

HEAP

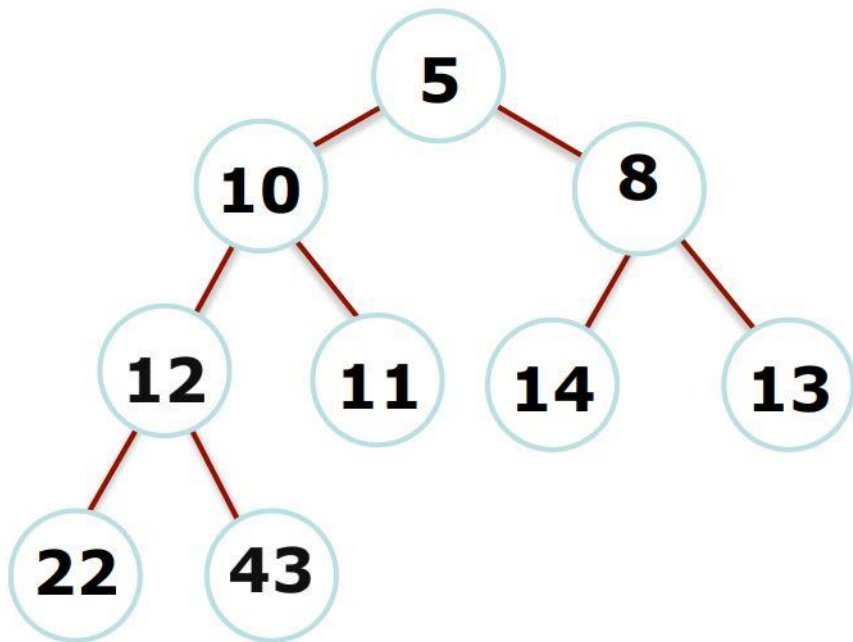


Binary Heap

- There are two types of heaps:

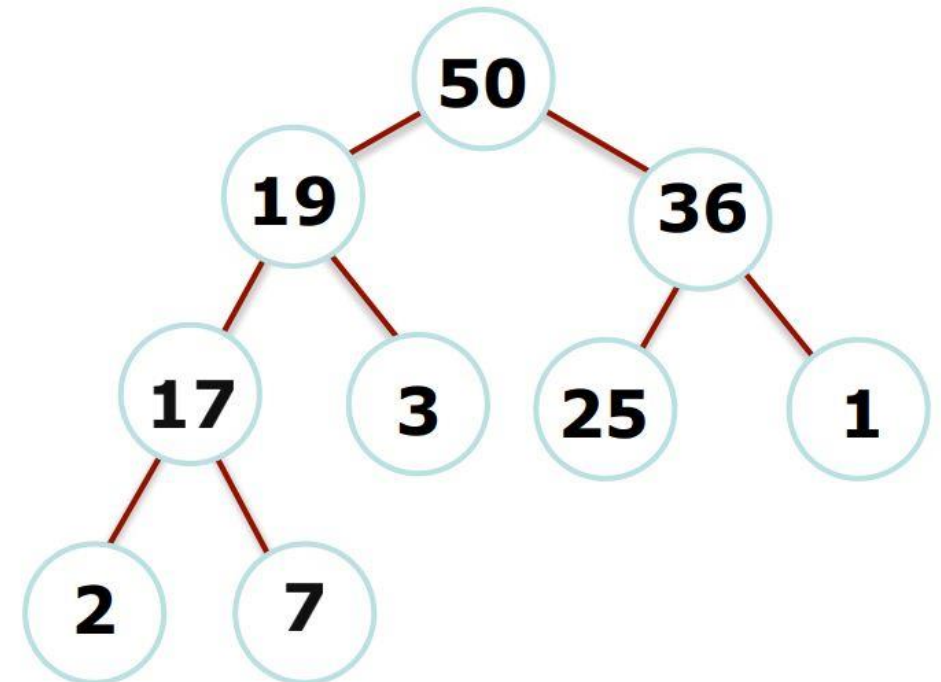
Min Heap

(root is the smallest element)



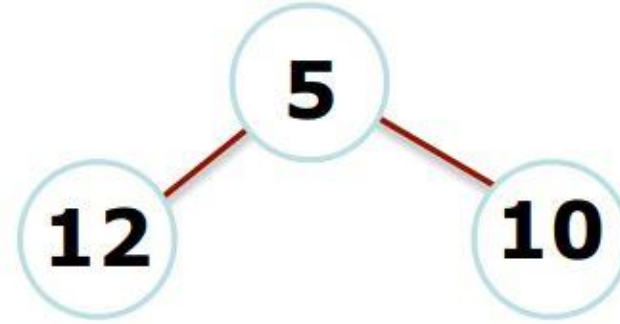
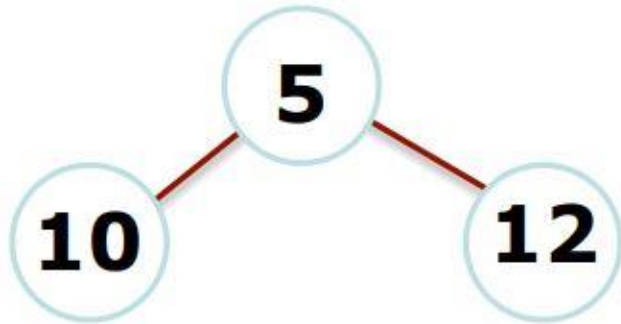
Max Heap

(root is the largest element)



Binary Heap

- There are no implied orderings between siblings, so both of the trees below are min-heaps:



