关系的逆

Definition (关系的逆)

设 $\mathcal{R} \subseteq A \times B$, 关系 \mathcal{R} 的逆关系, 记为 $\tilde{\mathcal{R}}$ (读作tilde), 定义如下:

$$\tilde{\mathcal{R}} = \{ \langle y, x \rangle \mid \langle x, y \rangle \in \mathcal{R} \} \subseteq B \times A$$

Example

- $\lesssim = \geq$; $\tilde{1}_A = 1_A$; $\tilde{\subseteq} = \supseteq$;
- 关系的逆是关系的对偶概念; 如果 \mathcal{R} 具有五性, 则 $\tilde{\mathcal{R}}$ 也相应的具有;
- 关系的逆与关系的补是不同的概念:

$$\overline{\mathcal{R}} = \{ \langle x, y \rangle \mid \langle x, y \rangle \notin \mathcal{R} \} \subseteq A \times B$$

相关性质

Theorem

\mathcal{R} 是对称关系, iff, $\mathcal{R} = \tilde{\mathcal{R}}$.

Proof.

$\Rightarrow \forall \langle x, y \rangle \in \mathcal{R}, \therefore \langle y, x \rangle \in \mathcal{R}; \text{ So } \langle x, y \rangle \in \tilde{\mathcal{R}}$

$\therefore \mathcal{R} \subseteq \tilde{\mathcal{R}}, \text{ but } \tilde{\tilde{\mathcal{R}}} = \mathcal{R};$

$\text{So, } \tilde{\mathcal{R}} \subseteq \tilde{\tilde{\mathcal{R}}} = \mathcal{R} (\because \tilde{\mathcal{R}} \text{ 也是对称关系}), \therefore \mathcal{R} = \tilde{\mathcal{R}};$

$\Leftarrow \forall \langle x, y \rangle \in \mathcal{R} \therefore \langle x, y \rangle \in \tilde{\mathcal{R}}; \text{ So } \langle y, x \rangle \in \mathcal{R}$

所以 \mathcal{R} 是对称关系.



特性关系的闭包

Definition

设 $\mathcal{R} \subseteq A^2$, \mathcal{R} 的自反(对称、传递)闭包 \mathcal{R}' 是满足下述三条件的关系:

- ① $\mathcal{R} \subseteq \mathcal{R}'$;
- ② \mathcal{R}' 是自反的(对称的、传递的);
- ③ 设 \mathcal{R}'' 是满足上述两条件的关系, 则 $\mathcal{R}' \subseteq \mathcal{R}''$.

分别记 \mathcal{R} 的自反、对称和传递闭包为: $r(\mathcal{R})$, $s(\mathcal{R})$ 和 $t(\mathcal{R})$.

Propostion

\mathcal{R} 是自反的(对称的、传递的), iff, $\mathcal{R} = r(\mathcal{R})$ ($s(\mathcal{R})$, $t(\mathcal{R})$).

闭包的构造(1/2)

Theorem

$$\textcircled{1} \ r(\mathcal{R}) = \mathcal{R} \cup \mathbb{1}_A; \quad \textcircled{2} \ s(\mathcal{R}) = \mathcal{R} \cup \tilde{\mathcal{R}}; \quad \textcircled{3} \ t(\mathcal{R}) = \bigcup_{i=1}^{\infty} \mathcal{R}^i.$$

③的证明.

$$\textcircled{1} \ \mathcal{R} \subseteq \bigcup_{i=1}^{\infty} \mathcal{R}^i;$$

$$\textcircled{2} \ \forall \langle x, y \rangle \in \bigcup_{i=1}^{\infty} \mathcal{R}^i, \langle y, z \rangle \in \bigcup_{i=1}^{\infty} \mathcal{R}^i;$$

$$\exists m, n \ \langle x, y \rangle \in \mathcal{R}^m, \langle y, z \rangle \in \mathcal{R}^n; \therefore \langle x, z \rangle \in \mathcal{R}^m \mathcal{R}^n = \mathcal{R}^{m+n} \subseteq \bigcup_{i=1}^{\infty} \mathcal{R}^i;$$

所以 $\bigcup_{i=1}^{\infty} \mathcal{R}^i$ 是传递的.



闭包的构造(2/2)

③的证明.

③ 设传递关系 $\mathcal{R}' \supseteq \mathcal{R}$, 则要证明: $\bigcup_{i=1}^{\infty} \mathcal{R}^i \subseteq \mathcal{R}'$;

用归纳法证明: $\forall n \mathcal{R}^n \subseteq \mathcal{R}'$.

