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# POC HW#4 - Martyn Staalsen

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## 14.2

Exercise 14.2. Consider transmitting a signal with values chosen from the six level alphabet  $\pm 1, \pm 3, \pm 5$ .

```
% a. Suppose that all six symbols are equally likely. Identify N, x_i,  
    and p(x_i), and  
% calculate the information I(x_i) associated with each i.
```

```
% In this case, because there are 6 potential levels, N = 6.  
% x_i represents the possible levels which could be sampled, which are  
%  $\pm 1, \pm 3, \pm 5$ , and p(x_i) is 1/6 for all x_i since they are all equally  
% likely.
```

```
% b. Suppose instead that the symbols  $\pm 1$  occur with probability 1/4  
    each,  $\pm 3$   
% occur with probability 1/8 each, and 5 occurs with probability 1/4.  
    What  
% percentage of the time is  $\pm 5$  transmitted? What is the information  
    conveyed  
% by each of the symbols?
```

```
% the probabilities must add up to 1, so  $p(-5) = 1 -$   
     $(1/4 + 1/4 + 1/8 + 1/8 + 1/4) =$   
%  $1 - 1 = 0$ . This means that the symbol -5 will never be transmitted  
    (and  
% would hold an infinite amount of information.) The other symbols  
% represent different amounts of information:  $\pm 1$  and 5 represent  
%  $\log_2(4) = 2$  bits of information, while  $\pm 3$  represents  $\log_2(8) = 3$   
    bits  
% of information.
```

## 14.13

Use noisychan.m to compare the noise performance of two-level, four-level, and six-level transmissions.

