

# Machine Learning and Data Mining project: Leaf identification

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## 1 Problem statement

### 1.1 Goal of the project

The goal of this project is to identify the type of leaf based on a group of features, which are derived from both their shape and texture. The given solution must be able to classify the leaves in one of 30 categories of leaves.

### 1.2 Formal definitions

The problem can be formally defined as follows:

- Let  $X$  be the set of all possible leaves. Each element  $x \in X$  is a leaf defined by a 14-dimensional attribute vector, representing various shape and texture features of the leaf.
- Let  $Y$  be the set of all possible categories of leaves. Each element  $y \in Y = \{0, 1, 2, \dots, 29\}$  represents a unique category of leaves.
- Let  $f : X \rightarrow Y$  be the function that assigns each leaf to its category based on its attributes.
- Let  $D = \{(x^{(1)}, y^{(1)}), \dots, (x^{(n)}, y^{(n)})\}$  be the dataset, where  $x^{(i)} \in X$  and  $y^{(i)} \in Y$ .
- The goal is to learn a model  $M$  from the dataset  $D$  such that the argmax of  $f'_{\text{predict}} : X \times M \rightarrow P_Y^1$  approximates  $f$  as closely as possible, effectively classifying the leaves into their correct categories.

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<sup>1</sup>...where  $P_Y$  is the set of all possible probability distributions over  $Y$ .

## 2 Assessment and performance indexes

Since classes are relatively balanced but expected to have only a few samples, there's a risk of ill-defined metrics. The model might not be able to predict some classes accurately, leading to undefined precision, recall, or F1-scores for those classes. Therefore, weighted accuracy and AUC (OvR) will be used as performance indexes. Additionally, confusion matrices and the multi-class ROC (OvR)<sup>[1]</sup> will be used to better assess the models' performances.

## 3 Proposed solution

### 3.1 Data pre-processing

In order to have any sort of meaningful evaluation of the models, the dataset  $D$  has to be split into a training set  $D_{\text{train}}$  and a test set  $D_{\text{test}}$ , in order to test the models for generalization on unseen data:

$$D_{\text{train}} = \text{subbag}(D, r) \quad \text{and} \quad D_{\text{test}} = D \setminus D_{\text{train}}$$

The split will be done with a 80-20% ratio ( $r = 0.8$ ) using a stratified shuffle, in order to avoid misrepresentation of classes:

$$\frac{|\{(x^{(i)}, y^{(i)}) \in D_{\text{train}} : y^{(i)} = c\}|}{|D_{\text{train}}|} \approx \frac{|\{(x^{(i)}, y^{(i)}) \in D_{\text{test}} : y^{(i)} = c\}|}{|D_{\text{test}}|}, \quad \forall c \in Y$$

The individual features will then be normalized to have zero mean and unit variance with respect to the training set, in order to avoid any bias:

$$\bar{D}_{\text{set}} = \left\{ \left( \frac{x^{(i)} - \mu_{\text{train}}}{\sigma_{\text{train}}}, y^{(i)} \right), \quad \forall (x^{(i)}, y^{(i)}) \in D_{\text{set}} \right\}, \quad \forall \text{set} \in \{\text{train}, \text{test}\}$$

### 3.2 Types of models

For this project, three different kinds of models will be evaluated, in order to determine which one performs best for the problem at hand:

- **Random Forest**,  $M = \{T_1, T_2, \dots, T_k\}$  with each  $T_i \in T_{(S_i \times \mathbb{R}) \cup P_Y}$ , where  $S_i \subset \{1, \dots, 14\}$  is a random subset of 4 features.<sup>2</sup>
- **Soft Support Vector Classifier (OvR)**,  $M = \{w, b\}$  such that  $w \in \mathbb{R}^{14}$  and  $b \in \mathbb{R}$ .
- **Gaussian Naive Bayes**,  $M = \{P(Y), P(X|Y)\}$ , with  $P(Y)$  being the prior probabilities of the classes in  $Y$ , and  $P(X|Y)$  being the likelihood of the features in  $X$  given the classes in  $Y$ .

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<sup>2</sup>The number of features was chosen based on the square root of the total number of dimensions ( $\lceil \sqrt{14} \rceil = 4$ ).

One thing to note is that, normally, multi-class SVCs do not output probabilities. Therefore, the SVC will be used in conjunction with Platt scaling<sup>[2][3]</sup>, in order to follow the formal definition of the problem of outputting probability distributions and calculate the multi-class ROC and AUC.