Binary Heap

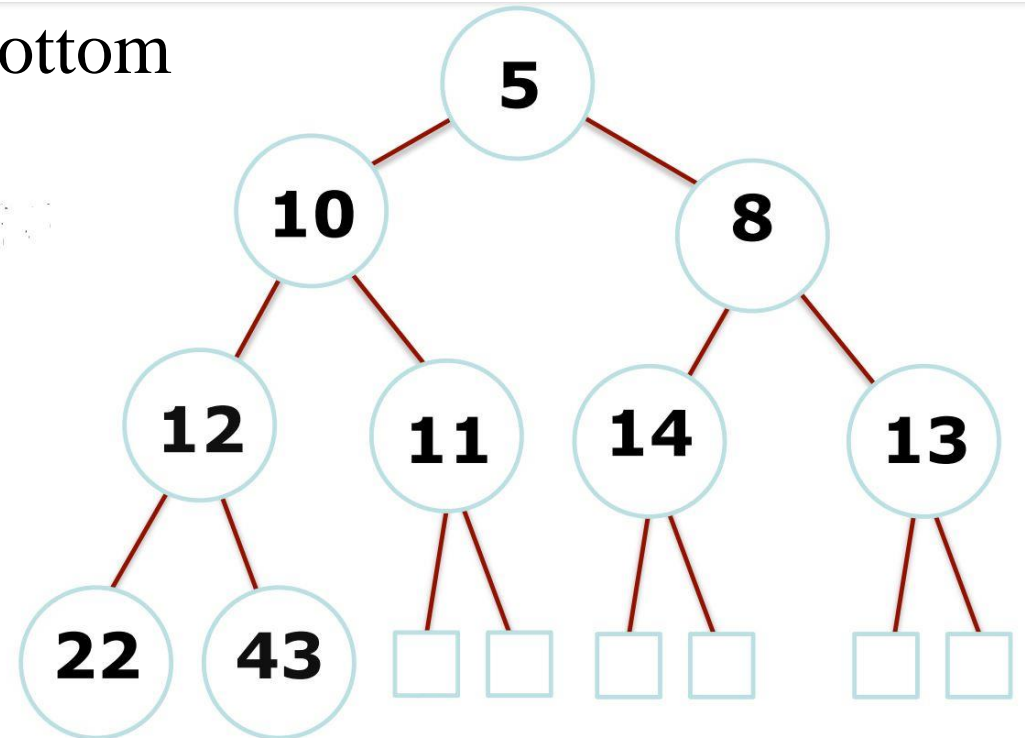
Heaps are **completely filled**, with the exception of the bottom level.

They are, therefore, "complete binary trees":

complete: all levels filled except the bottom

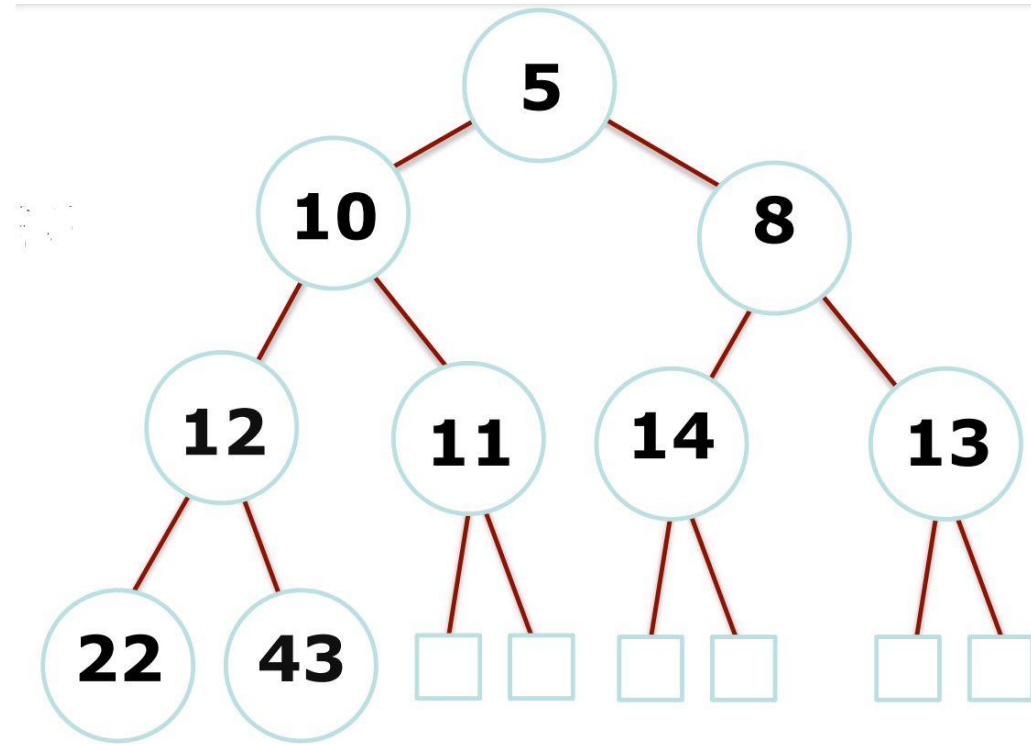
binary: two children per node (parent)

