

National institute of Technology (Hamirpur)



Updated On:- 01/03/2021

Subject:- Surface Engineering

Course-code:- MSD-325

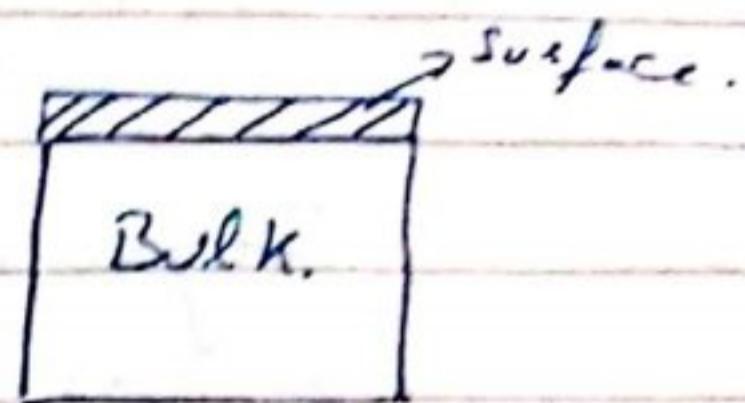
Faculty :- Dr. Rita Maurya

Written by :- Akshat Uppal (188001)

Branch:-Department of Materials Science

& Engineering

Surface Engineering targets 2 aspects:



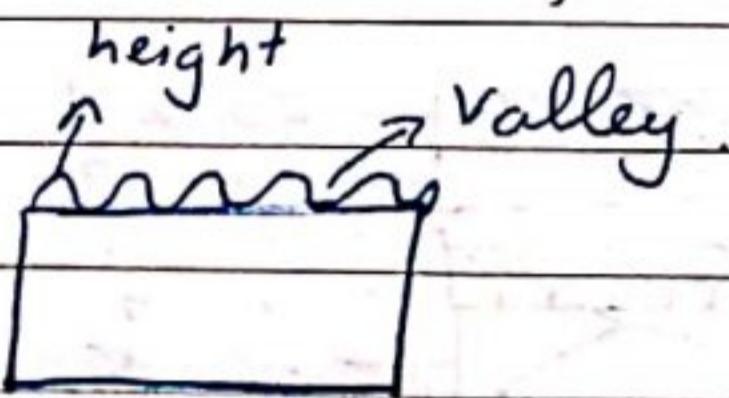
- 1) Improve surface characteristics
- 2) Enhance the surface properties.

Surface comprises

- ① Features present at the surface
- ② Sub-surface features

① SURFACE FEATURE

- Mechanical components made by diff. manufacturing process eg cutting, casting, welding, machining, etc.
- By this a lot of irregularities appear on the surface which is quantified as Surface Roughness.



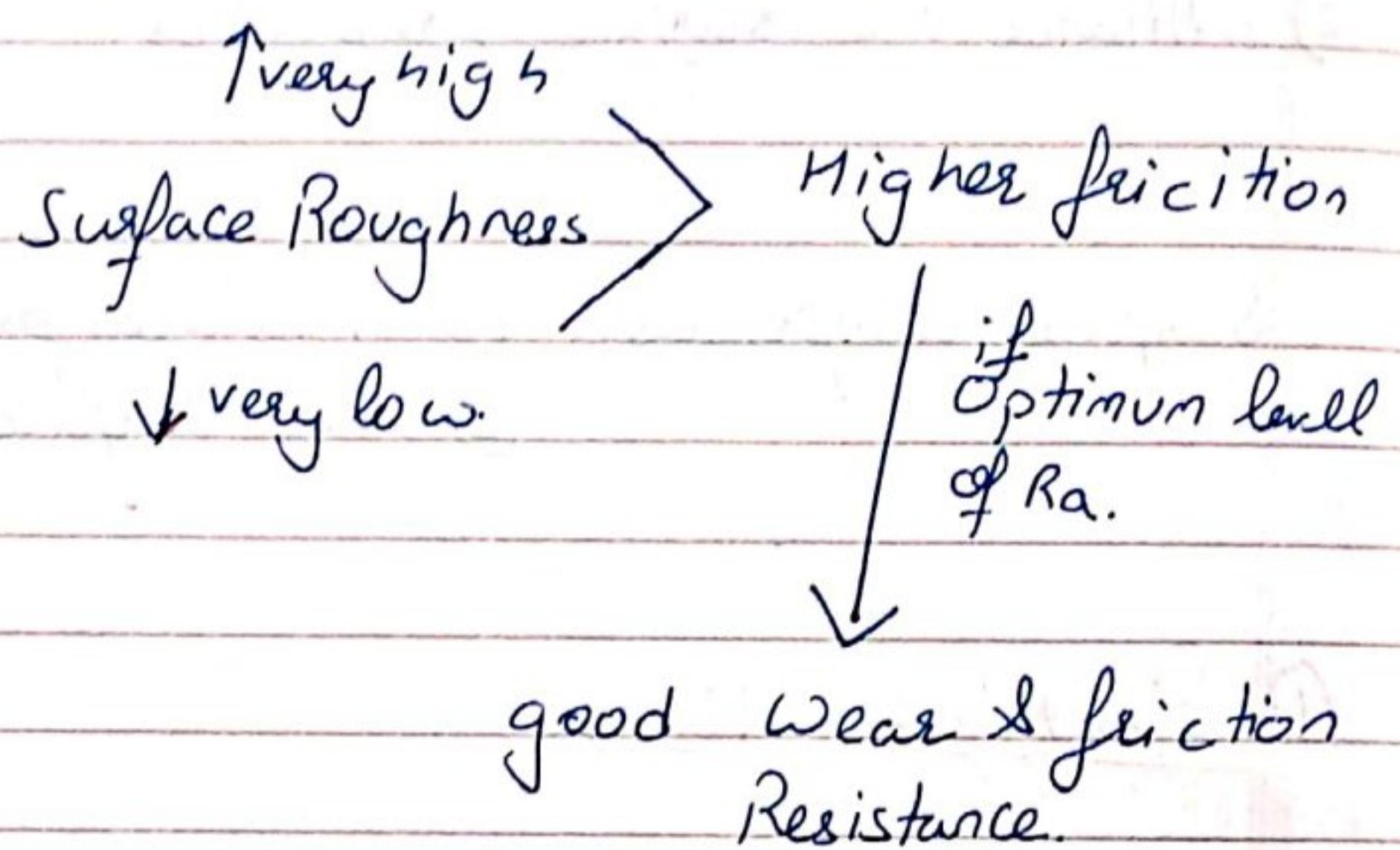
→ Surface roughness is characterized by:

- a) stylus based method (by touching) we get avg. roughness value R_a .
- b) Non-contact (AFM)

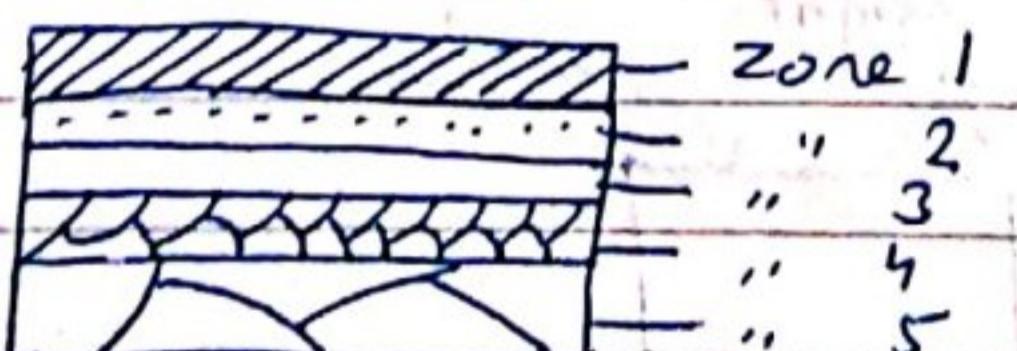
Machining - $\frac{0.2 - 4 \mu m}{Ra} \rightarrow \text{surface roughness}$

$R_a \downarrow$ $0.1 - 1 \mu m \rightarrow \text{after polishing (finishing)}$

→ Finishing is important as surface finish affect friction and wear behaviour of the component.