### 3.3 Model training

The hyperparameters will first be searched for each model using a grid search with a  $k$ -fold cross-validation on the training set<sup>3</sup>:

$$P^* = (p_1^*, p_2^*, \dots, p_h^*) = \underset{p_1, p_2, \dots, p_h}{\operatorname{argmax}} \left( \frac{1}{k} \sum_{i=1}^k \operatorname{AUC}_{\text{OVR}}(M(p_1, p_2, \dots, p_h), \bar{D}_{\text{train}}^{(i)}) \right)$$

The models will then be trained on the entire training set with the best hyperparameters found during the grid search:

$$M^* = f_{\text{learn}}(\bar{D}_{\text{train}}, P^*)$$

## 4 Experimental evaluation

### 4.1 Data

The dataset used for this project is the "leaf" dataset<sup>4</sup>, which comprises of 340 samples of simple leaves, evenly distributed among the 30 classes.

### 4.2 Procedure

The models will be searched for the best hyperparameters using a grid search, with a 5-fold cross-validation on the training set. They will then be trained with the found hyperparameters and evaluated using the performance indexes mentioned in the previous section, and the results will be compared to determine which model performs best for the problem at hand. The chosen values for the hyperparameters are as follows:

- **Random Forest:**

$k_{\text{estimators}}$ : {10, 25, 50, 100, 200, 500, 1000}

$T_{\text{max\_depth}}$ : {1, 3, 5, 10, 15, 25, 50}

- **Soft SVC:**

$C$ : {0.1, 0.5, 1, 10, 100, 1000, 10000, 100000}

$K(x, x')$ : { $\langle x, x' \rangle$ ,  $(\gamma \langle x, x' \rangle)^3$ ,  $\exp(-\gamma \|x - y\|^2)$ ,  $\tanh(\gamma \langle x, x' \rangle)$ }<sup>5</sup>

$\gamma$ : {1,  $\frac{1}{n_{\text{features}}}$ ,  $\frac{1}{n_{\text{features}} \cdot \text{Var}(X)}$ }

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<sup>3</sup>...if applicable.

<sup>4</sup><https://archive.ics.uci.edu/dataset/288/leaf>

<sup>5</sup>Respectively, the linear, polynomial, RBF and sigmoid kernels.

### 4.3 Results and discussion

The results of the grid search are as follows:

Model	Hyperparameters	$T_{\text{search}}$ [ms]
Random Forest	$k_{\text{estimators}} = 200, T_{\text{max\_depth}} = 10$	9879
Soft SVC	$C = 1000, K(x, x') = \langle x, x' \rangle, \gamma = 1$	<b>1615</b>

Table 1: Best hyperparameters found during the grid search.

The models are then trained on the entire training set and evaluated on the test set, with the following results (averaged over 50 runs):

Model	Weighted accuracy	AUC <sub>OvR</sub>	$T_{\text{train}}$ [ms]	$T_{\text{test}}$ [ms]
Random Forest	77.2%	<b>0.9858</b>	282.0	27.2
Soft SVC	<b>77.4%</b>	0.9852	25.5	16.2
Naive Bayes	73.6%	0.9757	<b>0.8</b>	<b>14.7</b>

Table 2: Results of the models on the test set.

The confusion matrices and ROC curves of the models are as follows:

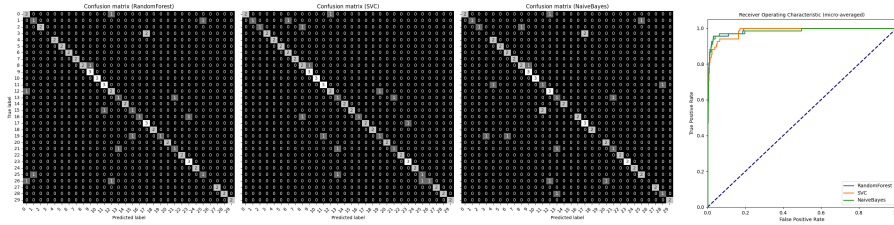


Figure 1: Confusion matrices and ROC curves of the models.

All models score a weighted accuracy of at least 70%, which is a significant result compared to the random classification baseline of  $\approx 3.3\%$ .

Both the Random Forest and the Soft SVC models perform very well on the test set, with both of them outperforming each other in at least one of the performance indexes.

The SVC, however, shows to have another advantage over the Random Forest, which is faster training and testing times. It is, therefore, the best model for the problem at hand as far as performance and efficiency are concerned.

The Naive Bayes model performs worse than the other two models, but doesn't require any hyperparameter tuning and has the fastest training time, making it a good choice for a solution if time or computational resources are critical factors.

## References

- [1] Multiclass Receiver Operating Characteristic (ROC). [https://scikit-learn.org/stable/auto\\_examples/model\\_selection/plot\\_roc.html](https://scikit-learn.org/stable/auto_examples/model_selection/plot_roc.html).
- [2] John Platt et al. Probabilistic outputs for support vector machines and comparisons to regularized likelihood methods. *Advances in large margin classifiers*, 10(3):61–74, 1999.
- [3] Ting-Fan Wu, Chih-Jen Lin, and Ruby Weng. Probability estimates for multi-class classification by pairwise coupling. *Advances in Neural Information Processing Systems*, 16, 2003.