- Maximum number of nodes
- Filled from left to right



Binary Heap

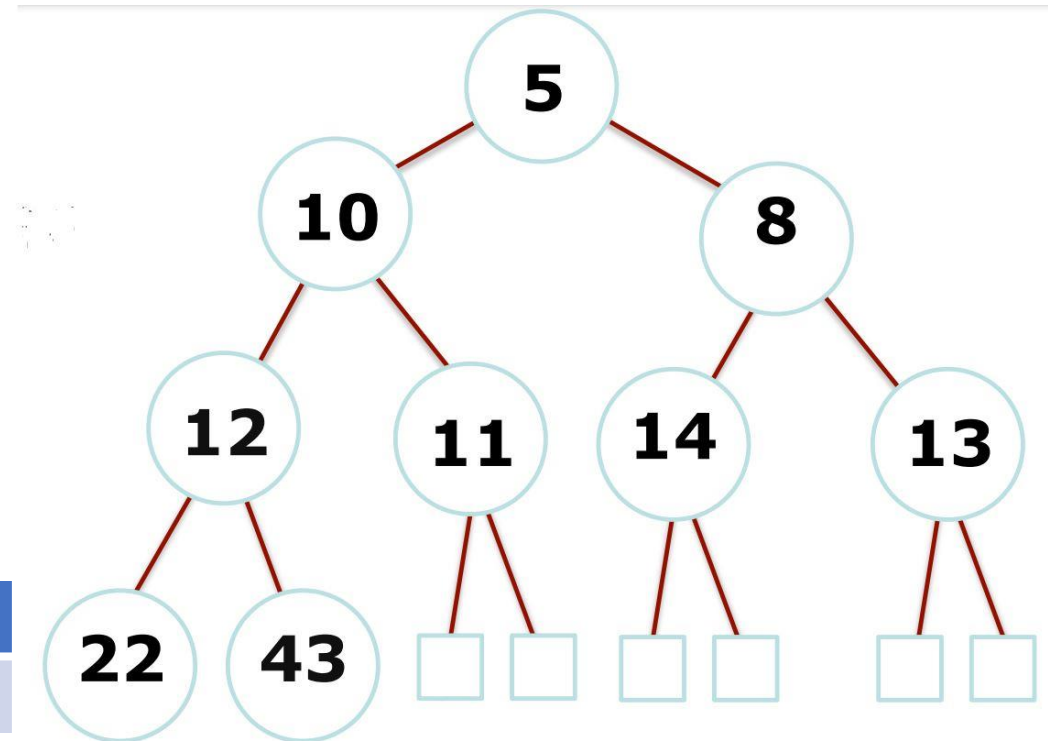
What is the best way to store a heap?



Binary Heap

ARRAY!!!

| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



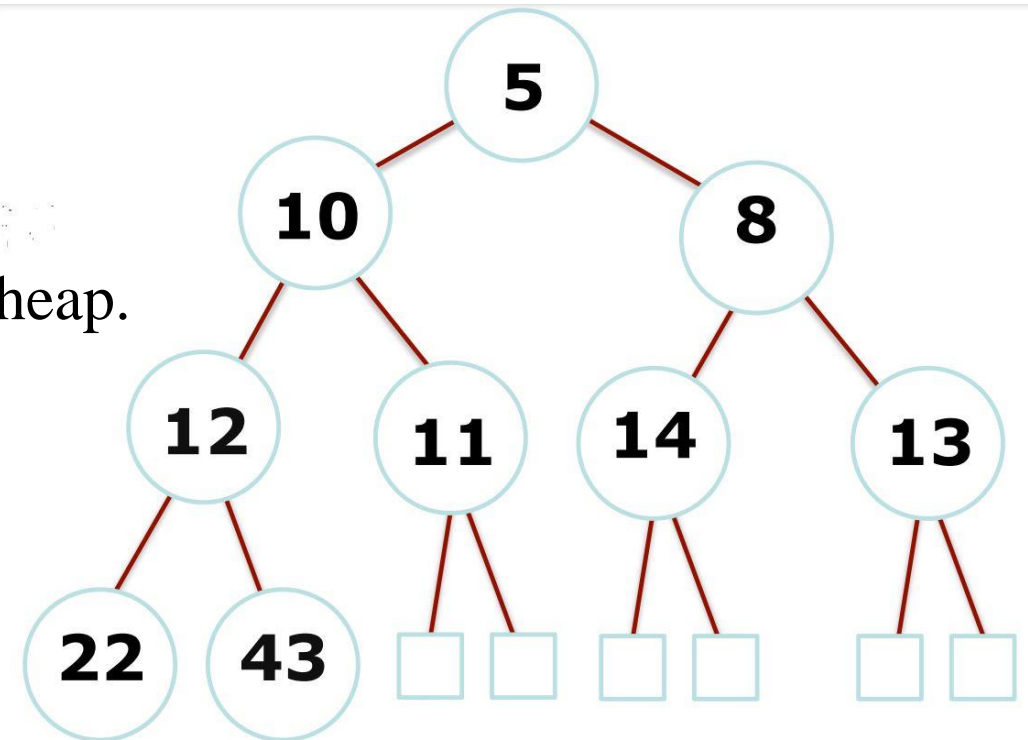
Binary Heap

The array representation makes determining parents and children a matter of simple arithmetic:

- For an element at position i :

- left child is at $2i$
- right child is at $2i+1$
- parent is at $\lfloor i/2 \rfloor$
- heapSize: the number of elements in the heap.

| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
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| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

