The Notion of theory

I. What we have seen last time

Natural deduction rules

Introductions, eliminations, axiom, excluded-middle

Define a notion of provable sequent $\Gamma \vdash A$ (and of proof)

A is provable (without any axioms), if $\vdash A$ provable

Axiomatic theory $\mathcal{T}$: set of closed propositions (axioms)

A provable in $\mathcal{T}$ if finite subset Γ of $\mathcal{T}$, $\Gamma \vdash A$ provable

Classical and constructive proofs

Set of provable propositions: no witness property. Proof of

$$\exists x\ (P(0) \Rightarrow \neg P(S(S(0))) \Rightarrow (P(x) \wedge \neg P(S(x))))$$

but no term t such that a proof of

$$P(0) \Rightarrow \neg P(S(S(0))) \Rightarrow (P(t) \wedge \neg P(S(t)))$$

Origin: excluded-middle rule

Proofs without the excluded-middle: constructive

Set of constructively provable propositions: witness property

How to prove it?

Cut: proof ending with an elimination rule whose main premise is proved by an introduction rule on the same symbol

$$\dfrac{\dfrac{\dfrac{\pi}{\Gamma \vdash A} \quad \dfrac{\pi'}{\Gamma \vdash B}}{\Gamma \vdash A \wedge B}\ \wedge\text{-intro}}{\Gamma \vdash A}\ \wedge\text{-elim}$$

and a cut elimination algorithm

Prove the termination of this algorithm

A proof π that is (1.) constructive, (2.) cut-free, and (3.) without any axioms ends with an introduction rule

A proof π of $\exists x\ A$ that is (1.) constructive, (2.) cut-free, and (3.) without any axioms ends with a $\exists$-intro rule:

$$\frac{\Gamma \vdash (t/x)A}{\Gamma \vdash \exists x\ A}\ \exists\text{-intro}$$

witness t

Why do we care? Programming with proofs

A constructive proof π of

$$\forall x \exists y \, (x = 2 \times y \vee x = 2 \times y + 1)$$

A proof of the proposition

$$\exists y \, (25 = 2 \times y \vee 25 = 2 \times y + 1)$$

Extract a witness from this proof

By construction, correct with respect to specification

$$x = 2 \times y \vee x = 2 \times y + 1$$

II. Deduction modulo a congruence

Final rule

An introduction (hence witness property)

(1) constructive (2) cut-free (3) without any axioms

(2) is not a restriction once we have proved cut elimination

(1) many proofs do not use the excluded-middle

(3) is a real limitation: to prove

$$\forall x \exists y\, (x = 2 \times y \vee x = 2 \times y + 1)$$

need to know something about $=$, $+$, $\times$, ...

In general: failure

$$\frac{}{\exists x\ P(x) \vdash \exists x\ P(x)}\ \text{axiom}$$

Final rule: axiom rule

Also: failure of the witness property

But in some cases ...

An example: definitions

1: abbreviation for the the term $S(0)$

What does this mean?

(a) add a constant 1 an axiom $1 = S(0)$

(b) pretend you have read $S(0)$ each time you read 1

Constant + axiom

$$
\dfrac{
  \dfrac{
    \dfrac{
      \dfrac{}{\Gamma \vdash \forall x \forall y\, (x = y \Rightarrow P(x) \Rightarrow P(y))}\;\text{axiom}
    }{\Gamma \vdash \forall y\, (1 = y \Rightarrow P(1) \Rightarrow P(y))}\;\forall\text{-elim}
  }{\Gamma \vdash 1 = S(0) \Rightarrow P(1) \Rightarrow P(S(0))}\;\forall\text{-elim}
  \qquad
  \dfrac{}{\Gamma \vdash 1 = S(0)}\;\text{axiom}
}{\Gamma \vdash P(1) \Rightarrow P(S(0))}\;\Rightarrow\text{-elim}
$$

where $\Gamma = \{1 = S(0), \forall x \forall y\, (x = y \Rightarrow P(x) \Rightarrow P(y))\}$