② Sub-Surfaces



a) Zone 1 (Contamination Layer)

approx few nm this contamination layer

↓ why
As it absorbs gases, hydrocarbons, moisture, etc.

b) Zone - 2

Some atmospheric gases ($\text{O}_2/\text{N}_2/\text{H}_2$) interact with substrate surface thus impurities such as oxides, nitrides, etc. form.

Eg Iron oxide, Al_2O_3 .

c) Zone - 3 (Damaged zone)

Material subjected to shaping and sizing process.

Causes

Crystalline structure to damage as high strain and high plastic deformation occurs.

→ This layer ($< 1 \mu\text{m}$ thick).

d) Zone - 4

→ Thickness $\geq 20 - 100 \mu\text{m}$

→ This is due to machined components

→ In this grain structure is deformed. Orientation and shape of grains change due to strain and plastic deformation.

→ Higher hardness due to work hardening effect.

c) Zone 5 (Unaffected zone)

There is no effect of temp. or mechanical pores in this zone.

which is.

This is base material, not damaged/changed.

⇒ Surface Engineering technique ~~that~~ will affect 1 or more of these zones (ie modified or altered)

⇒ Surface engineering or Modification approach:

- ① Heat is applied
- ② Forces are being applied.

① ~~the~~ Application of heat

→ To remove the absorbed gases or moisture & hydrocarbons.

→ Thermal decomposition of Oxide layer.
(affect zone 1 & 2).

② Mechanical forces Application. (^{4th upto} zone)

→ Large scale damage to these zones

→ Significant work hardening is there which gives high hardness and improve mechanical properties of components.

For a particular application we require some properties after surface modification

need to know what properties we require

- Eg
- good corrosion resistance
 - " wear resistance
 - " Thermal properties.

→ Let we have a mechanical component and we require wear resistance.

→ for this we have to check tribological performance which affects the life of component under wear condition.

Tribology :- Greek word → Teibos (Rubbing)
Science & engineering of interacting surfaces in relative motion including the study and application of the principle of friction, wear & lubrication.

Tribology performance of any component subjected to wear is governed by:

- ① Physical
- ② Mechanical
- ③ Chemical
- ④ Dimensional

Properties of the surface of engineering component.

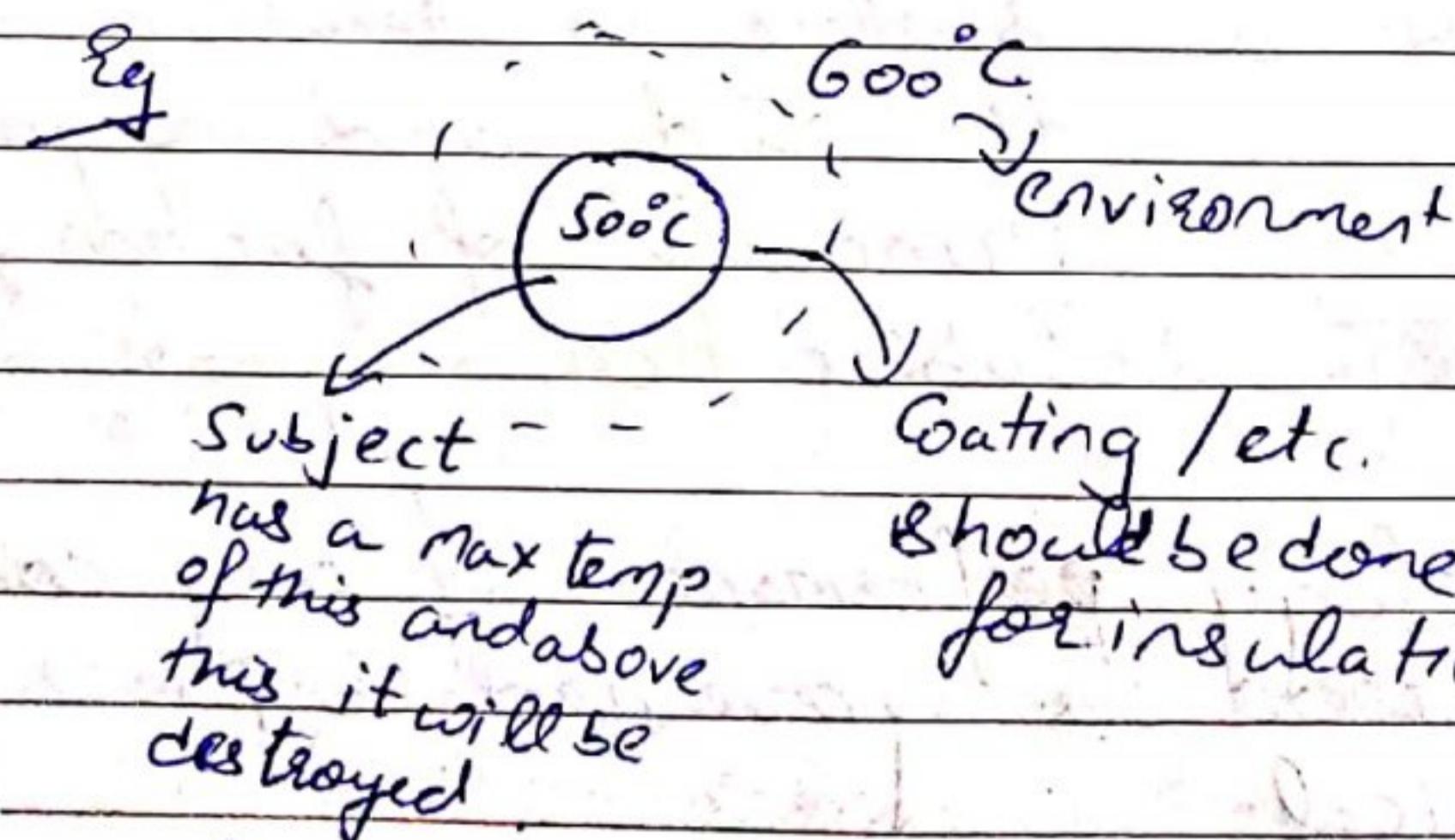
① Physical Property: Melting point, resistance to softening, thermal expansion coefficient.

a) Thermal conductivity: How fast heat would transfer from 1 region to other region (where the heat generated due to friction).

\downarrow if K is high
then faster heat transfer from one region to other.