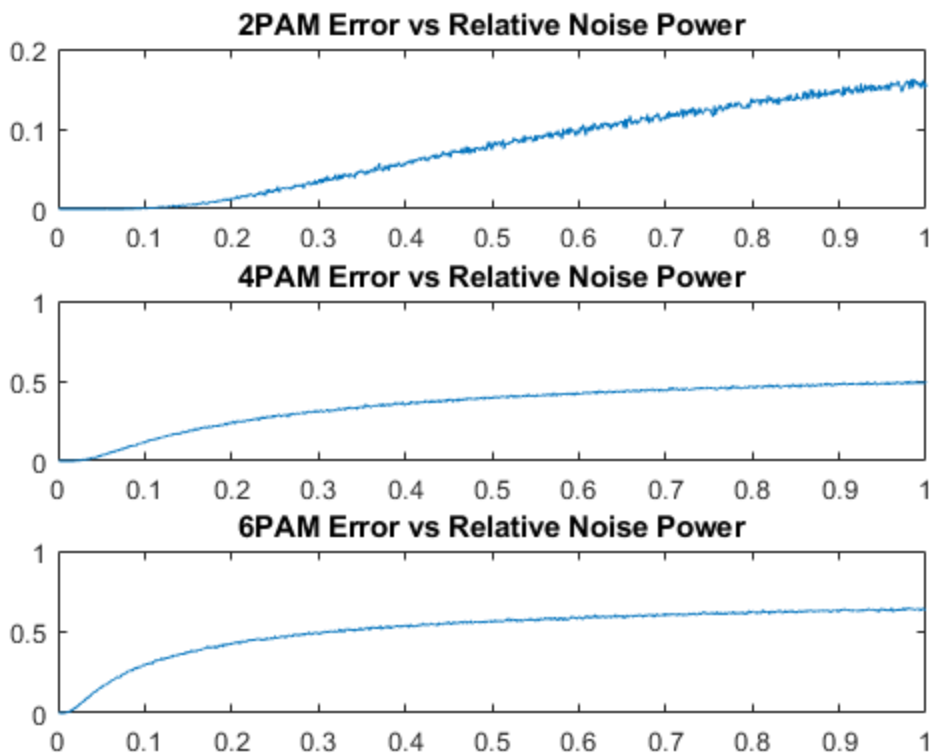
```
% a. Modify the program to generate two- and six-level signals.
```

```
% b. Make a plot of the noise power versus the percentage of errors  
    for  
% two, four, and six levels.
```

```
pam2_err = [];
```

```
pam4_err = [];  
pam6_err = [];  
q=0;  
numReps = 10;  
noisePows = [];  
  
for test_p = 0.001:0.001:1  
    q=q+1;  
    res2 = 0;  
    res4 = 0;  
    res6 = 0;  
    for reps = 1:numReps  
        % noisychan.m generate 2-level data and add noise  
        m=1000; % length of data sequence  
        p=test_p; s=1.0; % power of noise and signal  
        x=pam(m,2,s); % 4-PAM input with power 1...  
        L=sqrt(1); % ...with amp levels L  
        n=sqrt(p)*randn(1,m); % noise with power p  
        y=x+n; % output adds noise to data  
        qy=quantalph(y,[-L,L]); % quantize  
        err=sum(abs(sign(qy'-x)))/m; % percent transmission errors  
        res2 = res2+err;  
  
        % noisychan.m generate 4-level data and add noise  
        m=1000; % length of data sequence  
        p=test_p; s=1.0; % power of noise and signal  
        x=pam(m,4,s); % 4-PAM input with power 1...  
        L=sqrt(1/5); % ...with amp levels L  
        n=sqrt(p)*randn(1,m); % noise with power p  
        y=x+n; % output adds noise to data  
        qy=quantalph(y,[-3*L,-L,L,3*L]); % quantize to [-3*L,-L,L,3*L]  
        err=sum(abs(sign(qy'-x)))/m ; % percent transmission errors  
        res4 = res4+err;  
  
        % noisychan.m generate 6-level data and add noise  
        m=1000; % length of data sequence  
        p=test_p; s=1.0; % power of noise and signal  
        x=pam(m,6,s); % 4-PAM input with power 1...  
        L=sqrt(3/35); % ...with amp levels L  
        n=sqrt(p)*randn(1,m); % noise with power p  
        y=x+n; % output adds noise to data  
        qy=quantalph(y,[-5*L,-3*L,-L,L,3*L,5*L]); % quantize  
        err=sum(abs(sign(qy'-x)))/m ; % percent transmission errors  
        res6=res6+err;  
    end  
    res2 = res2/reps;  
    res4 = res4/reps;  
    res6=res6/reps;  
  
    pam2_err(q) = res2;  
    pam4_err(q)=res4;
```

```
pam6_err(q)=res6;  
noisePows(q) = test_p;  
  
end  
  
figure()  
subplot(3,1,1); plot(noisePows,pam2_err); title("2PAM Error vs  
Relative Noise Power")  
subplot(3,1,2); plot(noisePows,pam4_err); title("4PAM Error vs  
Relative Noise Power")  
subplot(3,1,3); plot(noisePows,pam6_err); title("6PAM Error vs  
Relative Noise Power")  
  
% these plots show that a system with more levels is more strongly  
% affected  
% by noise. This makes intuitive sense especially for a PAM system,  
% since  
% the distance between these levels is closer together when more  
% levels are  
% used, such that the same noise variation will cause more errors when  
% the  
% levels are harder to distinguish between. However, this also  
% supports  
% Shannon's channel capacity equation, since an increased noise should  
% reduce the capacity of the channel, as a smaller alphabet has to be  
% used to combat a noisier channel.
```



