# 10.4 Trees: Examples and Basic Properties

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#### Definition

A graph is said to be circuit-free if, and only if, it has no circuits. A graph is called a tree if, and only if, it is circuit-free and connected. A trivial tree is a graph that consists of a single vertex. A graph is called a forest if, and only if, it is circuit-free and not connected.

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### Example 10.4.1 Trees and Non-trees

All the graphs shown in Figure 10.4.1 are trees, whereas those in Figure 10.4.2 are not.

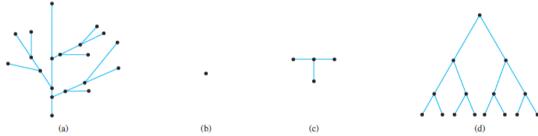


FIGURE 10.4.1 Trees. All the graphs in (a)-(d) are connected and circuit-free.

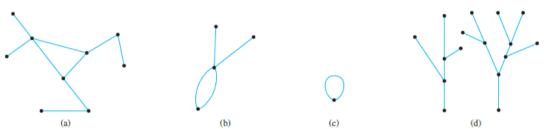


FIGURE 10.4.2 Non-trees. The graphs in (a), (b), and (c) all have circuits, and the graph in (d) is not connected.

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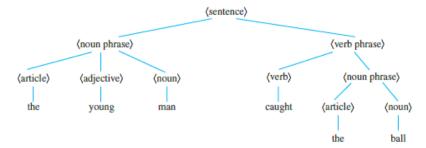
#### Example 10.4.2 A Decision Tree

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### Example 10.4.3 A Parse Tree

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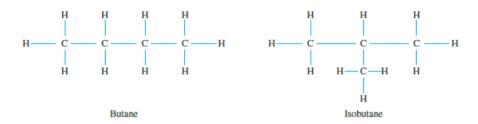
The derivation of the sentence "The young man caught the ball" from the above rules is described by the tree shown below.



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## **Example 10.4.4** Structure of Hydrocarbon Molecules

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#### **Definition**

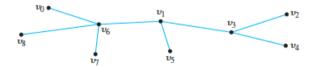
Let *T* be a tree. If *T* has at least two vertices, then a vertex of degree 1 in *T* is called a leaf (or a terminal vertex), and a vertex of degree greater than 1 in *T* is called an internal vertex (or a branch vertex). The unique vertex in a trivial tree is also called a leaf or terminal vertex.

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## Example 10.4.5 Leaves a

## **Leaves and Internal Vertices in Trees**

Find all leaves (or terminal vertices) and all internal (or branch) vertices in the following tree:



**Solution** The leaves (or terminal vertices) are  $v_0$ ,  $v_2$ ,  $v_4$ ,  $v_5$ ,  $v_7$ , and  $v_8$ . The internal (or branch) vertices are  $v_6$ ,  $v_1$ , and  $v_3$ .

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### Theorem 10.4.2

For any positive integer n, any tree with n vertices has n-1 edges.

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## 10.5 Rooted Trees

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#### Definition

A **rooted tree** is a tree in which there is one vertex that is distinguished from the others and is called the **root**. The **level** of a vertex is the number of edges along the unique path between it and the root. The **height** of a rooted tree is the maximum level of any vertex of the tree. Given the root or any internal vertex v of a rooted tree, the **children** of v are all those vertices that are adjacent to v and are one level farther away from the root than v. If w is a child of v, then v is called the **parent** of w, and two distinct vertices that are both children of the same parent are called **siblings**. Given two distinct vertices v and v, if v lies on the unique path between v and the root, then v is an **ancestor** of v and v is a **descendant** of v.

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These terms are illustrated in Figure 10.5.1.

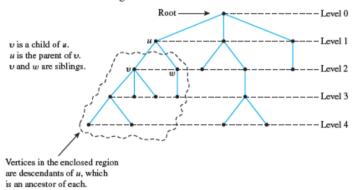


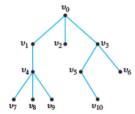
FIGURE 10.5.1 A Rooted Tree

## Example 10.5.1

## **Rooted Trees**

Consider the tree with root  $v_0$  shown below.

- a. What is the level of  $v_5$ ?
- b. What is the level of  $v_0$ ?
- c. What is the height of this rooted tree?
- d. What are the children of  $v_3$ ?
- e. What is the parent of v<sub>2</sub>?
- f. What are the siblings of  $v_8$ ?
- g. What are the descendants of v<sub>3</sub>?
- h. How many leaves (terminal vertices) are on the tree?



#### Solution

a. 2 b. 0 c. 3 d.  $v_5$  and  $v_6$  e.  $v_0$  f.  $v_7$  and  $v_9$  g.  $v_5$ ,  $v_6$ ,  $v_{10}$  h. 6

## **Binary Trees**

When every vertex in a rooted tree has at most two children and each child is designated either the (unique) left child or the (unique) right child, the result is a binary tree.