Metal $E \rightarrow K \uparrow \Rightarrow$ Able to retain its property

b) Thermal insulation: we need low K . ($K \downarrow$)



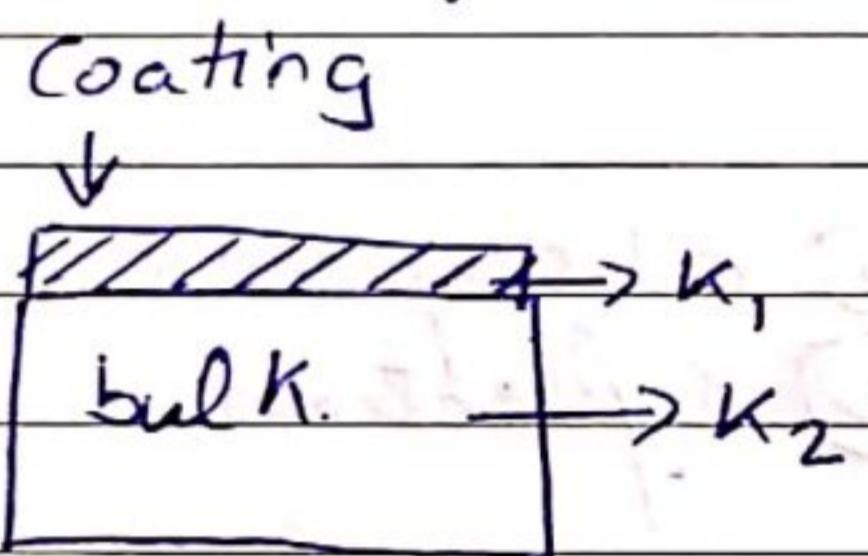
- For high temp. application
- Eg Turbine blade ($\approx 1600^\circ\text{C}$)
- Thermal barrier coating.

$K_V \Rightarrow$ want to preserve heat or protect component from high temp.

Eg Heat engines \rightarrow more heat should preserve (less heat loss).

\therefore Coating is done on internal surface of cylinder.

c) Thermal expansion coefficient



① if $K_1 = K_2$
the coating will sustain the thermal cycle.

② $K_1 < K_2$ (less diff.
 $K_1 \approx 12$ units
 $K_2 \approx 16$ units)

during Thermal cycle (heating & cooling), coating may peel off.

d) Refractoriness : High temp. resistance.

Cutting tools (WC, HSS) \Rightarrow Coating on these cutting tools to retain their hardness at high temp.

i.e. Hot hardness is required as temp gets high during cutting action which can

softens the tool material.

So we require coating material with refractoriness.

↓

→ Should not melt,

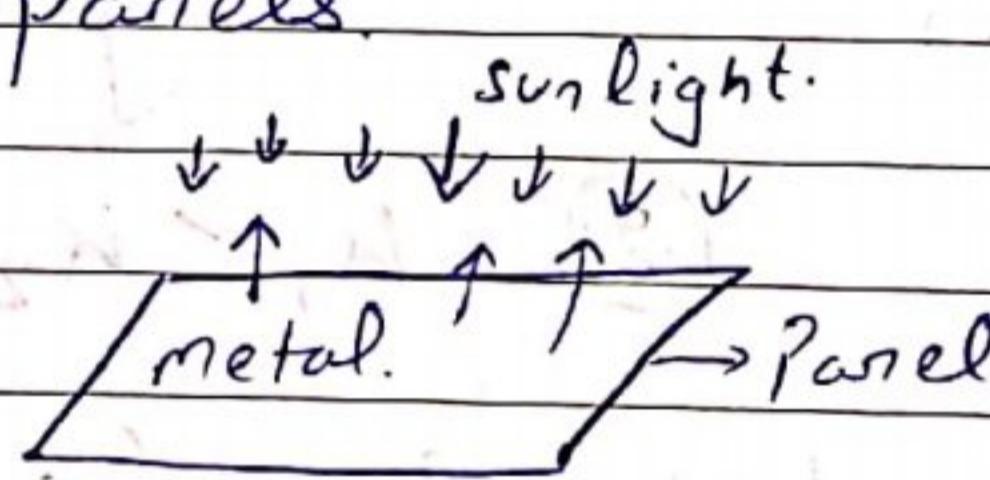
→ " not thermally softens,

→ " Retains hardness at high temp.

e) Optical Properties:

Used in both ways ① absorption
② reflection

Eg solar panels.



some absorb

some reflect

∴ energy loss is there.

(i) ^{coating}

∴ We can apply Coating as surface modification so that sunlight which falls on panel can absorb fully and can be used as heat and used for various purposes.

(ii) Roughening :- inc. absorption capacity.

⇒ Stealth Coating

Air force uses the aircrafts with the technologies where aircraft can not be seen with radars.

↳ ^{they} send radiation and based on reflections the object is recognised and its position, size, shape, etc can be identified.

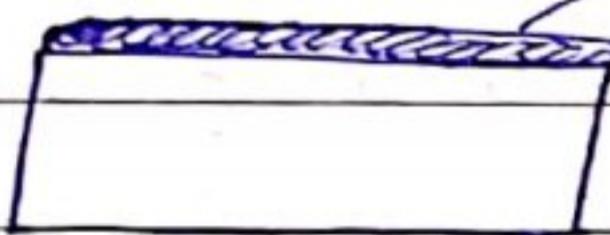
Nanopaints absorb the waves from radar
∴ helps in non detection of aircrafts.

The coating absorbs the radiations and dissipate them as heat the scatters in the atmosphere.

② Chemical Properties

- a) Composition
- b) Chemical affinity
- c) Corrosion
- d) Oxidation

a) Composition



→ One or More kind of metal systems.

Chemical composition is important as it affects microstructure which affect mechanical and corrosion properties.

Sometimes we need to know which kind of surface (compositional modification) modification is required ~~etc~~ for a metallurgical feature that provide required mechanical & tribological properties.

Based on this we give surface/compositional modification treatment e.g. Carburizing, nitriding, etc. which will change near surface layer composition.

b) Chemical Affinity

All metals have some chemical affinity towards metals, nonmetals, gases.

Metal : surrounding gases
 |
 Interact to give a layer which is favourable or sometimes not.

Stainless steel

Cr_2O_3

Fe

Rust

Al

Al_2O_3

Interaction is desirable
(resistant to corrosion)

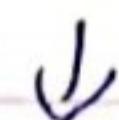
Characteristics of oxides, nitrides formation at surface

Oxide

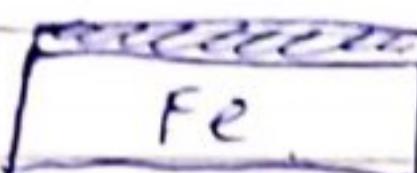
(Cr , Al \Rightarrow Oxide \Rightarrow Coherent and adherent non porous

good corrosion resistant

Fe₂O₃ (Rust) \Rightarrow porous, non adherent
non coherent



easily peel off or removed



Continuous formation & removal of
oxide will result in fast rate of
material loss from surface.

c) Corrosion and Oxidation

\rightarrow These both affect surface properties

\rightarrow It is surface respond to surroundings

Oxidation: Eg some metal has good resistance
to oxidation even at high temp.

