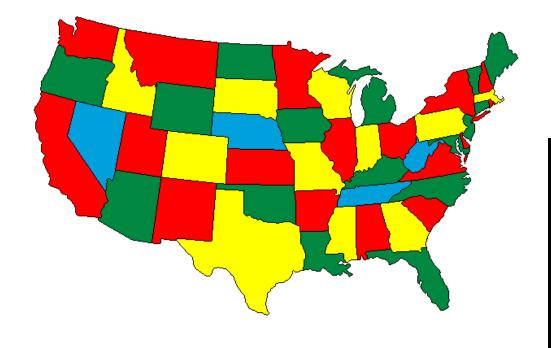
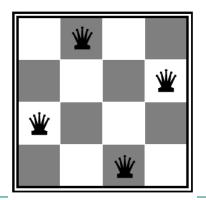
# Chapter 5 Constraint Satisfaction Problems

BBM 405 – Fundamentals of Artificial Intelligence Pinar Duygulu

Slides are mostly adapted from AIMA and MIT Open Courseware





8			4		6			7
						4		
	1					6	5	
5		9		3		7	8	
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	4	8		2		1		3
	5	2					9	
		1						
3			တ		2			5

## What is search for?

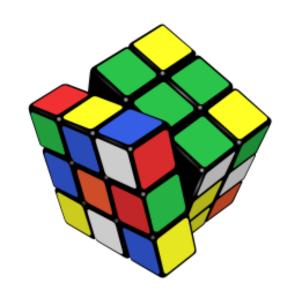
 Assumptions: single agent, deterministic, fully observable, discrete environment

# • Search for *planning*

- The path to the goal is the important thing
- Paths have various costs, depths

# • Search for assignment

- Assign values to variables while respecting certain constraints
- The goal (complete, consistent assignment) is the important thing



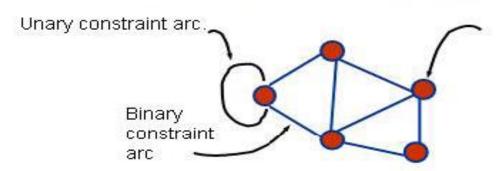
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	5	2					9	
		1						
3			9		2			5

# Constraint satisfaction problems (CSPs)

- Definition:
  - State is defined by variables  $X_i$  with values from domain  $D_i$
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables
  - Solution is a complete, consistent assignment
- How does this compare to the "generic" tree search formulation?
  - A more structured representation for states, expressed in a formal representation language
  - Allows useful general-purpose algorithms with more power than standard search algorithms

## **Constraint Satisfaction Problems**

#### General class of Problems: Binary CSP



Variable V<sub>i</sub> with ∨alues in domain D<sub>i</sub>

Unary constraints just cut down domains

This diagram is called a constraint graph

Basic problem:

Find a  $d_j \in D_i$  for each  $V_i$  s.t. all constraints satisfied (finding consistent labeling for variables)



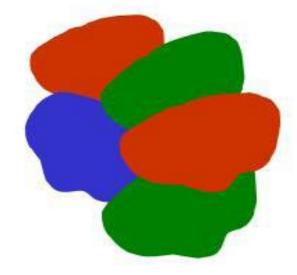
## Graph Coloring as CSP

Pick colors for map regions, avoiding coloring adjacent regions with the same color

Variables regions

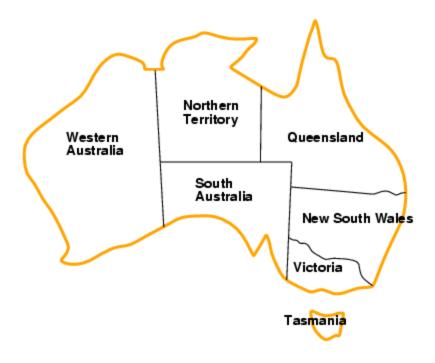
**Domains** colors allowed

Constraints adjacent regions must have different colors



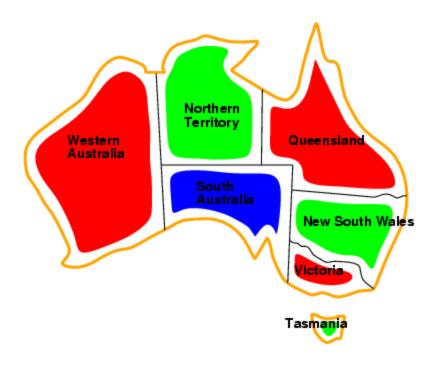


# Example: Map Coloring



- Variables: WA, NT, Q, NSW, V, SA, T
- **Domains:** {red, green, blue}
- Constraints: adjacent regions must have different colors e.g., WA ≠ NT, or (WA, NT) in {(red, green), (red, blue), (green, red), (green, blue), (blue, red), (blue, green)}

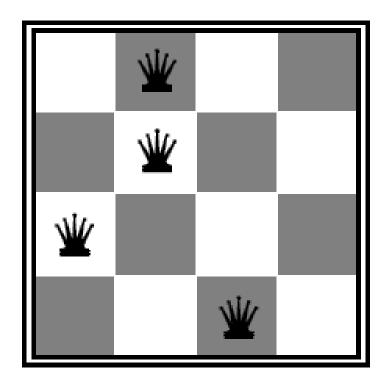
# Example: Map Coloring

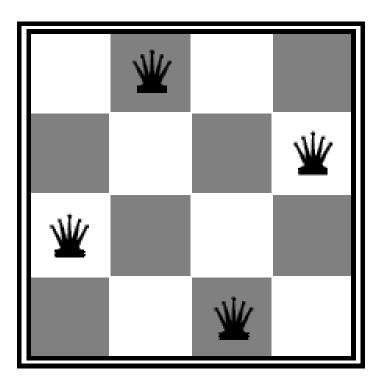


Solutions are *complete* and *consistent* assignments, e.g.,
 WA = red, NT = green, Q = red, NSW = green,
 V = red, SA = blue, T = green

# Example: *n*-queens problem

• Put n queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal

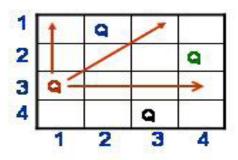




## N-Queens as CSP

#### Classic "benchmark" problem

Place N queens on an NxN chessboard so that none can attack the other.