## 14.14

Use `noisychan.m` to compare the power requirements for two-level, four-level, and six-level transmissions. Fix the noise power at  $p=0.01$ , and find the error probability for four-level transmission. Experimentally find the power  $S$  that is required to make the two-level and six-level transmissions have the same probability of error. Can you think of a way to calculate this?

```
pam2_err = [];  
pam4_err = [];  
pam6_err = [];  
q=0;  
numReps = 10;  
noisePows = [];  
  
for test_p_sig = 0.01:0.01:2  
    q=q+1;  
    res2 = 0;  
    res4 = 0;  
    res6 = 0;  
    for reps = 1:numReps %take average of multiple iterations because  
        randomness  
        % noisychan.m generate 2-level data and add noise  
        m=1000; % length of data sequence  
        p=0.01; s=test_p_sig; % power of noise and signal  
        x=pam(m,2,s); % 4-PAM input with power 1...  
        L=sqrt(s*1); % ...with amp levels L  
        n=sqrt(p)*randn(1,m); % noise with power p  
        y=x+n; % output adds noise to data  
        qy=quantalph(y,[-L,L]); % quantize  
        err=sum(abs(sign(round(qy',5))-round(x,5)))/m; % percent  
        transmission errors  
  
        res2 = res2+err;  
  
        % noisychan.m generate 4-level data and add noise  
        m=1000; % length of data sequence  
        p=0.01; s=test_p_sig; % power of noise and signal  
        x=pam(m,4,s); % 4-PAM input with power 1...  
        L=sqrt(s*1/5); % ...with amp levels L  
        n=sqrt(p)*randn(1,m); % noise with power p  
        y=x+n; % output adds noise to data  
        qy=quantalph(y,[-3*L,-L,L,3*L]); % quantize to [-3*L,-L,L,3*L]  
        err=sum(abs(sign(round(qy',5))-round(x,5)))/m ; % percent  
        transmission errors  
        res4 = res4+err;  
  
        % noisychan.m generate 6-level data and add noise  
        m=1000; % length of data sequence  
        p=0.01; s=test_p_sig; % power of noise and signal  
        x=pam(m,6,s); % 4-PAM input with power 1...  
        L=sqrt(s*3/35); % ...with amp levels L
```

```
n=sqrt(p)*randn(1,m);           % noise with power p
y=x+n;                          % output adds noise to data
qy=quantalph(y,[-5*L,-3*L,-L,L,3*L,5*L]); % quantize
err=sum(abs(sign(round(qy',5))-round(x,5)))/m ;    % percent
    transmission errors
res6=res6+err;

end

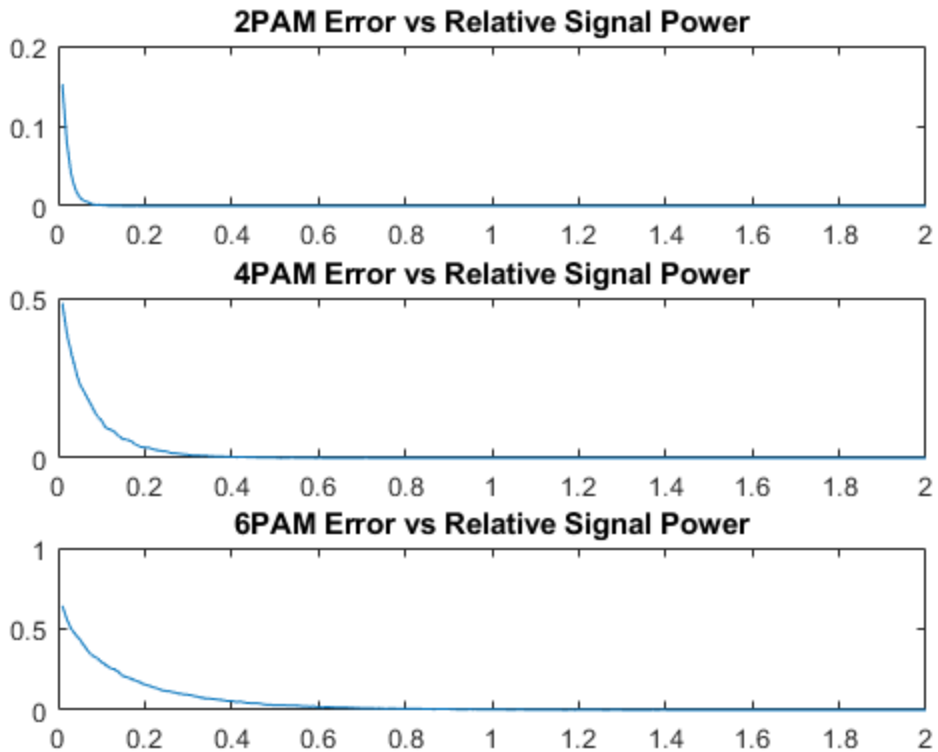
res2 = res2/reps;
res4 = res4/reps;
res6=res6/reps;

pam2_err(q) = res2;
pam4_err(q)=res4;
pam6_err(q)=res6;
noisePows(q) = test_p_sig;

end

figure()
subplot(3,1,1); plot(noisePows,pam2_err); title("2PAM Error vs
    Relative Signal Power")
subplot(3,1,2); plot(noisePows,pam4_err); title("4PAM Error vs
    Relative Signal Power")
subplot(3,1,3); plot(noisePows,pam6_err); title("6PAM Error vs
    Relative Signal Power")

% For a signal power of 1, the 4PAM system acheives 0 error. The 2PAM
% system can match this error rate with a power around 0.14, while the
    6PAM
% system does not settle to 0 error until a signal power level of
    about
% 1.83. To find calculate this mathematically, I would suggest trying
    to
% find a logarithmic curve which matches the drop of of the 2PAM,
    4PAM, and
% 6PAM systems and solving for the point where the 2PAM and 6PAM
    systems
% have the same error rate as the 4PAM system does with a signal power
    of
% 1.
```