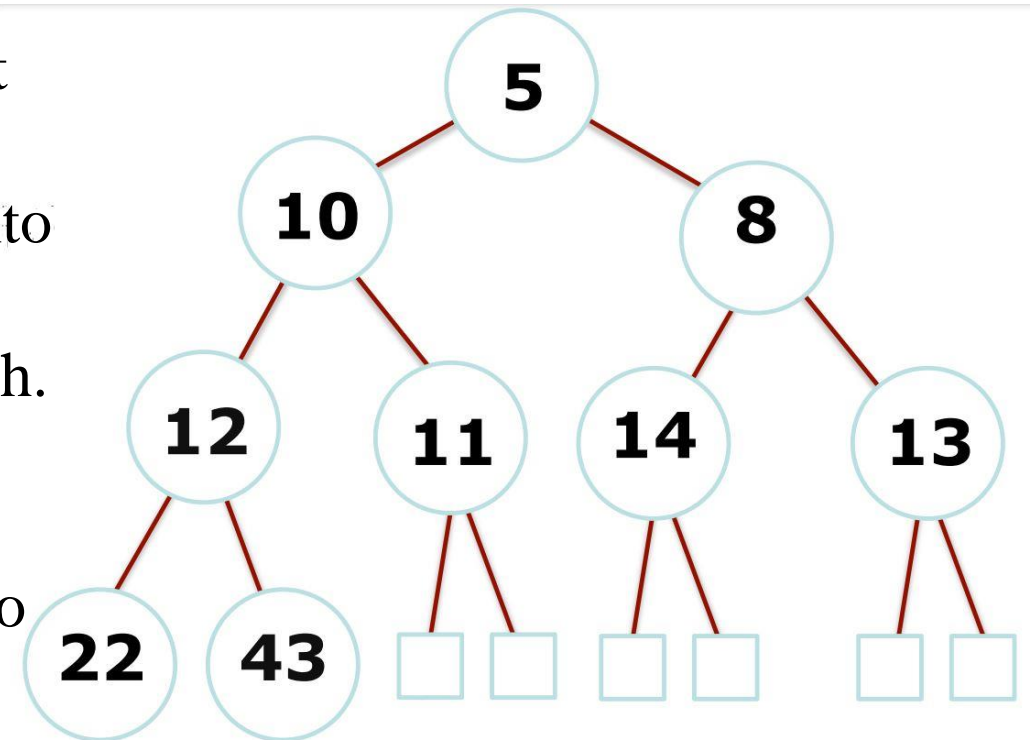


Heap Operation

Remember that there are three important priority queue operations:

1. **peek()**: return an element of h with the smallest key.
2. **enqueue(k,e)**: insert an element e with key k into the heap.
3. **dequeue()**: removes the smallest element from h .

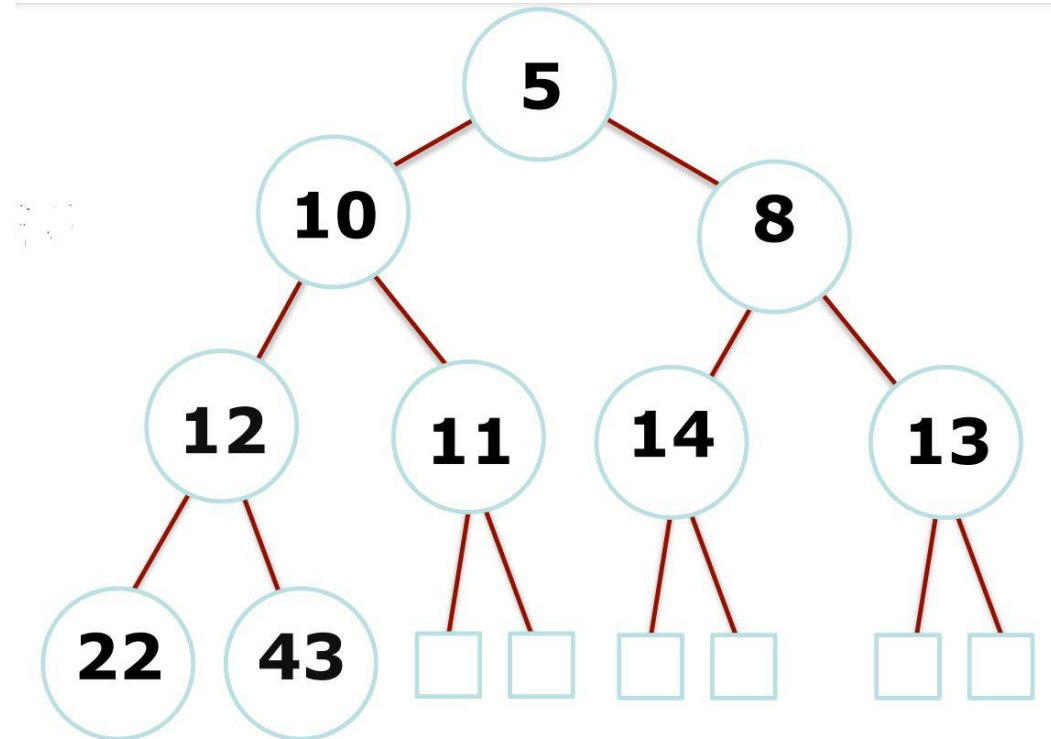
We can accomplish this with a heap! We will just look at keys for now -- just know that we will also store a value with the key