Variables are board positions in NxN chessboard

**Domains** Queen or blank

Constraints Two positions on a line (vertical, horizontal, diagonal) cannot both be Q



# Example: N-Queens

- Variables:  $X_{ij}$
- **Domains:** {0, 1}
- Constraints:

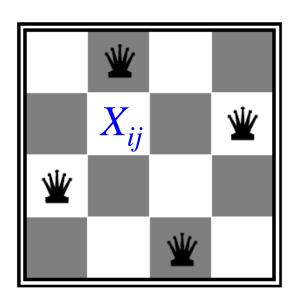
$$\Sigma_{i,j} X_{ij} = N$$

$$(X_{ij}, X_{ik}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$(X_{ij}, X_{kj}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$(X_{ij}, X_{i+k, j+k}) \in \{(0, 0), (0, 1), (1, 0)\}$$

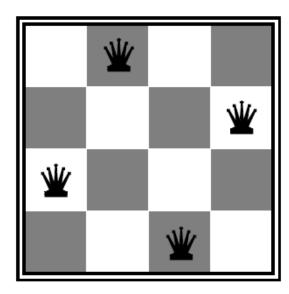
$$(X_{ij}, X_{i+k, j-k}) \in \{(0, 0), (0, 1), (1, 0)\}$$



# N-Queens: Alternative formulation

- Variables:  $Q_i$
- **Domains:**  $\{1, ..., N\}$
- Constraints:

 $\forall i, j \text{ non-threatening } (Q_i, Q_j)$ 



# Example: Cryptarithmetic

• Variables: T, W, O, F, U, R

$$X_1, X_2$$

- **Domains**:  $\{0, 1, 2, ..., 9\}$
- Constraints:

$$O + O = R + 10 * X_1$$
 $W + W + X_1 = U + 10 * X_2$ 
 $T + T + X_2 = O + 10 * F$ 
Alldiff(T, W, O, F, U, R)
 $T \neq 0, F \neq 0$ 

# Example: Sudoku

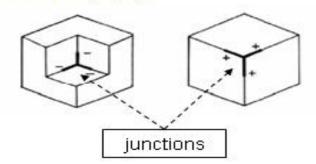
- Variables:  $X_{ij}$
- **Domains:**  $\{1, 2, ..., 9\}$
- Constraints:

Alldiff( $X_{ij}$  in the same *unit*)

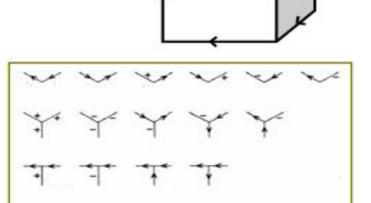
					8			4
	8	4		1	6			
		100	5			1		
1		3	8			9	7.	
6		8		$X_{i}$	j	4		3
		2		00 0	9	5	133	1
		7	П		2			
			7	8		2	6	
2			3					

## Line labelings as CSP

Label lines in drawing as convex (+), concave (-), or boundary (>).



Variables are line junctions



All legal junction labels for four junction types

Domains

are set of legal labels for that junction type

Constraints

shared lines between adjacent junctions must have same label.



## 3-SAT as CSP

#### The original NP-complete problem

(A or B or !C) and (!A or C or B) ...

Find values for boolean variables A,B,C,... that satisfy the formula.

Variables clauses

Domains boolean variable assignments that make

clause true

Constraints clauses with shared boolean variables must

agree on value of variable



## Real-world CSPs

- Assignment problems
  - e.g., who teaches what class
- Timetable problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling

• More examples of CSPs: <a href="http://www.csplib.org/">http://www.csplib.org/</a>

# Scheduling as CSP

Choose time for activities e.g. observations on Hubble telescope, or terms to take required classes.

activity

5
4
3
2
1
time

Variables are activities

**Domains** sets of start times (or "chunks" of time)

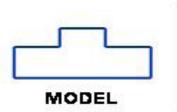
Constraints

- Activities that use same resource cannot overlap in time
- Preconditions satisfied



## Model-based recognition as CSP

Find given model in edge image, with rotation and translation allowed.





Variables edges in model

**Domains** set of edges in image

Constraints angle between model & image edges

must match



### Good News / Bad News

Good News - very general & interesting class problems

Bad News - includes NP-Hard (intractable) problems

So, good behavior is a function of domain not the formulation as CSP.



## **CSP Example**

Given 40 courses (8.01, 8.02, . . . . 6.840) & 10 terms (Fall 1, Spring 1, . . . . , Spring 5). Find a legal schedule.

Constraints Pre-requisites

Courses offered on limited terms

Limited number of courses per term

Avoid time conflicts

Note, CSPs are not for expressing (soft) preferences e.g., minimize difficulty, balance subject areas, etc.



#### Choice of variables & values

#### VARIABLES

#### DOMAINS

#### A. Terms?

Legal combinations of for example 4 courses (but this is huge set of values).

#### B. Term Slots?

subdivide terms into slots e.g. 4 of them (Fall 1,1) (Fall 1,2) (Fall1,3) (Fall 1,4) Courses offered during that term

#### C. Courses?

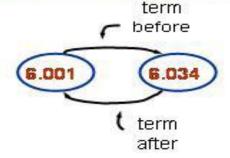
Terms or term slots (Term slots allow expressing constraint on limited number of of courses / term.)



#### Constraints

Use courses as variables and term slots as values.

Prerequisite >



For pairs of courses that must be ordered.

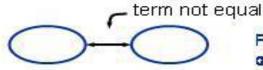
Courses offered only in some terms -

Filter domain



Use term-slots only once

Avoid time conflicts >



For pairs offered at same or overlapping times

tlp • Sept 00 • 19



# Standard search formulation (incremental)

#### States:

Variables and values assigned so far

#### Initial state:

The empty assignment

#### Action:

- Choose any unassigned variable and assign to it a value that does not violate any constraints
  - Fail if no legal assignments

#### Goal test:

The current assignment is complete and satisfies all constraints

# Standard search formulation (incremental)

- What is the depth of any solution (assuming *n* variables)?
   *n* (this is good)
- Given that there are m possible values for any variable, how many paths are there in the search tree?  $n! \cdot m^n$  (this is bad)
- How can we reduce the branching factor?

## Solving CSPs

#### Solving CSPs involves some combination of:

- Constraint propagation, to eliminate values that could not be part of any solution
- 2. Search, to explore valid assignments



## Constraint Propagation (aka Arc Consistency)

Arc consistency eliminates values from domain of variable that can never be part of a consistent solution.

$$V_i \rightarrow V_i$$

Directed arc  $(V_i, V_j)$  is arc consistent if  $\forall x \in D_i \exists y \in D_j$  such that (x,y) is allowed by the constraint on the arc

We can achieve consistency on arc by deleting values form D<sub>i</sub> (domain of variable at tail of constraint arc) that fail this condition.

Assume domains are size at most  $\underline{d}$  and there are  $\underline{e}$  binary constraints.

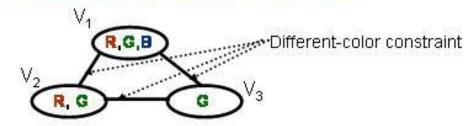
A simple algorithm for arc consistency is  $O(ed^3)$  – note that just verifying arc consistency takes  $O(d^2)$  for each arc.