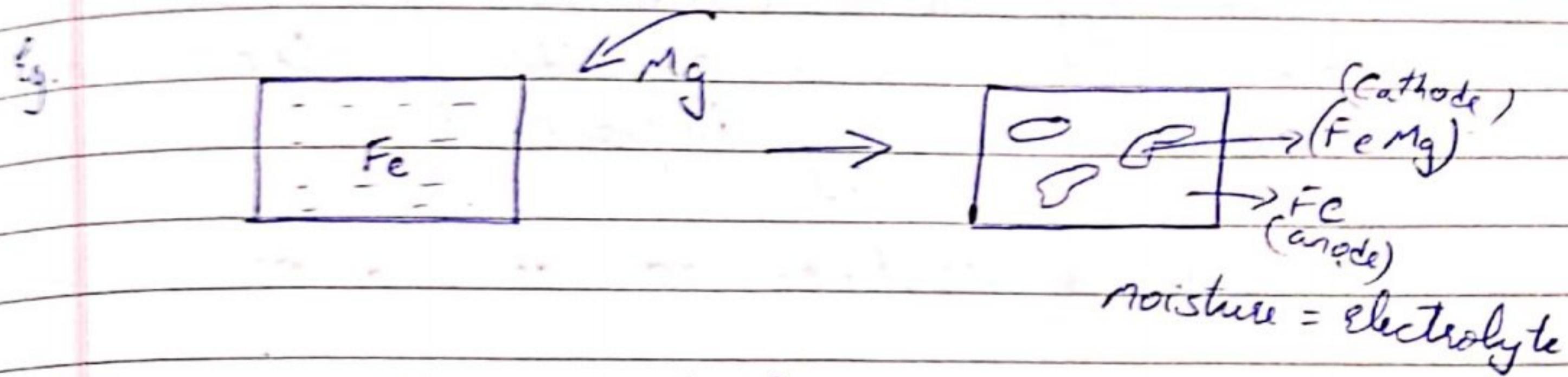
Ni, Cr or Co based systems are like this.
 \therefore used for high temp. applications

Corrosion

Eg some metal like austenitic stainless steel
has good corrosion resistance.

\rightarrow All metals don't interact in the same
way to surroundings

→ Chemical composition homogeneity is also very important



- ✓ Pure Fe will corrode slowly
- ✓ But when it is alloyed or impurity is there then, the corrosion is very fast as electrolytic cell is formed.

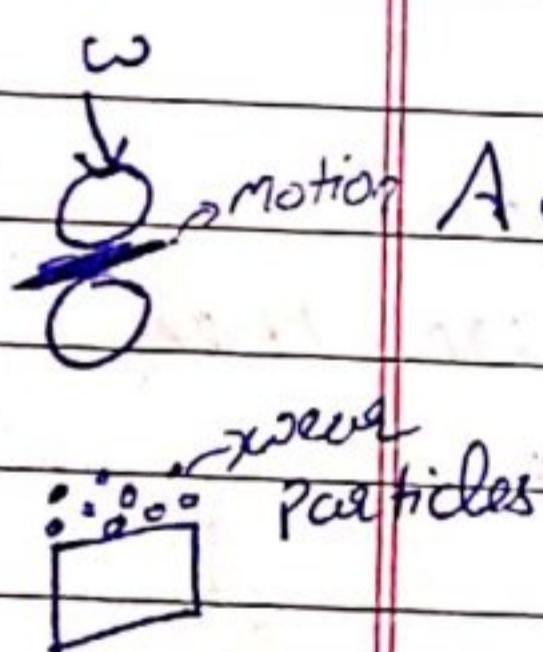
∴ Oxidation & reduction speed up and corrosion occurs at fast rate.

→ Absence of segregation or localised presence of some elements is there then we can improve corrosion resistance.

③ Mechanical Properties :-

a) Hardness

- Under any wear condition (adhesive, abrasive, erosive conditions) then the hardness is very important.
- Hardness is resistance to indentation or abrasion.
- Minimum stress needed to produce irreversible plastic deformation to the solid surface.
- ⇒ Hardness → ↑ abrasion resistance
↑ adhesive resistance



Archard's Law : for adhesive wear case.

$$V = \frac{K \omega L}{H}$$

V = wear volume → got from profilometer

K = dimension less const.

ω = Total normal load

L = sliding dist. → amplitude of sliding.

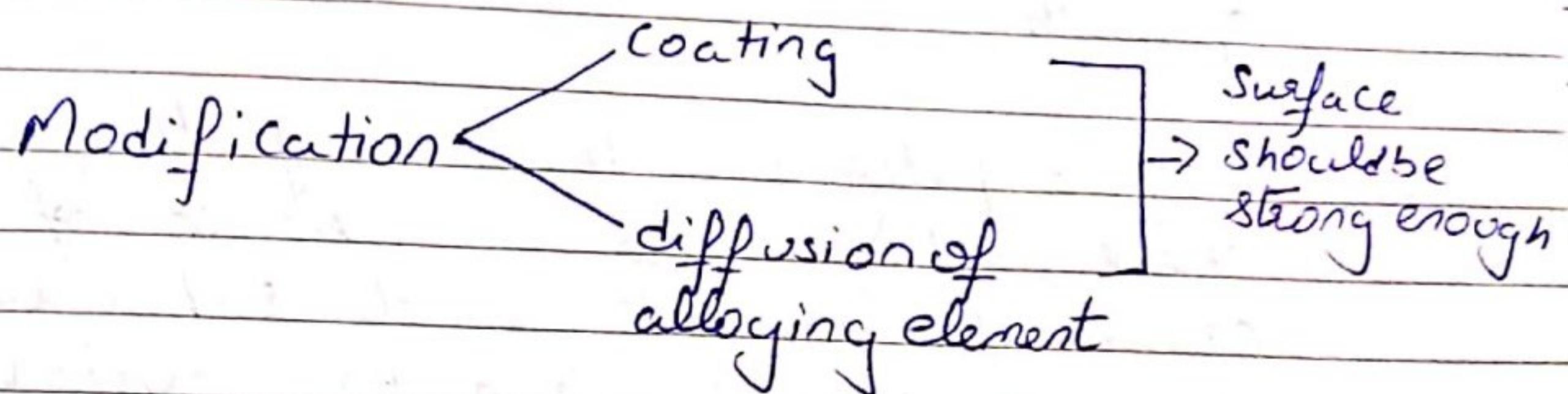
H = Hardness of the softest contacting surface.