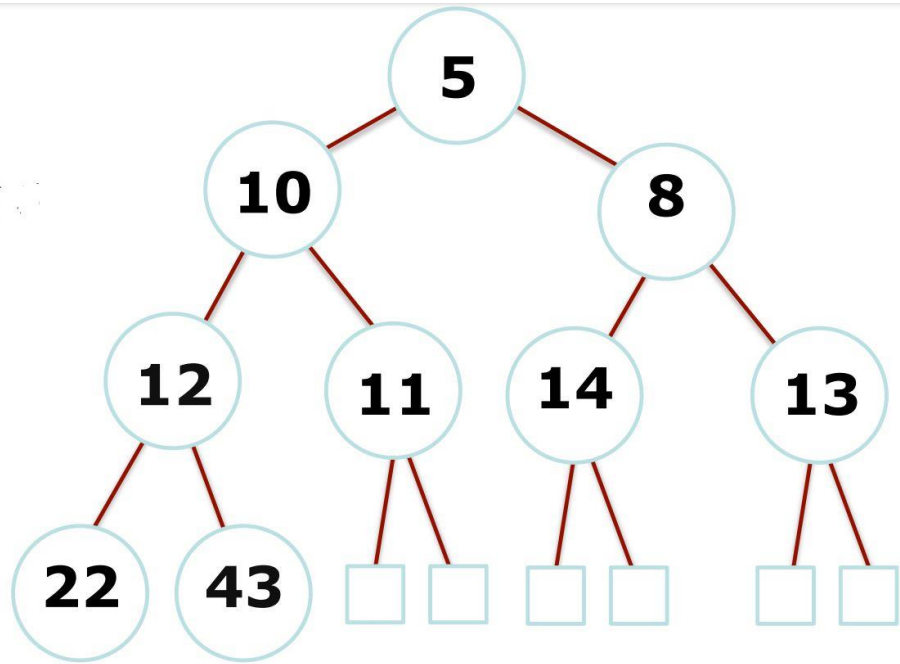
Heap Operation

See animation at:

<https://www.cs.usfca.edu/~galles/visualization/Heap.html>



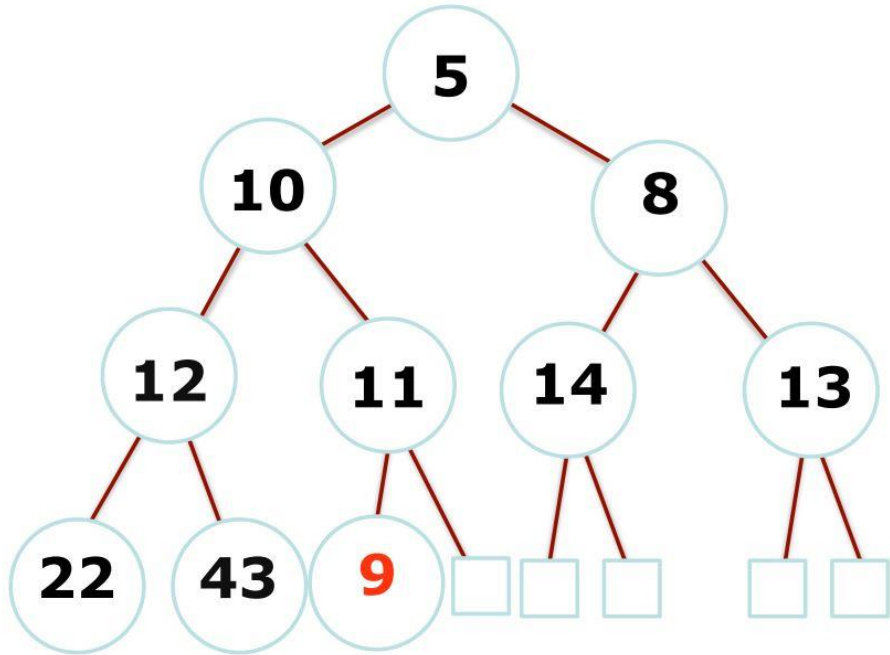
Heap Operation: enqueue(9)



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Start by inserting the key at the first empty position. 9 This is always at index **heap.size()+1**.

Heap Operation: enqueue(9)

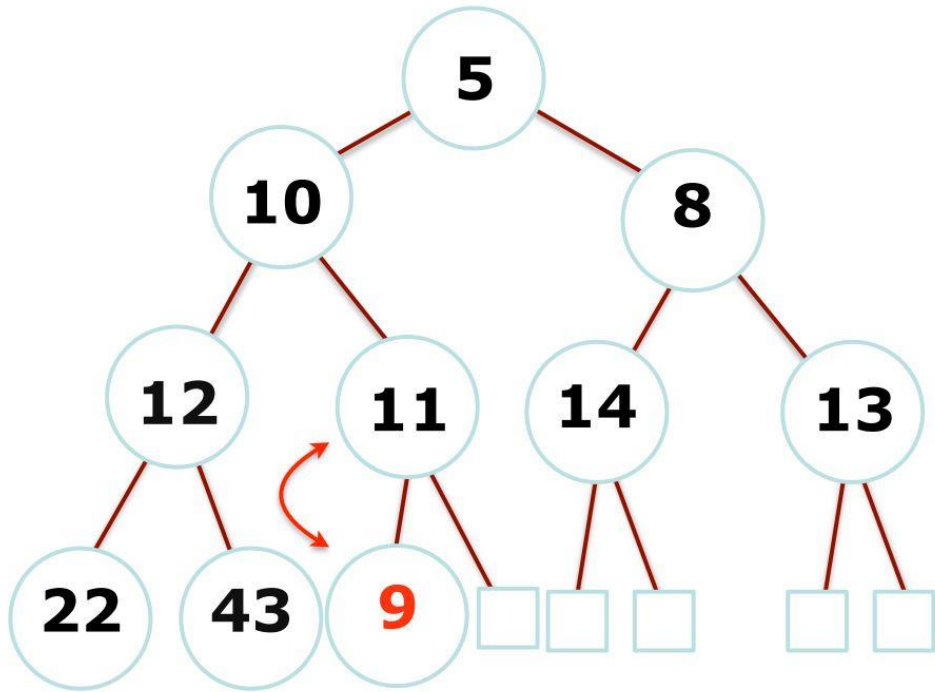


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Look at parent of index 10, and compare: do we meet the heap property requirement?

