



# Protocols and the TCP/IP Suite

---

## Chapter 4



# Key Features of a Protocol

---

- Syntax
  - Concerns the format of the data blocks
- Semantics
  - Includes control information for coordination and error handling
- Timing
  - Includes speed matching and sequencing



# Agents Involved in Communication

---

- Applications
  - Exchange data between computers (e.g., electronic mail)
- Computers
  - Connected to networks
- Networks
  - Transfers data from one computer to another



# TCP/IP Layers

---

- Physical layer
- Network access layer
- Internet layer
- Host-to-host, or transport layer
- Application layer



# TCP/IP Physical Layer

---

- Covers the physical interface between a data transmission device and a transmission medium or network
- Physical layer specifies:
  - Characteristics of the transmission medium
  - The nature of the signals
  - The data rate
  - Other related matters



# TCP/IP Network Access Layer

---

- Concerned with the exchange of data between an end system and the network to which it's attached
- Software used depends on type of network
  - Circuit switching
  - Packet switching (e.g., X.25)
  - LANs (e.g., Ethernet)
  - Others



# T:TCP/IP Internet Layer

---

- Uses internet protocol (IP)
- Provides routing functions to allow data to traverse multiple interconnected networks
- Implemented in end systems *and* routers



# TCP/IP Host-to-Host, or Transport Layer

---

- Commonly uses transmission control protocol (tcp)
- Provides reliability during data exchange
  - Completeness
  - Order