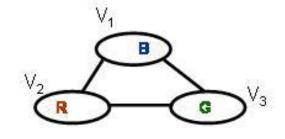
# **Constraint Propagation Example**

## **Graph Coloring**

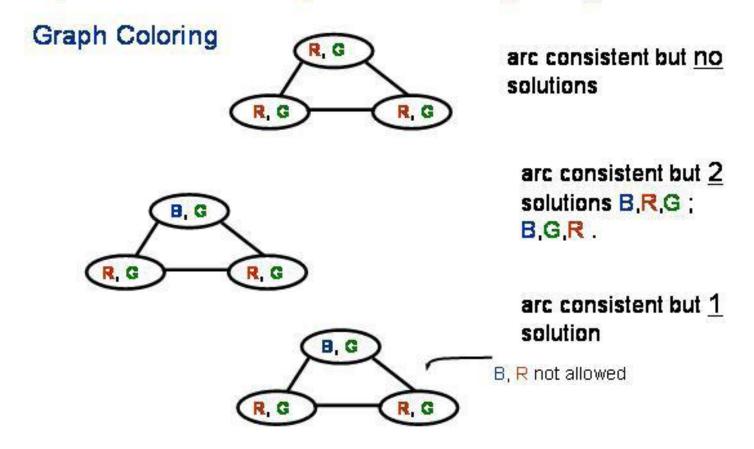
Initial Domains are indicated



Arc	examined	Value deleted
	$V_1 - V_2$	none
	$V_1 - V_3$	V <sub>1</sub> ( <b>G</b> )
	$V_2 - V_3$	V <sub>2</sub> ( <b>G</b> )
	$V_1 - V_2$	V <sub>1</sub> ( <b>R</b> )
	$V_1 - V_3$	none
	$V_2 - V_3$	none



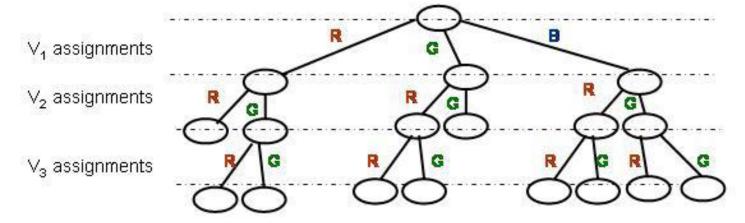
# But, arc consistency is not enough in general

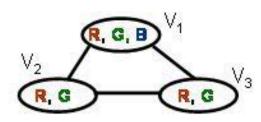


Need to do search to find solutions (if any)



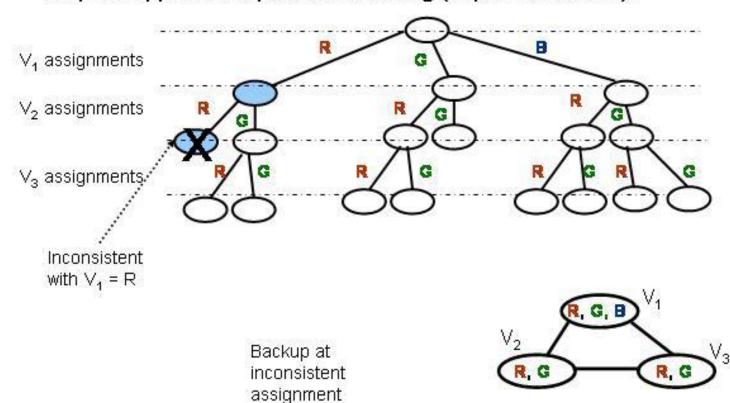
# Searching for solutions - backtracking (BT)



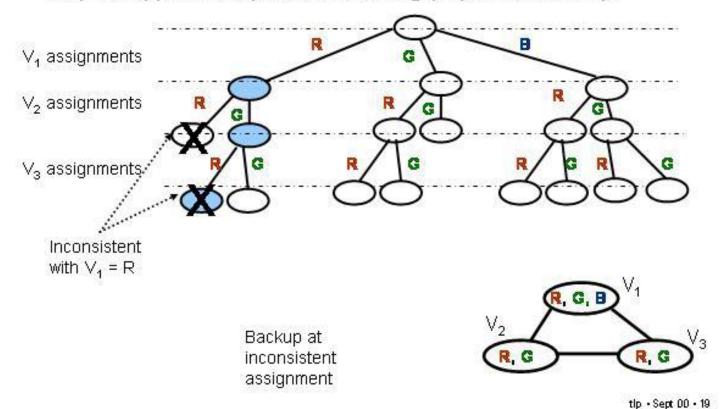




# Searching for solutions - backtracking (BT)

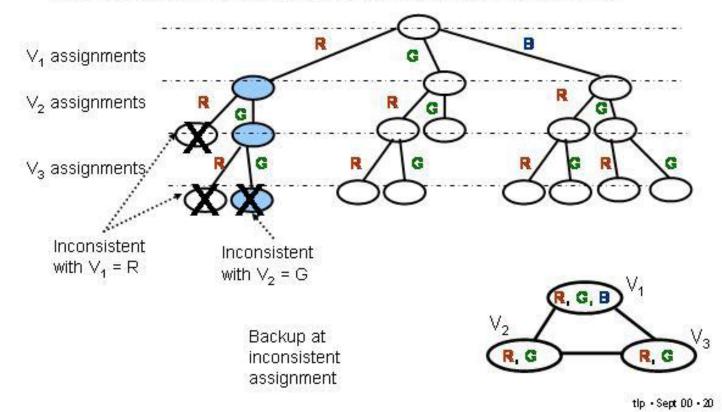


# Searching for solutions – backtracking (BT)



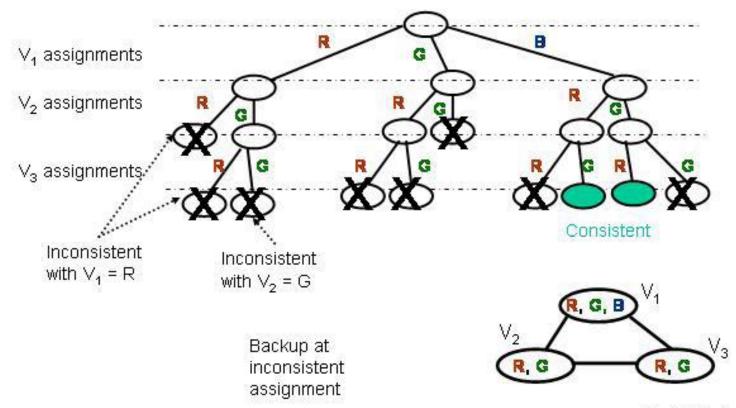


# Searching for solutions - backtracking (BT)





# Searching for solutions - backtracking (BT)





## Combine Backtracking & Constraint Propagation

A node in BT tree is <u>partial</u> assignment in which the domain of each variable has been set (tentatively) to singleton set.

Use constraint propagation (arc-consistency) to propagate the effect of this tentative assignment, i.e., eliminate values inconsistent with current values.