No -- we must swap.

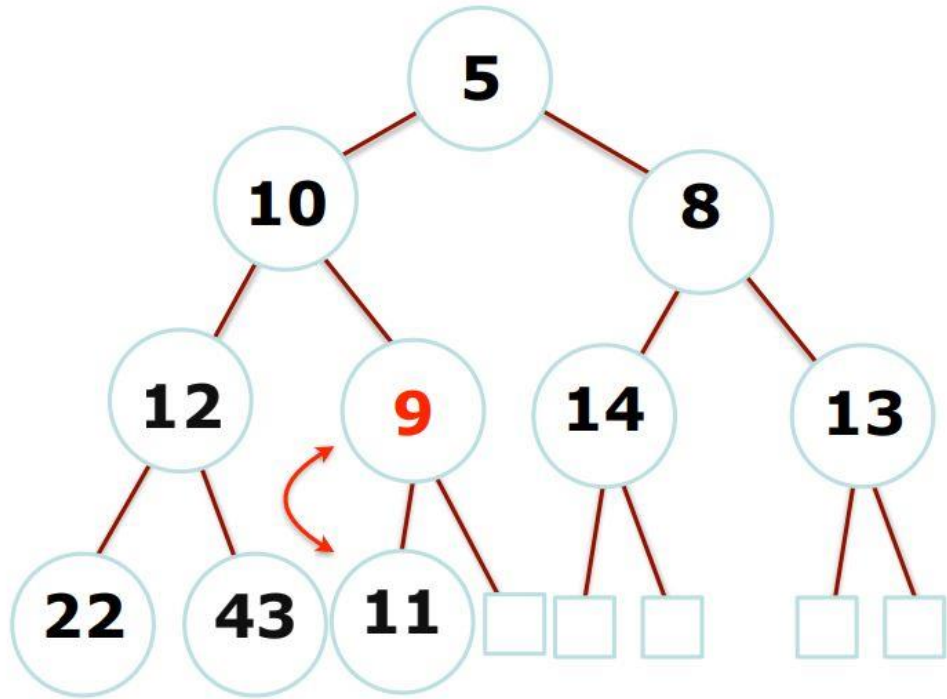
Heap Operation: enqueue(9)



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

A blue curved arrow points from the value 9 at index [10] to the value 11 at index [5], illustrating the swap in the array representation.

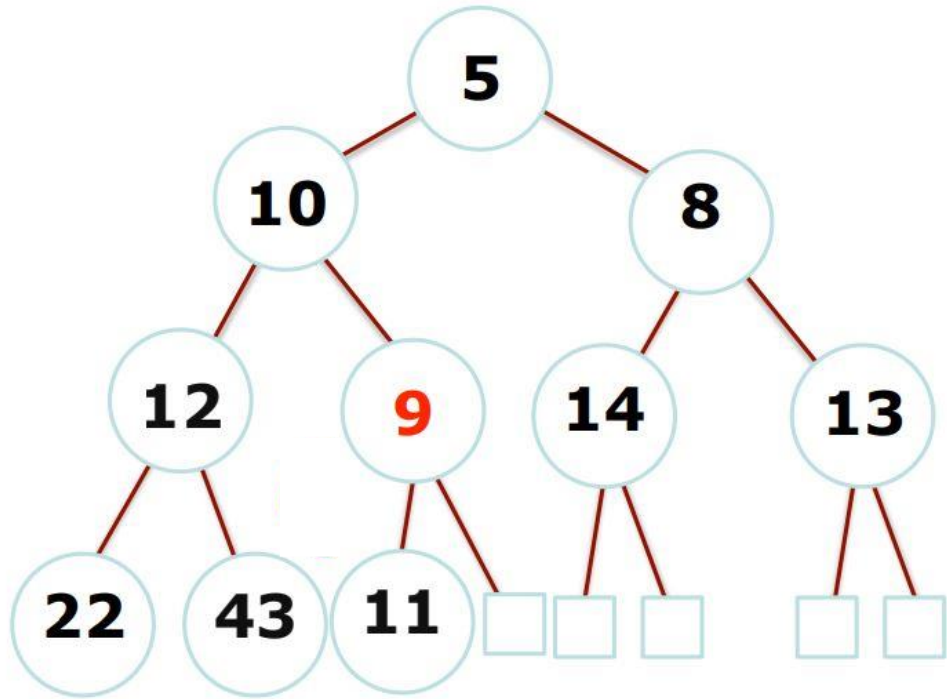
Heap Operation: enqueue(9)



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 9 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

A blue arrow points from the value 11 at index [10] to the value 9 at index [5], indicating a swap in the array representation.

