Chapter 4

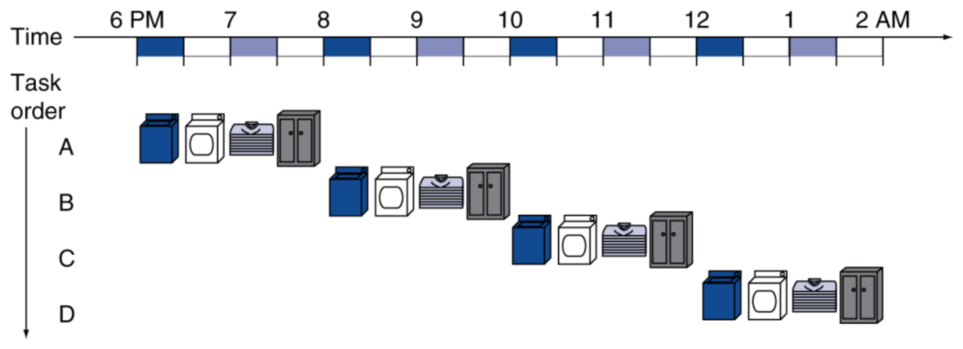
The Processor
Pipelining

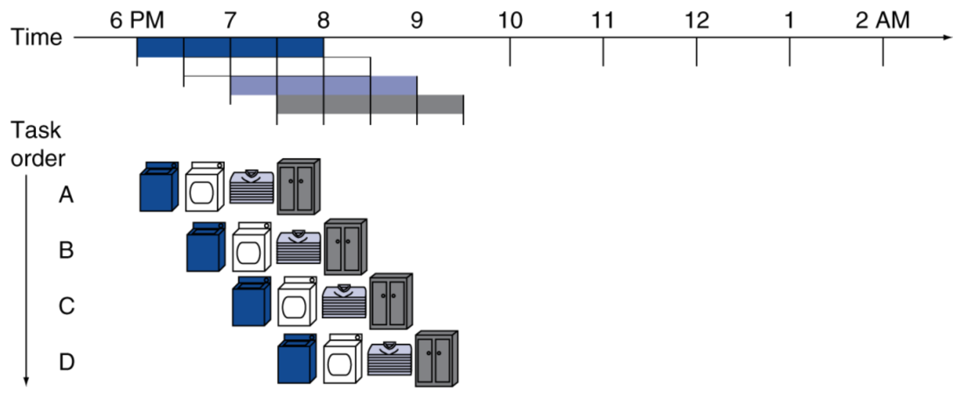
Reading Assignment

- Pipelining
  - PH(5): 4.5-4.14

Pipelining Analogy

- Pipelined laundry: overlapping execution
  - Parallelism improves performance





- Four loads:
  - Speedup = 8/3.5 = 2.3
- Non-stop:
  - Speedup = 2n/0.5n + 1.5 ≈ 4 = number of stages

Five Instruction Steps

1. Instruction Fetch
2. Instruction Decode and Register Fetch
3. R-type Instruction Execution, Memory Read/Write Address Computation, Branch Completion, or Jump Completion
4. Memory Read Access, Memory Write Completion or R-type Instruction Completion
5. Memory Read Completion (Write Back)

INSTRUCTIONS TAKE FROM 3 - 5 STEPS!

MIPS Pipeline

- Five stages, one step per stage
  1. IF: Instruction fetch from memory
  2. ID: Instruction decode & register read
  3. EX: Execute operation or calculate address
  4. MEM: Access memory operand
  5. WB: Write result back to register

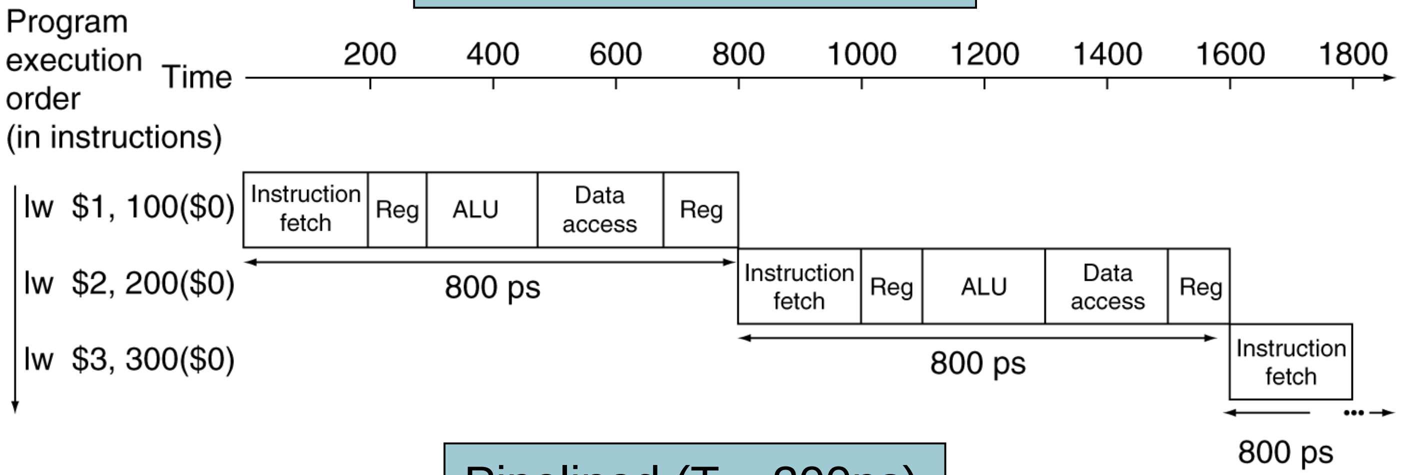
Pipeline Performance

- Assume time for stages is
  - 100ps for register read or write
  - 200ps for other stages
- Compare pipelined datapath with single-cycle datapath

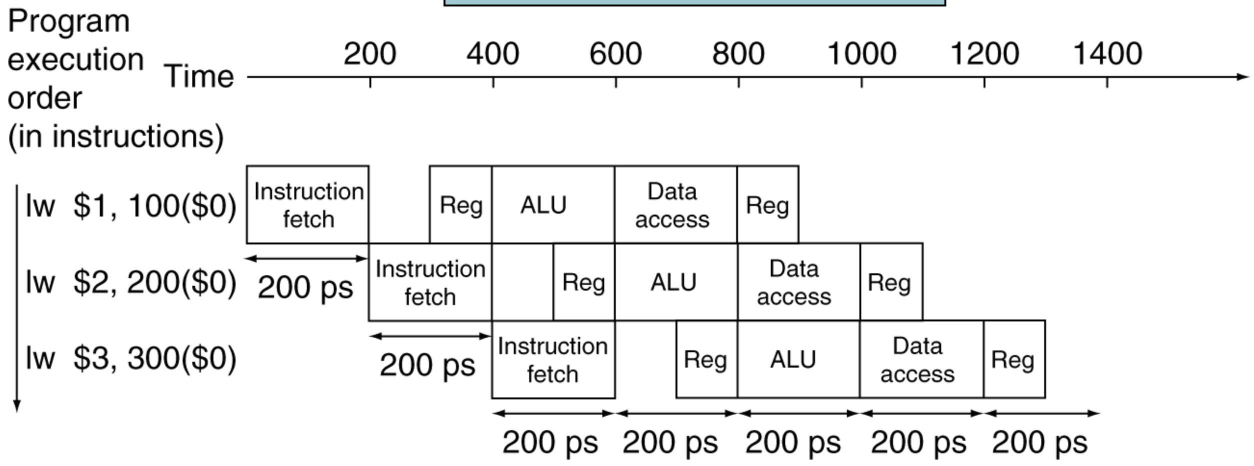
Instr	Instr fetch	Register read	ALU op	Memory access	Register write	Total time
lw	200ps	100 ps	200ps	200ps	100 ps	800ps
sw	200ps	100 ps	200ps	200ps		700ps
R-format	200ps	100 ps	200ps		100 ps	600ps
beq	200ps	100 ps	200ps			500ps

Pipeline Performance

Single-cycle (T_c= 800ps)



Pipelined (T_c= 200ps)



Pipeline Speedup

- If all stages are balanced
  - i.e., all take the same time
  - $\text{Time between instructions}_{\text{pipelined}}$
    $= \dfrac{\text{Time between instructions}_{\text{nonpipelined}}}{\text{Number of stages}}$
- If not balanced, speedup is less
- Speedup due to increased throughput
  - Latency (time for each instruction) does not decrease

Pipelining and ISA Design

- MIPS ISA designed for pipelining
  - All instructions are 32-bits
    - Easier to fetch and decode in one cycle
    - c.f. x86: 1- to 17-byte instructions
  - Few and regular instruction formats
    - Can decode and read registers in one step
  - Load/store addressing
    - Can calculate address in 3rd stage, access memory in 4th stage
  - Alignment of memory operands
    - Memory access takes only one cycle

Hazards

- Situations that prevent starting the next instruction in the next cycle
- Structure hazards
  - A required resource is busy
- Data hazard
  - Need to wait for previous instruction to complete its data read/write
- Control hazard
  - Deciding on control action depends on previous instruction

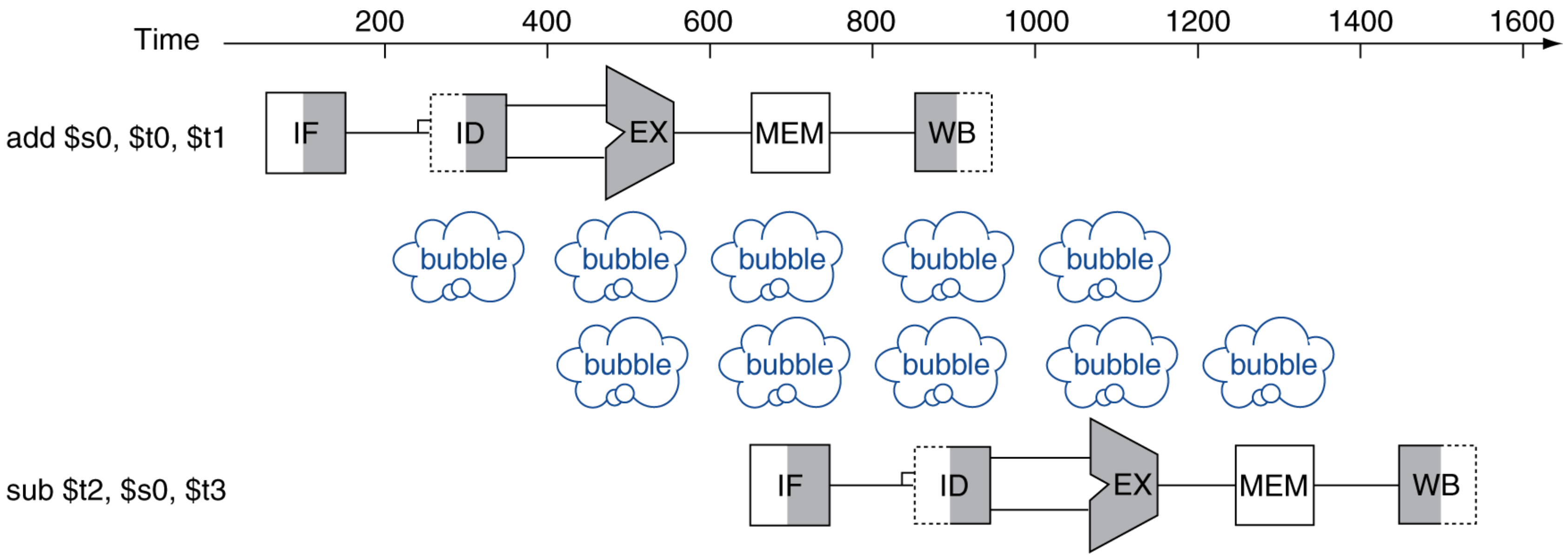
Structure Hazards

- Conflict for use of a resource
- In MIPS pipeline with a single memory
  - Load/store requires data access
  - Instruction fetch would have to *stall* for that cycle
    - Would cause a pipeline “bubble”
- Hence, pipelined datapaths require separate instruction/data memories
  - Or separate instruction/data caches

Data Hazards

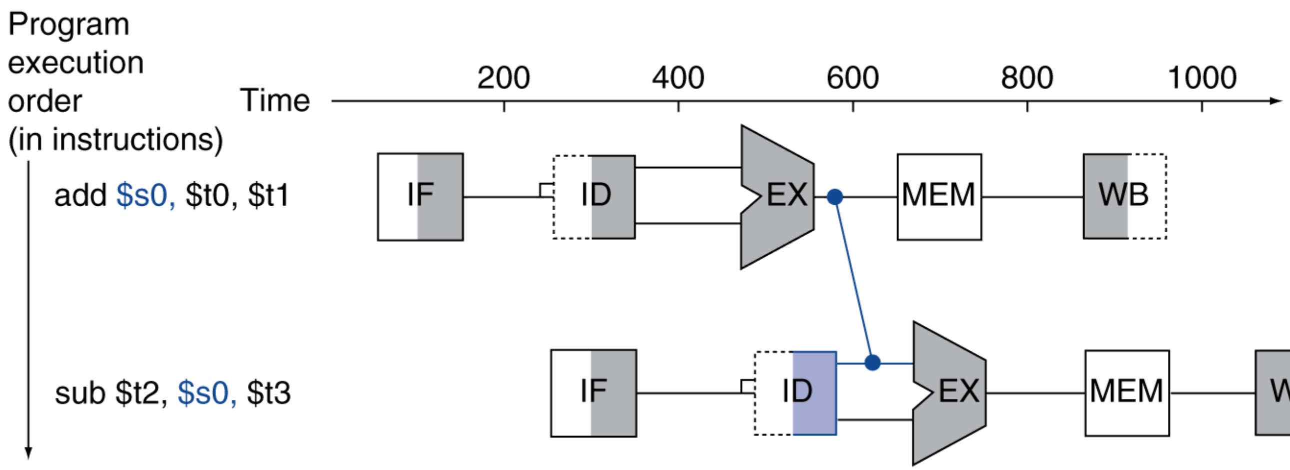
- An instruction depends on completion of data access by a previous instruction

  -
    ```
    add  $s0, $t0, $t1
    sub  $t2, $s0, $t3
    ```



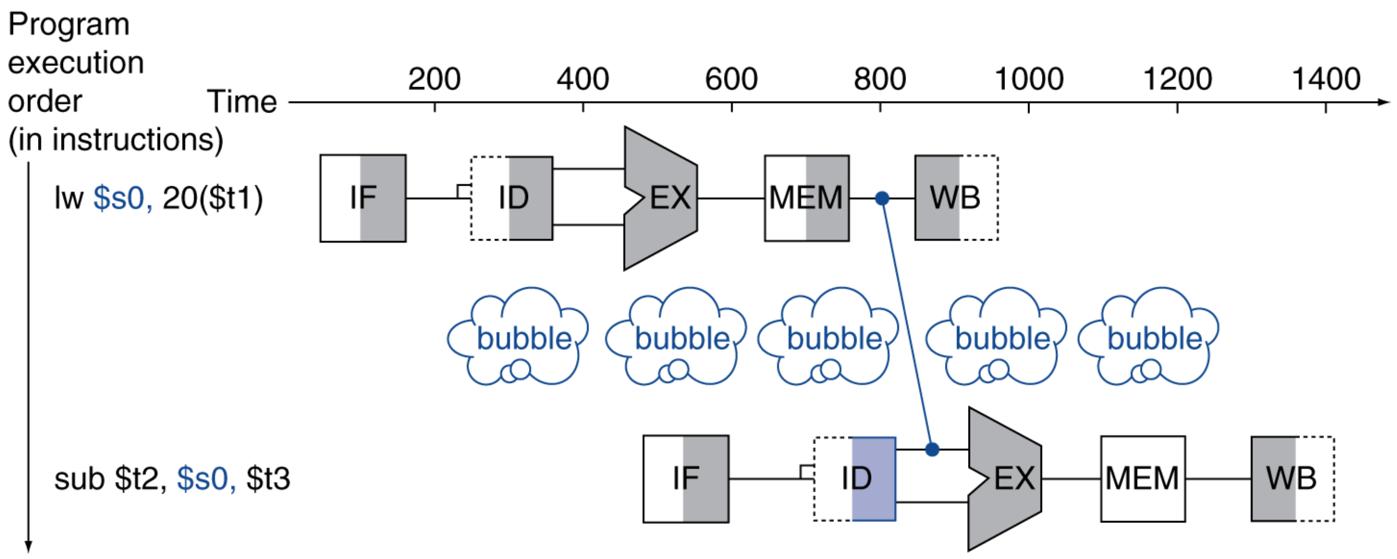
Forwarding (aka Bypassing)

- Use result when it is computed
  - Don’t wait for it to be stored in a register
  - Requires extra connections in the datapath



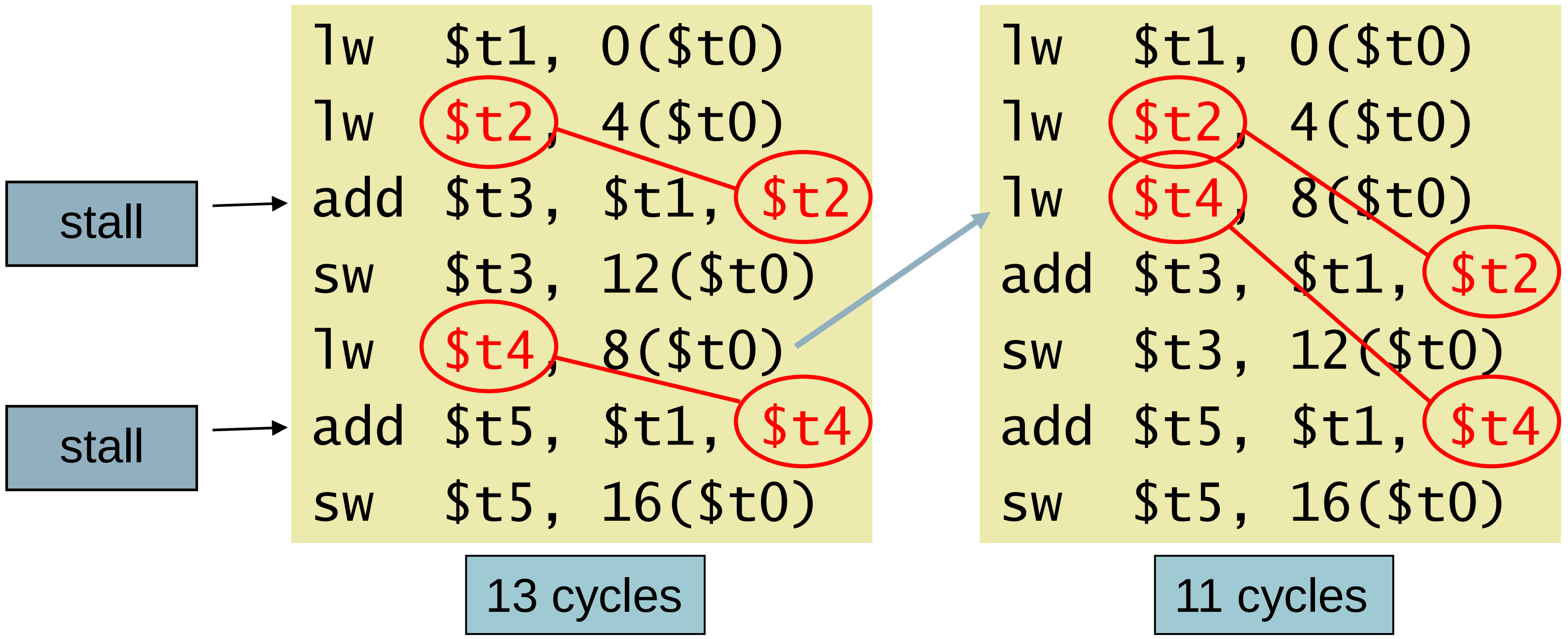
Load-Use Data Hazard

- Can't always avoid stalls by forwarding
  - If value not computed when needed
  - Can't forward backward in time!



Code Scheduling to Avoid Stalls

- Reorder code to avoid use of load result in the next instruction
- C code for `A = B + E; C = B + F;`