Question: How much propagation to do?

Answer: Not much, just local propagation from domains with

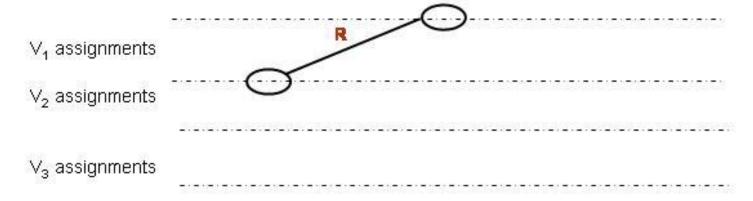
unique assignments, which is called forward checking (FC). This conclusion is not necessarily obvious, but it

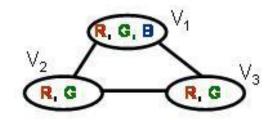
generally holds in practice.



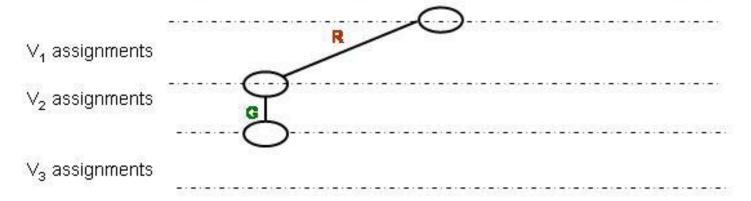
# Backtracking with Forward Checking (BT-FC)

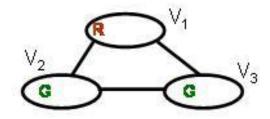
When examining assignment  $V_i=d_k$ , remove any values inconsistent with that assignment from neighboring domains in constraint graph.





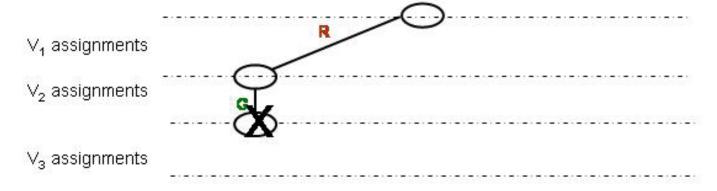




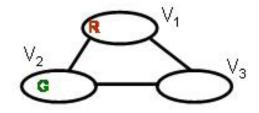




When examining assignment  $V_i=d_k$ , remove any values inconsistent with that assignment from neighboring domains in constraint graph.



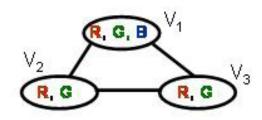
We have a conflict whenever a domain becomes empty.



When examining assignment  $V_i=d_k$ , remove any values inconsistent with that assignment from neighboring domains in constraint graph.

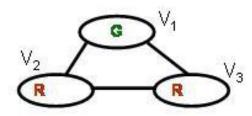
$V_1$ assignments	G \
$V_2$ assignments	
$V_3$ assignments	

When backing up, need to restore domain values, since deletions were done to reach consistency with tentative assignments considered during search.



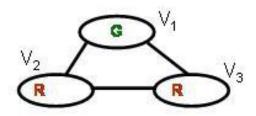


$V_{1}$ assignments	G /
$V_2$ assignments	
$V_3$ assignments	
N → october frei i i i i i october och i i october i i i i i i i i i i i i i i i i i i i	

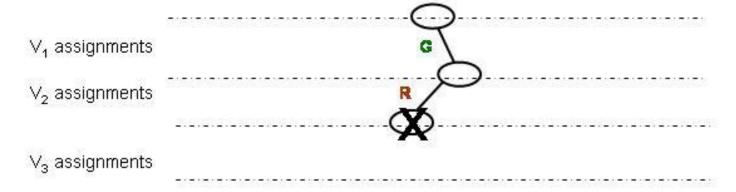


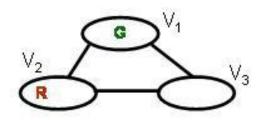


$V_{1}$ assignments	G /
$V_2$ assignments	R
V <sub>3</sub> assignments	



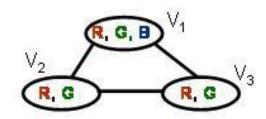


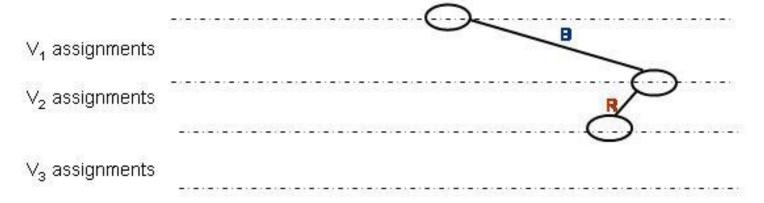


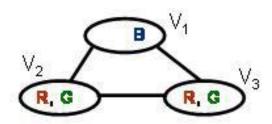




$\vee_{1}$ assignments	В
$V_2$ assignments	
$V_3$ assignments	

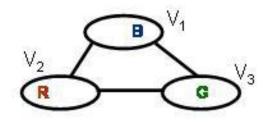




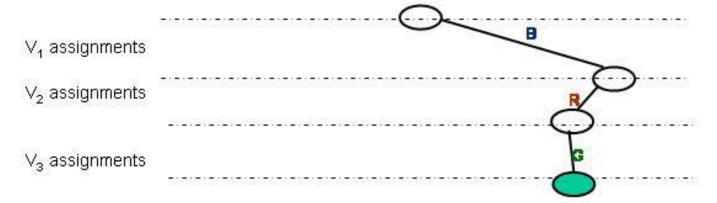


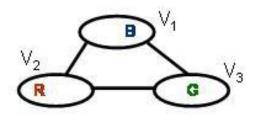


$V_{1}$ assignments	B
$V_2$ assignments	
V <sub>3</sub> assignments	

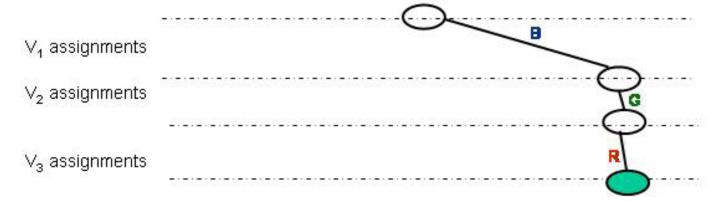


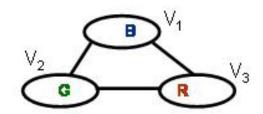






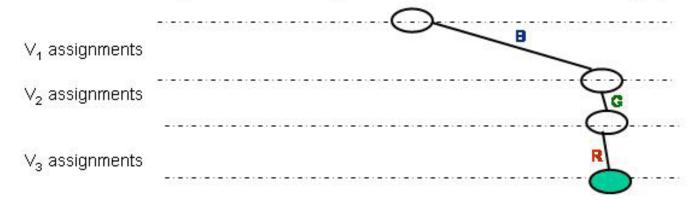




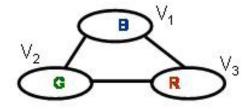




When examining assignment  $V_i=d_k$ , remove any values inconsistent with that assignment from neighboring domains in constraint graph.