- ① $n = 1$ 时, $\mathcal{R} \subseteq \mathcal{R}'$;
- ② 设 $n = k$ 时结论成立, $n = k + 1$ 时:
 设 $\langle x, z \rangle \in \mathcal{R}^{k+1} = \mathcal{R}^k \mathcal{R}$;
 $\therefore \exists y \langle x, y \rangle \in \mathcal{R}^k \wedge \langle y, z \rangle \in \mathcal{R}$;
 So $\langle x, y \rangle \in \mathcal{R}' \wedge \langle y, z \rangle \in \mathcal{R}'$;
 $\therefore \langle x, z \rangle \in \mathcal{R}'$ (\mathcal{R}' 是传递的).



Examples

Example

- $r(<) = \leq$; $s(<) = \neq$;
- $s(\leq) = \text{全域关系}$; $r(\neq) = \text{全域关系}$;
- 设 \mathcal{R} 是城市之间有直接航线的关系, 则城市之间有间接航线的关系等于 $\bigcup_{i=1}^{\infty} \mathcal{R}^i$.

有限集合的传递闭包

Theorem

设 $|A| = n$, $\mathcal{R} \subseteq A^2$, 则: $t(\mathcal{R}) = \bigcup_{i=1}^n \mathcal{R}^i$;

Proof.

① 设 $(x_0, x_{n-1}) \in \mathcal{R}^{n-1}$;

② 设 $(x_0, x_{n-2}) \in \mathcal{R}^{n-2}$;

③ 设 $(x_0, x_{n-3}) \in \mathcal{R}^{n-3}$;

④ 设 $(x_0, x_{n-4}) \in \mathcal{R}^{n-4}$;

⑤ 设 $(x_0, x_{n-5}) \in \mathcal{R}^{n-5}$;

⑥ 设 $(x_0, x_{n-6}) \in \mathcal{R}^{n-6}$;

⑦ 设 $(x_0, x_{n-7}) \in \mathcal{R}^{n-7}$;

⑧ 设 $(x_0, x_{n-8}) \in \mathcal{R}^{n-8}$;

⑨ 设 $(x_0, x_{n-9}) \in \mathcal{R}^{n-9}$;

⑩ 设 $(x_0, x_{n-10}) \in \mathcal{R}^{n-10}$;

⑪ 设 $(x_0, x_{n-11}) \in \mathcal{R}^{n-11}$;

⑫ 设 $(x_0, x_{n-12}) \in \mathcal{R}^{n-12}$;



有限集合的传递闭包

Theorem

设 $|A| = n$, $\mathcal{R} \subseteq A^2$, 则: $t(\mathcal{R}) = \bigcup_{i=1}^n \mathcal{R}^i$;

Proof.

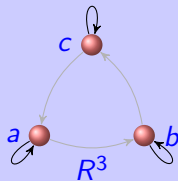
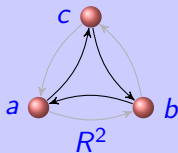
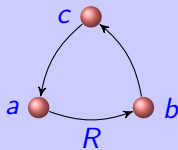
- ① 设 $\langle x_0, x_{n+1} \rangle \in \mathcal{R}^{n+1}$;
- ② $\exists x_1, x_2, \dots, x_n \ x_0 \mathcal{R} x_1 \wedge x_1 \mathcal{R} x_2 \wedge \dots \wedge x_n \mathcal{R} x_{n+1}$;
- ③ 即 \mathcal{R} 关系图中有从 x_0 到 x_{n+1} 长度为 $n+1$ 的有向路径;
- ④ 而 x_1, x_2, \dots, x_n $n+1$ 个元素只能在 $|A| = n$ 个元素中选取;
- ⑤ 所以根据抽屉原则, $\exists 1 \leq i < j \leq n+1 \ x_i = x_j$;
- ⑥ $\therefore x_0 \mathcal{R} x_1 \wedge x_1 \mathcal{R} x_2 \wedge \dots \wedge x_i \mathcal{R} x_{j+1} \wedge \dots \wedge x_n \mathcal{R} x_{n+1}$;

$$\underbrace{\hspace{15em}}_{n+1-(j-i) \uparrow}$$
- ⑦ $\therefore \langle x_0, x_{n+1} \rangle \in \mathcal{R}^{n+1-(j-i)} \subseteq \bigcup_{i=1}^n \mathcal{R}^i$.