# TCP/IP Application Layer

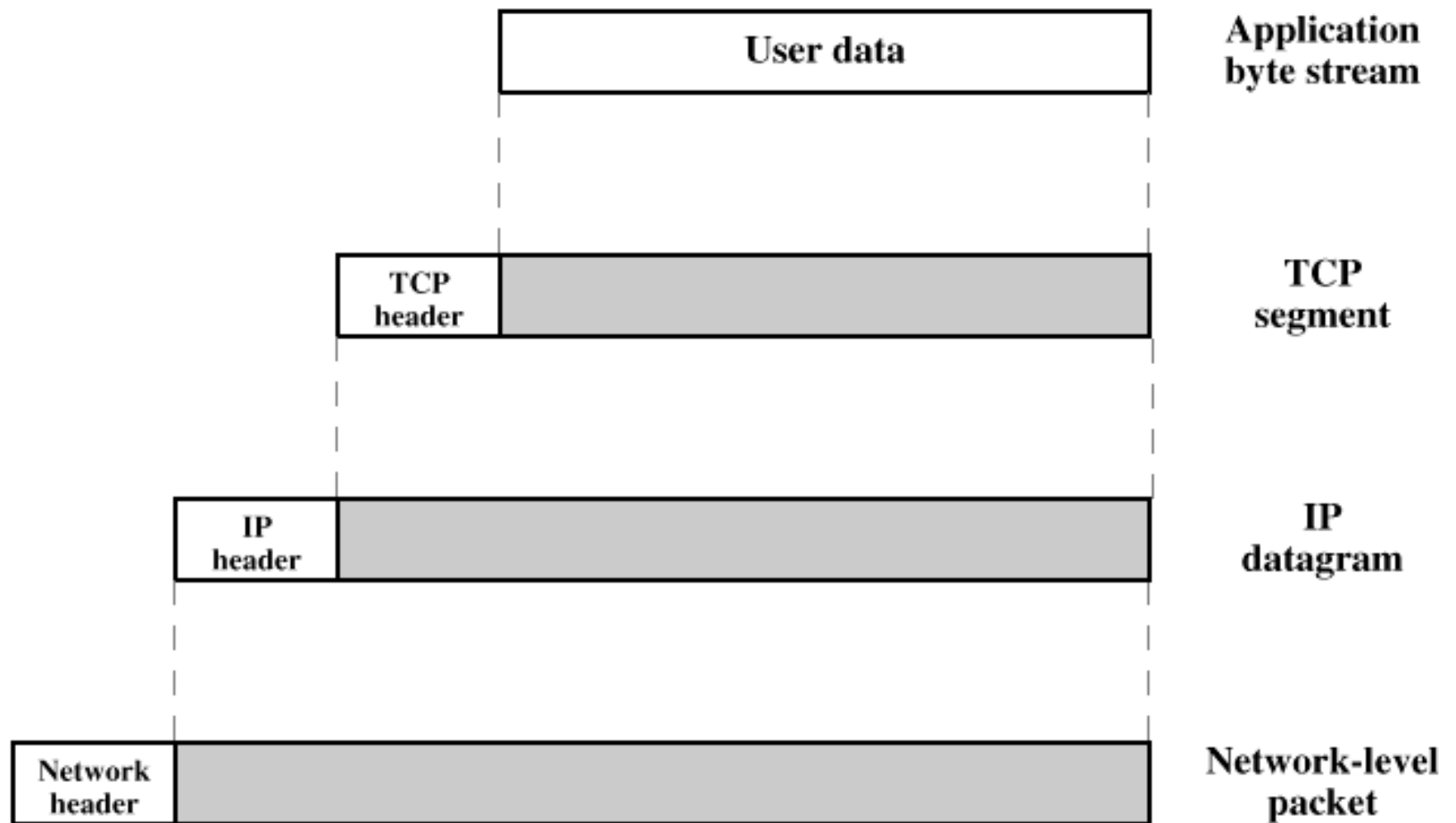
---

- Logic supports user applications
- Uses separate modules that are peculiar to each different type of application



# Protocol Data Units (PDUs)

---





# Layers of the OSI Model

---

- Application
- Presentation
- Session
- Transport
- Network
- Data link
- Physical



# OSI Application Layer

---

- Provides access to the OSI environment for users
- Provides distributed information services



# OSI Presentation Layer

---

- Provides independence to the application processes from differences in data representation (syntax)



# OSI Session Layer

---

- Provides the control structure for communication between applications
- Establishes, manages, and terminates connections (sessions) between cooperating applications



# OSI Transport Layer

---

- Provides reliable, transparent transfer of data between end points
- Provides end-to-end error recovery and flow control



# OSI Network Layer

---

- Provides upper layers with independence from the data transmission and switching technologies used to connect systems
- Responsible for establishing, maintaining, and terminating connections





# OSI Data link Layer

---

- Provides for the reliable transfer of information across the physical link
- Sends blocks (frames) with the necessary synchronization, error control, and flow control



# OSI Physical Layer

---

- Concerned with transmission of unstructured bit stream over physical medium
- Deals with accessing the physical medium
  - Mechanical characteristics
  - Electrical characteristics
  - Functional characteristics
  - Procedural characteristics



# Antennas and Propagation

---

## Chapter 5



# Introduction

---

- An antenna is an electrical conductor or system of conductors
  - Transmission - radiates electromagnetic energy into space
  - Reception - collects electromagnetic energy from space
- In two-way communication, the same antenna can be used for transmission and reception



# Radiation Patterns

---

- Radiation pattern
  - Graphical representation of radiation properties of an antenna
  - Depicted as two-dimensional cross section
- Beam width (or half-power beam width)
  - Measure of directivity of antenna
- Reception pattern
  - Receiving antenna's equivalent to radiation pattern



# Types of Antennas

---

- Isotropic antenna (idealized)
  - Radiates power equally in all directions
- Dipole antennas
  - Half-wave dipole antenna (or Hertz antenna)
  - Quarter-wave vertical antenna (or Marconi antenna)
- Parabolic Reflective Antenna



# Antenna Gain

---

- Antenna gain
  - Power output, in a particular direction, compared to that produced in any direction by a perfect omnidirectional antenna (isotropic antenna)
- Effective area
  - Related to physical size and shape of antenna



# Antenna Gain

---

- Relationship between antenna gain and effective area

$$G = \frac{4\pi A_e}{\lambda^2} = \frac{4\pi f^2 A_e}{c^2}$$

- $G$  = antenna gain
- $A_e$  = effective area
- $f$  = carrier frequency
- $c$  = speed of light ( $3 \times 10^8$  m/s)
- $\lambda$  = carrier wavelength