No need to check previous assignments



Generally preferable to pure BT



### BT-FC with dynamic ordering

Traditional backtracking uses fixed ordering of variables & values, e.g., random order or place variables with many constraints first.

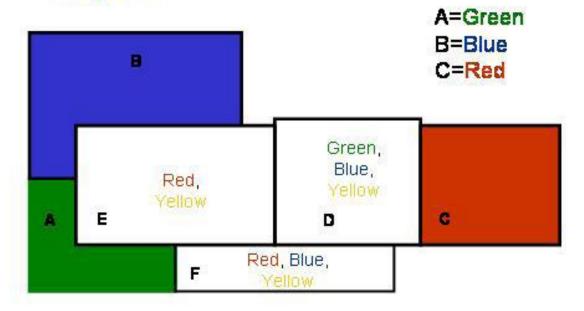
You can usually do better by choosing an order dynamically as the search proceeds.

- Most constrained variable
   when doing forward-checking, pick variable with fewest legal
   values to assign next (minimizes branching factor)
- Least constraining value
   choose value that rules out the fewest values from neighboring
   domains

E.g. this combination improves feasible n-queens performance from about n = 30 with just FC to about n = 1000 with FC & ordering.



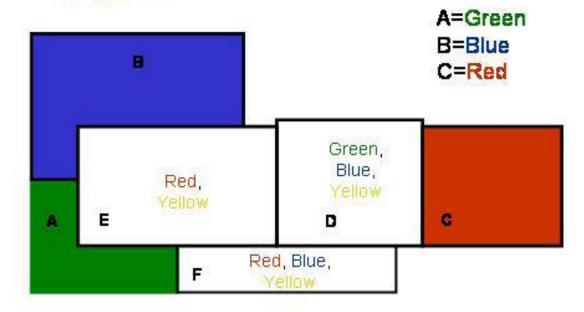
Colors: R, G, B, Y



Which country should we color next ---

What color should we pick for it? 
→

Colors: R, G, B, Y



Which country should we color next

What color should we pick for it?

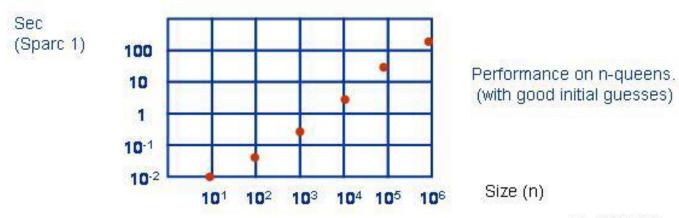
- → E most-constrained variable (smallest domain)
- → RED least-constraining value (eliminates fewest values from neighboring domains)



### Incremental Repair (min-conflict heuristic)

- Initialize a candidate solution using "greedy" heuristic get solution "near" correct one.
- Select a variable in conflict and assign it a value that minimizes the number of conflicts (beak ties randomly).

Can use this heuristic as part of systematic backtracker that uses heuristics to do value ordering or in a local hill-climber (without backup).





#### Min-conflict heuristic

The pure hill climber (without backtracking) can get stuck in local minima. Can add random moves to attempt getting out of minima – generally quite effective. Can also use weights on violated constraints & increase weight every cycle it remains violated.

#### **GSAT**

Randomized hill climber used to solve SAT problems. One of the most effective methods ever found for this problem



#### **GSAT as Heuristic Search**

- State space: Space of all full assignments to variables
- Initial state: A random full assignment
- Goal state: A satisfying assignment
- Actions: Flip value of one variable in current assignment
- Heuristic: The number of satisfied clauses (constraints); we want to maximize this. Alternatively, minimize the number of unsatisfied clauses (constraints).



### GSAT(F)

- For i=1 to Maxtries
  - Select a complete random assignment A
  - Score = number of satisfied clauses
  - For j=1 to Maxflips
    - If (A satisfies all clauses in F) return A
    - Else flip a variable that maximizes score
    - Flip a randomly chosen variable if no variable flip increases the score.



### WALKSAT(F)

- For i=1 to Maxtries
  - Select a complete random assignment A
  - Score = number of satisfied clauses
  - For j=1 to Maxflips
    - If (A satisfies all clauses in F) return A
    - Else
      - With probability p /\* GSAT \*/
        - » flip a variable that maximizes score
        - » Flip a randomly chosen variable if no variable flip increases the score.
      - With probability 1-p /\* Random Walk \*/
        - » Pick a random unsatisfied clause C
        - » Flip a randomly chosen variable in C

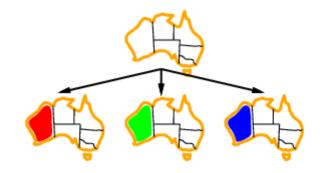


## Backtracking search

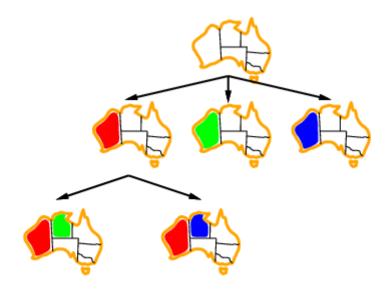
- In CSP's, variable assignments are commutative
  - For example, [WA = red then NT = green] is the same as [NT = green then WA = red]
- We only need to consider assignments to a single variable at each level (i.e., we fix the order of assignments)
  - Then there are only  $m^n$  leaves
- Depth-first search for CSPs with single-variable assignments is called **backtracking search**



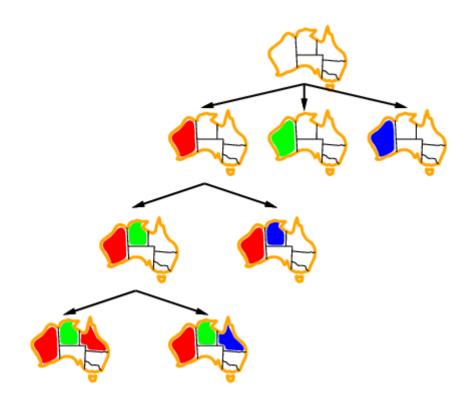














## Backtracking search algorithm

```
function RECURSIVE-BACKTRACKING (assignment, csp)

if assignment is complete then return assignment

var \leftarrow \text{SELECT-UNASSIGNED-VARIABLE}(\text{VARIABLES}[csp], assignment, csp)

for each value in ORDER-DOMAIN-VALUES (var, assignment, csp)

if value is consistent with assignment given CONSTRAINTS [csp]

add \{var = value\} to assignment

result \leftarrow \text{RECURSIVE-BACKTRACKING}(assignment, csp)

if result \neq failure then return result

remove \{var = value\} from assignment

return failure
```

- Making backtracking search efficient:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

### Most constrained variable:

- Choose the variable with the fewest legal values
- A.k.a. minimum remaining values (MRV) heuristic

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- Choose the variable that imposes the most constraints on the remaining variables
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# Given a variable, in which order should its values be tried?