Heap Operation: enqueue(9)

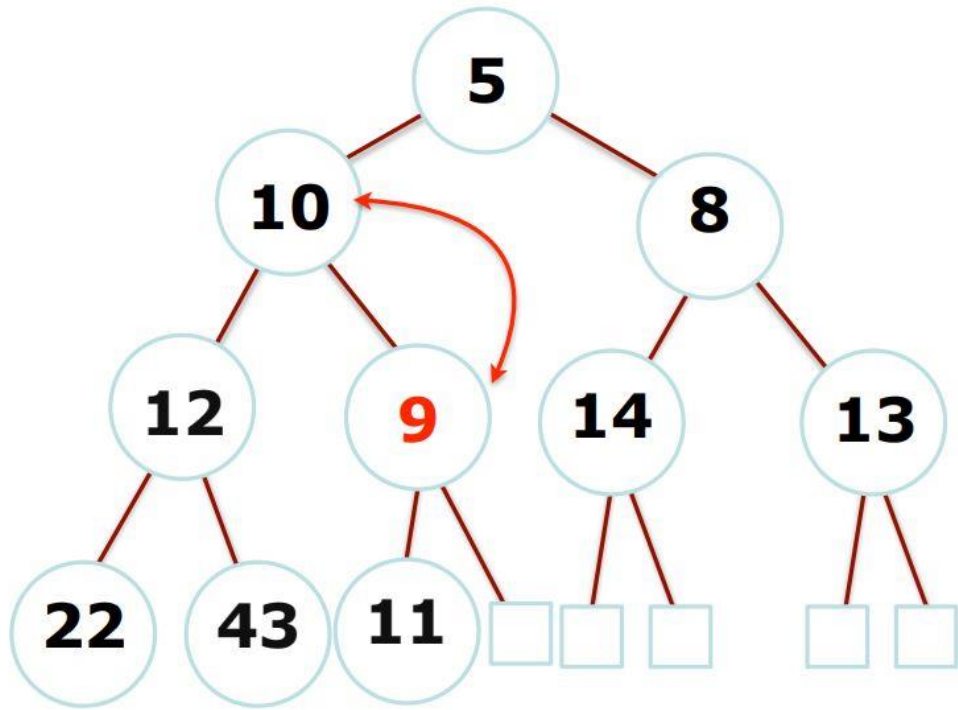


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
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| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Look at parent of index 5, and compare: do we meet the heap property requirement?

No -- we must swap. This "bubbling up" won't ever be a problem if the heap is "already a heap" (i.e., already meets heap property for all nodes)

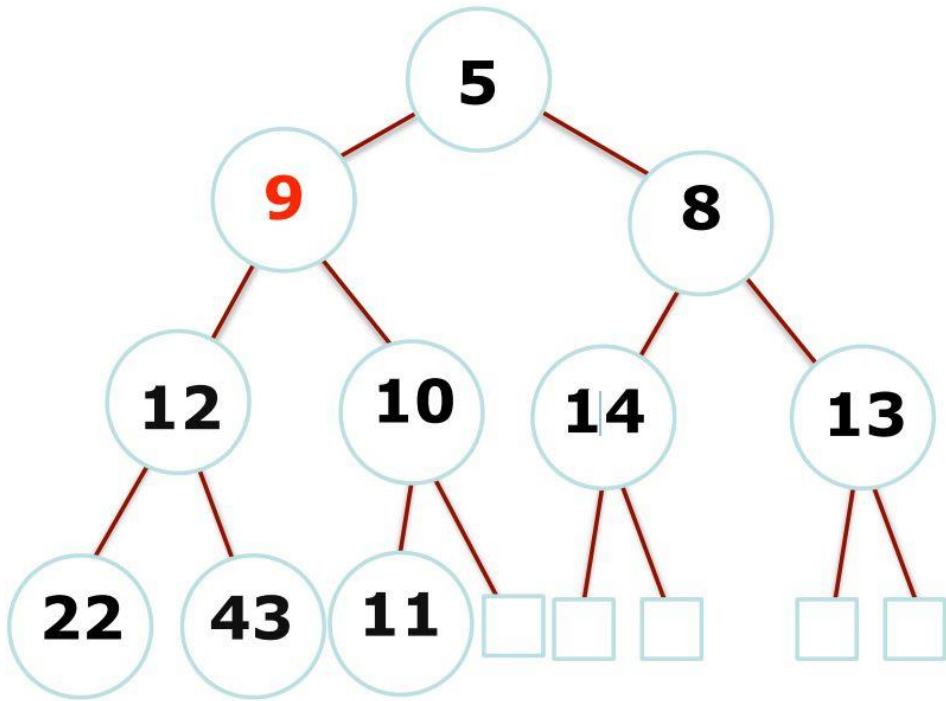
Heap Operation: enqueue(9)



| | | | | | | | | | | | |
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A blue arrow points from the element 10 at index [5] to the element 9 at index [2], indicating a swap in the array representation.

Heap Operation: enqueue(9)



No swap necessary between index 2 and its parent. We're done bubbling up!

| | | | | | | | | | | | |
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| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Complexity? $O(\log n)$ - yay!