```
lw   $t1, 0($t0)
lw   $t2, 4($t0)
add  $t3, $t1, $t2
sw   $t3, 12($t0)
lw   $t4, 8($t0)
add  $t5, $t1, $t4
sw   $t5, 16($t0)
```

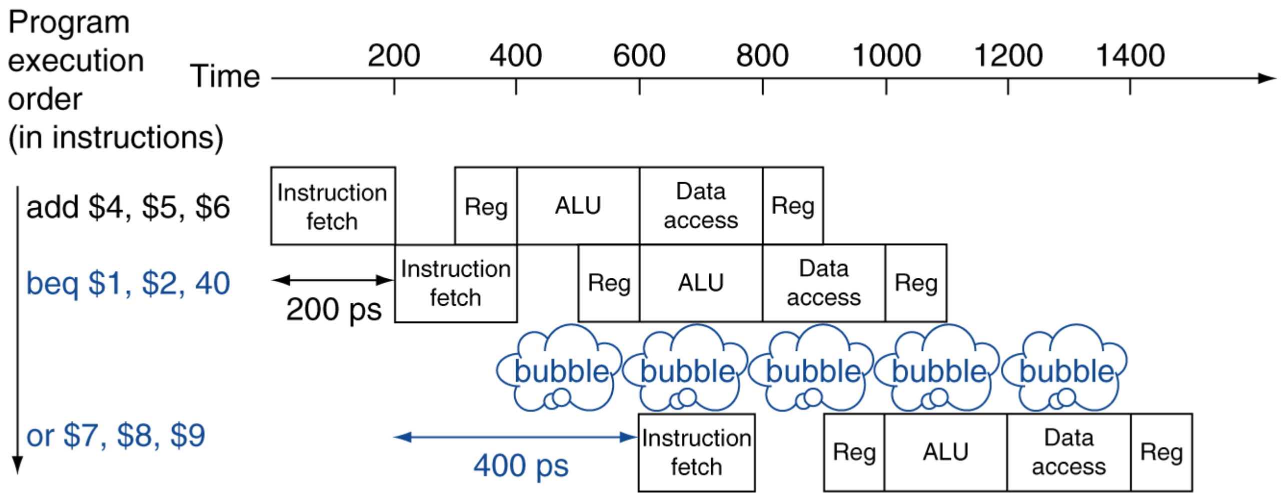
```
lw   $t1, 0($t0)
lw   $t2, 4($t0)
lw   $t4, 8($t0)
add  $t3, $t1, $t2
sw   $t3, 12($t0)
add  $t5, $t1, $t4
sw   $t5, 16($t0)
```

Control Hazards

- Branch determines flow of control
  - Fetching next instruction depends on branch outcome
  - Pipeline can’t always fetch correct instruction
    - Still working on ID stage of branch
- In MIPS pipeline
  - Need to compare registers and compute target early in the pipeline
  - Add hardware to do it in ID stage

Stall on Branch

- Wait until branch outcome determined before fetching next instruction

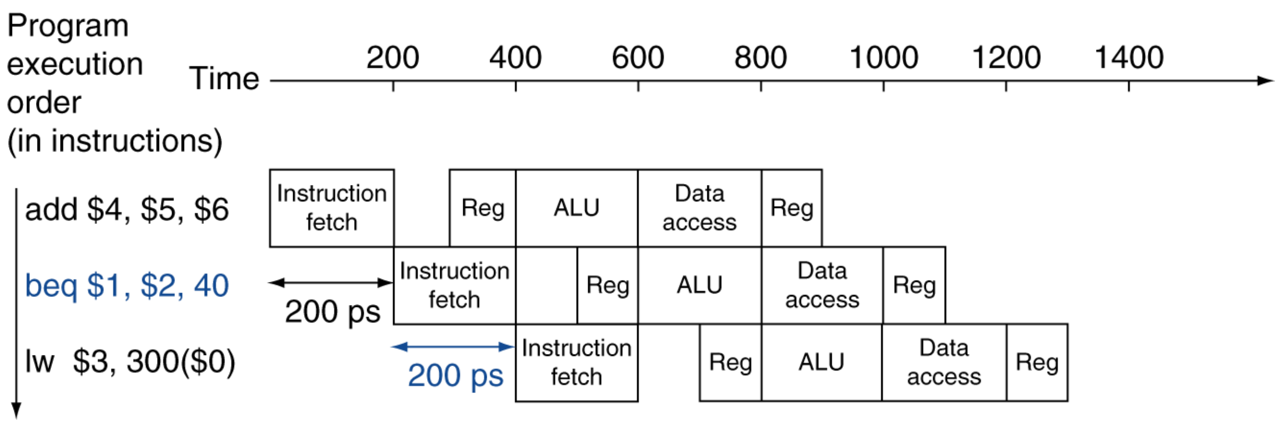


Branch Prediction

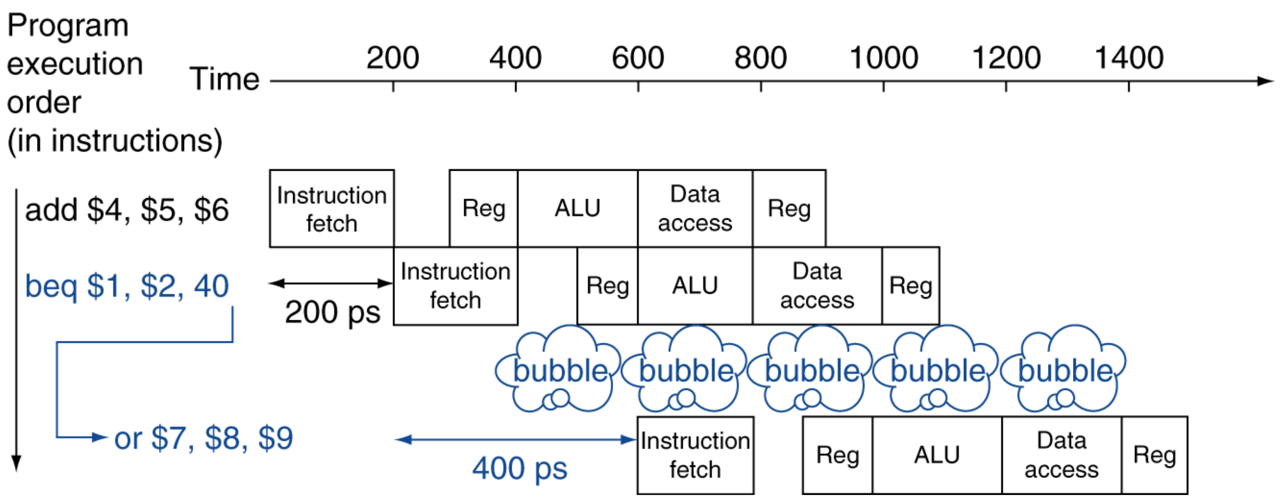
- Longer pipelines can't readily determine branch outcome early
    - Stall penalty becomes unacceptable
- Predict outcome of branch
    - Only stall if prediction is wrong
- In MIPS pipeline
    - Can predict branches not taken
    - Fetch instruction after branch, with no delay

MIPS with Predict Not Taken

Prediction correct



Prediction incorrect



More-Realistic Branch Prediction

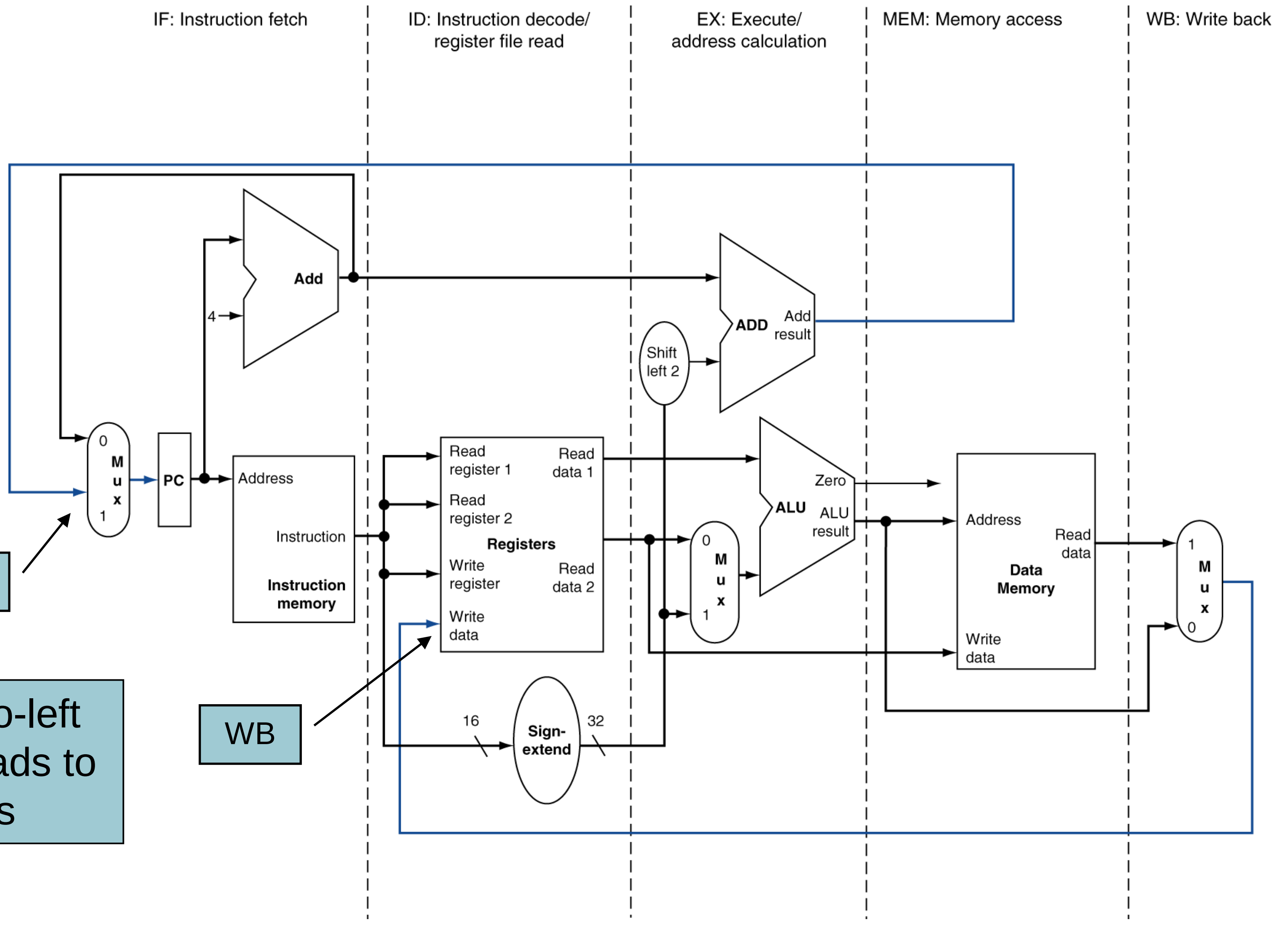
- Static branch prediction
  - Based on typical branch behavior
  - Example: loop and if-statement branches
    - Predict backward branches taken
    - Predict forward branches not taken
- Dynamic branch prediction
  - Hardware measures actual branch behavior
    - e.g., record recent history of each branch
  - Assume future behavior will continue the trend
    - When wrong, stall while re-fetching, and update history

Pipeline Summary

The BIG Picture

- Pipelining improves performance by increasing instruction throughput
  - Executes multiple instructions in parallel
  - Each instruction has the same latency
- Subject to hazards
  - Structure, data, control
- Instruction set design affects complexity of pipeline implementation

MIPS Pipelined Datapath



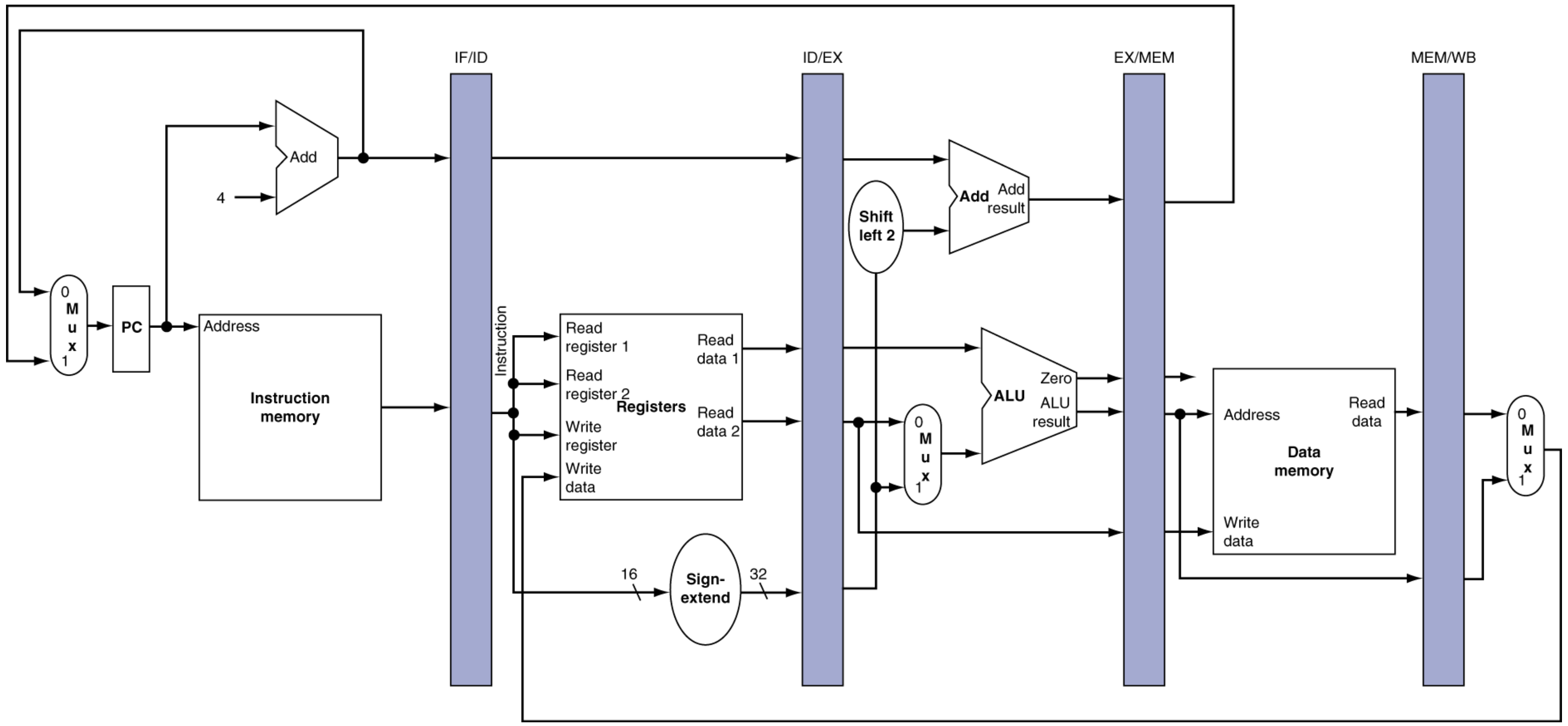
MEM

WB

Right-to-left flow leads to hazards

Pipeline registers

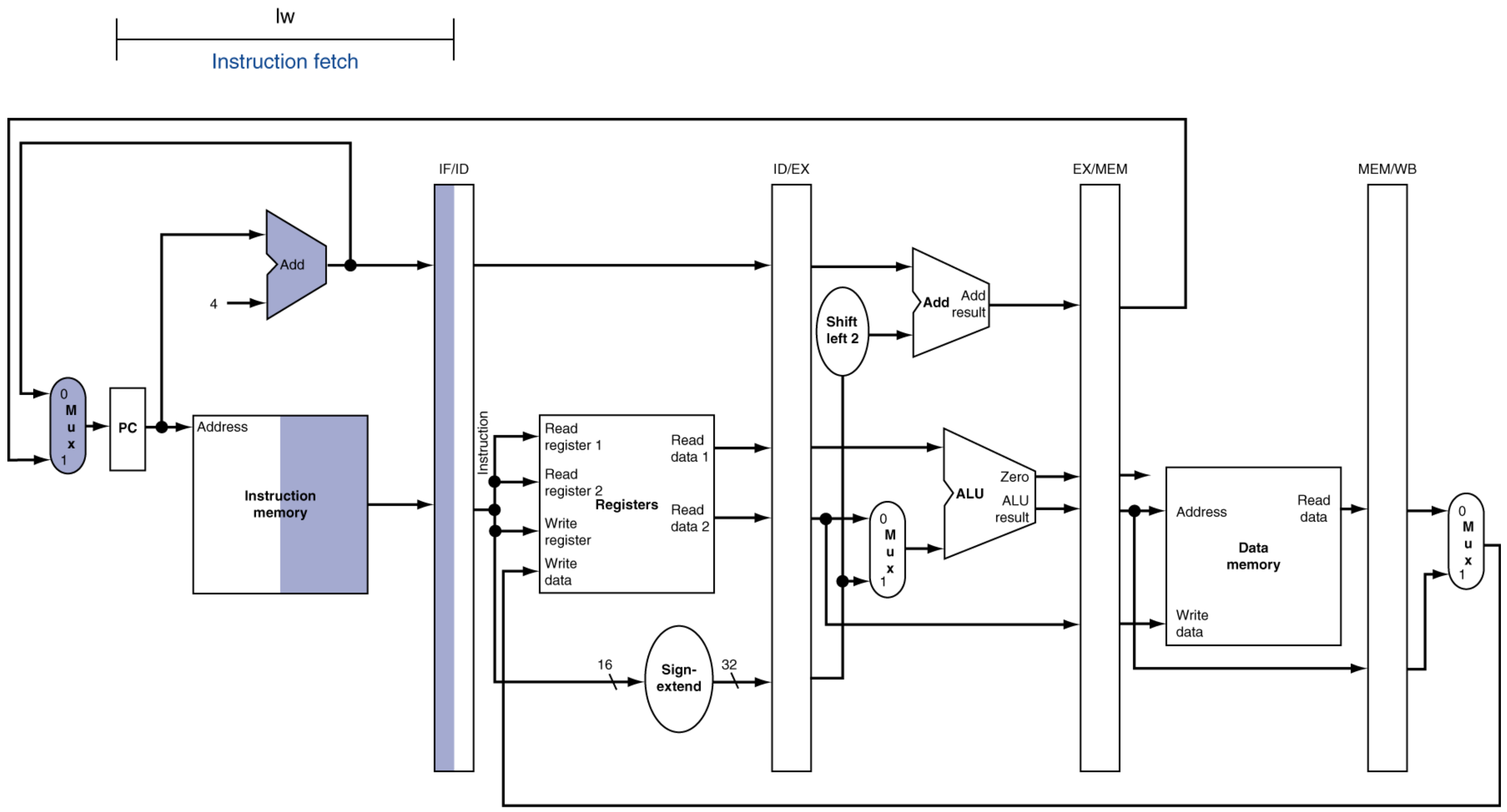
- Need registers between stages
  - To hold information produced in previous cycle



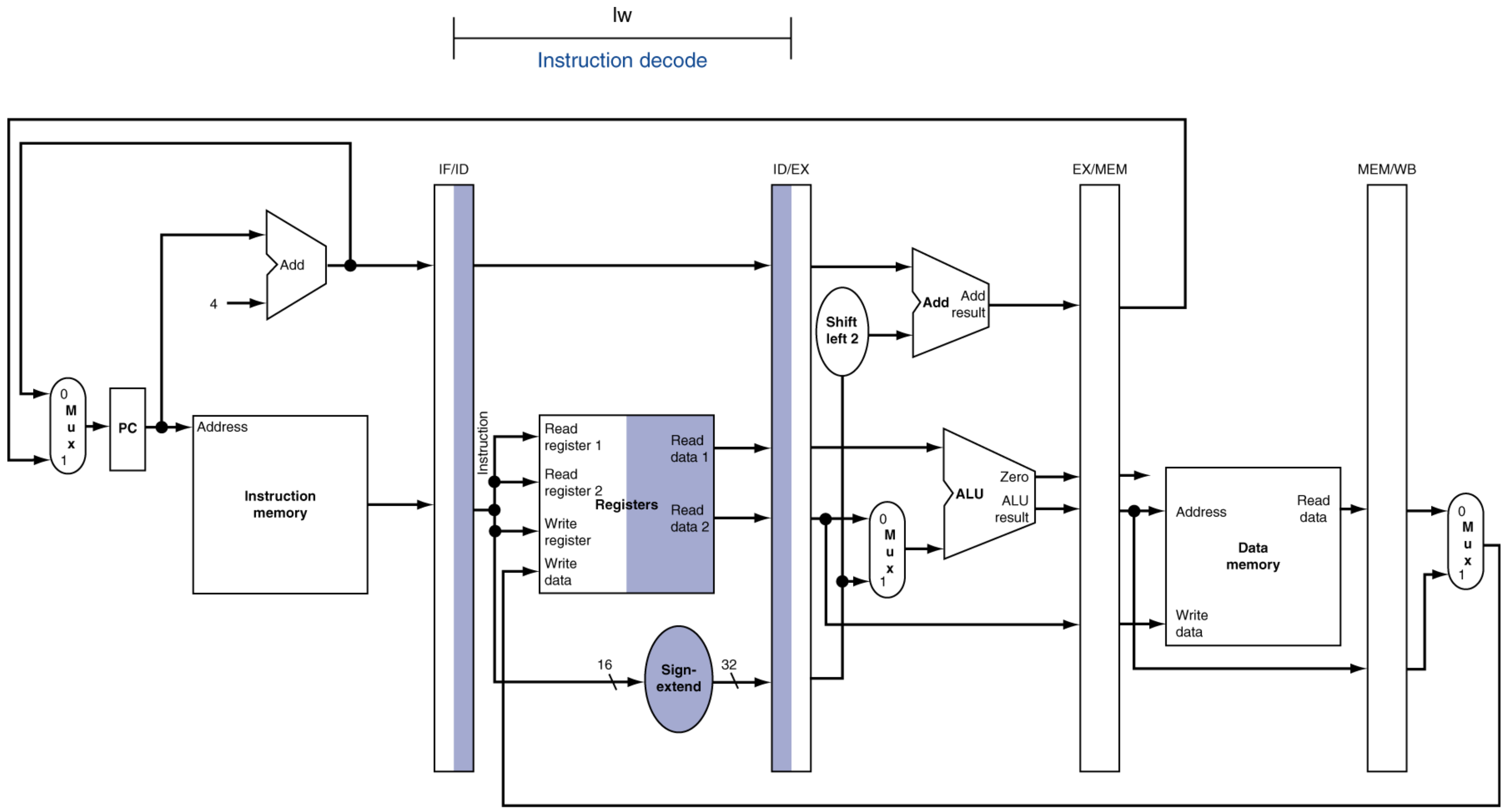
Pipeline Operation

- Cycle-by-cycle flow of instructions through the pipelined datapath
  - “Single-clock-cycle” pipeline diagram
    - Shows pipeline usage in a single cycle
    - Highlight resources used
  - c.f. “multi-clock-cycle” diagram
    - Graph of operation over time
- We’ll look at “single-clock-cycle” diagrams for load & store

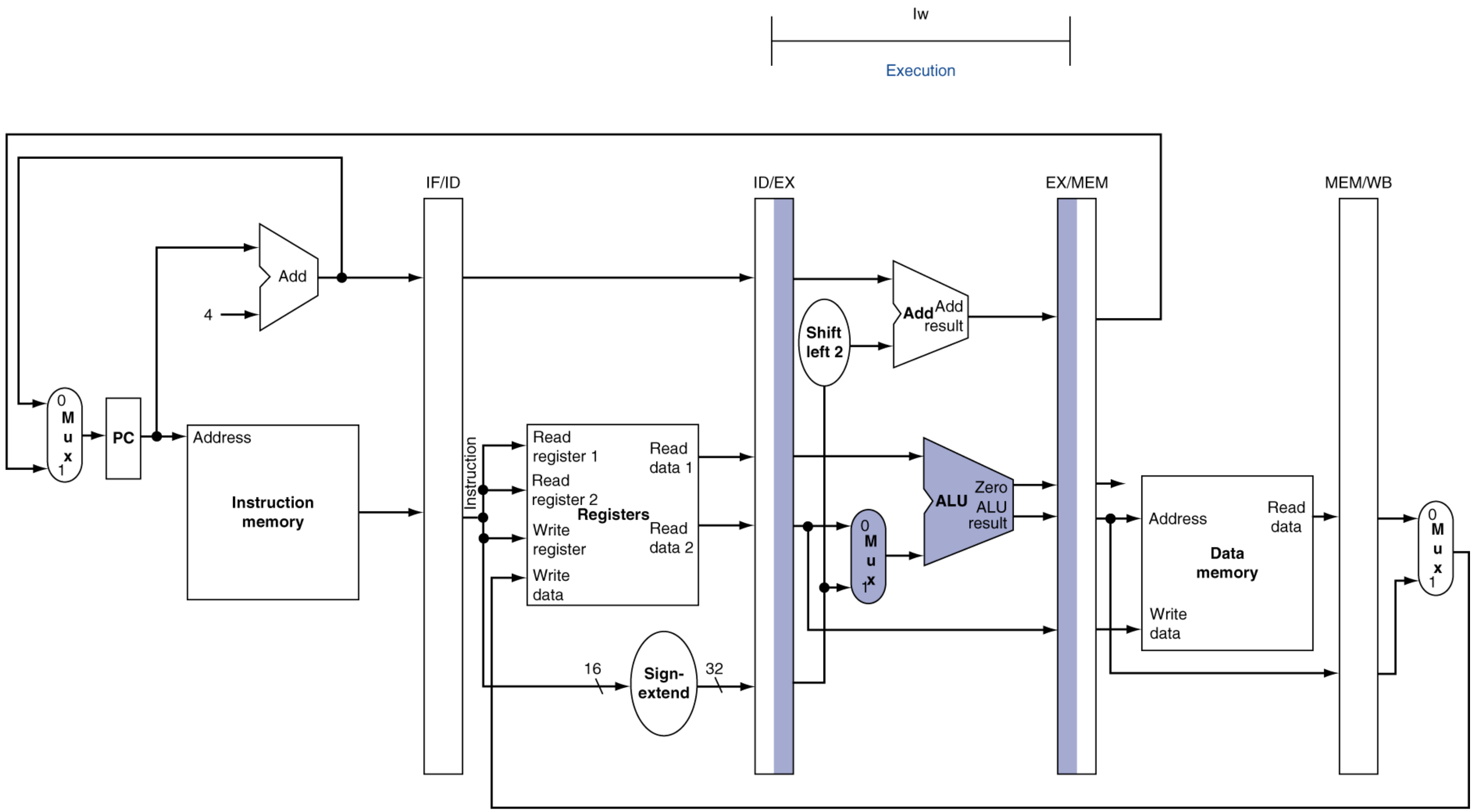
IF for Load, Store, …



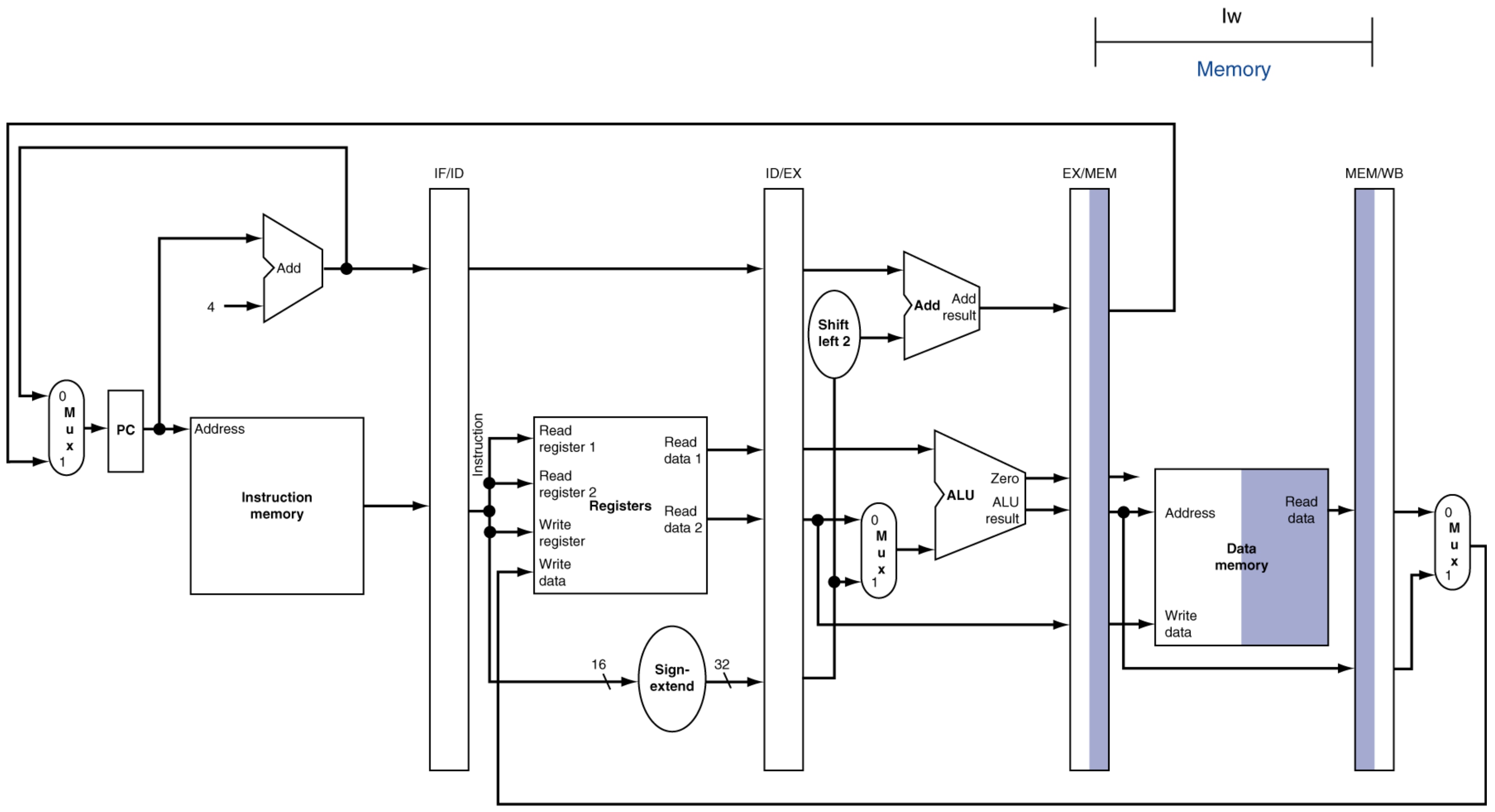
ID for Load, Store, …



EX for Load

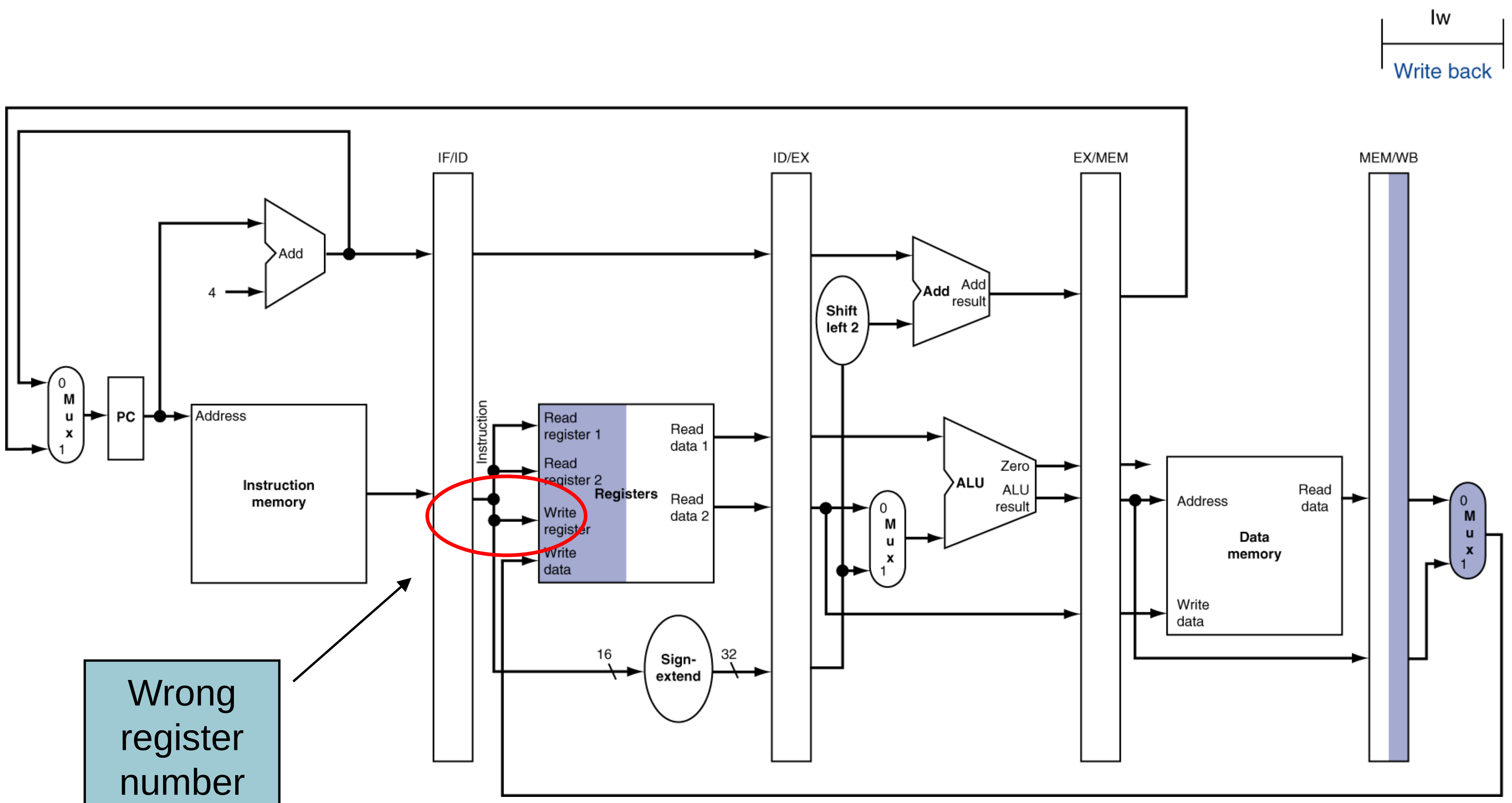

lw
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
0
M
u
x
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
16
Sign-extend
32
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Data memory
Write data
Read data

MEM for Load



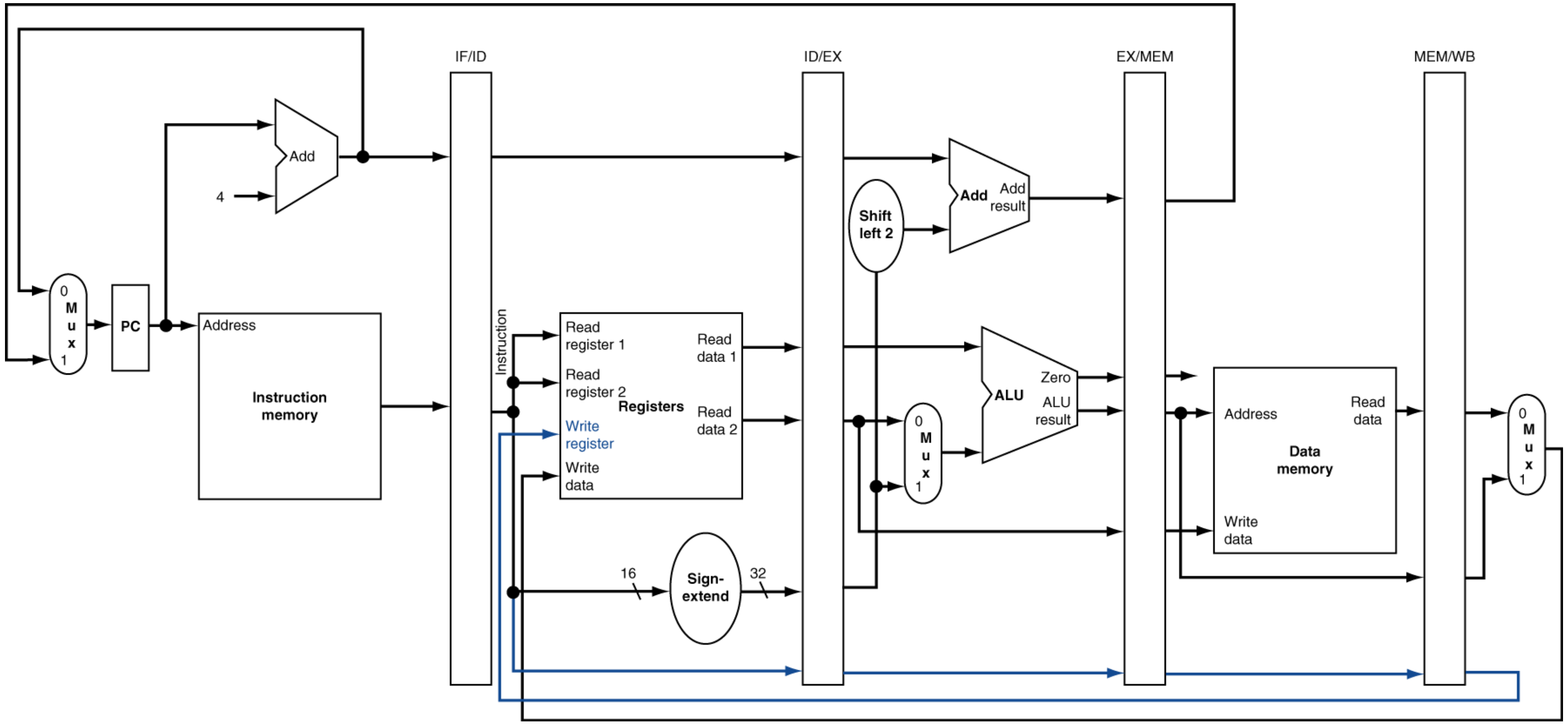
lw
Memory
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
0
M
u
x
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
16
Sign-extend
32
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Read data
Data memory
Write data

WB for Load

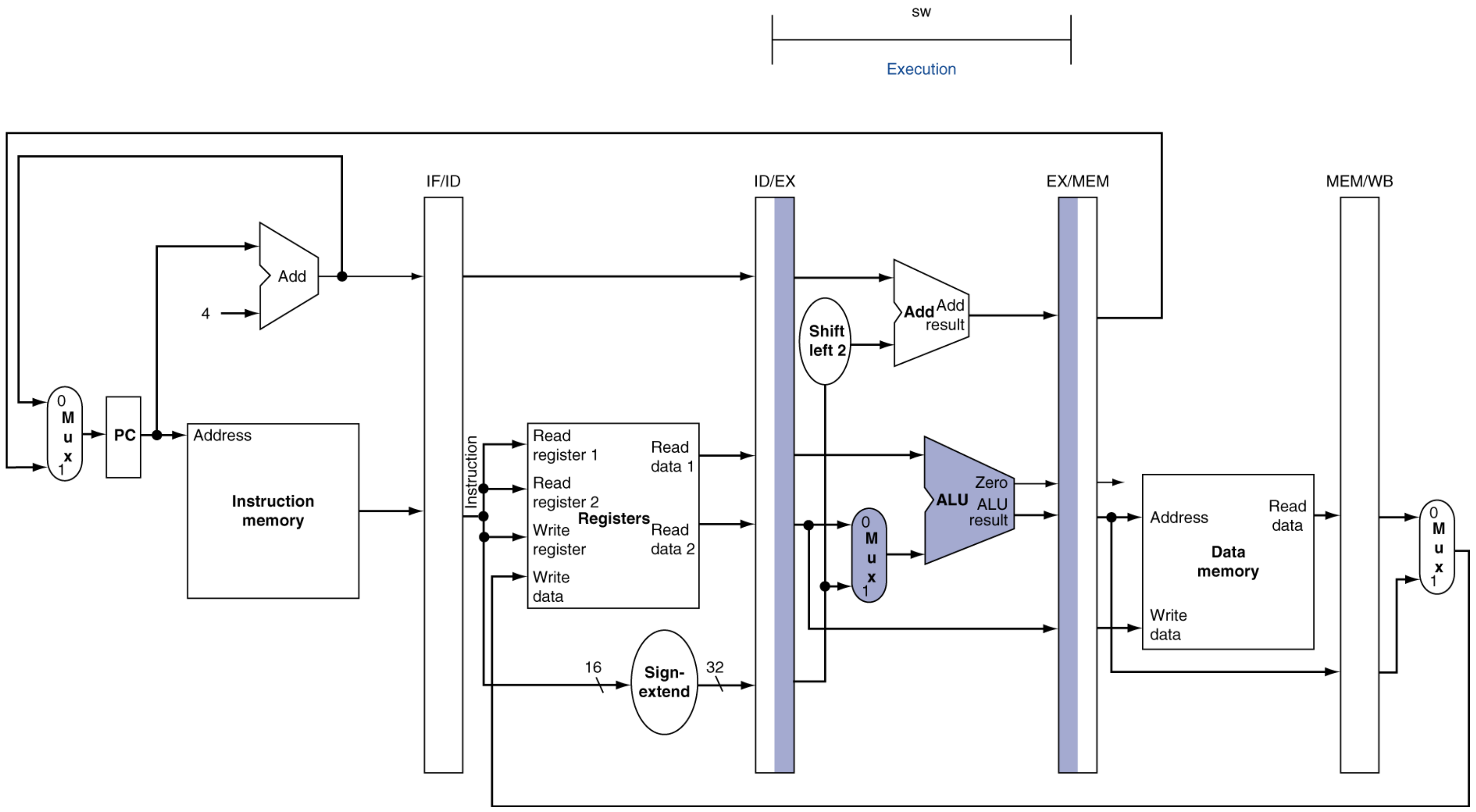


Wrong register number

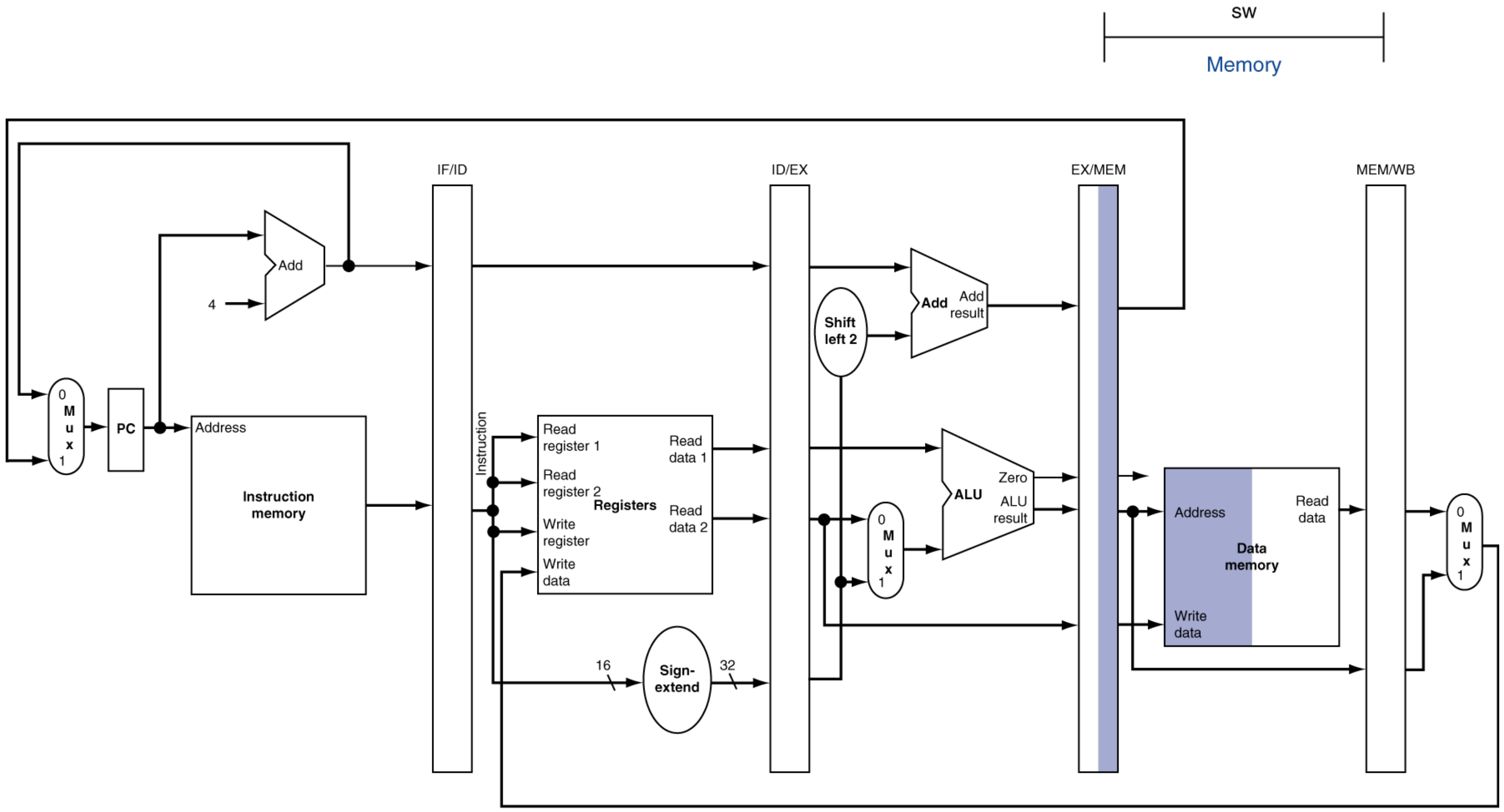
Corrected Datapath for Load



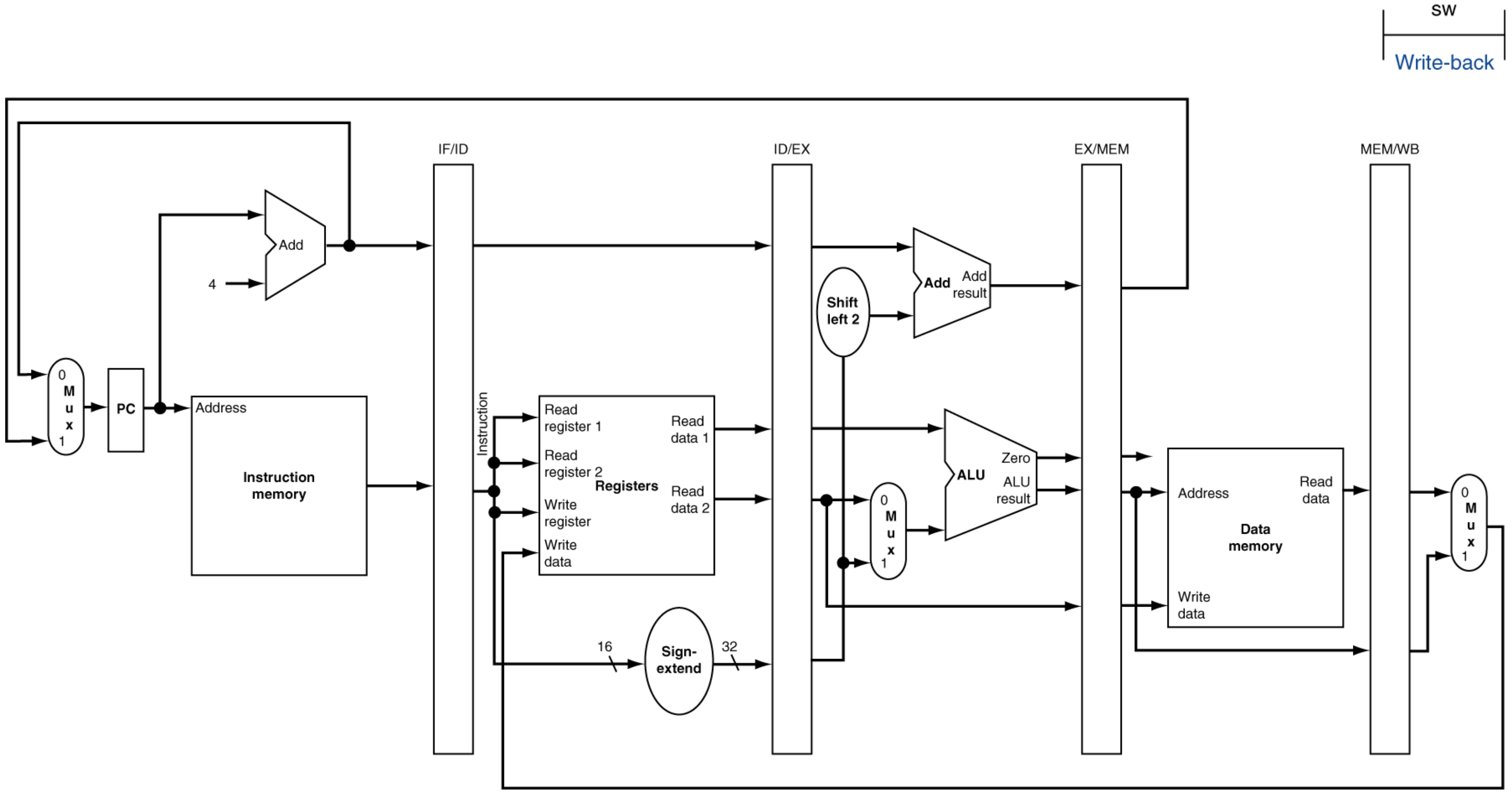
EX for Store


sw
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
0
M
u
x
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Write register
Write data
Registers
Read data 1
Read data 2
16
Sign-extend
32
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Read data
Data memory
Write data

MEM for Store

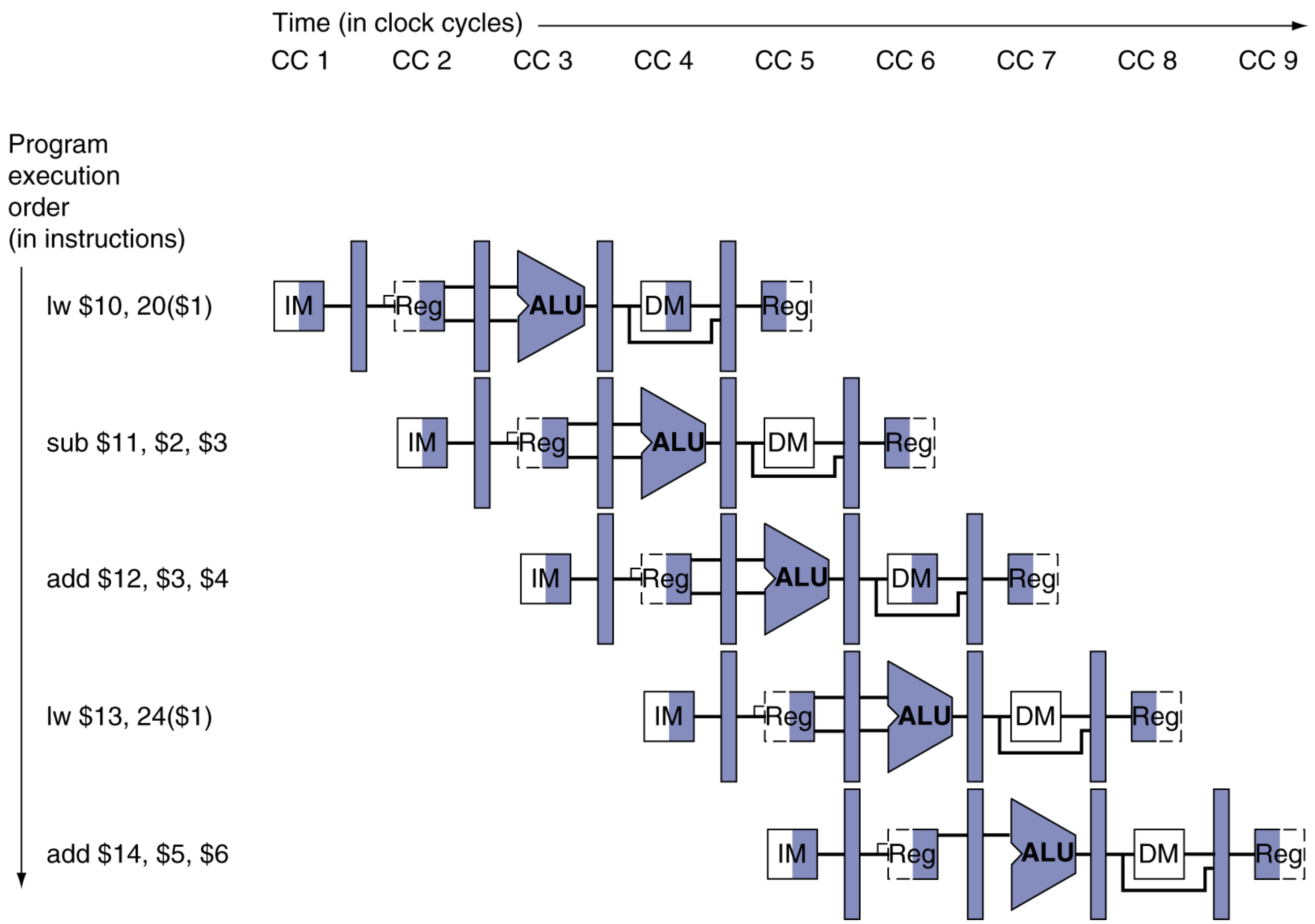


WB for Store


sw
Write-back
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
Add
Add result
Shift left 2
0 M u x 1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
16
Sign-extend
32
0 M u x 1
ALU
Zero
ALU result
Address
Read data
Data memory
Write data
0 M u x 1

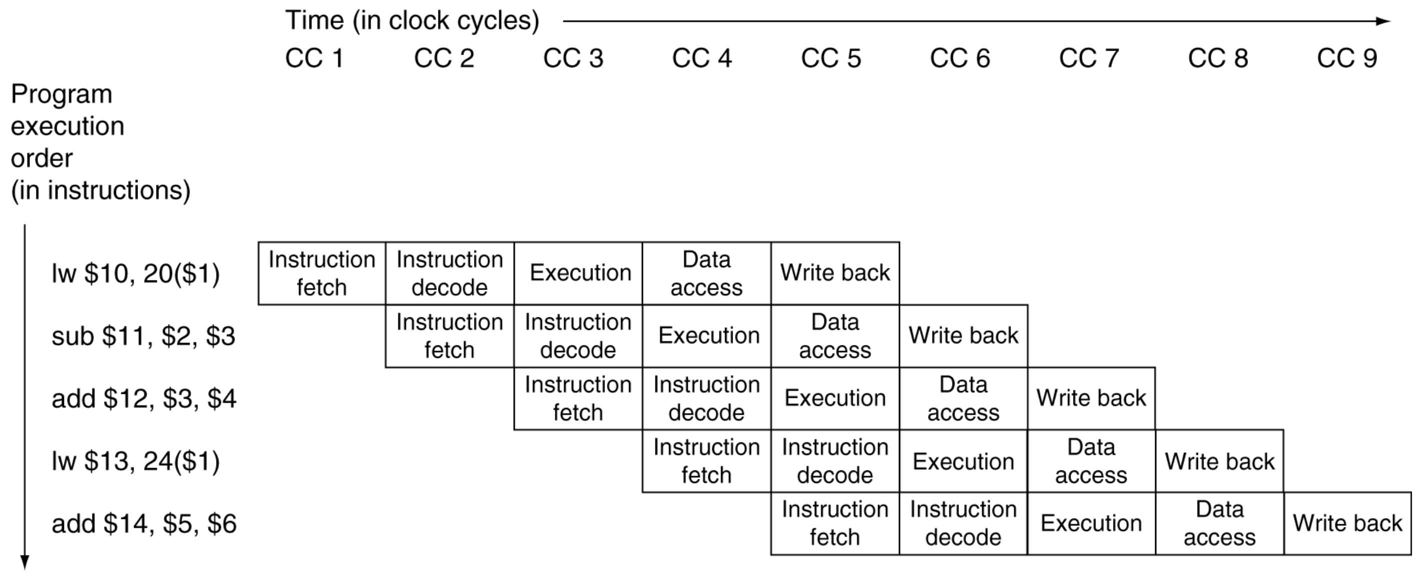
Multi-Cycle Pipeline Diagram

- Form showing resource usage



Multi-Cycle Pipeline Diagram

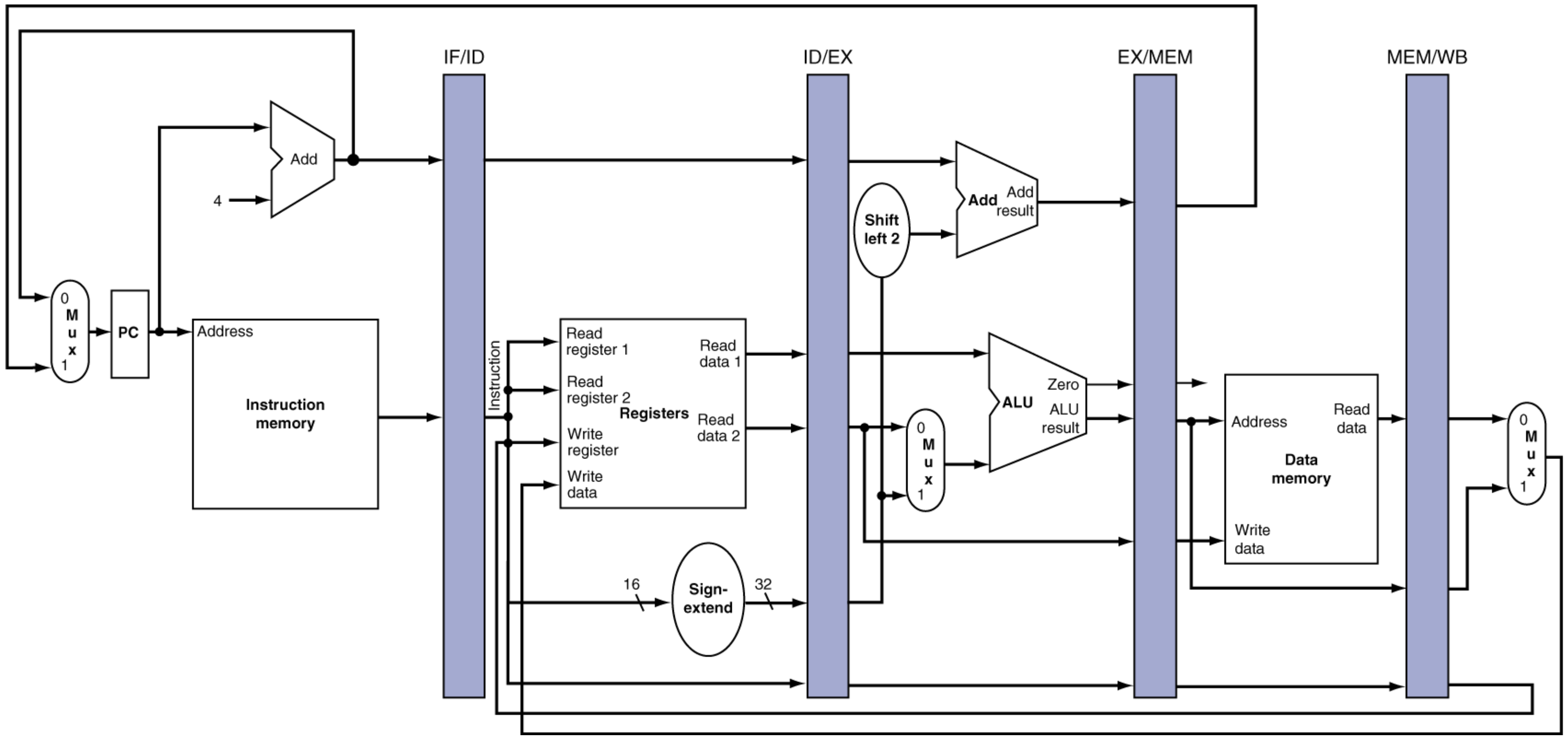
- Traditional form



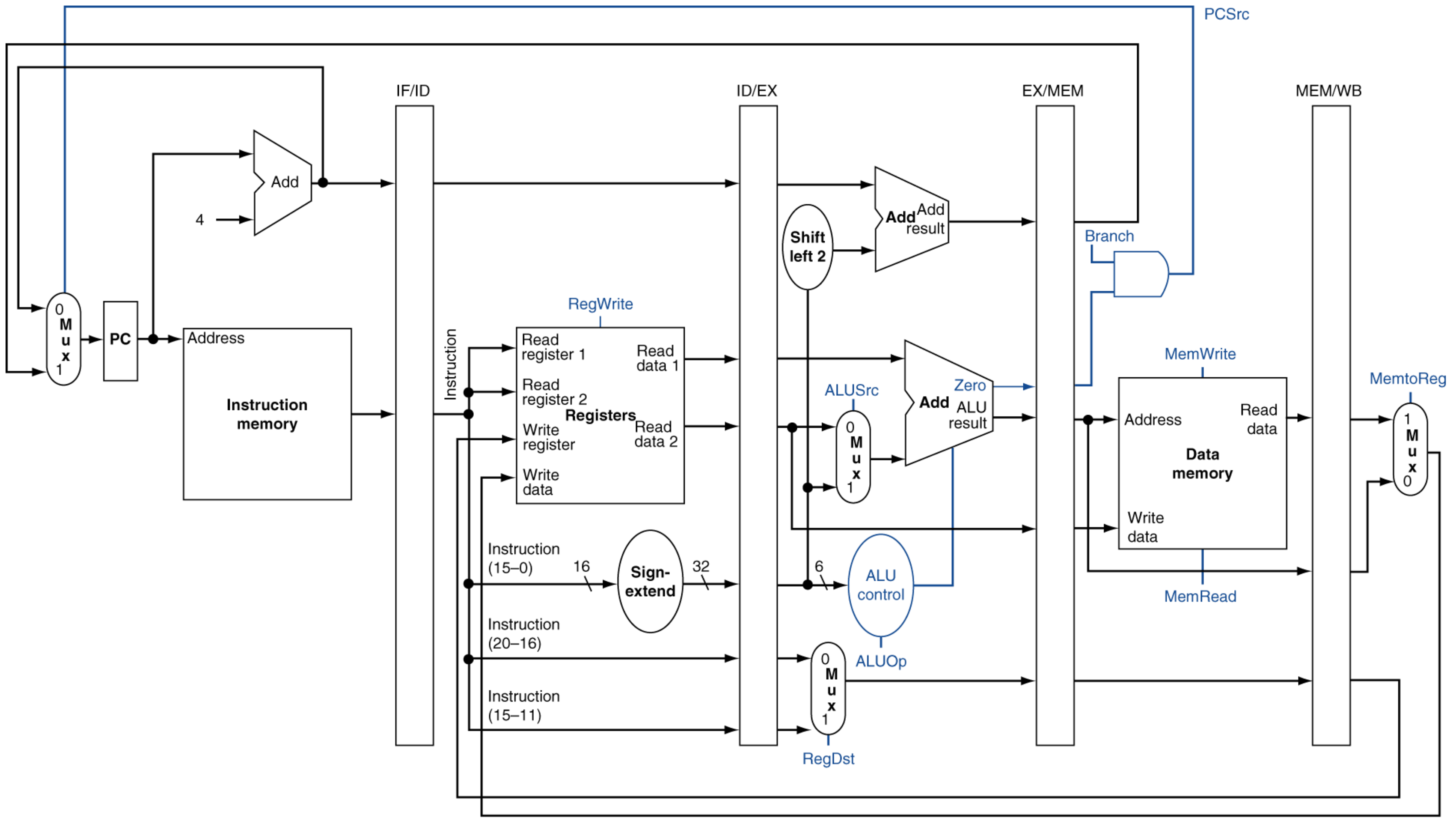
Single-Cycle Pipeline Diagram

- State of pipeline in a given cycle

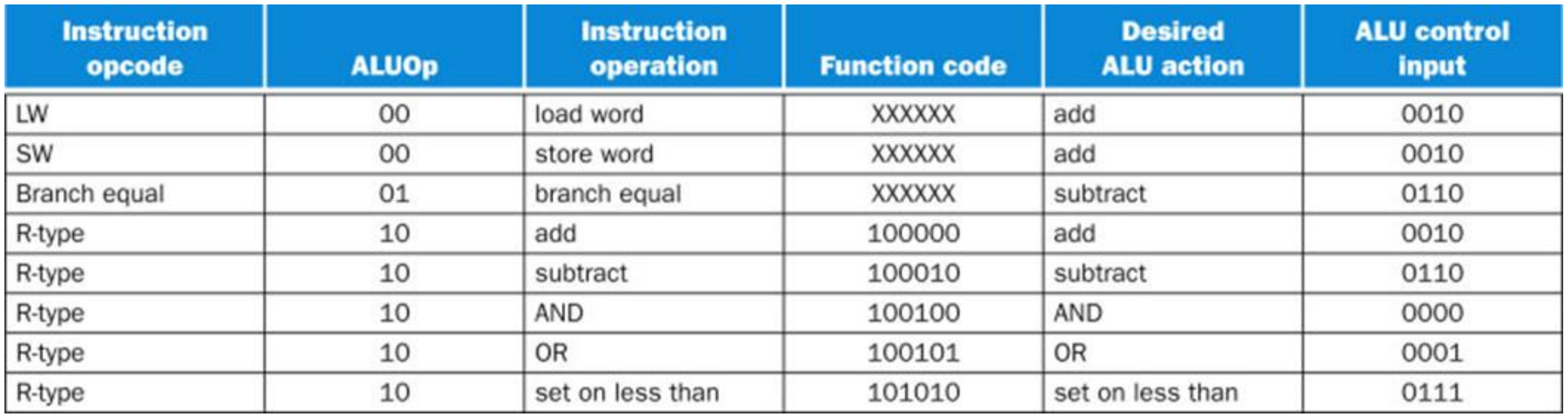
add $14, $5, $6	lw $13, 24 ($1)	add $12, $3, $4	sub $11, $2, $3	lw $10, 20($1)
Instruction fetch	Instruction decode	Execution	Memory	Write-back



Pipelined Control (Simplified)

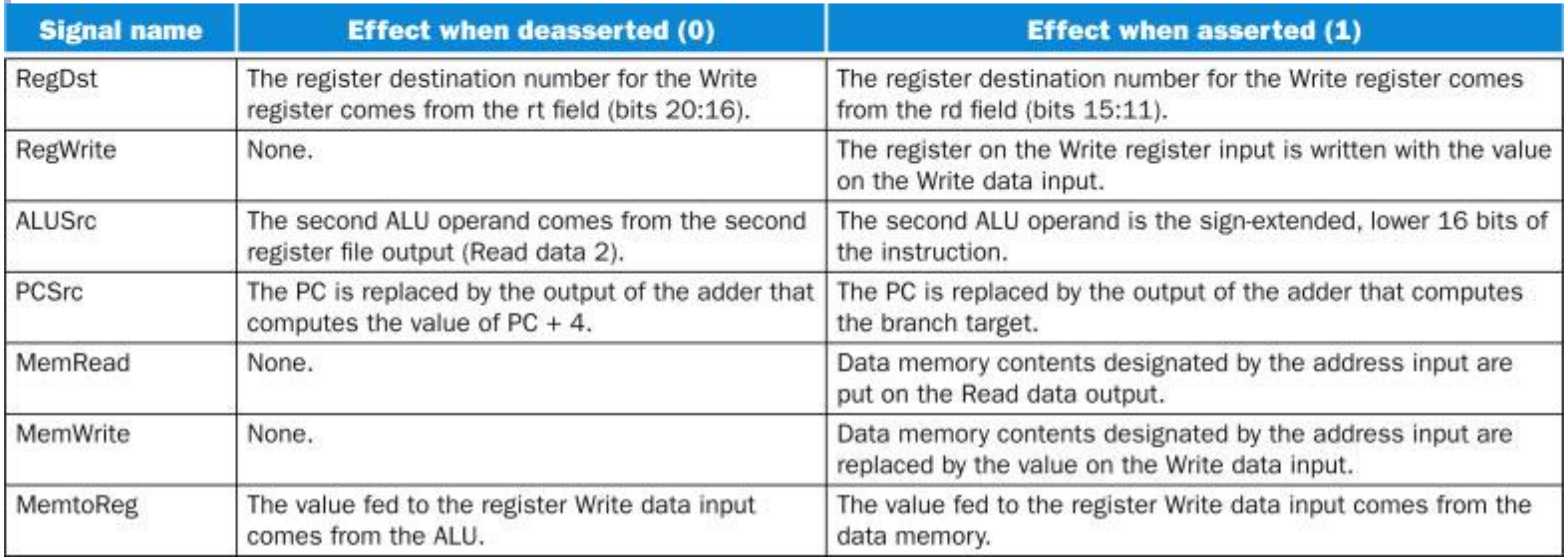


Review: ALU Control



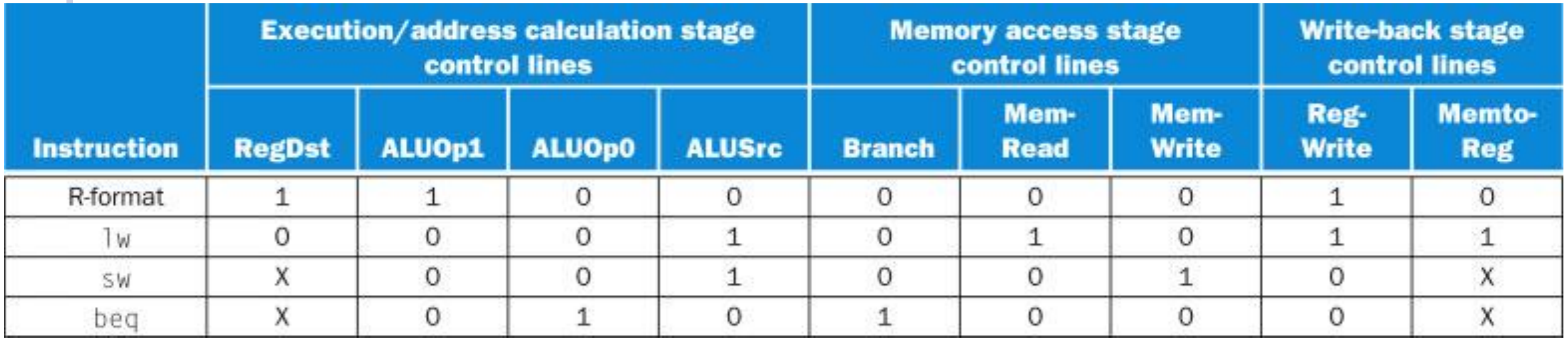
Instruction opcode	ALUOp	Instruction operation	Function code	Desired ALU action	ALU control input
LW	00	load word	XXXXXX	add	0010
SW	00	store word	XXXXXX	add	0010
Branch equal	01	branch equal	XXXXXX	subtract	0110
R-type	10	add	100000	add	0010
R-type	10	subtract	100010	subtract	0110
R-type	10	AND	100100	AND	0000
R-type	10	OR	100101	OR	0001
R-type	10	set on less than	101010	set on less than	0111

Review: Seven Control Signals



Signal name	Effect when deasserted (0)	Effect when asserted (1)
RegDst	The register destination number for the Write register comes from the rt field (bits 20:16).	The register destination number for the Write register comes from the rd field (bits 15:11).
RegWrite	None.	The register on the Write register input is written with the value on the Write data input.
ALUSrc	The second ALU operand comes from the second register file output (Read data 2).	The second ALU operand is the sign-extended, lower 16 bits of the instruction.
PCSrc	The PC is replaced by the output of the adder that computes the value of PC + 4.	The PC is replaced by the output of the adder that computes the branch target.
MemRead	None.	Data memory contents designated by the address input are put on the Read data output.
MemWrite	None.	Data memory contents designated by the address input are replaced by the value on the Write data input.
MemtoReg	The value fed to the register Write data input comes from the ALU.	The value fed to the register Write data input comes from the data memory.

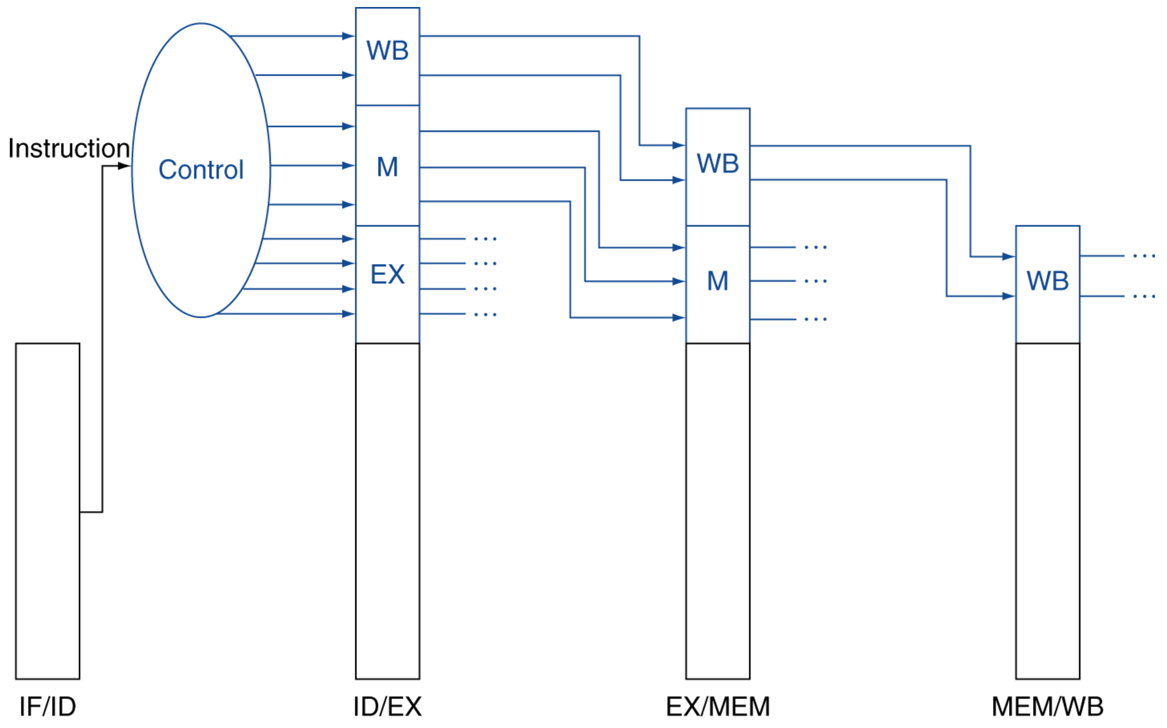
Groups of Signals in Pipeline Stages



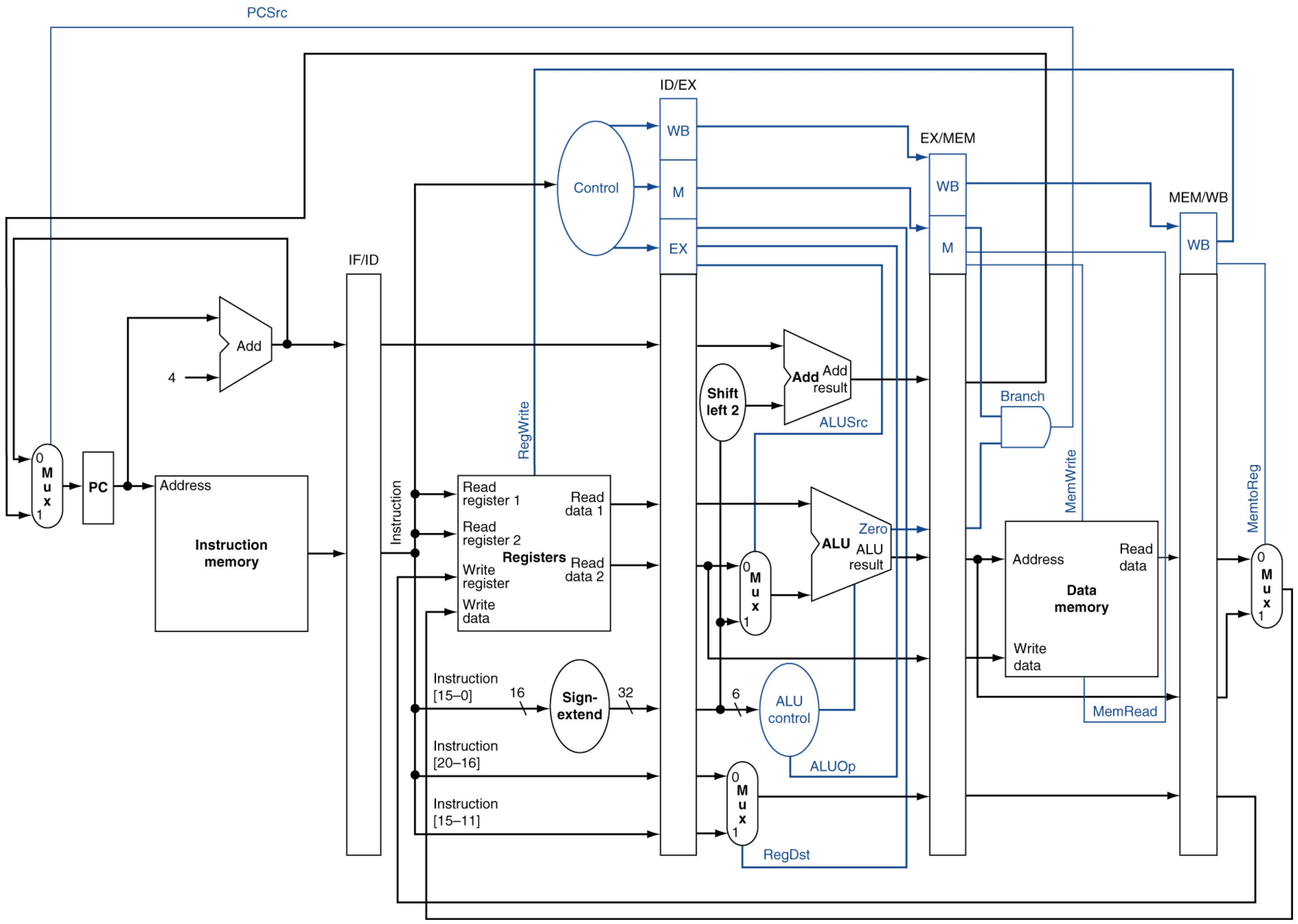
	Execution/address calculation stage control lines				Memory access stage control lines			Write-back stage control lines	
Instruction	RegDst	ALUOp1	ALUOp0	ALUSrc	Branch	Mem-Read	Mem-Write	Reg-Write	Memto-Reg
R-format	1	1	0	0	0	0	0	1	0
lw	0	0	0	1	0	1	0	1	1
sw	X	0	0	1	0	0	1	0	X
beq	X	0	1	0	1	0	0	0	X

Pipelined Control

- Control signals derived from instruction
  - As in single-cycle implementation



Pipelined Control



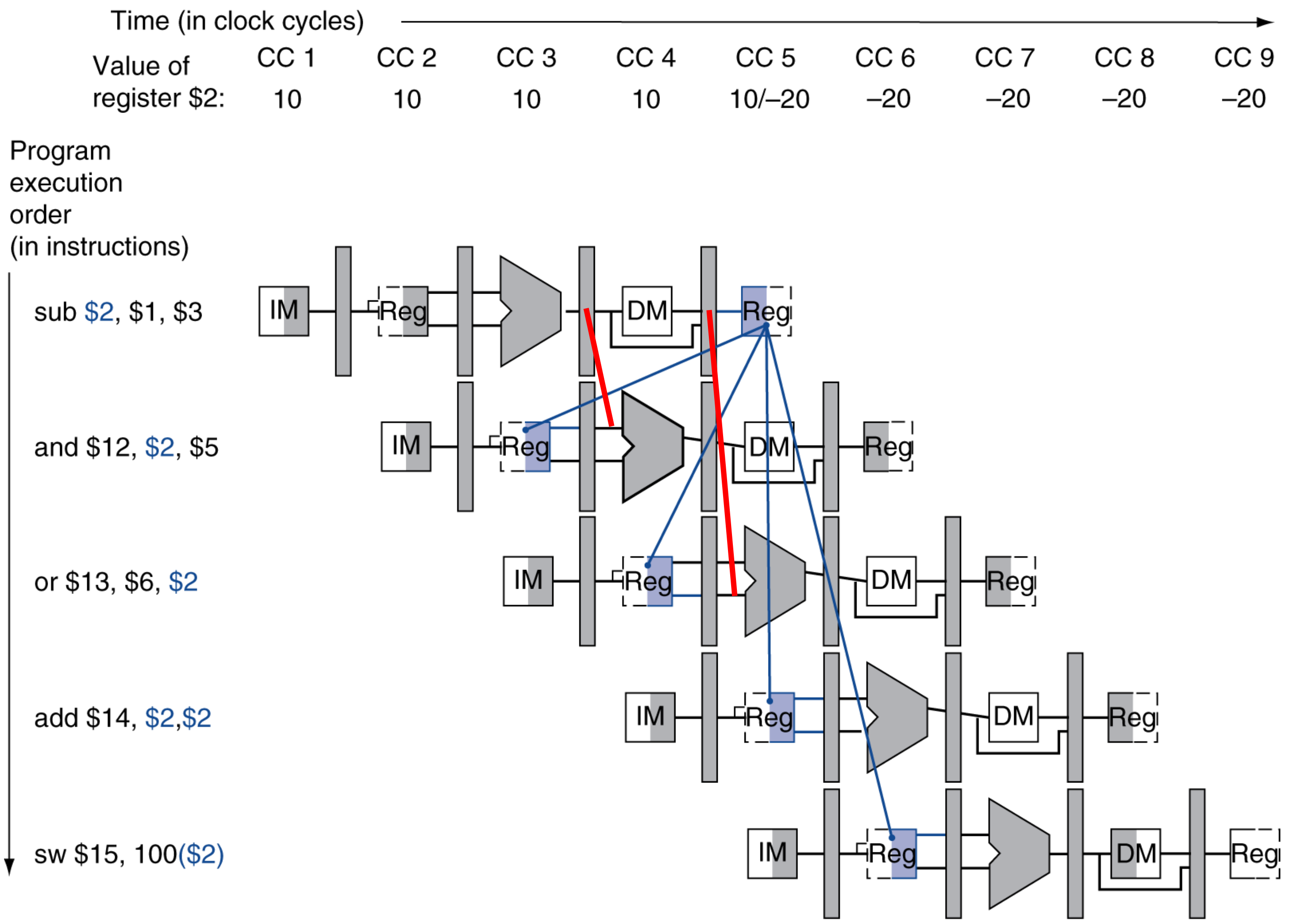
Data Hazards in ALU Instructions

- Consider this sequence:

