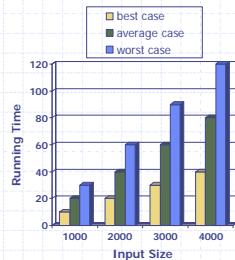


## G52ADS: second lecture on Analysis of Algorithms

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## Running Time

- Most algorithms transform input objects into output objects.
- The running time of an algorithm typically grows with the input size.

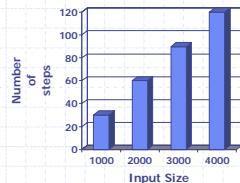


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## Counting basic operations

- issues with implementing an algorithm in a particular language and running it on particular hardware
- Instead we determine how many steps the algorithm has to perform, as a function of the input size, in the worst case



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## Primitive Operations

- Basic computations performed by an algorithm
- Assumed to take a constant amount of time in the RAM model

- Examples:
  - Evaluating an expression
  - Assigning a value to a variable
  - Indexing into an array
  - Calling a method
  - Returning from a method

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## Counting Primitive Operations

- By inspecting the pseudocode, we can determine the maximum number of primitive operations executed by an algorithm, as a function of the input size

```
Algorithm arrayMax(A, n)
  currentMax ← A[0]           # operations
                                2
  for i ← 1 to n - 1 do       2n
    if A[i] > currentMax then 2(n - 1)
      currentMax ← A[i]        2(n - 1)
    { increment counter i }   2(n - 1)
  return currentMax           1
                                Total 8n - 3
```

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## Another example

Algorithm: *alg*

Input: positive integer  $n$ , which is a power of 2  
Output: integer  $m$  such that  $2^m = n$

```
m ← 0
while ( $n \geq 2$ )
  n ←  $n/2$ 
  m ++
return m
```

1	$\log_2(n)$
$\log_2(n)$	2 $\log_2(n)$
2 $\log_2(n)$	2 $\log_2(n)$
2 $\log_2(n)$	1
all together $5 \log_2(n) + 2$	

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## Seven Important Functions

- Seven functions that often appear in algorithm analysis:
  - Constant  $\approx 1$
  - Logarithmic  $\approx \log n$
  - Linear  $\approx n$
  - $N\text{-Log-}N \approx n \log n$
  - Quadratic  $\approx n^2$
  - Cubic  $\approx n^3$
  - Exponential  $\approx 2^n$

$T(n) = 1$ ,  $T(n) = \log_2 n$ ,  
 $T(n) = n$ ,  $T(n) = n^2$

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## Big-Oh Notation

- Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for  $n \geq n_0$
- Example:  $2n + 10$  is  $O(n)$ 
  - $2n + 10 \leq cn$
  - $(c - 2)n \geq 10$
  - $n \geq 10/(c - 2)$
  - Pick  $c = 3$  and  $n_0 = 10$

$3n$ ,  $2n+10$ ,  $n$

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## Big-Oh Example

- Example: the function  $n^2$  is not  $O(n)$ 
  - $n^2 \leq cn$
  - $n \leq c$
  - The above inequality cannot be satisfied since  $c$  must be a constant

$n^2$ ,  $100n$ ,  $10n$ ,  $n$

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## More Big-Oh Examples

- $7n^2$  is  $O(n)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $7n^2 \leq c \cdot n$  for  $n \geq n_0$   
 this is true for example for  $c = 7$  and  $n_0 = 1$
- $3n^3 + 20n^2 + 5$  is  $O(n^3)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$   
 this is true for  $c = 4$  and  $n_0 = 21$
- $3 \log n + 5$   
 $3 \log n + 5$  is  $O(\log n)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$   
 this is true for  $c = 8$  and  $n_0 = 2$

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## Big-Oh and Growth Rate

- The big-Oh notation gives an upper bound on the growth rate of a function
- The statement " $f(n)$  is  $O(g(n))$ " means that the growth rate of  $f(n)$  is no more than the growth rate of  $g(n)$
- We can use the big-Oh notation to rank functions according to their growth rate

	$f(n)$ is $O(g(n))$	$g(n)$ is $O(f(n))$
$g(n)$ grows more	Yes	No
$f(n)$ grows more	No	Yes
Same growth	Yes	Yes

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## Big-Oh Rules

- If  $f(n)$  is a polynomial of degree  $d$ , then  $f(n)$  is  $O(n^d)$ , i.e.,
  - Drop lower-order terms
  - Drop constant factors
- Use the smallest possible class of functions
  - Say " $2n$  is  $O(n)$ " instead of " $2n$  is  $O(n^2)$ "
- Use the simplest expression of the class
  - Say " $3n + 5$  is  $O(n)$ " instead of " $3n + 5$  is  $O(3n)$ "

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## Asymptotic Algorithm Analysis