$$V \propto \frac{1}{H}$$

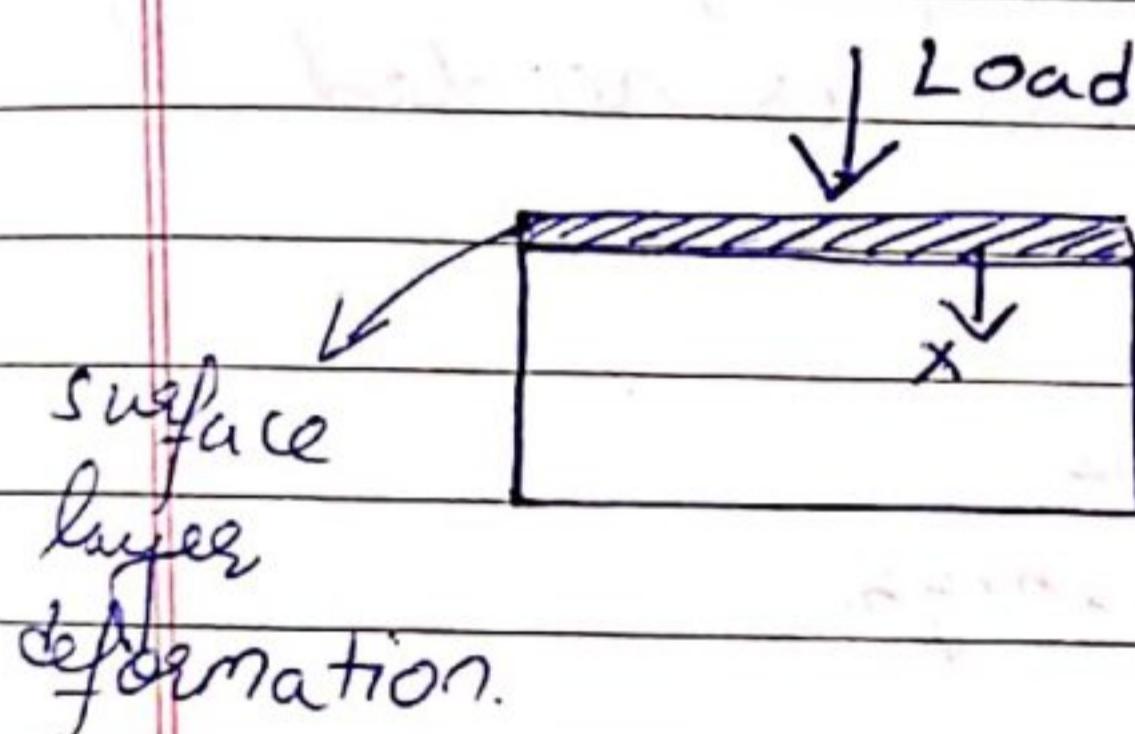
Hardness b/w 2 materials should be diff. considerable
for this.

b) Strength

when it is supposed to take the load



Coating



transfer of load from
coating to material should
not be there.

c) Ductility

when Heavy loads are applied ; then ductility
is important.

As ductility resist the nucleation and
growth of the crack

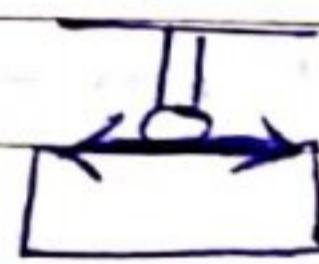
Surface fatigue condition \rightarrow need good strength
and ductility

d) Fracture toughness

→ It is important for resistance of crack growth.

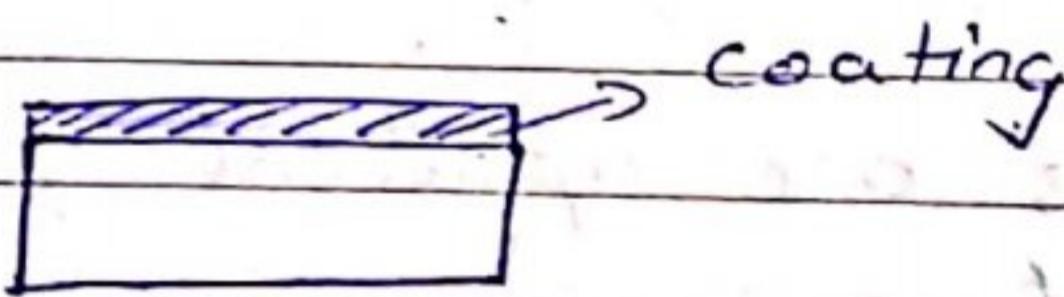
? Needed, where surface fatigue is there or fretting wear is there, where there is chance of crack development and subsequent growth. which lead to removal of material from surface.

→ ∵ we need ~~surface~~ strength, ductility and fracture toughness is needed.



Load is less
frequency is high.

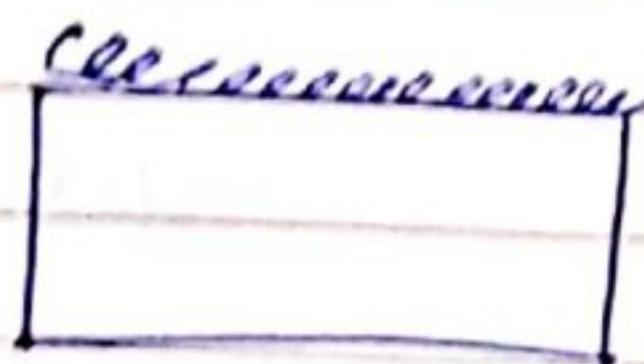
e) Bond strength



→ bond of coating with base material is very important.

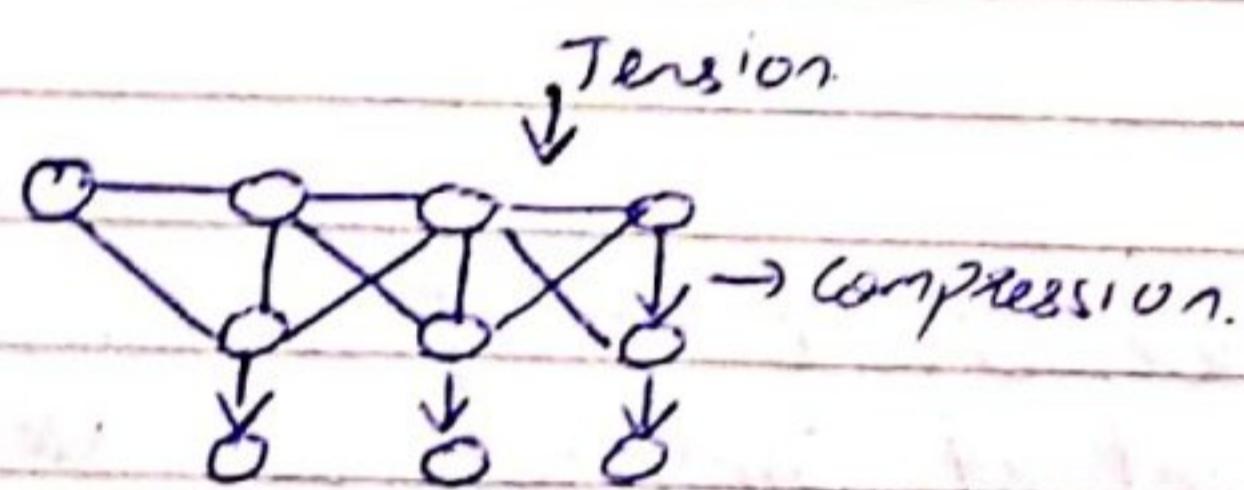
→ Coating adhesion strength should be $> 70 \text{ MPa}$ otherwise peeling off or spalling of coating will be.

p) Residual stresses



Material surface is heated then cooled
ex thermal fatigue.

- There will be development of tensile residual stresses on surface
- Sub surface region will be in compression.