## 14.16

Consider the source with  $N = 5$  symbols with probabilities  $p(x_1) = 1/16$ ,  $p(x_2) = 1/8$ ,  $p(x_3) = 1/4$ ,  $p(x_4) = 1/16$ , and  $p(x_5) = 1/2$ .

- % a. What is the entropy of this source?
- % b. Build the Huffman chart.
- % c. Show that the Huffman code is
  - %  $x_1 \rightarrow 0001$ ,  $x_2 \rightarrow 001$ ,  $x_3 \rightarrow 01$ ,  $x_4 \rightarrow 0000$ , and  $x_5 \rightarrow 1$ .
- % d. What is the efficiency of this code?
- % e. If this source were encoded naively, how many bits per symbol would be needed? What is the efficiency?
- % Scan of Handwritten Solution Attached

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14.16

$$N=5, \quad p(x_1) = 1/16, \quad p(x_2) = 1/8, \quad p(x_3) = 1/4 \\ p(x_4) = 1/16, \quad p(x_5) = 1/2$$

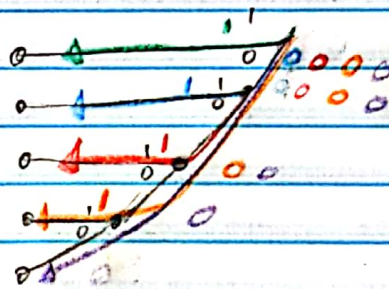
$$a) \quad H(x) = \sum_{i=1}^N p(x_i) \log_2 \left( \frac{1}{p(x_i)} \right) = - \sum_{i=1}^N p(x_i) \log_2 (p(x_i))$$

$$H(x) = - \frac{1}{16} \log_2 \left( \frac{1}{2^4} \right) - \frac{1}{8} \log_2 \left( \frac{1}{2^3} \right) - \frac{1}{4} \log_2 \left( \frac{1}{2^2} \right) \\ - \frac{1}{16} \log_2 \left( \frac{1}{2^4} \right) - \frac{1}{2} \log_2 \left( \frac{1}{2^1} \right) \\ = \frac{4}{16} + \frac{3}{8} + \frac{2}{4} + \frac{4}{16} + \frac{1}{2}$$

$$H(x) = 1.875$$

b)

$x_i$	$p(x_i)$
$x_5$	0.5
$x_3$	0.25
$x_2$	0.125
$x_1$	0.0625
$x_4$	0.0625



c)

$x_1$	0 0 0 1
$x_2$	0 0 1
$x_3$	0 1
$x_4$	0 0 0 0
$x_5$	1

$$\frac{4 + 3 + 2 + 4 + 1}{5} = \frac{14}{5} = 2.8$$

d) efficiency =  $\frac{\text{entropy}}{\text{average \#bits per symbol}} = \frac{1.875}{2.8} = 0.670$

e) Naive encoding: 000, 001, 010, 011, 100, 3 bits per symbol  
 efficiency =  $\frac{1.875}{3} = 0.625$ , worse efficiency than the variable-rate code.