- The asymptotic analysis of an algorithm determines the running time in big-Oh notation
- To perform the asymptotic analysis
  - We find the worst-case number of primitive operations executed as a function of the input size
  - We express this function with big-Oh notation
- Example:
  - We determine that algorithm `arrayMax` executes at most  $8n - 3$  primitive operations
  - We say that algorithm `arrayMax` "runs in  $O(n)$  time"
- Since constant factors and lower-order terms are eventually dropped anyhow, we can disregard them when counting primitive operations

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## Computing Prefix Averages

- We further illustrate asymptotic analysis with two algorithms for prefix averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i+1)$  elements of  $X$ :

$$A[i] = (X[0] + X[1] + \dots + X[i])/(i+1)$$

- Computing the array  $A$  of prefix averages of another array  $X$  has applications to financial analysis

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## Prefix Averages (Quadratic)

- The following algorithm computes prefix averages in quadratic time by applying the definition

```

Algorithm prefixAverages1(X, n)
  Input array  $X$  of  $n$  integers
  Output array  $A$  of prefix averages of  $X$  #operations
     $A \leftarrow$  new array of  $n$  integers  $n$ 
    for  $i \leftarrow 0$  to  $n - 1$  do  $n$ 
      for  $j \leftarrow 1$  to  $i$  do  $1 + 2 + \dots + (n - 1)$ 
         $s \leftarrow s + X[j]$   $1 + 2 + \dots + (n - 1)$ 
       $A[i] \leftarrow s / (i + 1)$   $n$ 
    return  $A$   $1$ 

```

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## Arithmetic Progression

- The running time of `prefixAverages1` is  $O(1 + 2 + \dots + n)$
- The sum of the first  $n$  integers is  $n(n + 1) / 2$ 
  - There is a simple visual proof of this fact
- Thus, algorithm `prefixAverages1` runs in  $O(n^2)$  time

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## Other way...

$$1 + 2 + \dots + (n-1) + n = ?$$

Easier to compute the sum twice:

$$\begin{aligned} & 1 + 2 + \dots + (n-1) + n \\ & + \\ & n + (n-1) + \dots + 2 + 1 \\ & = (n+1) + (n+1) + \dots + (n+1) + (n+1) = n(n+1) \end{aligned}$$

...and divide by 2:

$$1 + 2 + \dots + (n-1) + n = n(n+1)/2.$$

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## Prefix Averages (Linear)

- The following algorithm computes prefix averages in linear time by keeping a running sum

```

Algorithm prefixAverages2(X, n)
  Input array  $X$  of  $n$  integers
  Output array  $A$  of prefix averages of  $X$  #operations
     $A \leftarrow$  new array of  $n$  integers  $n$ 
     $s \leftarrow 0$   $1$ 
    for  $i \leftarrow 0$  to  $n - 1$  do  $n$ 
       $s \leftarrow s + X[i]$   $n$ 
       $A[i] \leftarrow s / (i + 1)$   $n$ 
    return  $A$   $1$ 

```

- Algorithm `prefixAverages2` runs in  $O(n)$  time

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## Math you need to Review

- ◆ Summations
- ◆ Logarithms and Exponents

- ◆ **properties of logarithms:**

$$\begin{aligned}\log_b(xy) &= \log_b x + \log_b y \\ \log_b(x/y) &= \log_b x - \log_b y \\ \log_b x^a &= a \log_b x \\ \log_a a &= \log_a a \log_a b\end{aligned}$$

- ◆ **properties of exponentials:**

$$\begin{aligned}a^{(b+c)} &= a^b a^c \\ a^{bc} &= (a^b)^c \\ a^b / a^c &= a^{(b-c)} \\ b &= a^{\log_a b} \\ b^c &= a^{c \log_a b}\end{aligned}$$

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## Relatives of Big-Oh

- ◆ **big-Omega**

- $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

- ◆ **big-Theta**

- $f(n)$  is  $\Theta(g(n))$  if there are constants  $c' > 0$  and  $c'' > 0$  and an integer constant  $n_0 \geq 1$  such that  $c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n)$  for  $n \geq n_0$

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## Intuition for Asymptotic Notation

### Big-Oh

- $f(n)$  is  $O(g(n))$  if  $f(n)$  is asymptotically **less than or equal** to  $g(n)$

### big-Omega

- $f(n)$  is  $\Omega(g(n))$  if  $f(n)$  is asymptotically **greater than or equal** to  $g(n)$

### big-Theta

- $f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is asymptotically **equal** to  $g(n)$

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## Informal coursework

- Please see the module web page:

<http://www.cs.nott.ac.uk/~nza/G52ADS>

- Tutorials to help with the mathematics involved: is Thursdays at 3 a good time? (I have not booked a room yet).

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