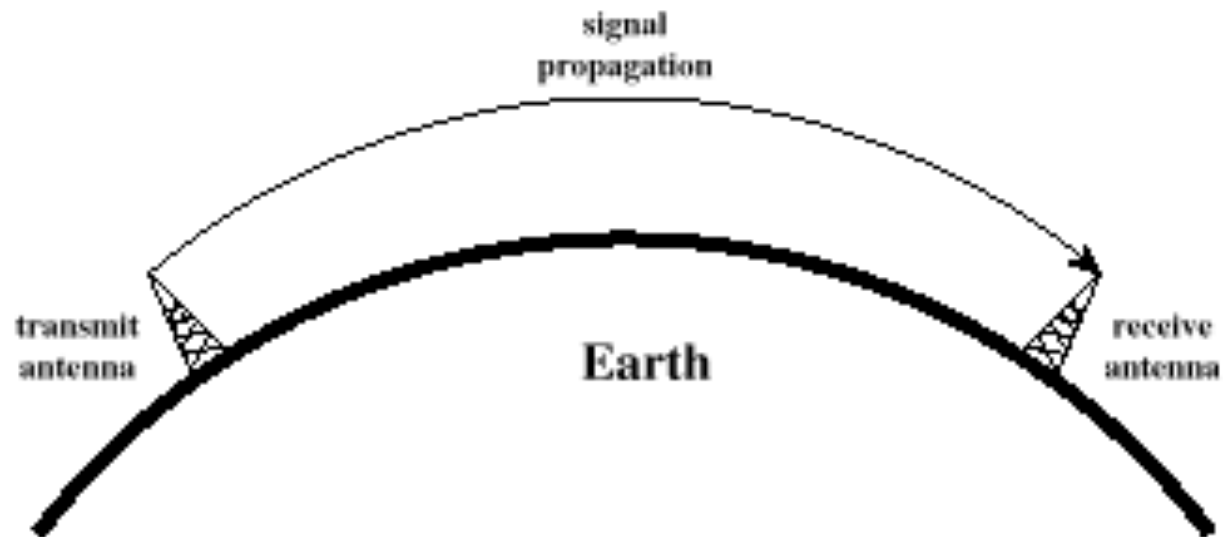


# Propagation Modes

---

- Ground-wave propagation
- Sky-wave propagation
- Line-of-sight propagation

# Ground Wave Propagation



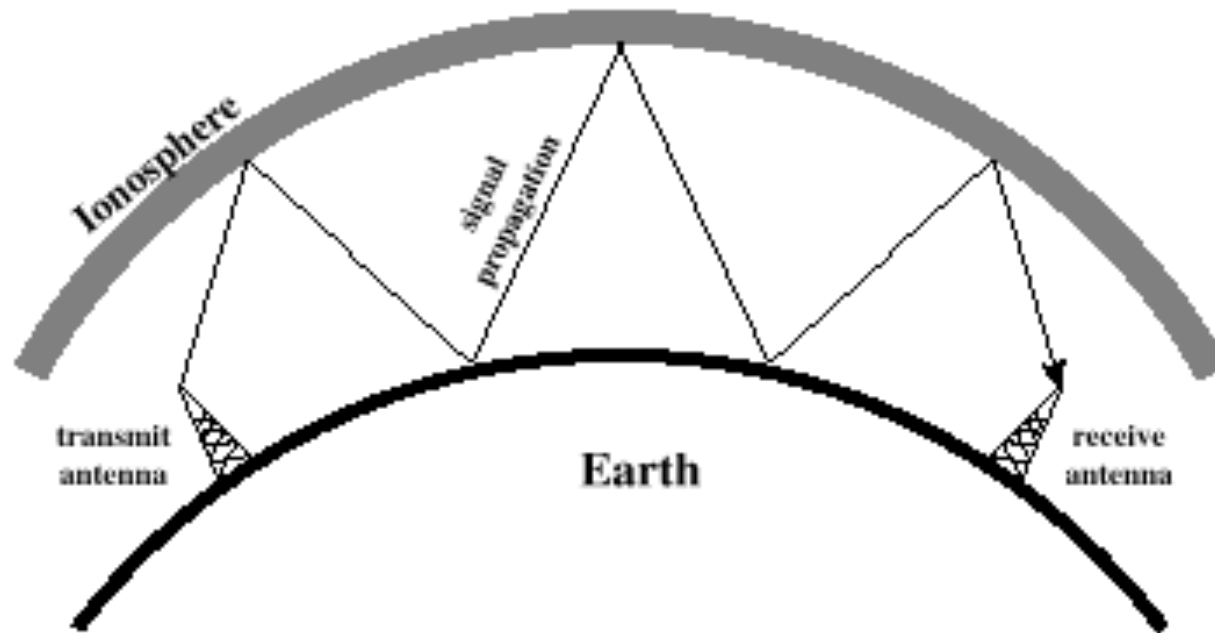


# Ground Wave Propagation

---

- Follows contour of the earth
- Can Propagate considerable distances
- Frequencies up to 2 MHz
- Example
  - AM radio