- Choose the least constraining value:
  - The value that rules out the fewest values in the remaining variables

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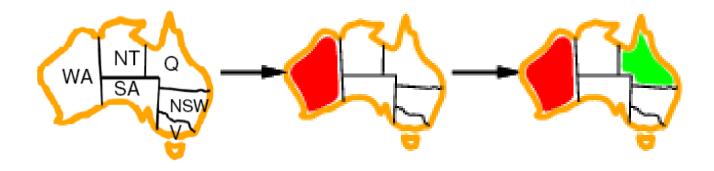
- Choose the least constraining value:
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# Early detection of failure

```
function Recursive-Backtracking(assignment, csp)
   if assignment is complete then return assignment
   var \leftarrow \text{SELECT-UNASSIGNED-VARIABLE}(\text{VARIABLES}[csp], assignment, csp)
   for each value in Order-Domain-Values (var, assignment, csp)
       if value is consistent with assignment given CONSTRAINTS[csp]
            add \{var = value\} to assignment
            result \leftarrow \text{Recursive-Backtracking}(assignment, csp)
            if result \neq failure then return result
            remove \{var = value\} from assignment
   return failure
            Apply inference to reduce the space of possible assignments and detect failure early
```

# Early detection of failure



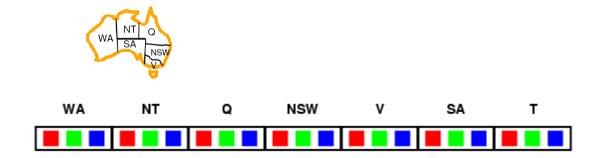
Apply *inference* to reduce the space of possible assignments and detect failure early

# Early detection of failure: Forward checking

- Keep track of remaining legal values for unassigned variables
- Terminate search when any variable has no legal values

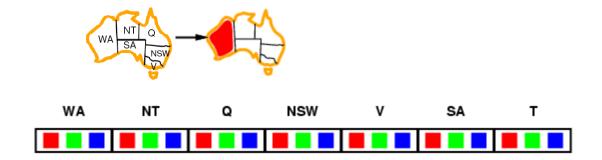
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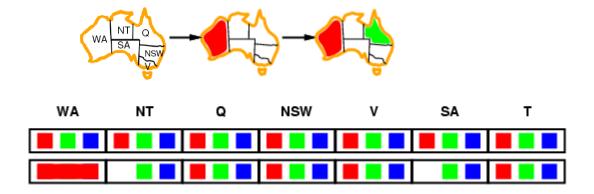
## Early detection of failure: Forward checking

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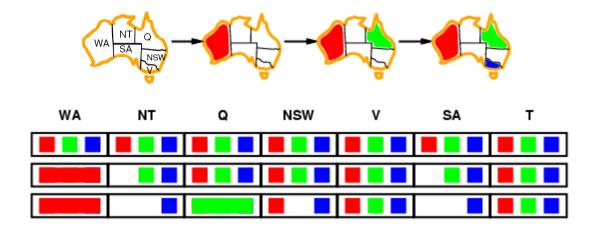
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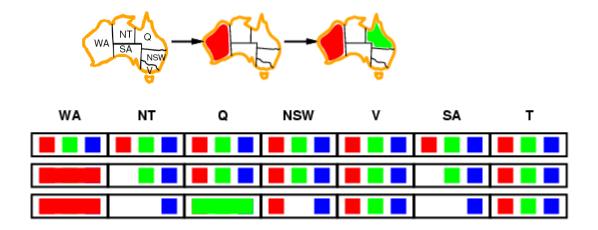
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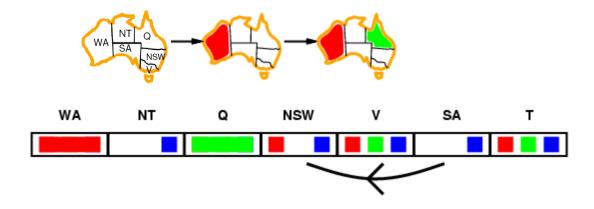
#### Constraint propagation

 Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures

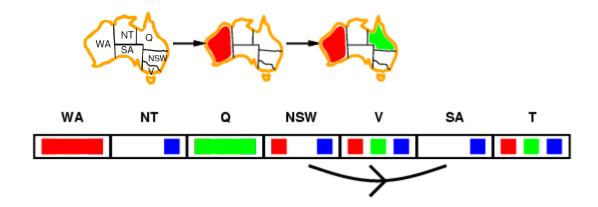


- NT and SA cannot both be blue!
- Constraint propagation repeatedly enforces constraints *locally*

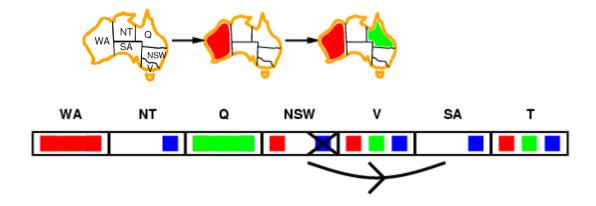
- Simplest form of propagation makes each pair of variables consistent:
  - $-X \rightarrow Y$  is consistent iff for every value of X there is some allowed value of Y



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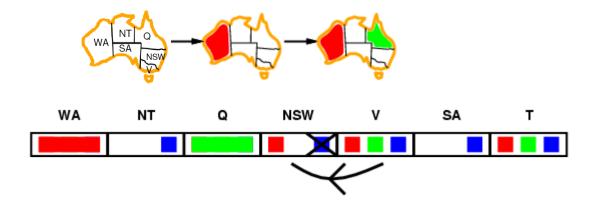


- Simplest form of propagation makes each pair of variables consistent:
  - $-X \rightarrow Y$  is consistent iff for every value of X there is some allowed value of Y
  - When checking  $X \rightarrow Y$ , throw out any values of X for which there isn't an allowed value of Y