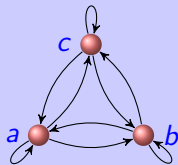


Examples

$\mathcal{R} = \{\langle a, b \rangle, \langle b, c \rangle, \langle c, a \rangle\}$ 的传递闭包



$$t(R) = R \cup R^2 \cup R^3$$



闭包之间的关系(1/3)

Propostion

设 \mathcal{R} 是自反关系, 则, $t(\mathcal{R})$ 和 $s(\mathcal{R})$ 也是自反关系;

$t(\mathcal{R})$ 是自反关系的证明.

● 凡是自反的, 谓, $a, a \in \mathcal{R}$

● 于是, $a, a \in t(\mathcal{R})$

● 所以, $t(\mathcal{R})$ 也是自反的



闭包之间的关系(1/3)

Propostion

设 \mathcal{R} 是自反关系, 则, $t(\mathcal{R})$ 和 $s(\mathcal{R})$ 也是自反关系;

$t(\mathcal{R})$ 是自反关系的证明.

- ① \mathcal{R} 是自反的, iff, $\mathbb{1}_A \subseteq \mathcal{R}$
- ② 而 $t(\mathcal{R}) = \bigcup_{i=1}^{\infty} \mathcal{R}^i \supseteq \mathbb{1}_A$;
- ③ 所以, \mathcal{R} 是自反的.



闭包之间的关系(2/3)

Proposition

$$rt(\mathcal{R}) = tr(\mathcal{R});$$

Proof.

$$rt(\mathcal{R}) = \bigcup_{i=0}^{\infty} \mathcal{R}^i; \quad tr(\mathcal{R}) = \bigcup_{i=1}^{\infty} (\mathbb{1}_A \cup \mathcal{R})^i$$

用归纳法证明下述等式即可:

$$\forall n \in \mathbb{N} \quad (\mathbb{1}_A \cup \mathcal{R})^n = \bigcup_{i=0}^n \mathcal{R}^i;$$

由此:

$$\forall n \quad (\mathbb{1}_A \cup \mathcal{R})^n \subseteq \bigcup_{i=0}^n \mathcal{R}^i \subseteq \bigcup_{i=0}^{\infty} \mathcal{R}^i;$$

所以:

$$\bigcup_{i=0}^{\infty} \mathcal{R}^i \subseteq \bigcup_{i=1}^{\infty} (\mathbb{1}_A \cup \mathcal{R})^i \subseteq \bigcup_{i=0}^{\infty} \mathcal{R}^i;$$

即:

$$rt(\mathcal{R}) = tr(\mathcal{R}).$$



闭包之间的关系(3/3)

Proof(continued).

$$\forall n \in \mathbb{N} \quad (\mathbb{1}_A \cup \mathcal{R})^n = \bigcup_{i=0}^n \mathcal{R}^i;$$

- ① $n = 0$ 时上述等式成立;
- ② 设 $n = k$ 时上述等式成立, 则 $n = k + 1$ 时:

$$\begin{aligned}
 & (\mathbb{1}_A \cup \mathcal{R})^{k+1} \\
 = & (\mathbb{1}_A \cup \mathcal{R})^k (\mathbb{1}_A \cup \mathcal{R}) && \text{(by 乘幂的定义)} \\
 = & \left(\bigcup_{i=0}^k \mathcal{R}^i \right) (\mathbb{1}_A \cup \mathcal{R}) && \text{(by 归纳假设)} \\
 = & \left(\left(\bigcup_{i=0}^k \mathcal{R}^i \right) \mathcal{R} \right) \cup \left(\left(\bigcup_{i=0}^k \mathcal{R}^i \right) \mathbb{1}_A \right) && \text{(by 合成对并的分配率)} \\
 = & \left(\bigcup_{i=1}^{k+1} \mathcal{R}^i \right) \cup \left(\bigcup_{i=0}^k \mathcal{R}^i \right) && \text{(by 合成对并的分配率)} \\
 = & \bigcup_{i=0}^{k+1} \mathcal{R}^i
 \end{aligned}$$

关系的合成与关系矩阵乘积

Theorem

设 $\mathcal{R} \subseteq A \times B$, $\mathcal{S} \subseteq B \times C$; $|A| = m$, $|B| = n$ 和 $|C| = p$, 则:

$$M_{\mathcal{R}\mathcal{S}} = M_{\mathcal{R}} \cdot M_{\mathcal{S}};$$

其中: $M_{\mathcal{R}} = (a_{ij})_{m \times n}$; $M_{\mathcal{S}} = (b_{ij})_{n \times p}$

$$M_{\mathcal{R}} \cdot M_{\mathcal{S}} = (c_{ij})_{m \times p}; \quad c_{ij} \triangleq \bigvee_{k=1}^n a_{ik} \wedge b_{kj};$$

Proof.