```
sub $2, $1,$3
and $12,$2,$5
or  $13,$6,$2
add $14,$2,$2
sw  $15,100($2)
```

- We can resolve hazards with forwarding
  - How do we detect when to forward?

Dependencies & Forwarding



Detecting the Need to Forward

- Pass register numbers along pipeline
  - e.g., ID/EX.RegisterRs = register number for Rs sitting in ID/EX pipeline register
- ALU operand register numbers in EX stage are given by
  - ID/EX.RegisterRs, ID/EX.RegisterRt
- Data hazards when

1a. EX/MEM.RegisterRd = ID/EX.RegisterRs
1b. EX/MEM.RegisterRd = ID/EX.RegisterRt

Fwd from EX/MEM pipeline reg

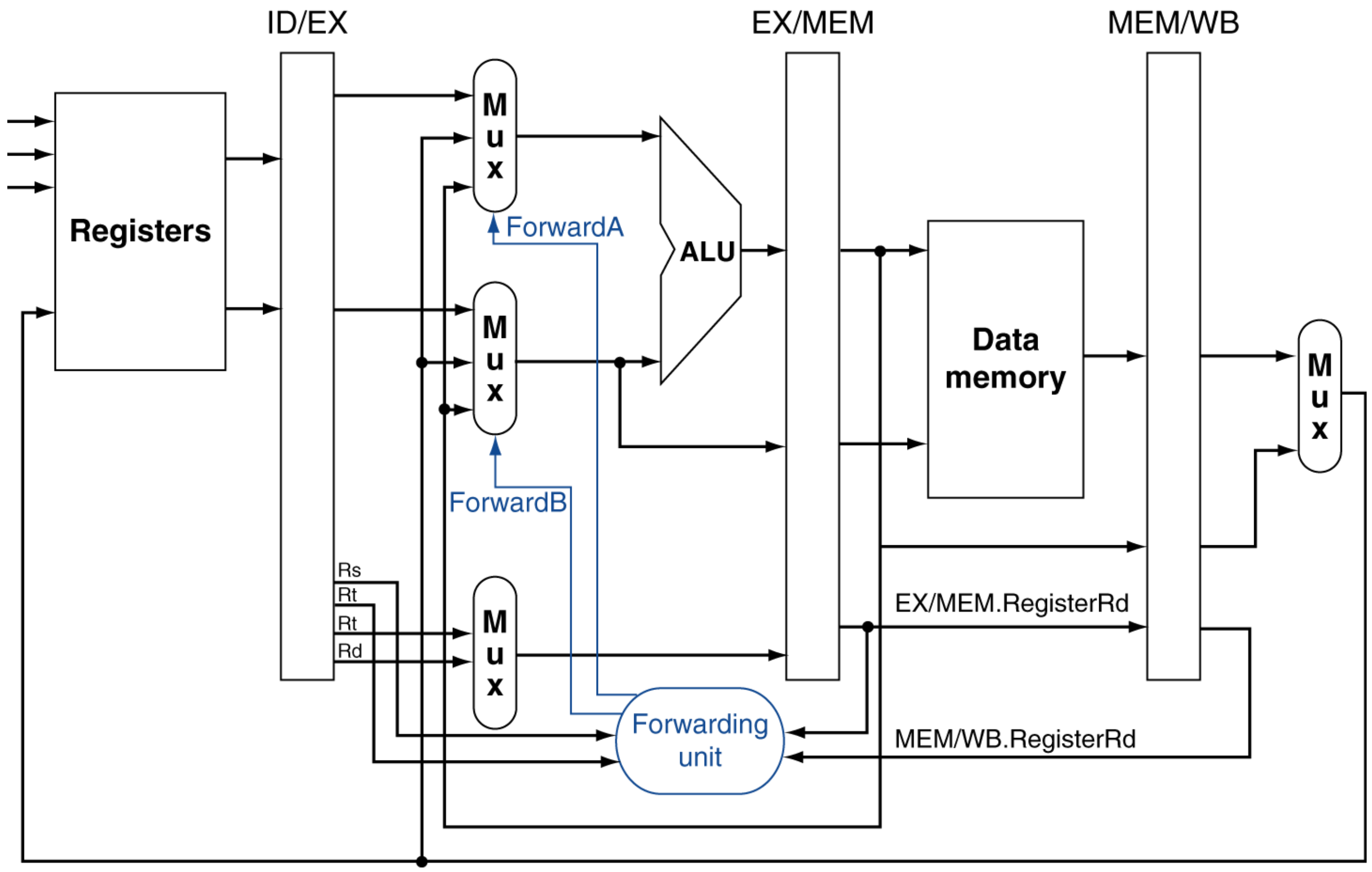
2a. MEM/WB.RegisterRd = ID/EX.RegisterRs
2b. MEM/WB.RegisterRd = ID/EX.RegisterRt

Fwd from MEM/WB pipeline reg

Detecting the Need to Forward

- But only if forwarding instruction will write to a register!
  - EX/MEM.RegWrite, MEM/WB.RegWrite
- And only if Rd for that instruction is not $zero
  - EX/MEM.RegisterRd ≠ 0, MEM/WB.RegisterRd ≠ 0

Forwarding Paths



b. With forwarding

Forwarding Conditions

- EX hazard
  - if (EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
      and (EX/MEM.RegisterRd = ID/EX.RegisterRs))
    ForwardA = 10
  - if (EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
      and (EX/MEM.RegisterRd = ID/EX.RegisterRt))
    ForwardB = 10
- MEM hazard
  - if (MEM/WB.RegWrite and (MEM/WB.RegisterRd ≠ 0)
      and (MEM/WB.RegisterRd = ID/EX.RegisterRs))
    ForwardA = 01
  - if (MEM/WB.RegWrite and (MEM/WB.RegisterRd ≠ 0)
      and (MEM/WB.RegisterRd = ID/EX.RegisterRt))
    ForwardB = 01

Double Data Hazard

- Consider the sequence:

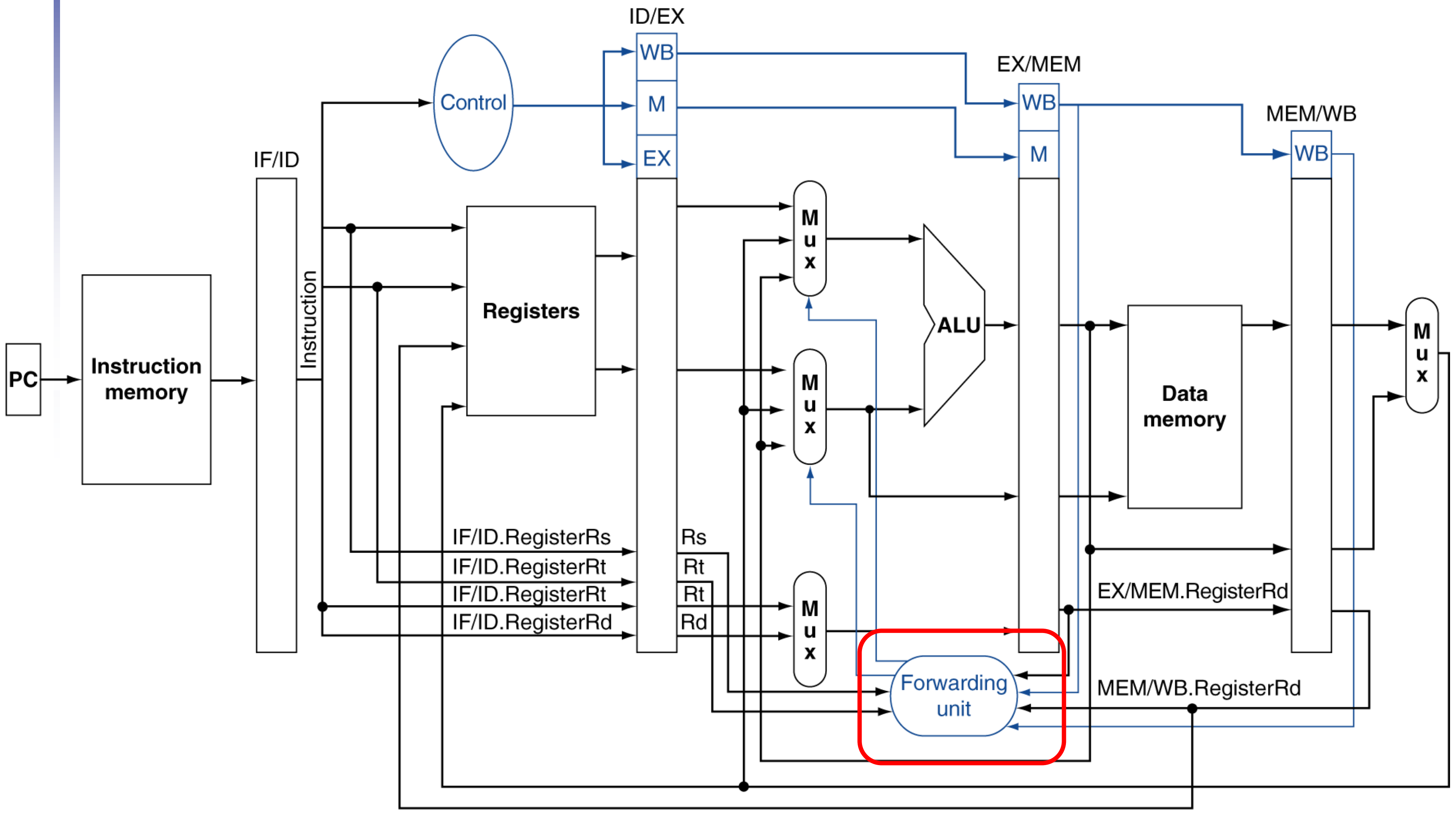
```
add $1,$1,$2
add $1,$1,$3
add $1,$1,$4
```

- Both hazards occur
  - Want to use the most recent
- Revise MEM hazard condition
  - Only fwd if EX hazard condition isn’t true

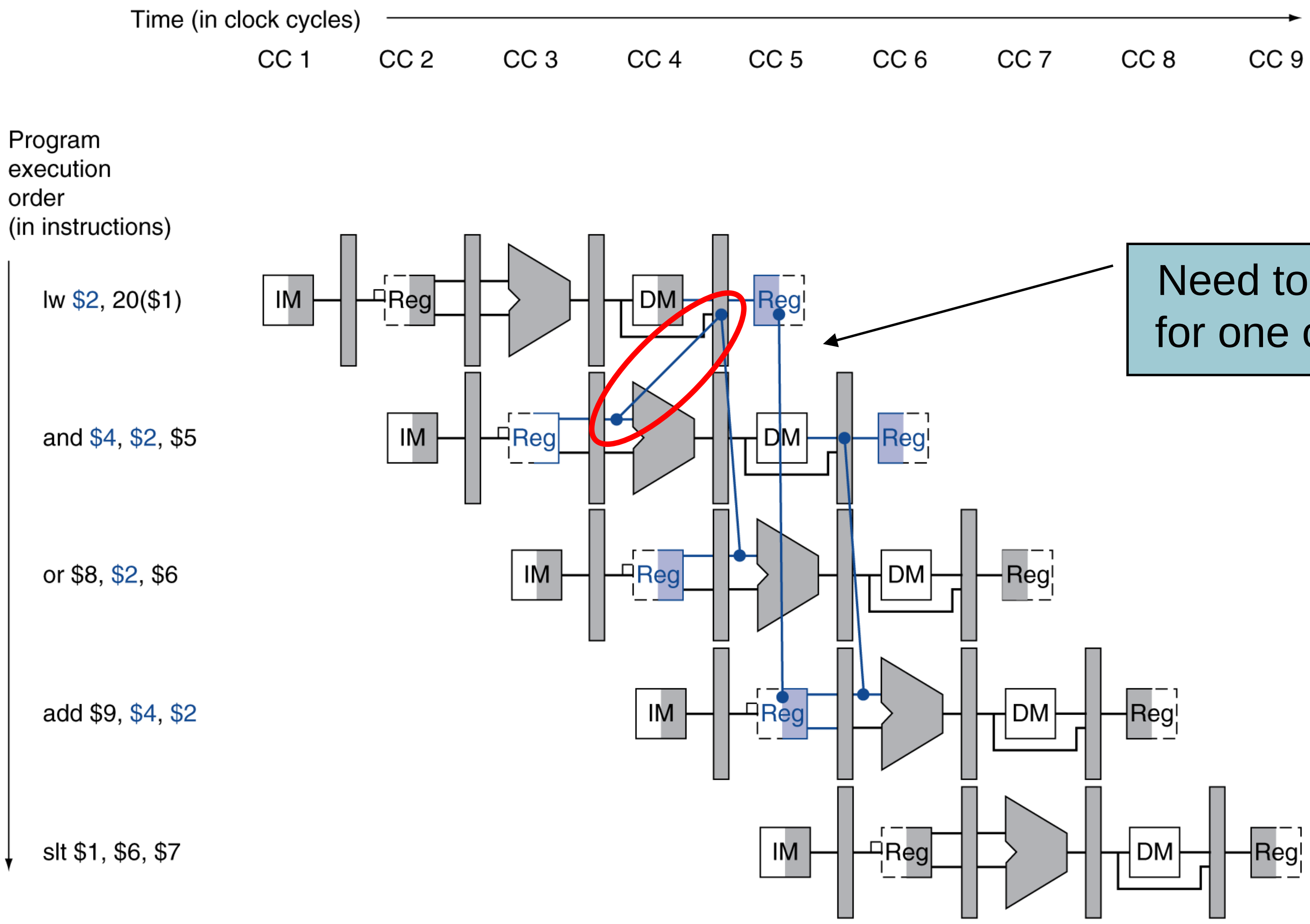
Revised Forwarding Condition

- MEM hazard
  - if (MEM/WB.RegWrite and (MEM/WB.RegisterRd ≠ 0)
      and not (EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
          and (EX/MEM.RegisterRd = ID/EX.RegisterRs))
      and (MEM/WB.RegisterRd = ID/EX.RegisterRs))
    ForwardA = 01
  - if (MEM/WB.RegWrite and (MEM/WB.RegisterRd ≠ 0)
      and not (EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
          and (EX/MEM.RegisterRd = ID/EX.RegisterRt))
      and (MEM/WB.RegisterRd = ID/EX.RegisterRt))
    ForwardB = 01

Datapath with Forwarding



Load-Use Data Hazard



Need to stall for one cycle

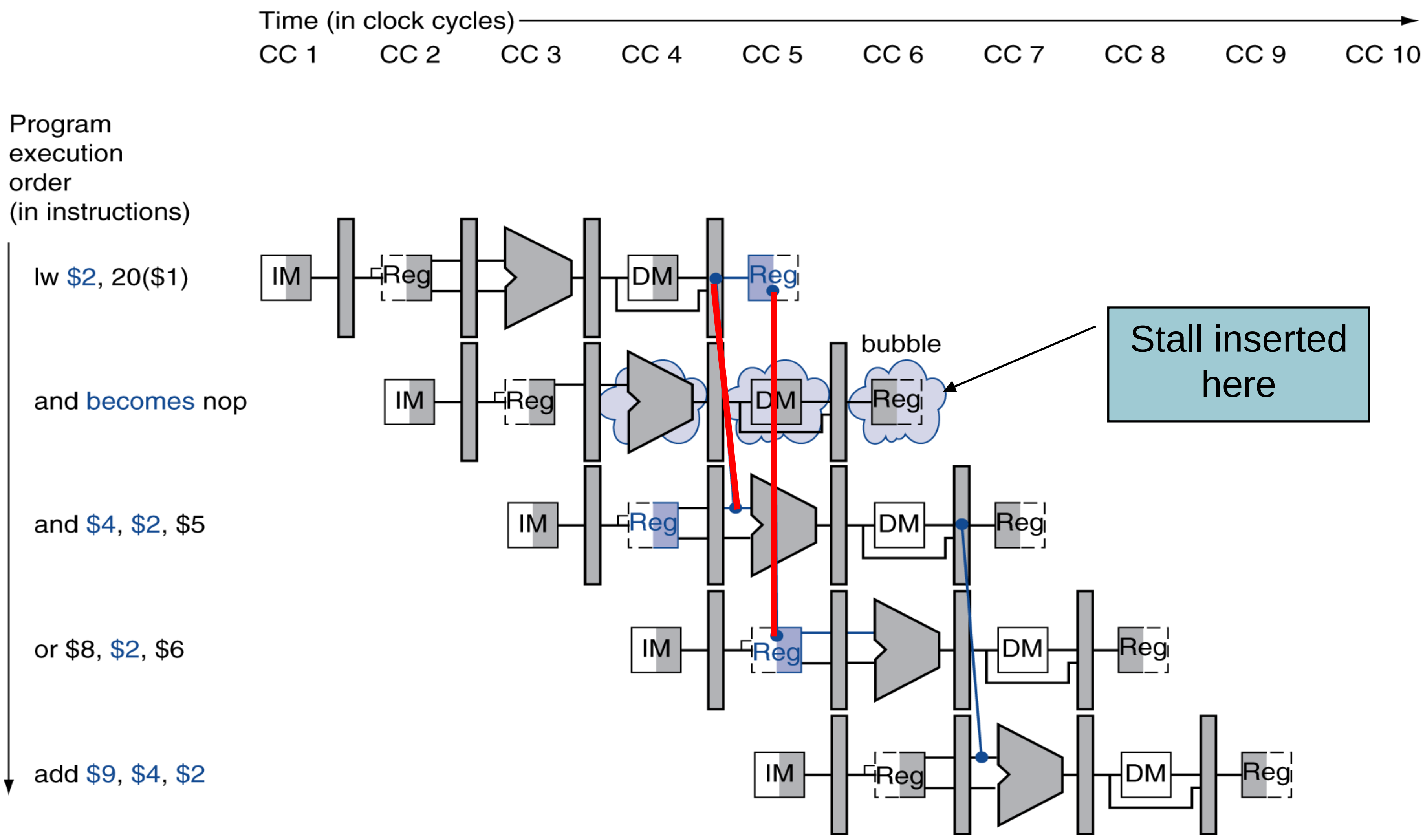
Load-Use Hazard Detection

- Check when using instruction is decoded in ID stage
- ALU operand register numbers in ID stage are given by
  - IF/ID.RegisterRs, IF/ID.RegisterRt
- Load-use hazard when
  - ID/EX.MemRead and
    ((ID/EX.RegisterRt = IF/ID.RegisterRs) or
    (ID/EX.RegisterRt = IF/ID.RegisterRt))
- If detected, stall and insert bubble

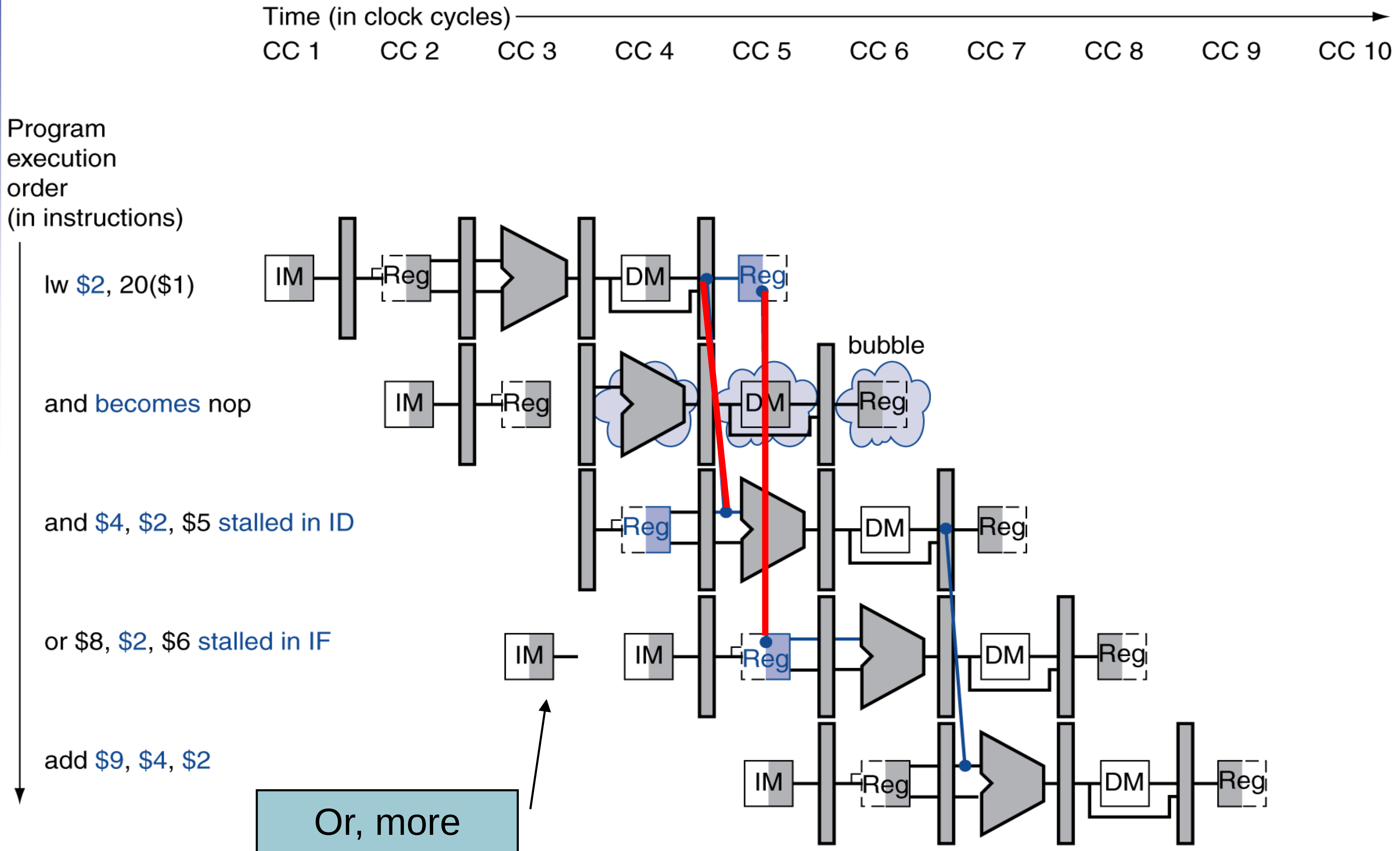
How to Stall the Pipeline

- Force control values in ID/EX register to 0
  - EX, MEM and WB do nop (no-operation)
- Prevent update of PC and IF/ID register
  - Using instruction is decoded again
  - Following instruction is fetched again
  - 1-cycle stall allows MEM to read data for `lw`
    - Can subsequently forward to EX stage

Stall/Bubble in the Pipeline

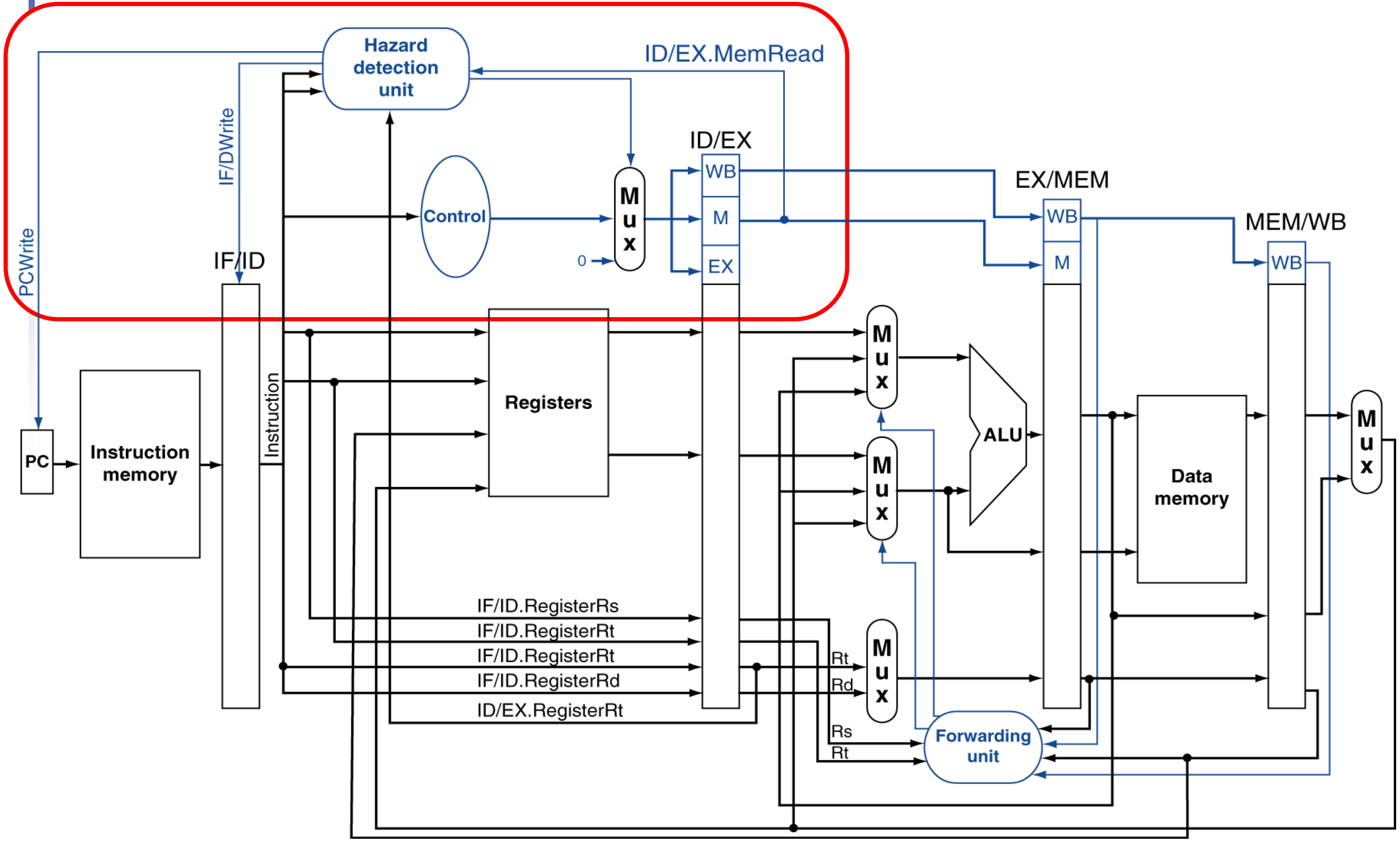


Stall/Bubble in the Pipeline



Or, more accurately…

Datapath with Hazard Detection

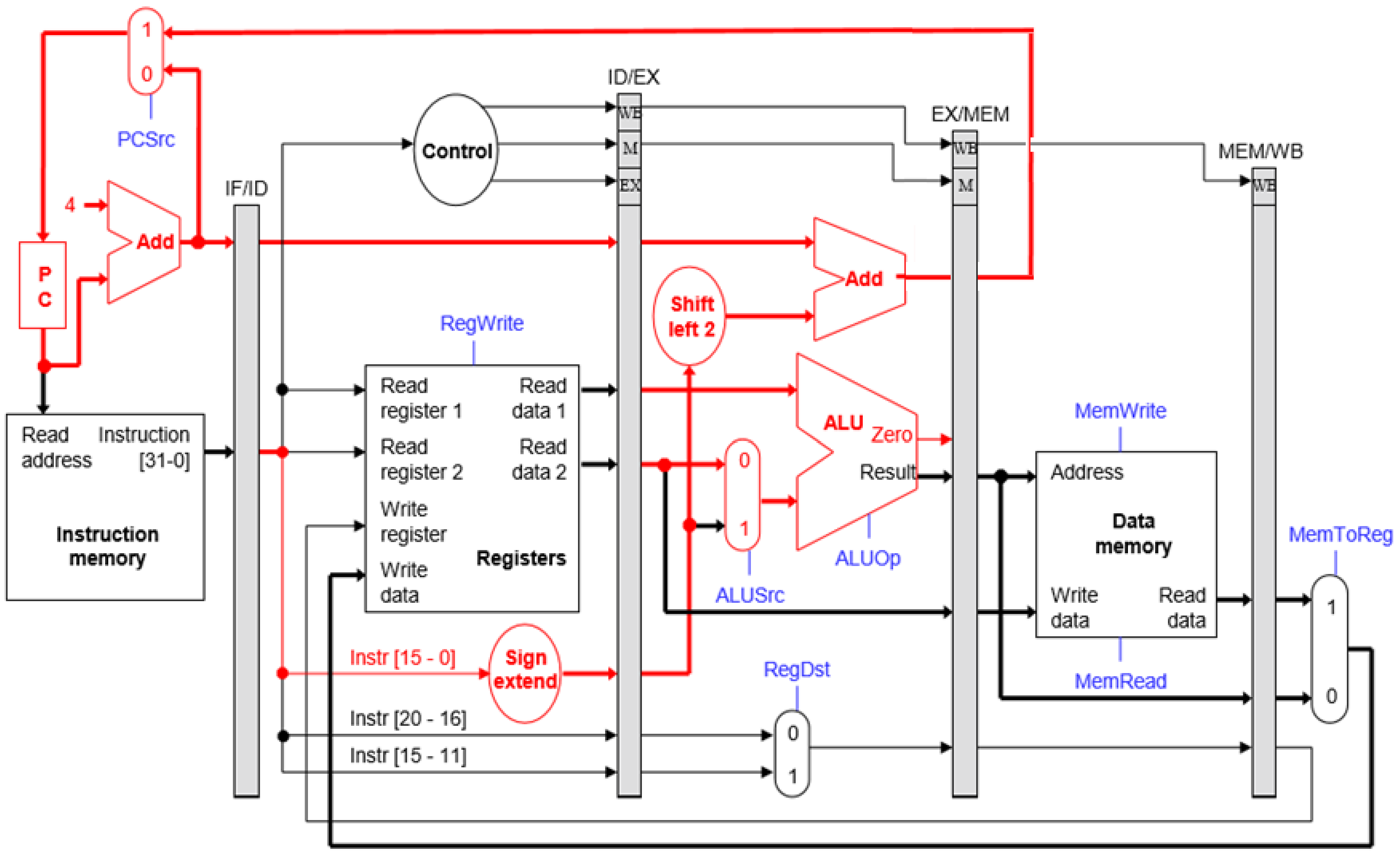


Stalls and Performance

The BIG Picture

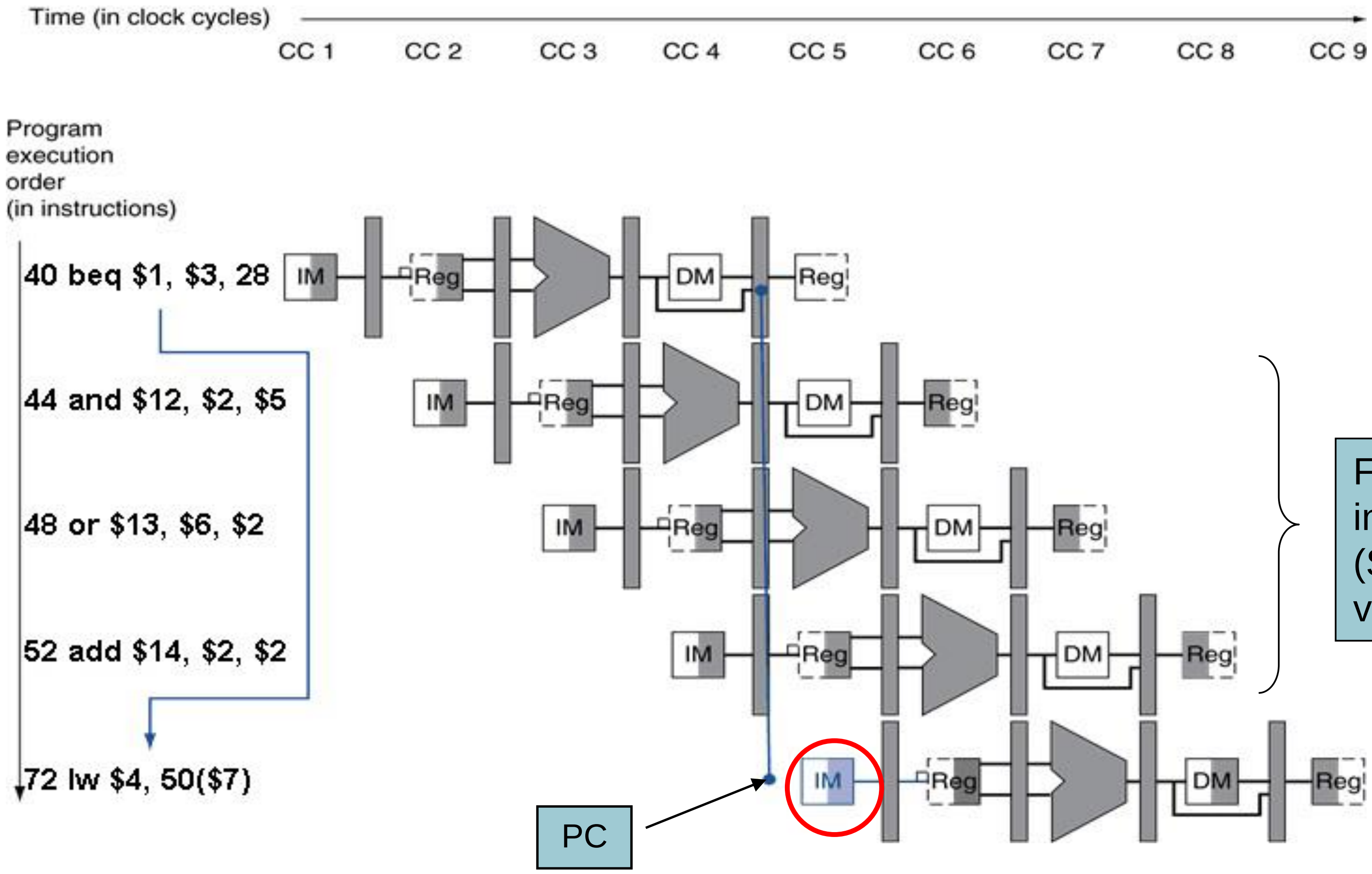
- Stalls reduce performance
  - But are required to get correct results
- Compiler can arrange code to avoid hazards and stalls
  - Requires knowledge of the pipeline structure

Branch Hazards（beq）



Branch Hazards

- If branch outcome determined in MEM

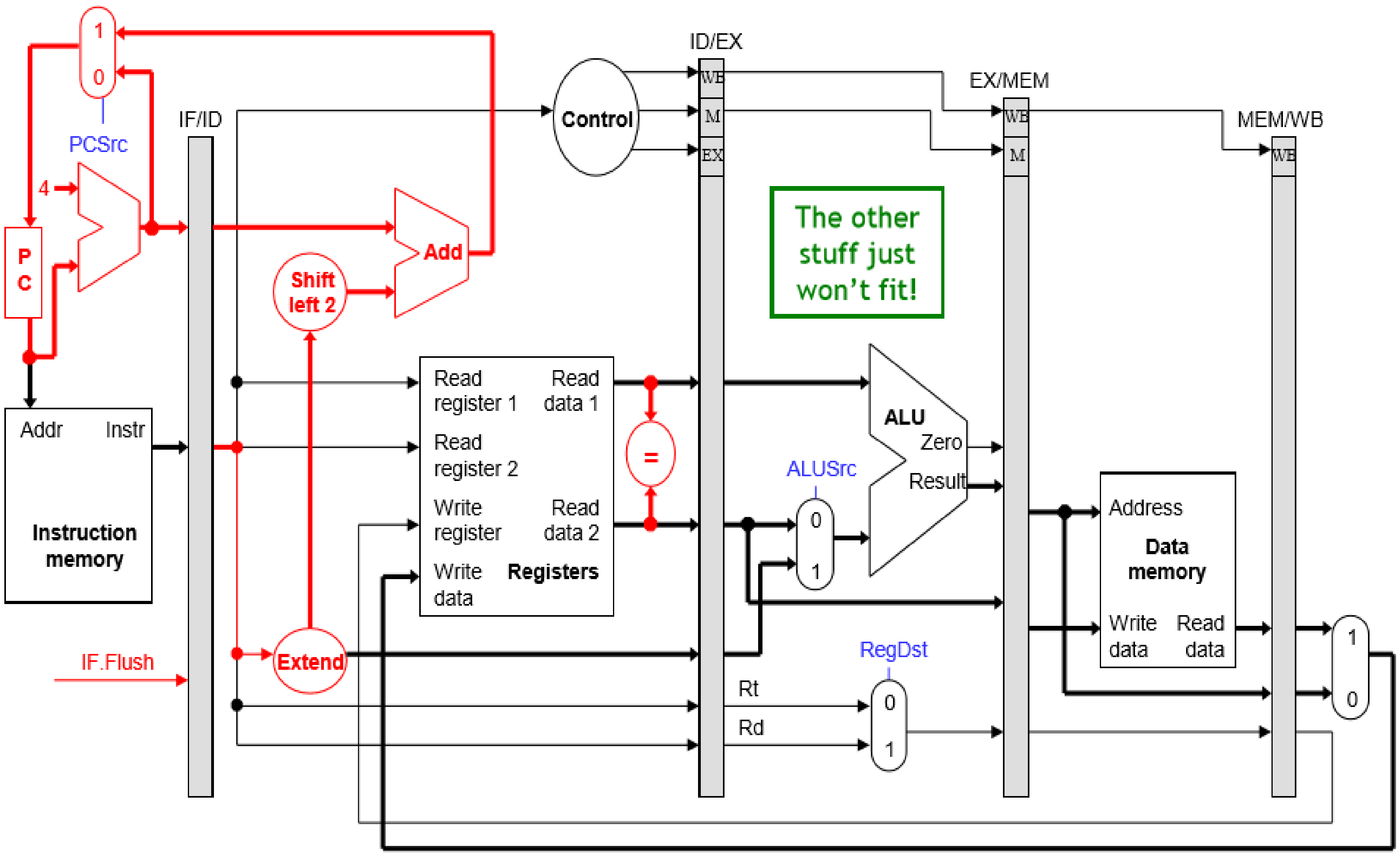


Flush these instructions (Set control values to 0)

Reducing Branch Delay

- We can actually decide the branch a little earlier, in ID instead of EX.
- Move hardware to determine outcome to ID stage
    - Target address adder
    - Register comparator

Reducing Branch Delay

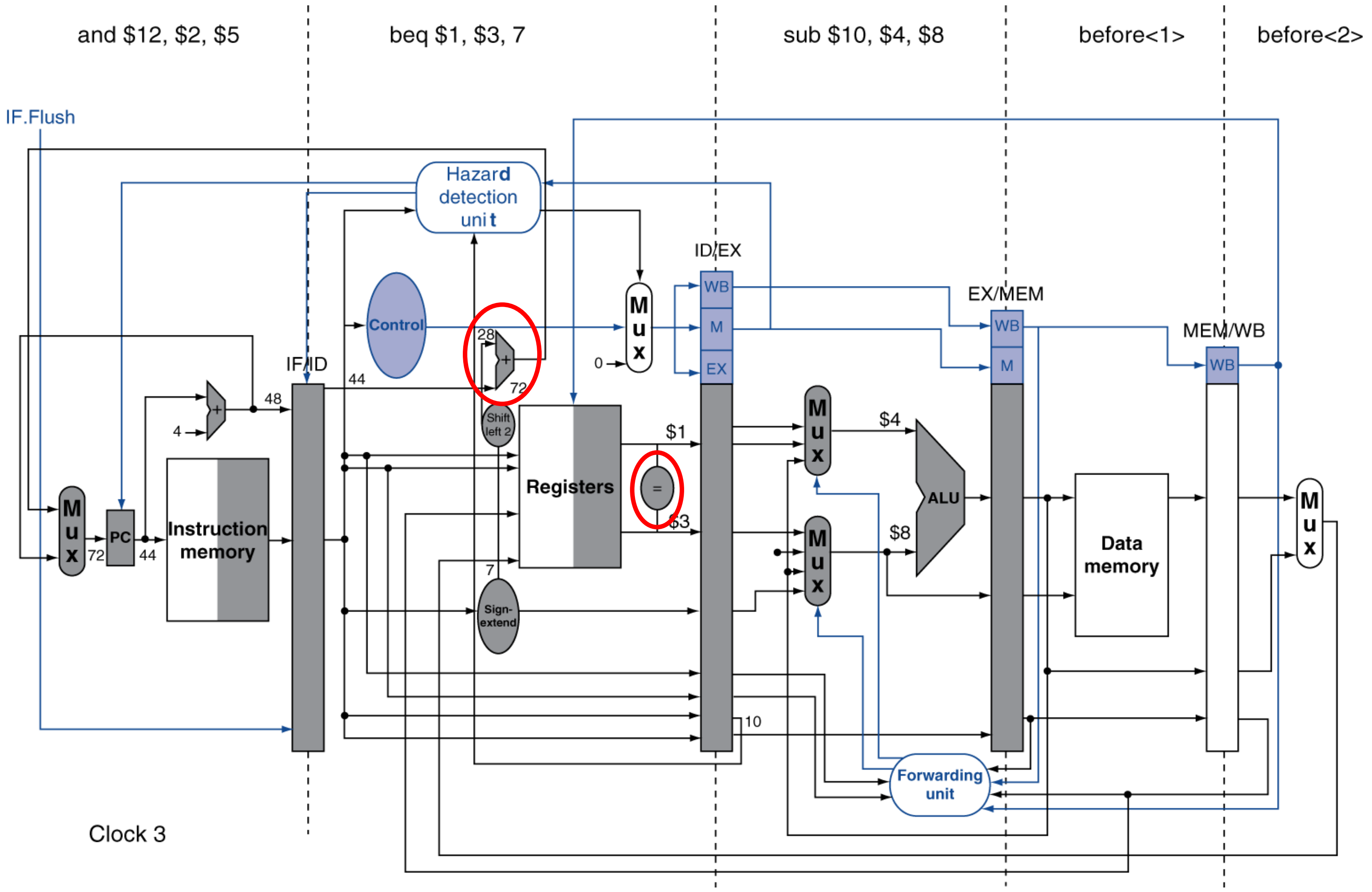


Reducing Branch Delay

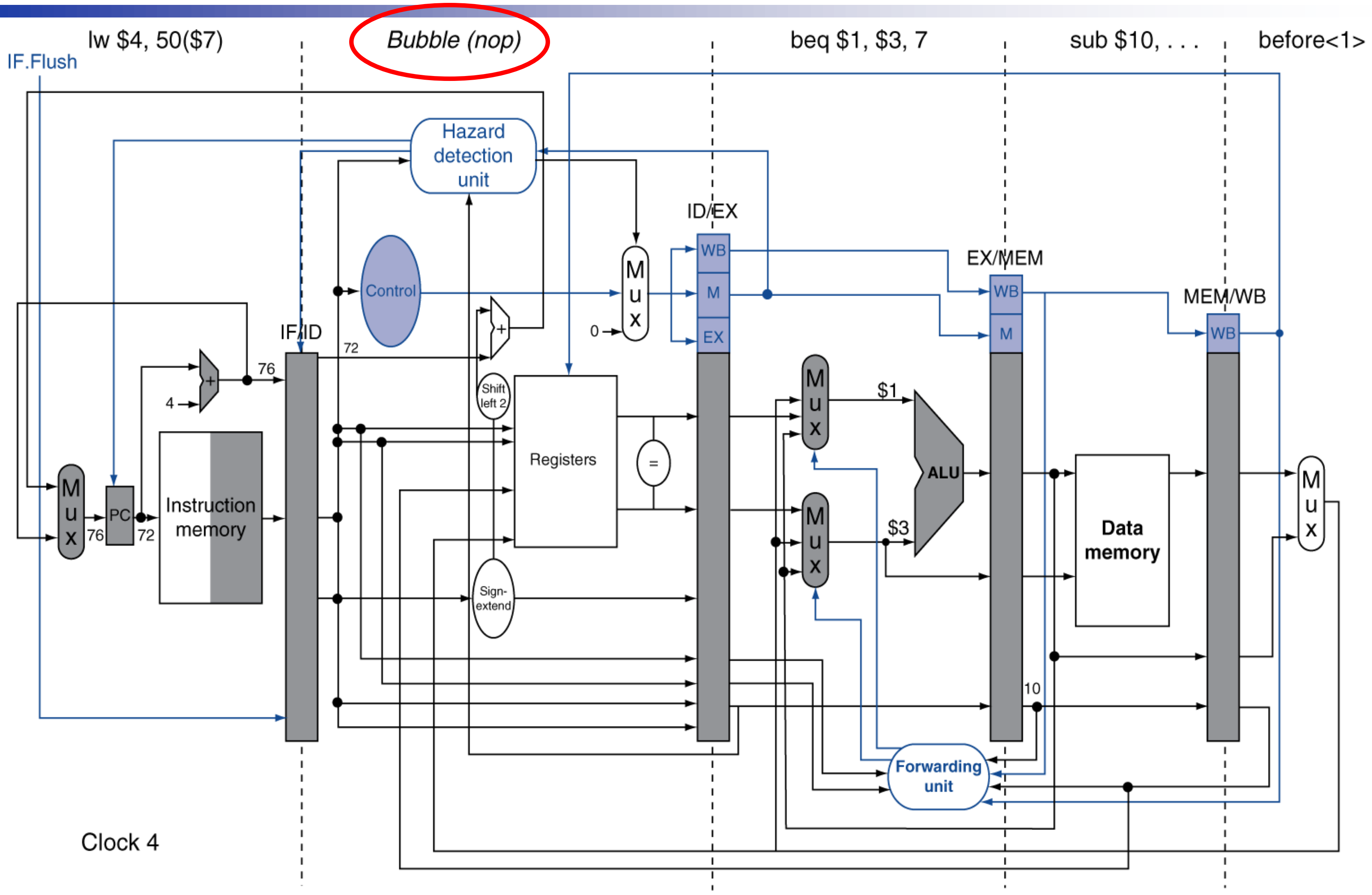
- Example: branch taken