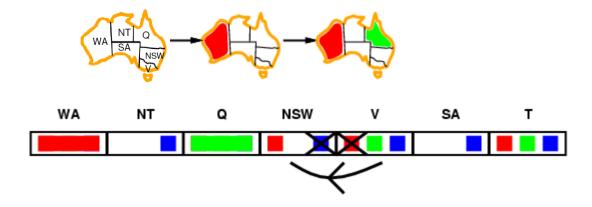
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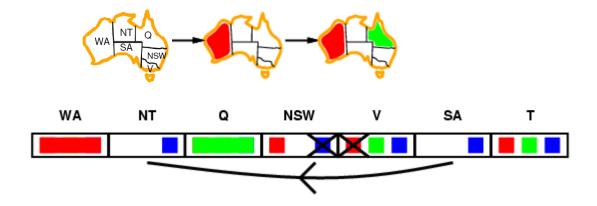
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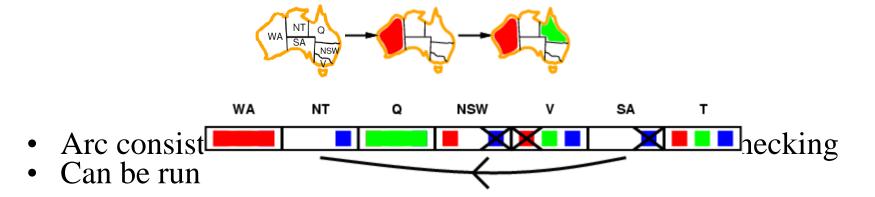


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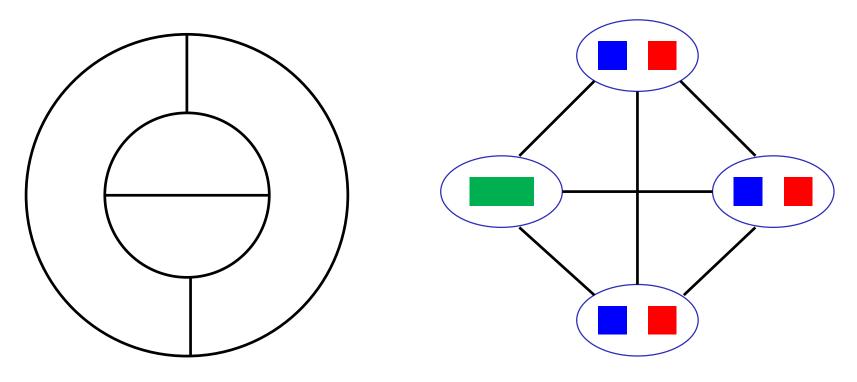


## Arc consistency algorithm AC-3

function AC-3( csp) returns the CSP, possibly with reduced domains

```
inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty
      (X_i, X_i) \leftarrow \text{Remove-First}(queue)
      if Remove-Inconsistent-Values (X_i, X_i) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_j) returns true iff succeeds
   removed \leftarrow false
   for each x in Domain[X_i]
      if no value y in DOMAIN[X<sub>j</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_j
         then delete x from Domain[X_i]; removed \leftarrow true
   return removed
```

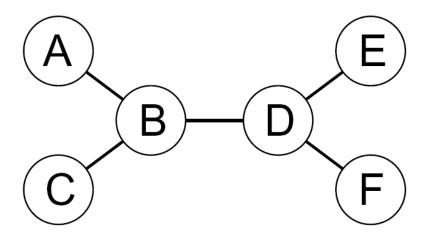
# Does arc consistency always detect the lack of a solution?



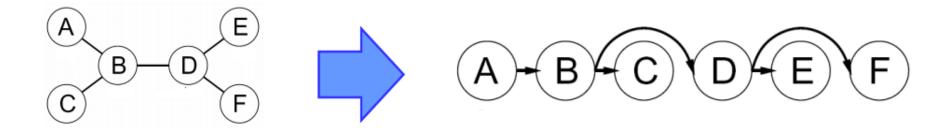
• There exist stronger notions of consistency (path consistency, k-consistency), but we won't worry about them

#### Tree-structured CSPs

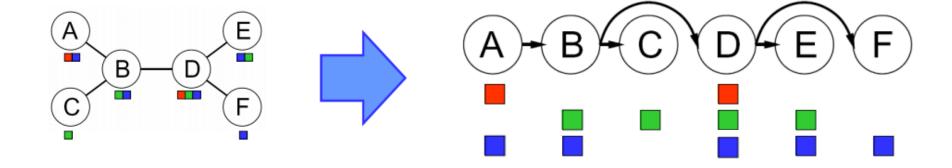
- Certain kinds of CSPs can be solved without resorting to backtracking search!
- Tree-structured CSP: constraint graph does not have any loops



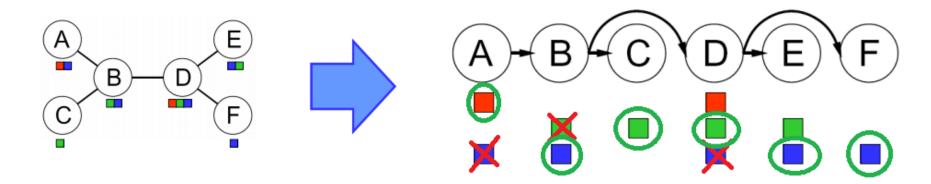
• Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



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- Backward removal phase: check arc consistency starting from the rightmost node and going backwards

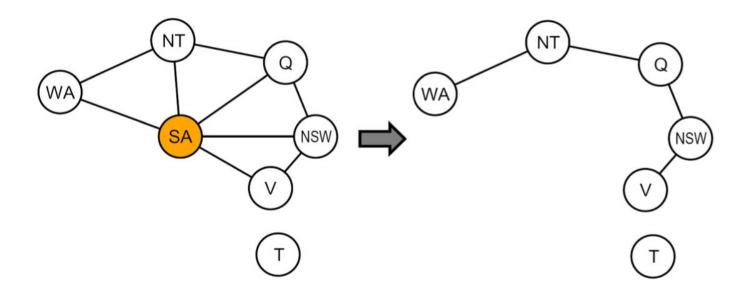


- Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- Backward removal phase: check arc consistency starting from the rightmost node and going backwards
- Forward assignment phase: select an element from the domain of each variable going left to right. We are guaranteed that there will be a valid assignment because each arc is consistent



- If n is the numebr of variables and m is the domain size, what is the running time of this algorithm?
  - O(nm²): we have to check arc consistency once for every node in the graph (every node has one parent), which involves looking at pairs of domain values

#### Nearly tree-structured CSPs



- Cutset conditioning: find a subset of variables whose removal makes the graph a tree, instantiate that set in all possible ways, prune the domains of the remaining variables and try to solve the resulting tree-structured CSP
- Cutset size c gives runtime  $O(m^c (n-c)m^2)$

- Running time is  $O(nm^2)$  (n is the number of variables, m is the domain size)
  - We have to check arc consistency once for every node in the graph (every node has one parent), which involves looking at pairs of domain values
- What about backtracking search for general CSPs?
  - Worst case  $O(m^n)$
- Can we do better?