Cut free, but ends but with an elimination rule

Replace 1 by $S(0)$

$$\dfrac{\overline{P(1) \vdash P(S(0))}\ \text{axiom}}{\vdash P(1) \Rightarrow P(S(0))}\ \Rightarrow\text{-into}$$

uses no axioms

ends with an introduction rule

Deduction modulo a congruence

$$\frac{}{P(1) \vdash P(S(0))} \text{ axiom}$$

a constant 1

an equivalence relation $\equiv$ such that $1 \equiv S(0)$

$$\frac{}{\Gamma \vdash B} \text{ axiom if } A \in \Gamma \text{ and } A \equiv B$$

and the same for the other Natural deduction rule

The rules of Natural Deduction modulo a congruence

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge\text{-intro}$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash C} \wedge\text{-intro if } C \equiv A \wedge B$$

Besides definitions

Instead of the axiom

$$\forall x \forall y \forall z\, ((x + y) + z = x + (y + z))$$

$(t + u) + v \equiv t + (u + v)$

and even $t + u + v$

But not too much

All provable propositions $A \equiv \top$

All provable propositions (including existential ones): a trivial proof

$$\frac{}{\vdash A}\ \top\text{-intro}$$

The conditions on the equivalence relation

1. Congruence: if $A \equiv B$ and $A' \equiv B'$ then $(A \wedge B) \equiv (A' \wedge B')$, etc.

2. Decidable: proof-checking must be decidable

3. Non confusing: if $A \equiv A'$, then either one is atomic or they have the same head symbol ($\wedge$, $\vee$, etc.) and sub-trees are equivalent

(e.g. $A = B \wedge C$, $A' = B' \wedge C'$, $B \equiv B'$, and $C \equiv C'$)

Why is non confusion important?

If $\exists\ A \equiv \top$ then a proof of $\exists A$ that ends with an introduction rule, may end with a $\top$-intro rule. The final rule property may fail to imply the witness property.

If $(A \vee B) \equiv (C \wedge D)$

$$\dfrac{\dfrac{\dfrac{\cdots}{\vdash A}}{\vdash C \wedge D}\ \vee\text{-intro}}{\vdash C}\ \wedge\text{-elim}$$

How can we reduce this cut?

Theories in Deduction modulo

A set of *axioms* + a decidable and non confusing congruence

Purely axiomatic, purely computational

A provable in $\mathcal{T}, \equiv$, if there exists finite subset Γ of $\mathcal{T}$ s.t.
$\Gamma \vdash A$ has a proof modulo $\equiv$

An example

$$(2 \times 2 = 4) \equiv \top$$

In $\varnothing$, $\equiv$, the number 4 can be proved even

$$\dfrac{\dfrac{}{\vdash 2 \times 2 = 4}\ \top\text{-intro}}{\vdash \exists x\, (2 \times x = 4)}\ \langle x, 2 \times x = 4, 2\rangle\ \exists\text{-intro}$$

Decidable congruence: congruence = computation part of proofs,

deduction rules = deduction part

Another example

$$x \subseteq y \equiv (\forall z\, (z \in x \Rightarrow z \in y))$$

$$\dfrac{\dfrac{\overline{z \in A \vdash z \in A}\;\text{axiom}}{\vdash z \in A \Rightarrow z \in A}\;{\Rightarrow}\text{-intro}}{\vdash A \subseteq A}\;\forall\text{-intro}$$

Not more ... better

For every theory $\mathcal{T}, \equiv$, a purely axiomatic theory $\mathcal{T}'$ s.t. A provable in $\mathcal{T}, \equiv$ iff A provable in $\mathcal{T}'$

Not more provable propositions ... better proofs

On-going research

$$((A \Rightarrow B) \wedge (A \Rightarrow C)) \equiv (A \Rightarrow (B \wedge C))$$

III. Congruences defined with reduction rules

$(2 \times 2 = 4) \equiv \top$?