```
36:  sub  $10, $4, $8
40:  beq  $1,  $3, 7
44:  and  $12, $2, $5
48:  or   $13, $2, $6
52:  add  $14, $4, $2
56:  slt  $15, $6, $7
          ...
72:  lw   $4, 50($7)
```

Example: Branch Taken



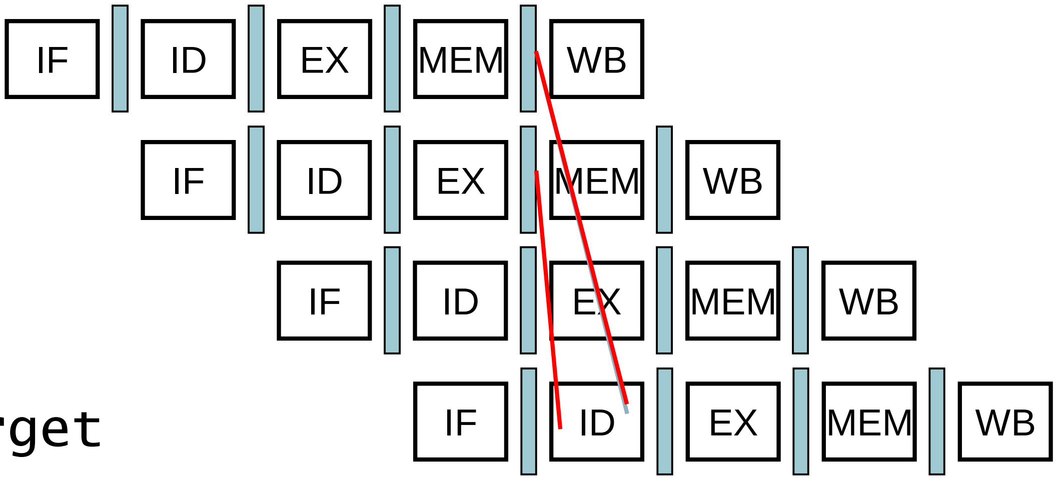
Example: Branch Taken



Data Hazards for Branches

- If a comparison register is a destination of 2nd or 3rd preceding ALU instruction