#### Computational complexity of CSPs

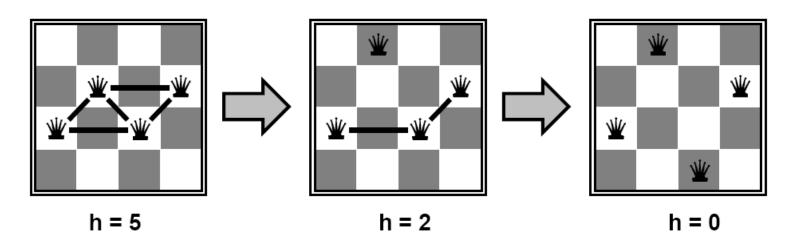
- The satisfiability (SAT) problem:
  - Given a Boolean formula, is there an assignment of the variables that makes it evaluate to true?

$$(X_1 \vee \overline{X}_7 \vee X_{13}) \wedge (\overline{X}_2 \vee X_{12} \vee X_{25}) \wedge \dots$$

- SAT is *Nr-complete* 
  - NP: class of decision problems for which the "yes" answer can be verified in polynomial time
  - An NP-complete problem is in NP and every other problem in NP can be efficiently reduced to it (Cook, 1971)
  - Other NP-complete problems: graph coloring,
     n-puzzle, generalized sudoku
  - It is not known whether P = NP, i.e., no efficient algorithms for solving SAT in general are known

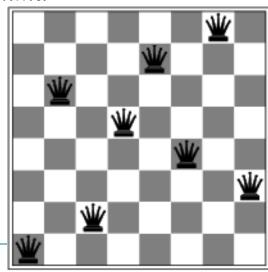
#### Local search for CSPs

- Start with "complete" states, i.e., all variables assigned
- Allow states with unsatisfied constraints
- Attempt to improve states by reassigning variable values
- Hill-climbing search:
  - In each iteration, randomly select any conflicted variable and choose value that violates the fewest constraints
  - I.e., attempt to greedily minimize total number of violated constraints



#### Local search for CSPs

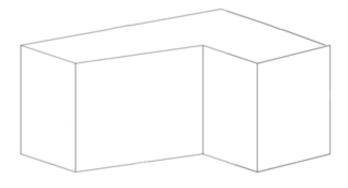
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  - Problem: *local minima*

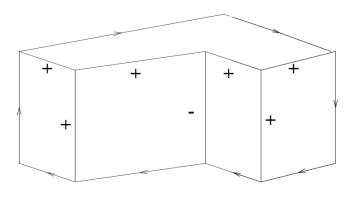


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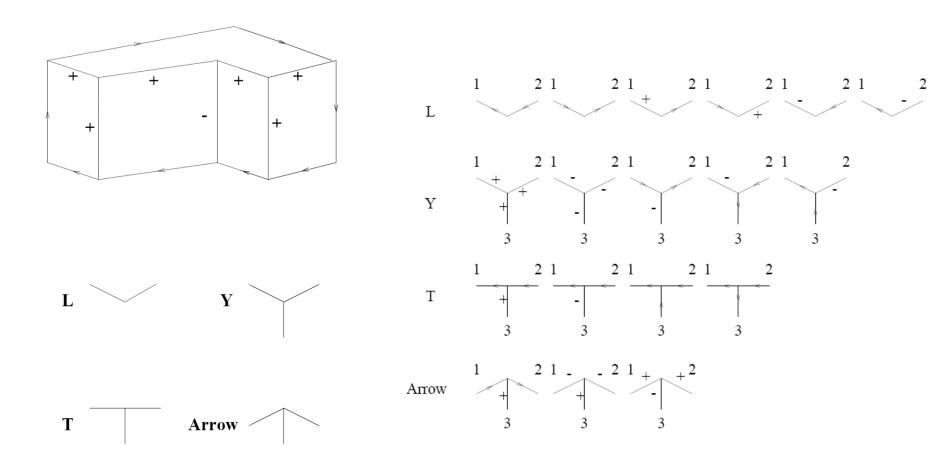
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- For more on local search, see ch. 4

#### CSP in computer vision: Line drawing interpretation





#### CSP in computer vision: Line drawing interpretation



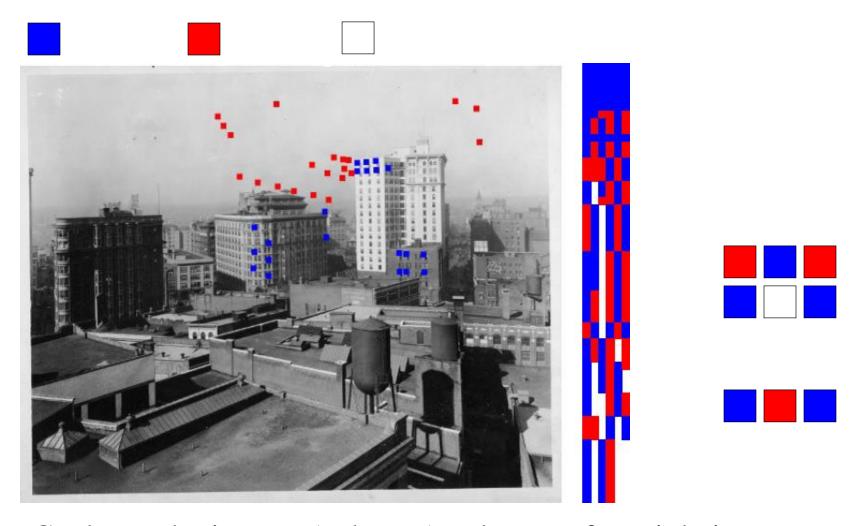
## CSP in computer vision: 4D Cities



**Inferring Temporal Order of** 

Images From 3D Structure

## CSP in computer vision: 4D Cities



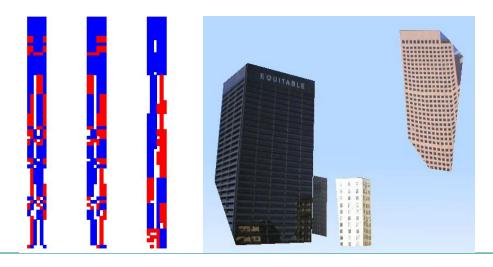
• Goal: reorder images (columns) to have as few violations as possible

### CSP in computer vision: 4D Cities

- Goal: reorder images (columns) to have as few violations as possible
- Local search: start with random ordering of columns, swap columns or groups of columns to reduce the number of conflicts



 Can also reorder the rows to group together points that appear and disappear at the same time – that gives you buildings



#### Summary

- CSPs are a special kind of search problem:
  - States defined by values of a fixed set of variables
  - Goal test defined by constraints on variable values
- **Backtracking** = depth-first search where successor states are generated by considering assignments to a single variable
  - Variable ordering and value selection heuristics can help significantly
  - Forward checking prevents assignments that guarantee later failure
  - Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Complexity of CSPs
  - NP-complete in general (exponential worst-case running time)
  - Efficient solutions possible for special cases (e.g., tree-structured CSPs)
- Alternatives to backtracking search: local search