Congruences often defined with reduction (rewrite) rules, e.g.

$$
\begin{gathered}
0 + y \longrightarrow y \\
S(x) + y \longrightarrow S(x + y) \\
0 \times y \longrightarrow 0 \\
S(x) \times y \longrightarrow x \times y + y \\
0 = 0 \longrightarrow \top \\
S(x) = 0 \longrightarrow \bot \\
0 = S(y) \longrightarrow \bot \\
S(x) = S(y) \longrightarrow x = y
\end{gathered}
$$

An exercise

Reduce $S(S(0)) \times S(S(0)) = S(S(S(S(0))))$

Reduction rules

Reduction rule: ordered pair $l \longrightarrow r$ of terms or propositions

Reduction system: set of reduction rules

t reduces in one step at the root to u: a rule $l \longrightarrow r$ and a substitution σ s.t. $t = \sigma l, u = \sigma r$

t reduces in one step to u ($t \longrightarrow^1 u$): sub-expr. t' of t, substitution σ s.t. that $t' = \sigma l$ and u obtained by replacing t' by σr in t

reducible: reduces in one step to some u, irreducible otherwise reduction sequence: (finite or infinite) sequence $t_0, t_1, \ldots$ s.t.

$t_i \longrightarrow^1 t_{i+1}$

t reduces to u ($t \longrightarrow^* u$): a finite reduction sequence from t to u

t reduces in at least one step to u ($t \longrightarrow^+ u$):

$t \longrightarrow^1 v \longrightarrow^* u$

u is a irreducible form of t: $t \longrightarrow^* u$ and u irreducible

congruence sequence: finite or infinite sequence $t_0, t_1, \ldots$ s.t.

$t_i \longrightarrow^1 t_{i+1}$ or $t_{i+1} \longrightarrow^1 t_i$

t and u are congruent ($t \equiv u$): a finite congruence sequence from t to u

Decidability

$\equiv$: a congruence by construction

t terminates: it has a irreducible form, i.e. a finite reduction sequence from t to a irreducible expression

t strongly terminates: all reduction sequences starting from t finite

R terminates (resp. strongly terminates) if all t do

R confluent: whenever t reduces to u_1 and u_2, there exists v s.t. u_1 reduces to v and u_2 reduces to v

Decidability

R strongly terminating and confluent

- each t has exactly one irreducible form
- this irreducible form can be computed from t
- $t \equiv u$ if t and u same irreducible form

Thus $\equiv$ decidable

Non confusion

R confluent and reduces terms to terms and atomic propositions to propositions, the congruence is non confusing

$$x \subseteq y \longrightarrow \forall z\, (z \in x \Rightarrow z \in y)$$

$$A \wedge \neg A \longrightarrow \perp$$

IV. Cuts in Deduction modulo

What is a cuts in Deduction modulo?

Same as in Predicate logic:

A proof ending with an elimination rule whose main premise is proved by an introduction rule on the same symbol

Failure of termination of proof reduction

For some theories: e.g. $P \longrightarrow (P \Rightarrow Q)$

$$
\dfrac{
\dfrac{
\dfrac{
\dfrac{}{\vdash P \Rightarrow Q}\,\text{axiom}
\quad
\dfrac{}{P \vdash P}\,\text{axiom}
}{P \vdash Q}\,\Rightarrow\text{-elim}
}{\vdash P \Rightarrow Q}\,\Rightarrow\text{-intro}
\quad
\dfrac{
\dfrac{
\dfrac{}{P \vdash P \Rightarrow Q}\,\text{axiom}
\quad
\dfrac{}{P \vdash P}\,\text{axiom}
}{P \vdash Q}\,\Rightarrow\text{-elim}
}{\vdash P}\,\Rightarrow\text{-intro}
}{\vdash Q}\,\Rightarrow\text{-elim}
$$

An exercise

Prove that the sequent $\vdash Q$ has no cut-free proof

But when proof-reduction terminates

Cut-free proofs have the same properties than in Predicate logic

A proof that is (1) constructive (2) cut-free and (3) in a purely computational theory ends with an introduction rule

All (1) purely computational theories where (2) proof-reduction terminates have the witness property

Next time

The notion of model