```
add $1, $2, $3
add $4, $5, $6
…
beq $1, $4, target
```

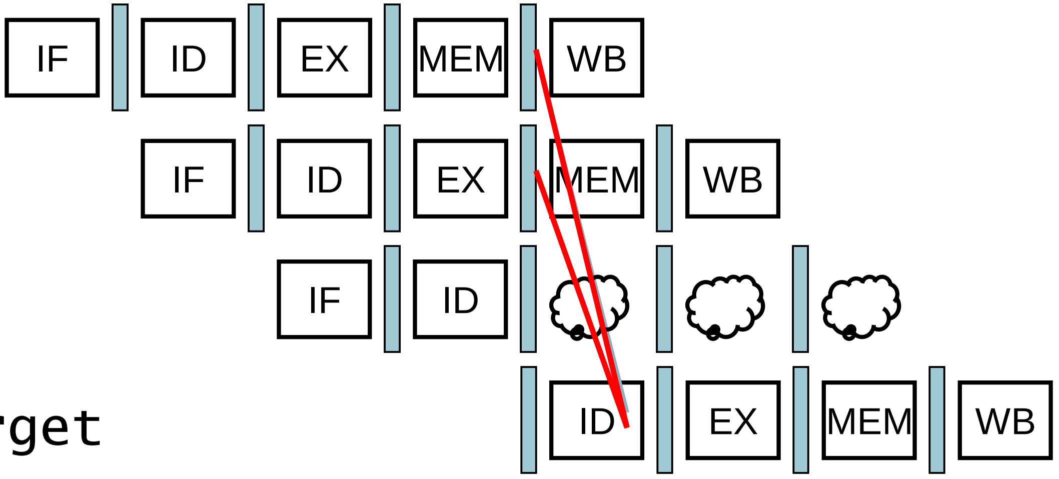


- Can resolve using forwarding

Data Hazards for Branches

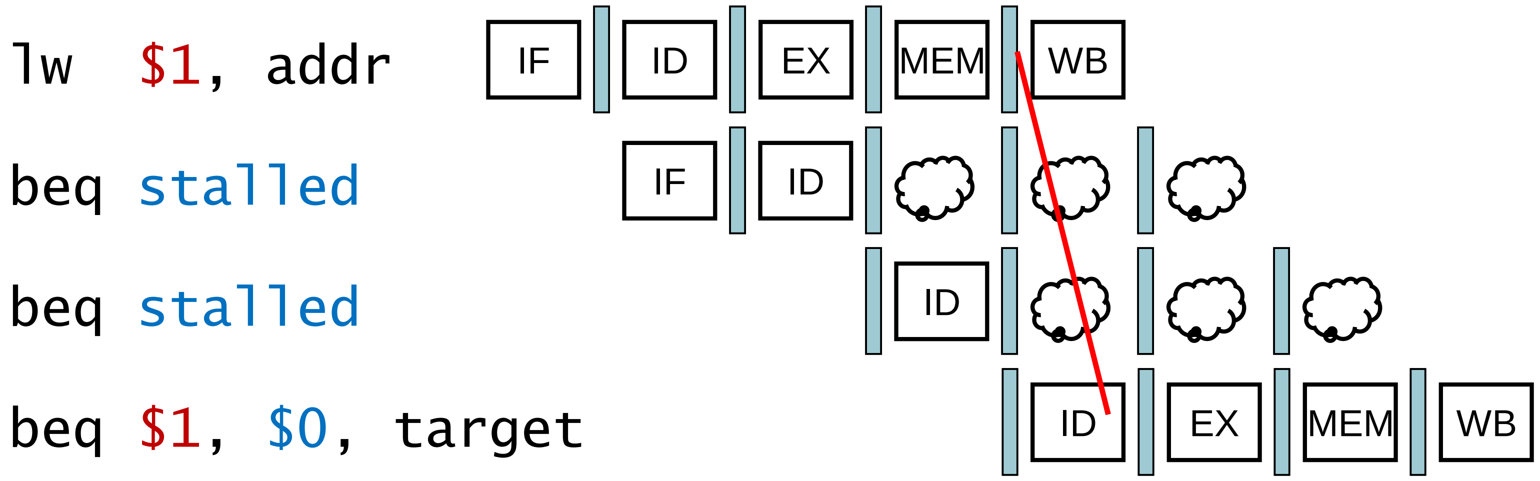
- If a comparison register is a destination of preceding ALU instruction or 2nd preceding load instruction
  - Need 1 stall cycle