# Sky Wave Propagation



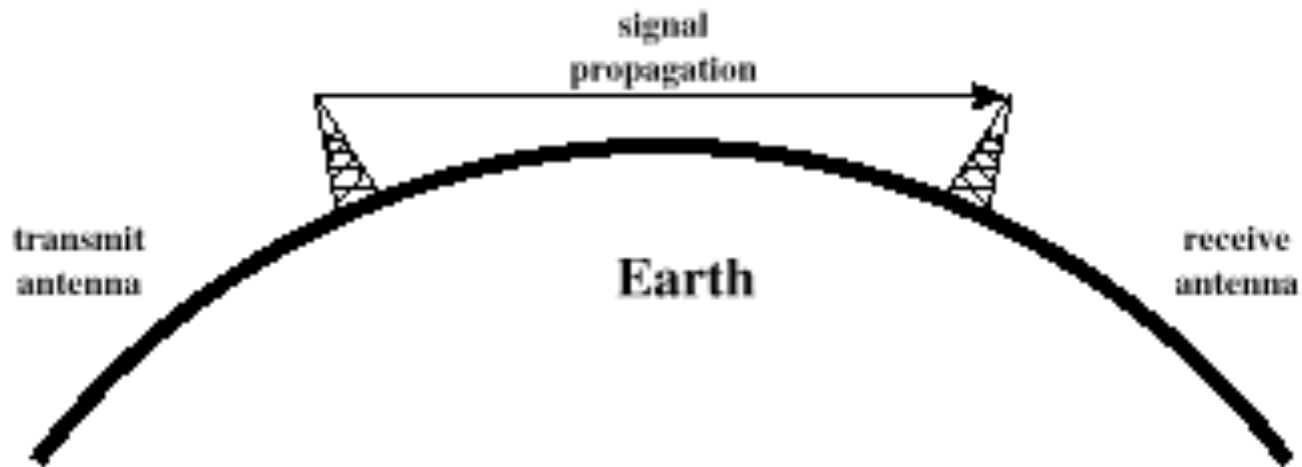


# Sky Wave Propagation

---

- Signal reflected from ionized layer of atmosphere back down to earth
- Signal can travel a number of hops, back and forth between ionosphere and earth's surface
- Reflection effect caused by refraction
- Examples
  - Amateur radio

# Line-of-Sight Propagation





# Line-of-Sight Propagation

---

- Transmitting and receiving antennas must be within line of sight
  - Satellite communication – signal above 30 MHz not reflected by ionosphere
  - Ground communication – antennas within *effective* line of site due to refraction
- Refraction – bending of microwaves by the atmosphere
  - Velocity of electromagnetic wave is a function of the density of the medium
  - When wave changes medium, speed changes
  - Wave bends at the boundary between mediums



# Line-of-Sight Equations

---

- Optical line of sight

$$d = 3.57\sqrt{h}$$

- Effective, or radio, line of sight

$$d = 3.57\sqrt{Kh}$$

- $d$  = distance between antenna and horizon (km)
- $h$  = antenna height (m)
- $K$  = adjustment factor to account for refraction,  
rule of thumb  $K = 4/3$





# Line-of-Sight Equations

---

- Maximum distance between two antennas for LOS propagation:

$$3.57 \left( \sqrt{K h_1} + \sqrt{K h_2} \right)$$

- $h_1$  = height of antenna one
- $h_2$  = height of antenna two



# LOS Wireless Transmission Impairments

---

- Attenuation and attenuation distortion
- Free space loss
- Noise
- Atmospheric absorption
- Multipath
- Refraction
- Thermal noise



# Attenuation

---

- Strength of signal falls off with distance over transmission medium
- Attenuation factors for unguided media:
  - Received signal must have sufficient strength so that circuitry in the receiver can interpret the signal
  - Signal must maintain a level sufficiently higher than noise to be received without error
  - Attenuation is greater at higher frequencies, causing distortion