Average complexity for random inserts: $O(1)$,

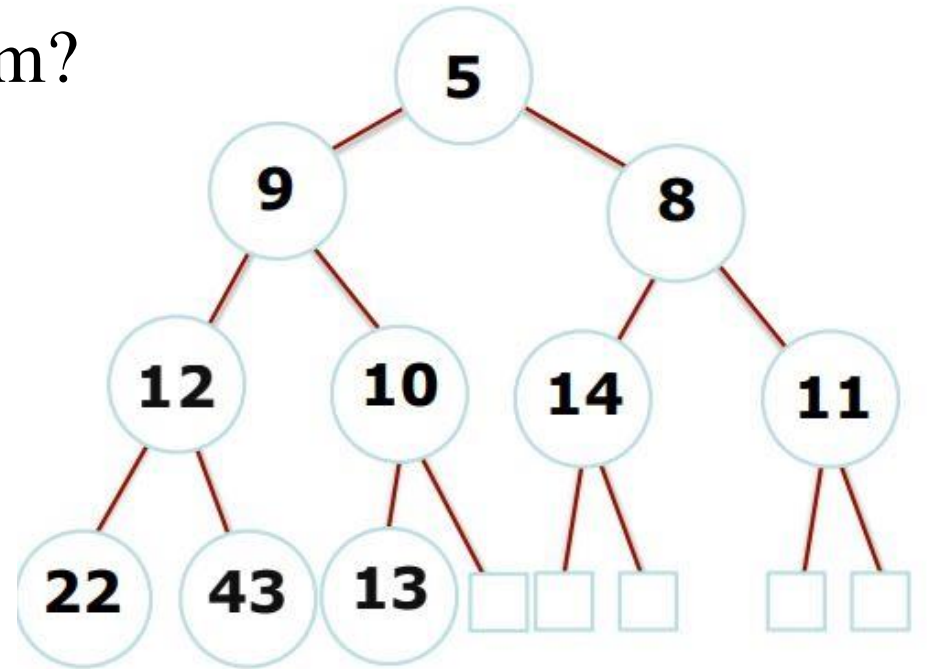
see:

http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=6312854

Heap Operation: dequeue()

- How might we go about removing the minimum?
dequeue()

| | | | | | | | | | | | |
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| | 5 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | 13 | |
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Heap Operation: dequeue()

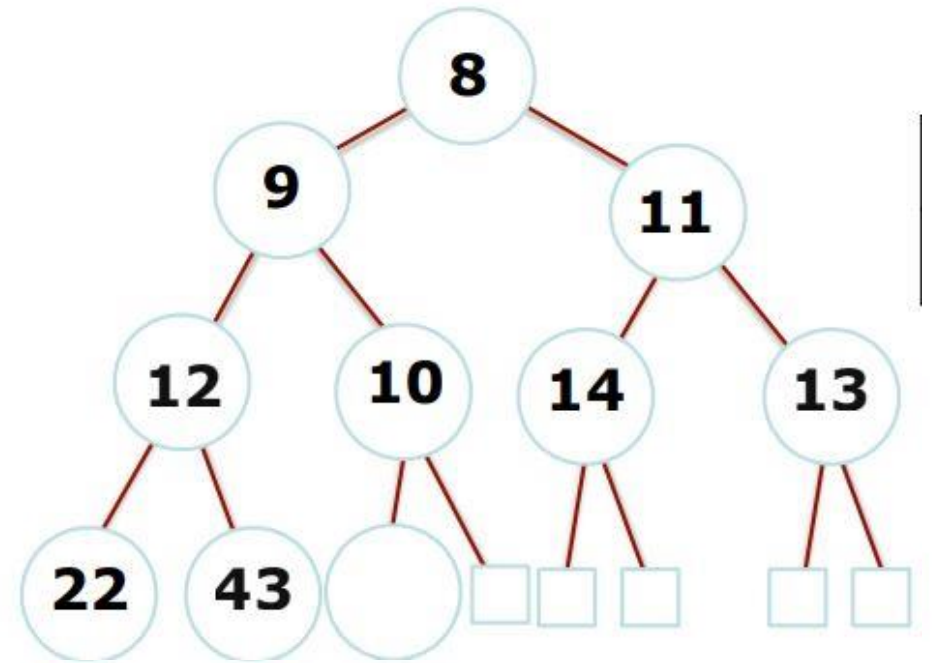
How?!!

Explain in the class

Heap Operation: dequeue()

- How might we go about removing the minimum
dequeue()

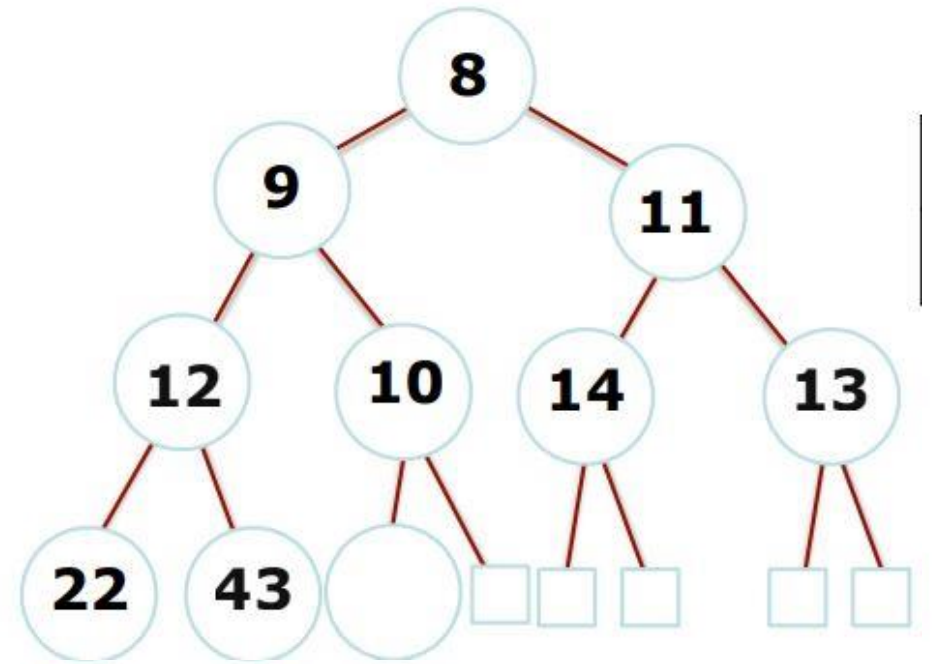
| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
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Heap Operation: dequeue()

- How might we go about removing the minimum
dequeue()

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