```
lw  $1, addr
add $4, $5, $6
beq stalled
beq $1, $4, target
```



Data Hazards for Branches

- If a comparison register is a destination of immediately preceding load instruction
    - Need 2 stall cycles

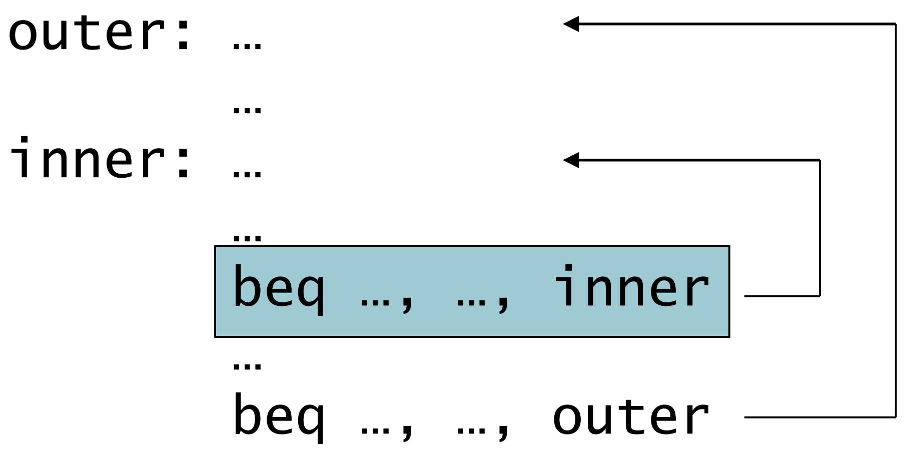


Dynamic Branch Prediction

- In deeper and superscalar pipelines, branch penalty is more significant
- Use dynamic prediction
  - Branch prediction buffer (aka branch history table)
  - Indexed by recent branch instruction addresses
  - Stores outcome (taken/not taken)
  - To execute a branch
    - Check table, expect the same outcome
    - Start fetching from fall-through or target
    - If wrong, flush pipeline and flip prediction

1-Bit Predictor: Shortcoming

- Inner loop branches mispredicted twice!

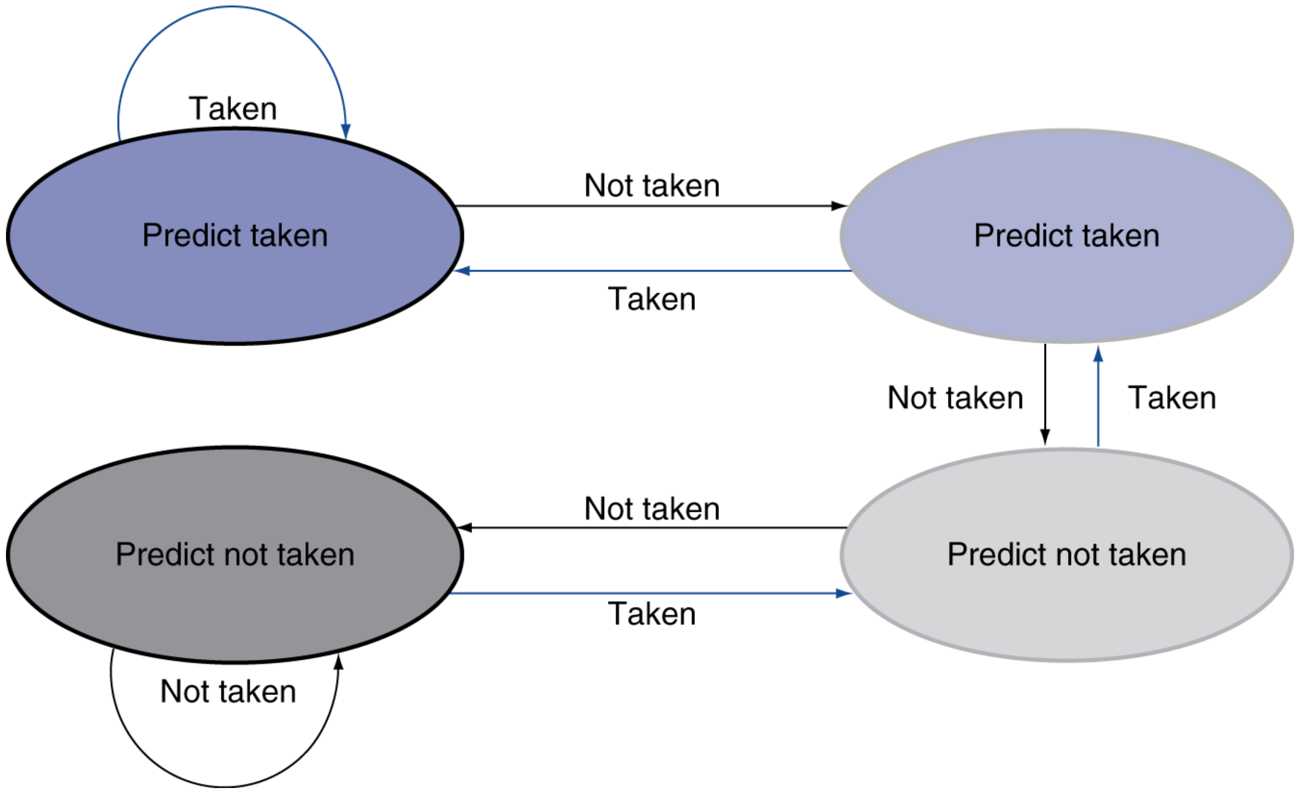