# Free Space Loss

---

- Free space loss, ideal isotropic antenna

$$\frac{P_t}{P_r} = \frac{(4\pi d)^2}{\lambda^2} = \frac{(4\pi f d)^2}{c^2}$$

- $P_t$  = signal power at transmitting antenna
- $P_r$  = signal power at receiving antenna
- $\lambda$  = carrier wavelength
- $d$  = propagation distance between antennas
- $c$  = speed of light ( $3 \times 10^8$  m/s)

where  $d$  and  $\lambda$  are in the same units (e.g., meters)



# Free Space Loss

---

- Free space loss equation can be recast:

$$\begin{aligned} L_{dB} &= 10 \log \frac{P_t}{P_r} = 20 \log \left( \frac{4\pi d}{\lambda} \right) \\ &= -20 \log(\lambda) + 20 \log(d) + 21.98 \text{ dB} \\ &= 20 \log \left( \frac{4\pi f d}{c} \right) = 20 \log(f) + 20 \log(d) - 147.56 \text{ dB} \end{aligned}$$



# Free Space Loss

---

- Free space loss accounting for gain of other antennas

$$\frac{P_t}{P_r} = \frac{(4\pi)^2 (d)^2}{G_r G_t \lambda^2} = \frac{(\lambda d)^2}{A_r A_t} = \frac{(cd)^2}{f^2 A_r A_t}$$

- $G_t$  = gain of transmitting antenna
- $G_r$  = gain of receiving antenna
- $A_t$  = effective area of transmitting antenna
- $A_r$  = effective area of receiving antenna



# Free Space Loss

---

- Free space loss accounting for gain of other antennas can be recast as

$$L_{dB} = 20\log(\lambda) + 20\log(d) - 10\log(A_t A_r)$$

$$= -20\log(f) + 20\log(d) - 10\log(A_t A_r) + 169.54\text{dB}$$



# Categories of Noise

---

- Thermal Noise
- Intermodulation noise
- Crosstalk
- Impulse Noise





# Thermal Noise

---

- Thermal noise due to agitation of electrons
- Present in all electronic devices and transmission media
- Cannot be eliminated
- Function of temperature
- Particularly significant for satellite communication



# Thermal Noise

---

- Amount of thermal noise to be found in a bandwidth of 1Hz in any device or conductor is:

$$N_0 = kT \text{ (W/Hz)}$$

- $N_0$  = noise power density in watts per 1 Hz of bandwidth
- $k$  = Boltzmann's constant =  $1.3803 \times 10^{-23}$  J/K
- $T$  = temperature, in kelvins (absolute temperature)



# Thermal Noise

---

- Noise is assumed to be independent of frequency
- Thermal noise present in a bandwidth of  $B$  Hertz (in watts):

$$N = kTB$$

or, in decibel-watts

$$\begin{aligned} N &= 10 \log k + 10 \log T + 10 \log B \\ &= -228.6 \text{ dBW} + 10 \log T + 10 \log B \end{aligned}$$



# Noise Terminology

---

- Intermodulation noise – occurs if signals with different frequencies share the same medium
  - Interference caused by a signal produced at a frequency that is the sum or difference of original frequencies
- Crosstalk – unwanted coupling between signal paths
- Impulse noise – irregular pulses or noise spikes
  - Short duration and of relatively high amplitude
  - Caused by external electromagnetic disturbances, or faults and flaws in the communications system