#### Definition

A binary tree is a rooted tree in which every parent has at most two children. Each child in a binary tree is designated either a left child or a right child (but not both), and every parent has at most one left child and one right child. A full binary tree is a binary tree in which each parent has exactly two children.

Given any parent v in a binary tree T, if v has a left child, then the left subtree of v is the binary tree whose root is the left child of v, whose vertices consist of the left child of v and all its descendants, and whose edges consist of all those edges of T that connect the vertices of the left subtree. The **right** subtree of v is defined analogously.

These terms are illustrated in Figure 10.5.2.

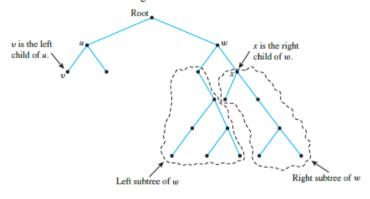
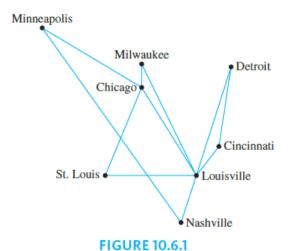
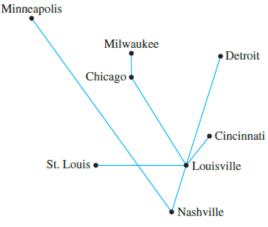


FIGURE 10.5.2 A Binary Tree

# **Spanning Trees and a Shortest Path Algorithm**



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**FIGURE 10.6.2** 

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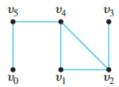
### **Definition**

A spanning tree for a graph G is a subgraph of G that contains every vertex of G and is a tree.

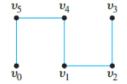
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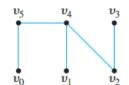
## **Spanning Trees**

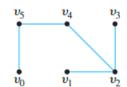
Find all spanning trees for the graph G pictured below.



**Solution** The graph G has one circuit  $v_2v_1v_4v_2$ , and removing any edge of the circuit gives a tree. Thus, as shown below, there are three spanning trees for G.







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## **Definition and Notation**

A weighted graph is a graph for which each edge has an associated positive real number weight. The sum of the weights of all the edges is the total weight of the graph. A minimum spanning tree for a connected, weighted graph is a spanning tree that has the least possible total weight compared to all other spanning trees for the graph.

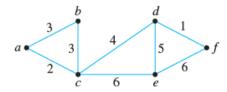
If G is a weighed graph and e is an edge of G, then w(e) denotes the weight of e and w(G) denotes the total weight of G.

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## Example 10.6.4

## **Finding Minimum Spanning Trees**

Find all minimum spanning trees for the following graph. Use Kruskal's algorithm and Prim's algorithm starting at vertex a. Indicate the order in which edges are added to form each tree.



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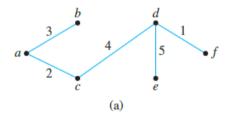
**Solution** When Kruskal's algorithm is applied, edges are added in one of the following two orders:

- 1.  $\{d, f\}, \{a, c\}, \{a, b\}, \{c, d\}, \{d, e\}$
- 2.  $\{d, f\}, \{a, c\}, \{b, c\}, \{c, d\}, \{d, e\}$

When Prim's algorithm is applied starting at a, edges are added in one of the following two orders:

- 1.  $\{a, c\}, \{a, b\}, \{c, d\}, \{d, f\}, \{d, e\}$
- 2.  $\{a, c\}, \{b, c\}, \{c, d\}, \{d, f\}, \{d, e\}$

Thus, as shown below, there are two distinct minimum spanning trees for this graph.



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## Algorithm 10.6.1 Kruskal

**Input:** *G* [a connected, weighted graph with n vertices, where n is a positive integer] **Algorithm Body:** 

[Build a subgraph T of G to consist of all the vertices of G with edges added in order of increasing weight. At each stage, let m be the number of edges of T.]

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## Algorithm 10.6.2

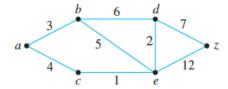
**Input:** G [a connected, weighted graph with n vertices where n is a positive integer]

### **Algorithm Body:**

[Build a subgraph T of G by starting with any vertex v of G and attaching edges (with their endpoints) one by one to an as-yet-unconnected vertex of G, each time choosing an edge of least weight that is adjacent to a vertex of T.]

# Action of Dijkstra's Algorithm

Show the steps in the execution of Dijkstra's shortest path algorithm for the graph shown below with starting vertex a and ending vertex z.



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