```
outer: …
       …
inner: …
       …
       beq …, …, inner
       …
       beq …, …, outer
```

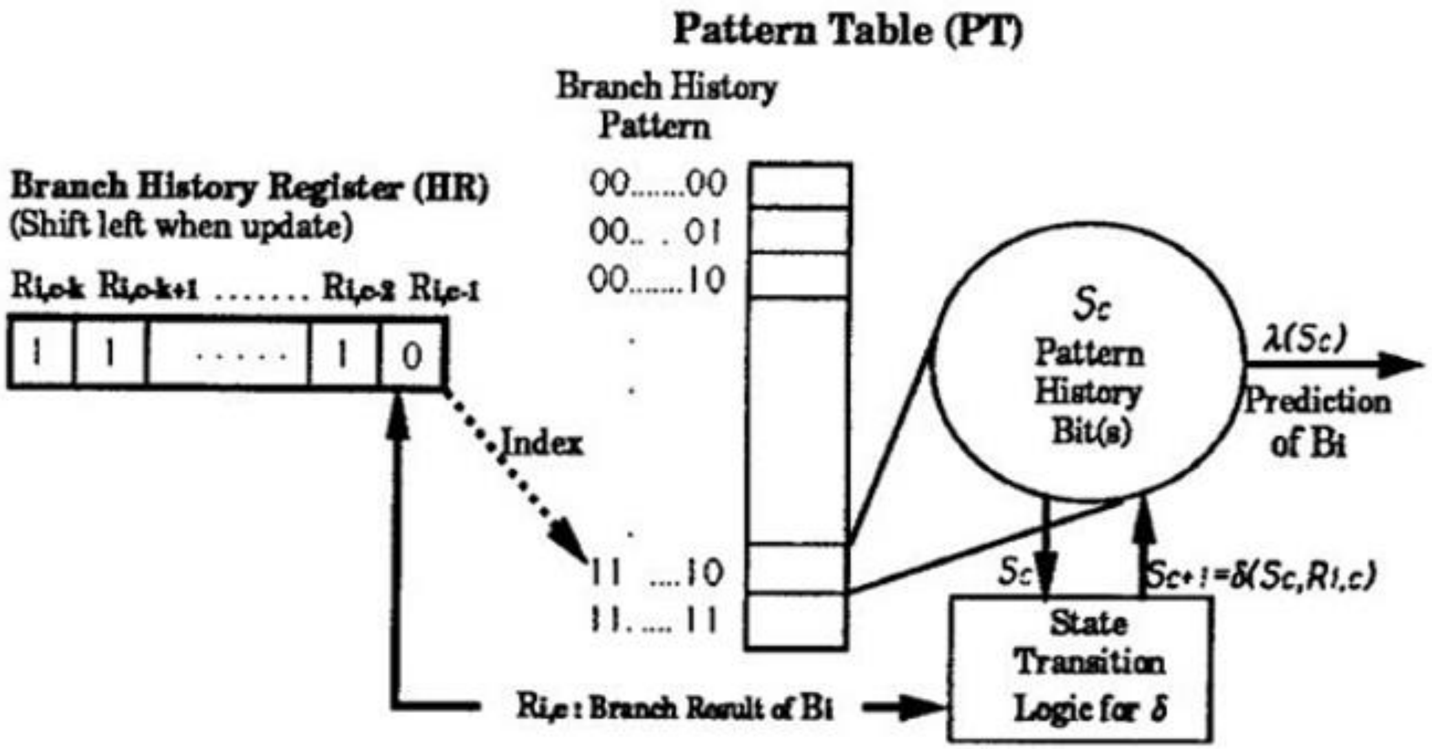
  - Mispredict as taken on last iteration of inner loop
  - Then mispredict as not taken on first iteration of inner loop next time around

2-Bit Predictor

- Only change prediction on two successive mispredictions



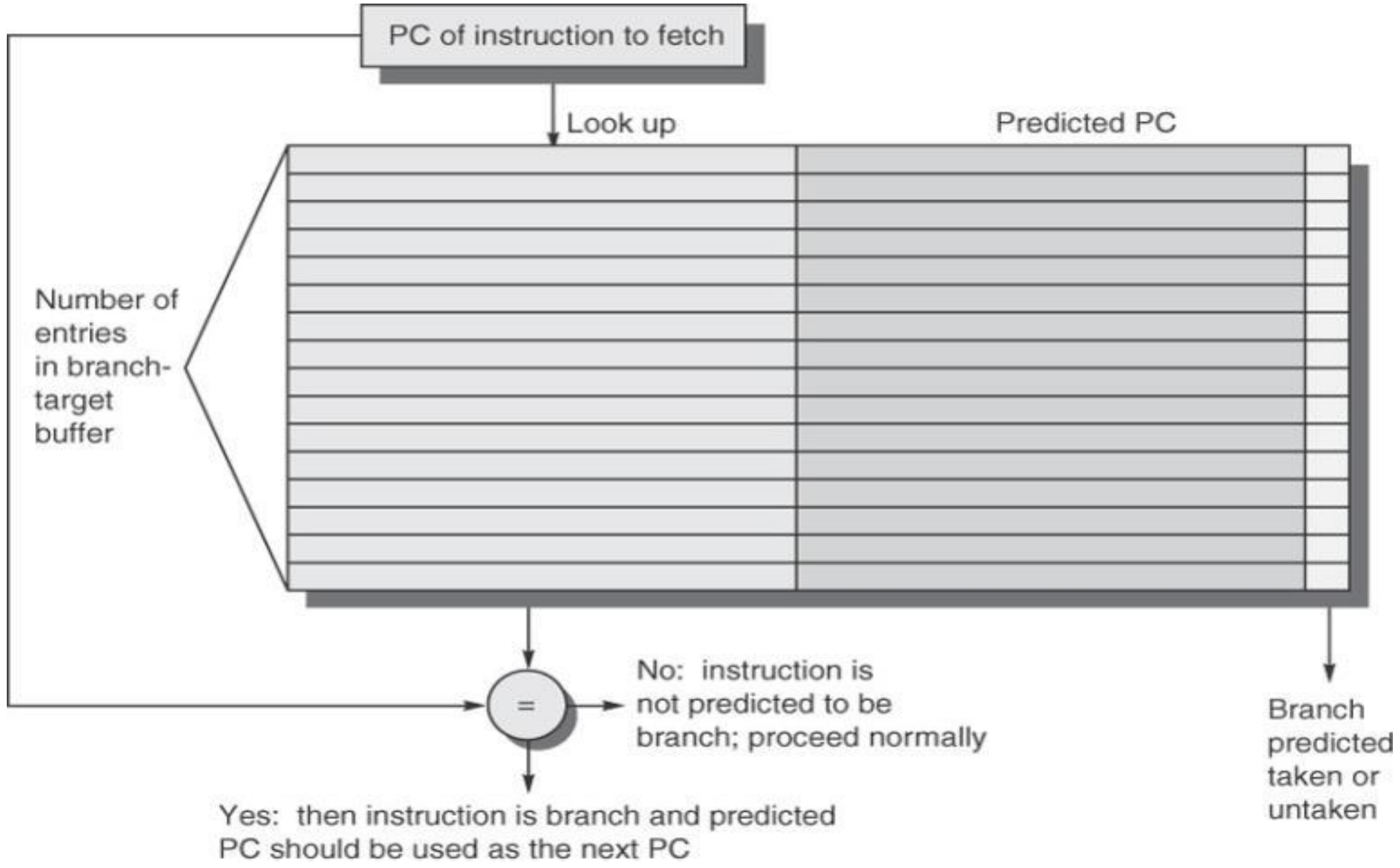
2 Level Adaptive Training



Calculating the Branch Target

- Even with predictor, still need to calculate the target address
  - 1-cycle penalty for a taken branch
- Branch target buffer
  - Cache of target addresses
  - Indexed by PC when instruction fetched
    - If hit and instruction is branch predicted taken, can fetch target immediately

Calculating the Branch Target


PC of instruction to fetch
Look up
Predicted PC
Number of entries in branch-target buffer
=
No: instruction is not predicted to be branch; proceed normally
Yes: then instruction is branch and predicted PC should be used as the next PC
Branch predicted taken or untaken

Branch Delay Slot

- A delayed branch always executes the following instruction