# Expression $E_b/N_0$

---

- Ratio of signal energy per bit to noise power density per Hertz

$$\frac{E_b}{N_0} = \frac{S / R}{N_0} = \frac{S}{kTR}$$

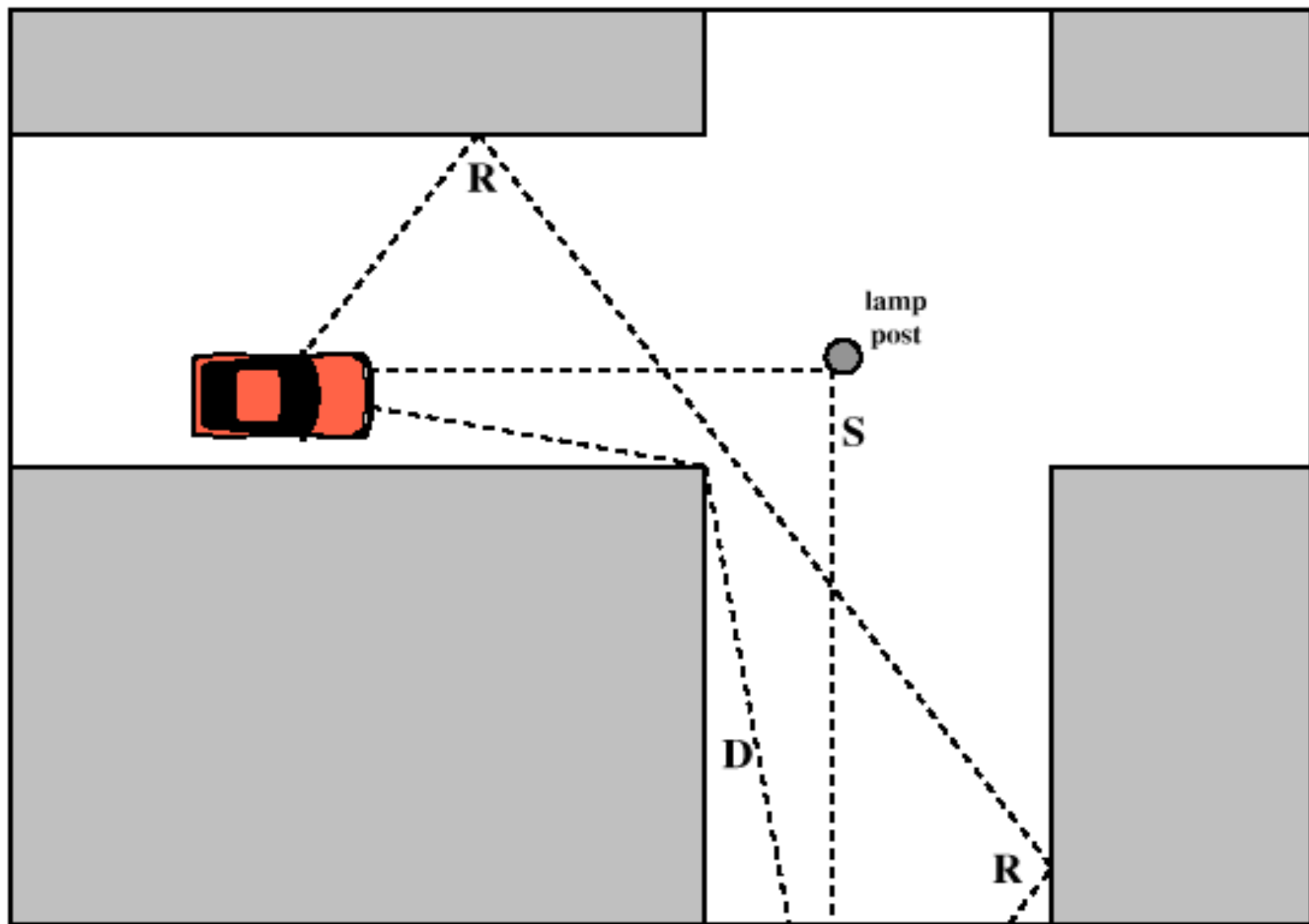
- The bit error rate for digital data is a function of  $E_b/N_0$ 
  - Given a value for  $E_b/N_0$  to achieve a desired error rate, parameters of this formula can be selected
  - As bit rate  $R$  increases, transmitted signal power must increase to maintain required  $E_b/N_0$



# Other Impairments

---

- Atmospheric absorption – water vapor and oxygen contribute to attenuation
- Multipath – obstacles reflect signals so that multiple copies with varying delays are received
- Refraction – bending of radio waves as they propagate through the atmosphere



**Figure 5.10 Sketch of Three Important Propagation Mechanisms: Reflection (R), Scattering (S), Diffraction (D) [ANDE95]**



# Multipath Propagation

---

- Reflection - occurs when signal encounters a surface that is large relative to the wavelength of the signal
- Diffraction - occurs at the edge of an impenetrable body that is large compared to wavelength of radio wave
- Scattering – occurs when incoming signal hits an object whose size is in the order of the wavelength of the signal or less





# The Effects of Multipath Propagation

---

- Multiple copies of a signal may arrive at different phases
  - If phases add destructively, the signal level relative to noise declines, making detection more difficult
- Intersymbol interference (ISI)
  - One or more delayed copies of a pulse may arrive at the same time as the primary pulse for a subsequent bit