设 $A = \{x_1, x_2, \dots, x_m\}$, $B = \{y_1, y_2, \dots, y_n\}$, $C = \{z_1, z_2, \dots, z_p\}$

- ① $c_{ij} = 1$
- ② $\iff \exists k \ a_{ik} = 1 \wedge b_{kj} = 1$
- ③ $\iff \exists k \ x_i \mathcal{R} y_k \wedge y_k \mathcal{S} z_j$
- ④ $\iff x_i \mathcal{R} \mathcal{S} z_j$



Example

Example

设: $M_R = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}; M_S = \begin{pmatrix} 0 & 1 \\ 0 & 1 \\ 0 & 0 \end{pmatrix};$

则:

$$M_{RS} = M_R \cdot M_S = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$$

传递闭包的求解算法

Description

$$M_{t(\mathcal{R})} = \sum_{i=1}^n M_{\mathcal{R}}^i$$

其中: $M_{\mathcal{R}}$ 是 n 阶方阵;

- 计算 $M \cdot M$ 的每个元素 $c_{ij} = \bigvee_{k=1}^n a_{ik} \wedge b_{kj} \dots\dots\dots O(n)$;
- 计算 $M \cdot M \dots\dots\dots O(n^3)$;
- 计算 $\sum_{i=1}^n M_{\mathcal{R}}^i \dots\dots\dots O(n^4)$.

Warshall算法可降算法的复杂度为: $O(n^3)$.

Warshall 算法

Definition

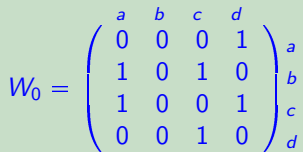
设 $A = \{a_1, a_2, \dots, a_n\}$, $\mathcal{R} \subseteq A^2$, M 是 \mathcal{R} 的关系矩阵; n 阶方阵 W_k 递归定义如下:

- ① $W_0 = M$;
- ② $W_k = (w_{ij}^k)_{n \times n}$, 其中: $w_{ij}^k = 1$, iff, 从 a_i 到 a_j 有一条仅经过 a_1, a_2, \dots, a_k 的有向路径.

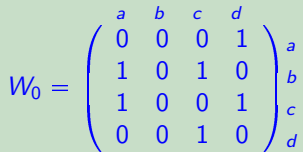
Proposition

$$W_n = M_{t(\mathcal{R})}$$

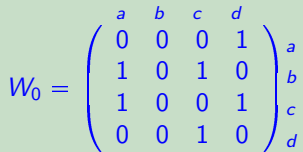
Example


$$W_1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

Example


$$W_2 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

Example


$$W_4 = \begin{pmatrix} 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix}$$

Description (W_k 和 W_{k+1} 的关系)

$w_{ij}^{k+1} = 1$, iff, 下述两条件之一成立:

- ① $w_{ij}^k = 1$, 即从 a_i 到 a_j 有一条仅经过 a_1, a_2, \dots, a_k 的有向路径;
- ② 有一条仅经过 a_1, a_2, \dots, a_{k+1} , 并且仅经过 a_{k+1} 一次的路径:
如:

$$a_i, x_1, x_2, \dots, x_p, a_{k+1}, y_1, y_2, \dots, y_q, a_j$$

其中: x_1, x_2, \dots, x_p 和 y_1, y_2, \dots, y_q 都在 $\{a_1, a_2, \dots, a_k\}$ 中;

$$\therefore w_{i(k+1)}^k = 1 \wedge w_{(k+1)j}^k = 1;$$

故：

$$w_{ij}^{k+1} = w_{ij}^k \vee (w_{i(k+1)}^k \wedge w_{(k+1)j}^k)$$

Warshall 算法

Warshall 算法.

```
procedure warshall(Matrix  $M_{\mathcal{R}}$ )
{
   $W := M_{\mathcal{R}}$ ;
  for  $k := 1$  to  $n$  do {
    for  $i := 1$  to  $n$  do {
      for  $j := 1$  to  $n$  do {
         $w_{ij} := w_{ij} \vee (w_{ik} \wedge w_{kj})$ ;
      }
    }
  }
}
```



本章小节

1 关系的合成

- 关系的合成
- 关系的幂
- 关系的闭包
- 传递闭包的求解算法