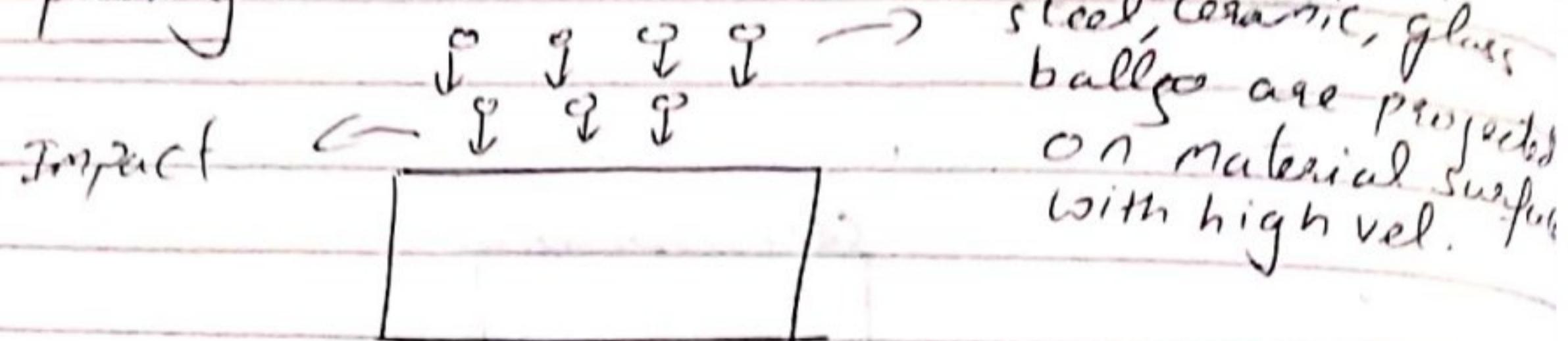
∴ Tensile residual stress will result in crack nucleation & growth.

If Tensile stresses are higher than tensile strength of material, crack will ~~grow~~ form.

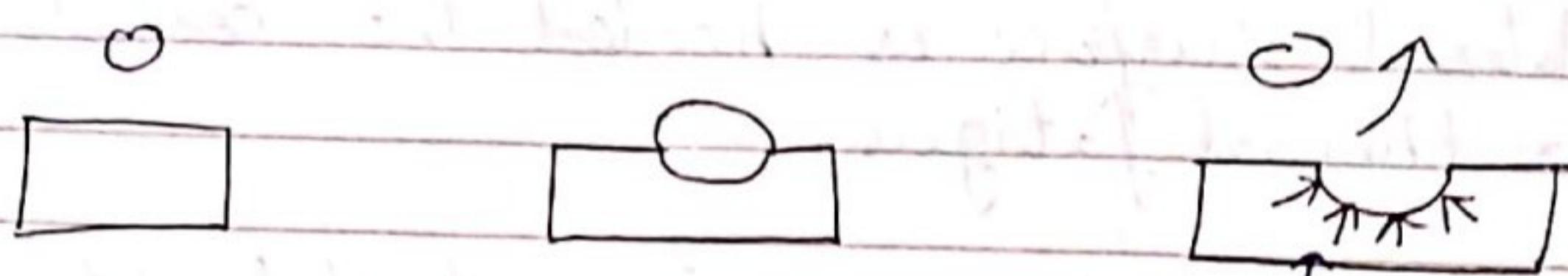
Surface modification should be done in order to have compressive stresses at the surface.

For this shot peening on the surface is done.

Shot peening



steel, ceramic, glass
balls are projected
on material surface
with high vel.



small indentation

material will
try to restore its
original position
(Compression stage)

- ∴ ~~shot~~
- Because of localised surface layer deformation
 - development of compressive residual stresses
 - fatigue resistance ↑
 - wear resistance ↑

∴ Shot peening is done to inc. resistance.