```
SLL $1, $1, 2

LW $2, 1000($1)

BEQ $2, $0, END

ADD $3, $2, 1

….

END： MULT $3, $2
```

Branch delay slot

Exceptions and Interrupts

- "Unexpected" events requiring change in flow of control
  - Different ISAs use the terms differently
- Exception
  - Arises within the CPU
    - e.g., undefined opcode, overflow, syscall, …
- Interrupt
  - From an external I/O controller
- Dealing with them without sacrificing performance is hard

Handling Exceptions

- In MIPS, exceptions managed by a System Control Coprocessor (CP0)
- Save PC of offending (or interrupted) instruction
  - In MIPS: Exception Program Counter (EPC)
- Save indication of the problem
  - In MIPS: Cause register
  - We'll assume 1-bit
    - 0 for undefined opcode, 1 for overflow
- Jump to handler at 8000 00180

An Alternate Mechanism

- Vectored Interrupts
  - Handler address determined by the cause
- Example:

Undefined opcode:	C000 0000
Overflow:	C000 0020
…:	C000 0040

- Instructions either
  - Deal with the interrupt, or
  - Jump to real handler

Handler Actions

- Read cause, and transfer to relevant handler
- Determine action required
- If restartable
  - Take corrective action
  - use EPC to return to program
- Otherwise
  - Terminate program
  - Report error using EPC, cause, …

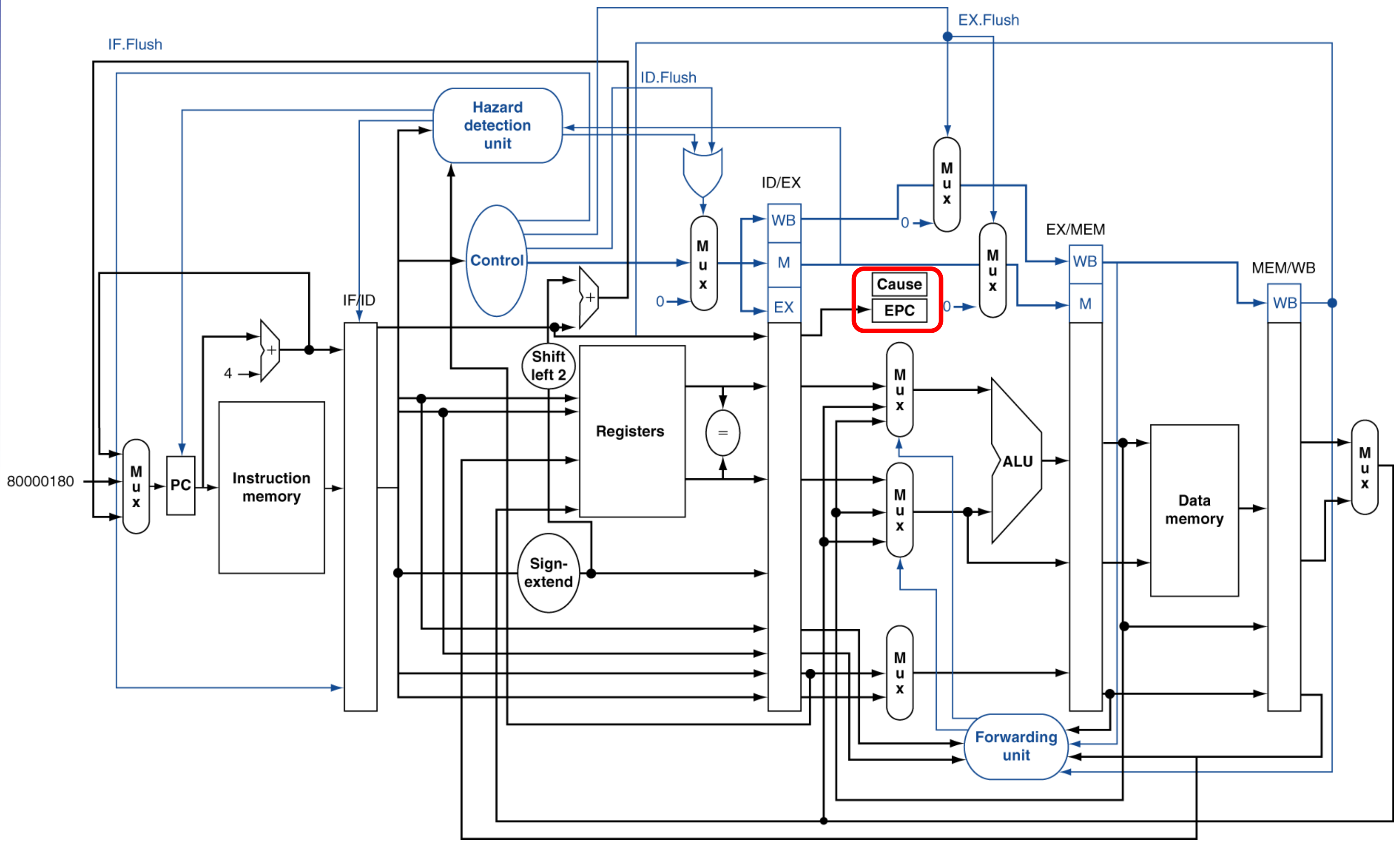
Exceptions in a Pipeline

- Another form of control hazard
- Consider overflow on add in EX stage

  `add $1, $2, $1`

  - Prevent $1 from being clobbered
  - Complete previous instructions
  - Flush add and subsequent instructions
  - Set Cause and EPC register values
  - Transfer control to handler
- Similar to mispredicted branch
  - Use much of the same hardware

Pipeline with Exceptions



Exception Properties

- Restartable exceptions
  - Pipeline can flush the instruction
  - Handler executes, then returns to the instruction
    - Refetched and executed from scratch
- PC saved in EPC register
  - Identifies causing instruction
  - Actually PC + 4 is saved
    - Handler must adjust

Exception Example

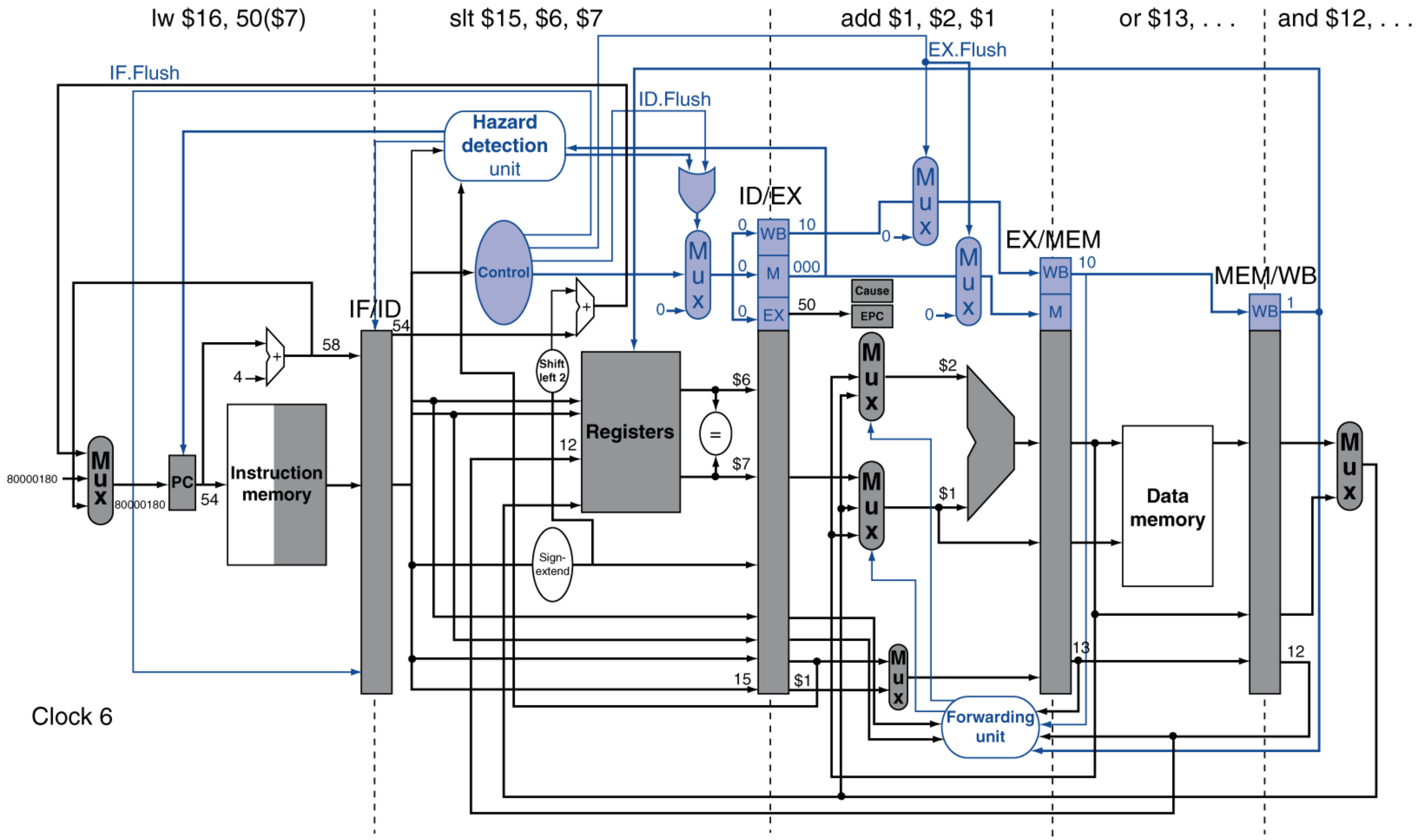
- Exception on add in

```
40     sub  $11, $2, $4
44     and  $12, $2, $5
48     or   $13, $2, $6
4c     add  $1,  $2, $1
50     slt  $15, $6, $7
54     lw   $16, 50($7)
…
```

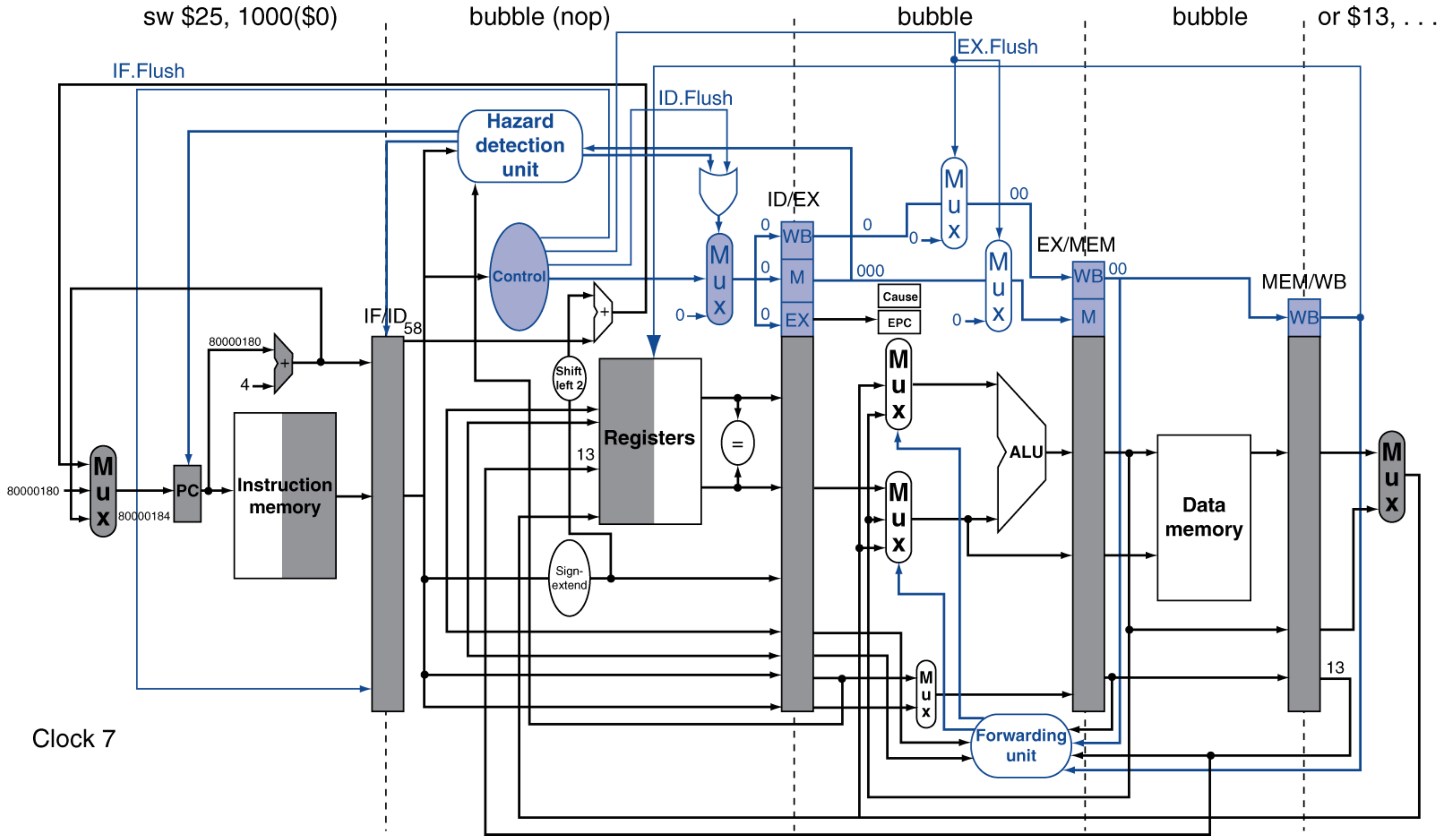
- Handler

```
80000180   sw   $25, 1000($0)
80000184   sw   $26, 1004($0)
…
```

Exception Example



Exception Example



sw $25, 1000($0)
bubble (nop)
bubble
bubble
or $13, . . .
IF.Flush
ID.Flush
EX.Flush
Hazard detection unit
Control
ID/EX
EX/MEM
MEM/WB
IF/ID
WB
M
EX
Cause
EPC
Registers
Instruction memory
Data memory
ALU
PC
Shift left 2
Sign-extend
Forwarding unit
80000180
80000184
4
58
13
0
00
000
Clock 7