g) Stress Corrosion Cracking

Loading under corrosive condition

- If metal is sensitive to corrosion,
- Corrosion medium
- Tensile stress

All
These
3 Parameters
Should be
Present
for stress
corrosion
cracking

∴ faster growth of crack is there

Nucleation of crack at high stress conc.
area ∴ faster growth

Susceptible metal

Specific corrosive
environment

Cu alloy

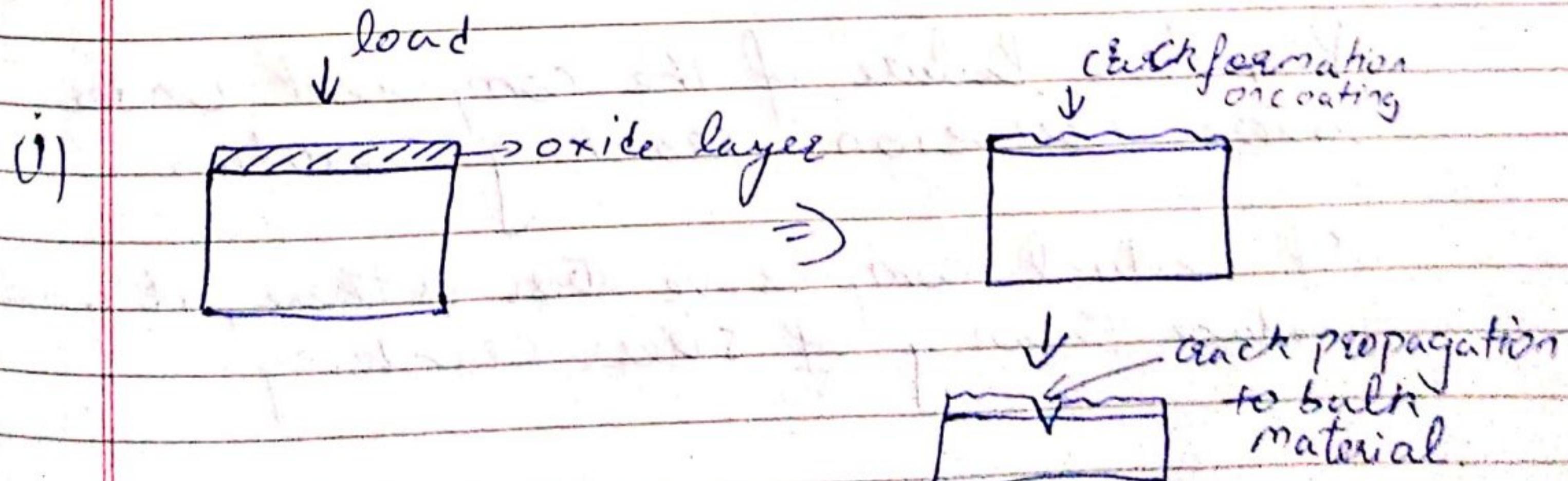
NH₃

Mild steel

Alkalies and nitrates

Stainless steel

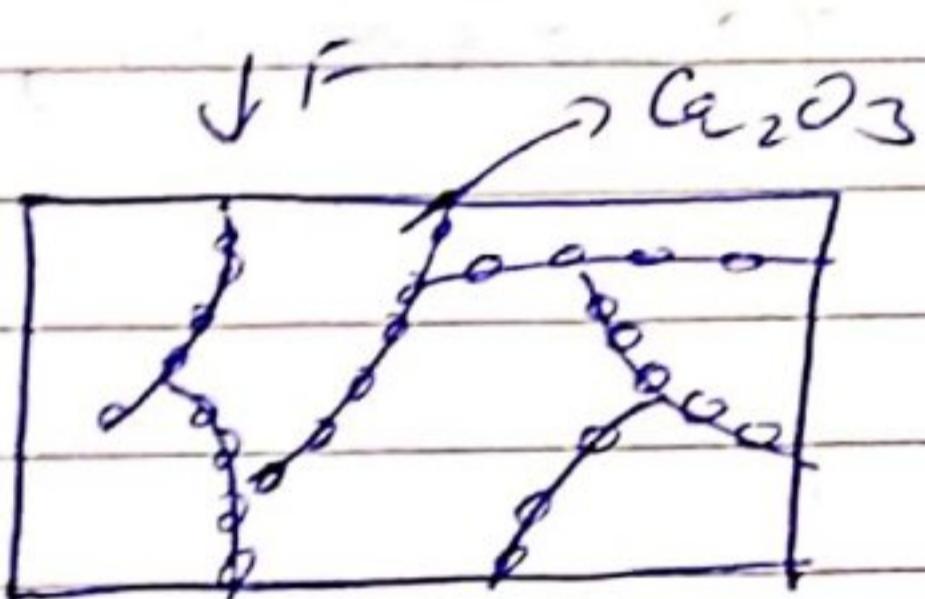
Chlorides & acid chlorides



will take place

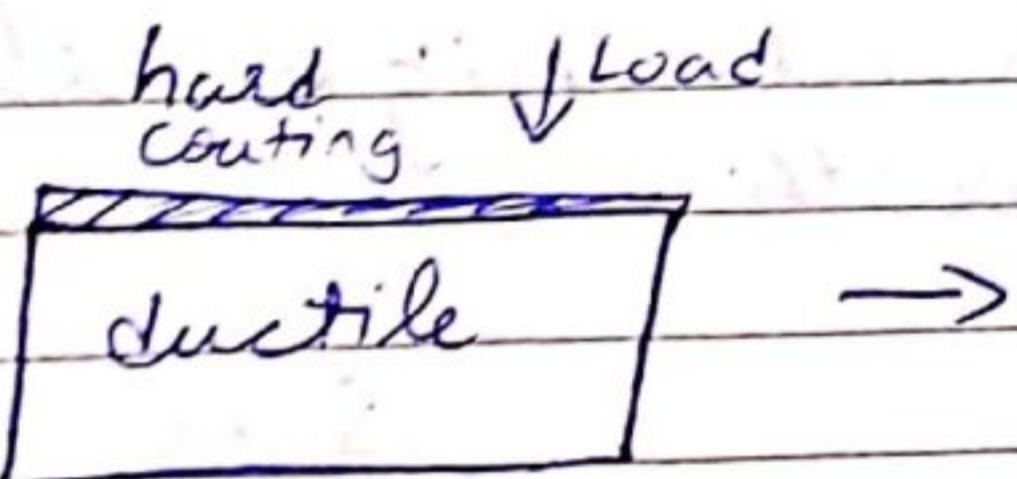
→ Crack propagation, when the rupture of oxide film is faster than the reformation (Creepassivation) of the film.

(ii)



Breaks the oxide film
and electrolyte will
diffuse from
g.b. → ~~corrosion film~~
→ corrosion rate ↑

(iii)



Crack in coating

& then
propagate
as all lig. will
penetrate &
corrode material
also.

This is Brittle failure.

Premature failure of the component under stress corrosion cracking condition.

If Residual compressive stress is there, it will reduce tendency of stress cracking.

④

DIMENSIONAL PROPERTIES

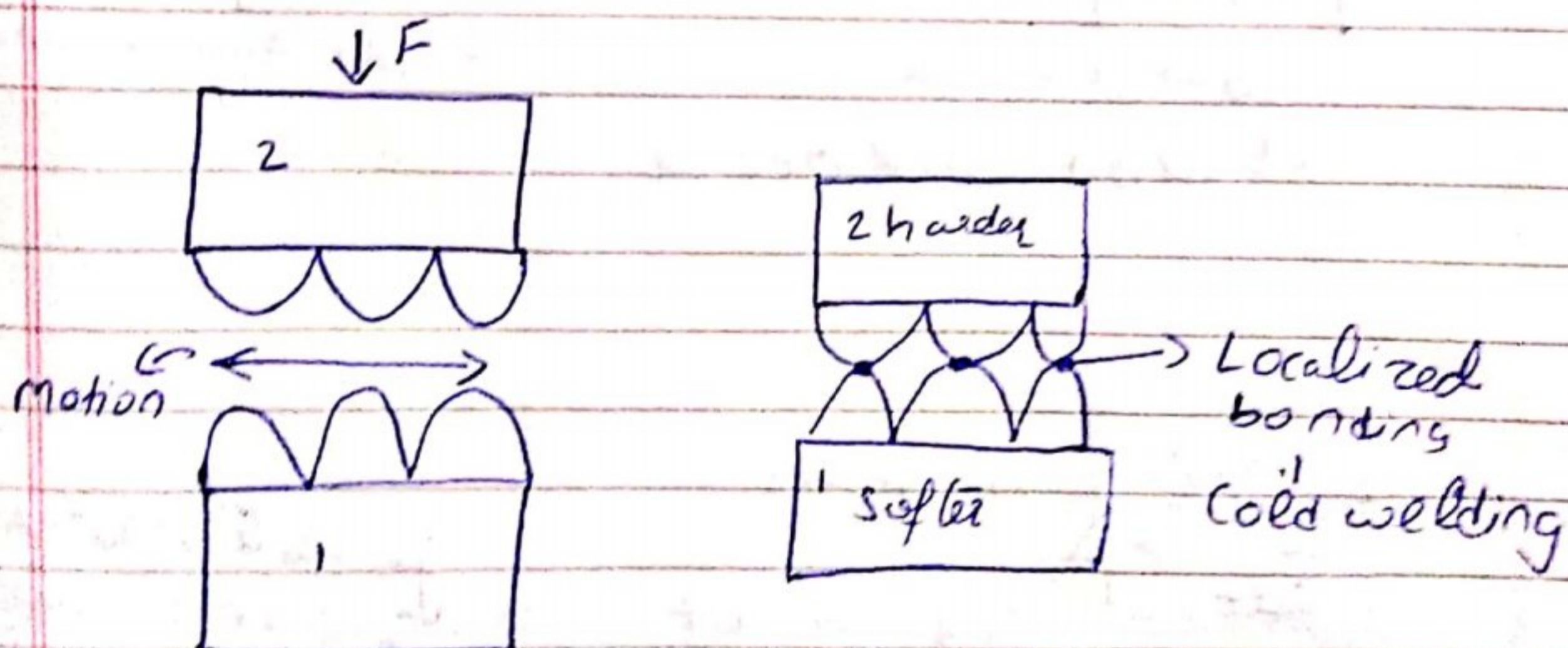
- Straightness
- Flatness
- Roundness
- Roughness

straightness and flat → smooth movement of components during service

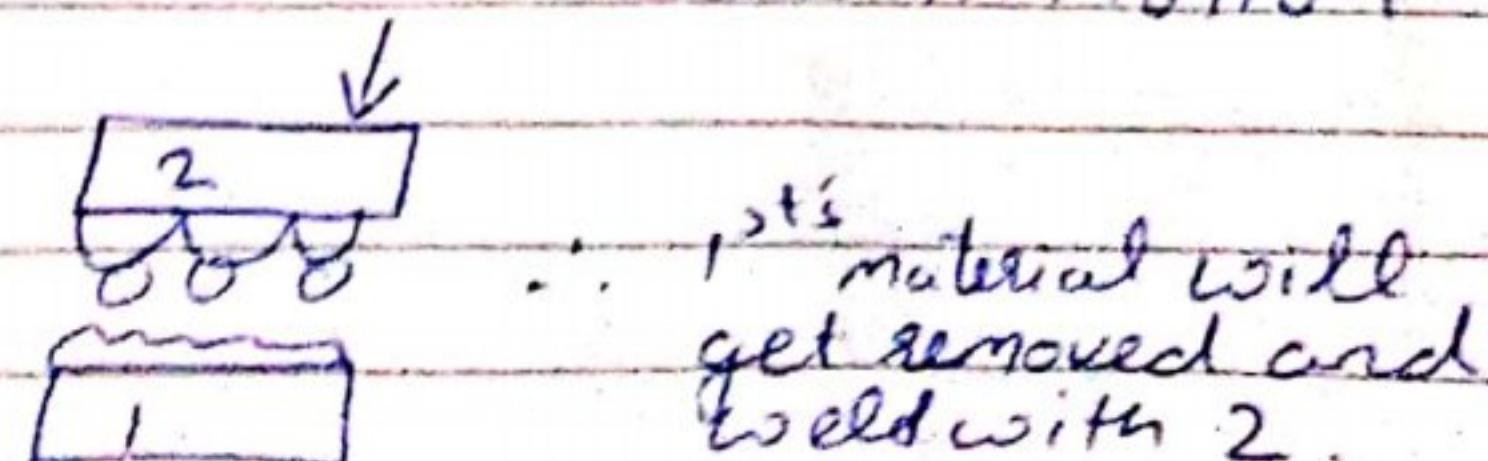
Roughness

If it is ↑ , then wear rate ↑

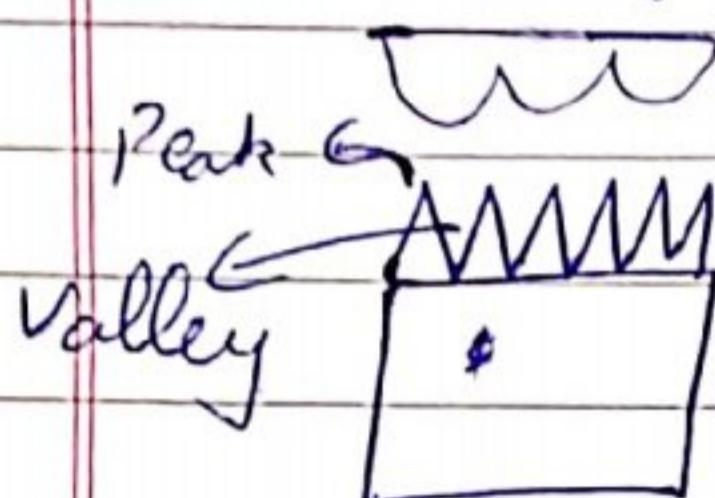
Adhesive condition



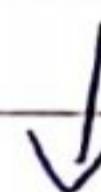
↓
Still this is in motion



If Roughness is very high

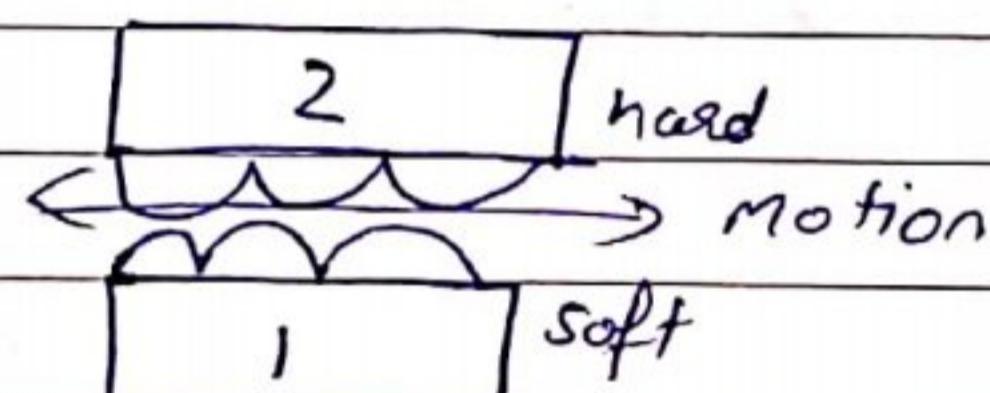


then peak & valley bonds under relative motion

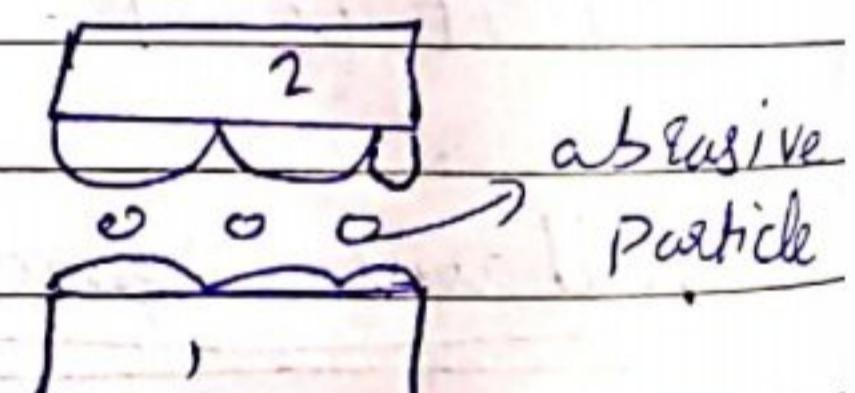


This removes a lot of material from surface of the other mate.

Abrasive wear

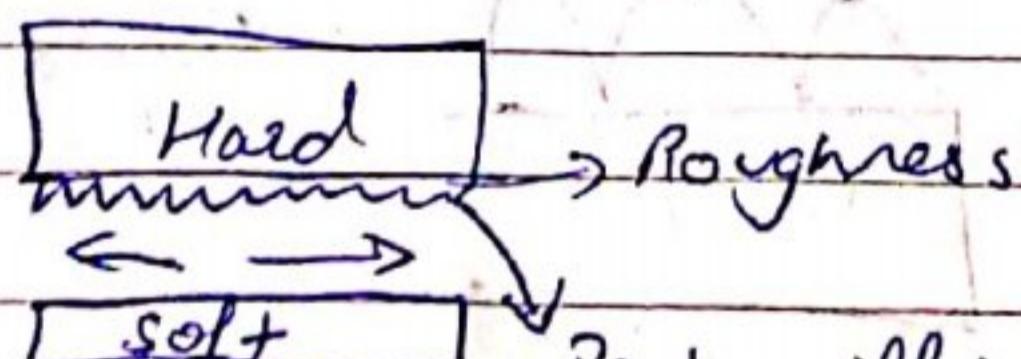


2 Body abrasive wear



3 body abrasive wear

∴ abrasion will occur



Peaks will indent soft metal under relative motion

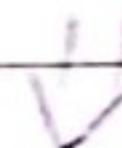
generate scratch groove on surface and a lot of material will lose.

Wear abrasion

high stress abrasion

gouging abrasion

Polishing abrasion



comes on based on surface & subsurface damage

Mechanisms → Plowing

→ Microfatigue

→ Wedge

→ Cutting

→ Microcracking