# Heap

# Pattern: Heap / Priority Queue (Min-Heap / Max-Heap)

## How to Recognize

- You're asked to find top K elements, kth smallest/largest, or median.
- There's a need to maintain a **running order** or **priority** among elements.
- The problem involves **frequent insertions and deletions** of extremes (min/max).
- Often paired with sorting, frequency counting, or streaming data.

# **Step-by-Step Thinking Process (Template)**

- 1. Identify what you want to track: e.g., k largest, k closest, top frequent.
- 2. Choose the right heap type:
  - Min-heap: keep smallest k elements  $\rightarrow$  pop when size > k
  - Max-heap: keep largest k elements  $\rightarrow$  use negative values in Python (min-heap trick)
- 3. Use a heap of size K to maintain only relevant candidates.
- 4. Pop or push based on comparison logic.
- 5. Extract result after processing all inputs (e.g., return root or sort remaining).

#### Common Pitfalls & Edge Cases

- Forgetting that Python heapq is a min-heap only → use negative values for max-heap.
- Not limiting heap size  $\rightarrow$  leads to O(n log n) instead of O(k log n).
- Incorrectly handling ties (e.g., in "Top K Frequent Words", tie-breaking by lexicographic order).
- Empty input  $\rightarrow$  handle early return.

# 1. K Closest Points to Origin

#### **Problem Summary**

Given an array of points in 2D space, return the k closest points to the origin (0, 0) based on Euclidean distance.

#### **Pattern**

- Heap / Priority Queue (max-heap of size k)
- Alternative: **Sorting** (but less efficient for large datasets)

#### **Solution with Inline Comments**

```
import heapq
from typing import List, Tuple
def kClosest(points: List[List[int]], k: int) -> List[List[int]]:
    # Use a max-heap to store the k closest points
    # We store (-distance, point) so that the farthest
    # (largest distance) is at top
    # Negative distance ensures we simulate max-heap behavior using min-heap
   heap = []
    for x, y in points:
        # Calculate squared distance (avoid sqrt for speed & precision)
        dist = x*x + y*y
        # If heap has fewer than k elements, add current point
        if len(heap) < k:</pre>
            heapq.heappush(heap, (-dist, [x, y]))
        # Else, if current point is closer than the farthest in heap, replace it
        elif dist < -heap[0][0]: # -heap[0][0] is the max distance in heap
            heapq.heappop(heap)
            heapq.heappush(heap, (-dist, [x, y]))
    # Extract points from heap (they are in no particular order)
    return [point for _, point in heap]
```

```
# ---- Official LeetCode Example ----
if __name__ == "__main__":
    # Example Input: points = [[1,3],[-2,2]], k = 1
    points = [[1, 3], [-2, 2]]
    k = 1

# Call function
    result = kClosest(points, k)

# Expected Output: [[-2,2]]
    # Because distance of (1,3): 1+9=10; (-2,2): 4+4=8 → (-2,2) is closer
    print("Output:", result) # Output: [[-2, 2]]
```

## **Example Walkthrough**

```
• Input: points = [[1,3],[-2,2]], k = 1
```

- Process (1,3): dist =  $1^2 + 3^2 = 10 \rightarrow \text{heap} = [(-10, [1,3])]$
- Process (-2,2): dist =  $4 + 4 = 8 \rightarrow 8 < 10 \rightarrow \text{pop (-10,...)}$ , push (-8, [-2,2])
- Final heap:  $[(-8, [-2,2])] \to \text{return} [[-2, 2]]$

#### Complexity

- Time: O(n log k) each insertion/removal takes O(log k), done n times
- Space: O(k) heap stores at most k elements

#### 2. Find Median from Data Stream

#### **Problem Summary**

Design a data structure that supports adding integers and finding the median of all added numbers dynamically.

#### **Pattern**

- Two Heaps: Max-heap for left half, Min-heap for right half
- Balance sizes: difference 1
- Median = top of larger heap or average of both

#### **Solution with Inline Comments**

```
import heapq
class MedianFinder:
   def init (self):
        # Max-heap for smaller half (store negative values)
        self.small = [] # represents left half (max-heap via negatives)
        # Min-heap for larger half
        self.large = [] # represents right half (min-heap)
    def addNum(self, num: int) -> None:
        # Push to small (max-heap) first
        heapq.heappush(self.small, -num)
        # Ensure every number in small <= every number in large
        # If top of small > top of large, swap
        if self.small and self.large and (-self.small[0]) > self.large[0]:
            val = -heapq.heappop(self.small)
            heapq.heappush(self.large, val)
        # Balance the heaps: difference should be at most 1
        if len(self.small) > len(self.large) + 1:
            val = -heapq.heappop(self.small)
            heapq.heappush(self.large, val)
        elif len(self.large) > len(self.small) + 1:
            val = heapq.heappop(self.large)
            heapq.heappush(self.small, -val)
    def findMedian(self) -> float:
        # If heaps are same size, median is average
        if len(self.small) == len(self.large):
            return (-self.small[0] + self.large[0]) / 2.0
        # Else, median is top of larger heap
        elif len(self.small) > len(self.large):
           return -self.small[0]
        else:
           return self.large[0]
# ---- Official LeetCode Example ----
if __name__ == "__main__":
```

```
# Example Usage:
mf = MedianFinder()
mf.addNum(1)
mf.addNum(2)
print("Median after [1,2]:", mf.findMedian()) # Output: 1.5

mf.addNum(3)
print("Median after [1,2,3]:", mf.findMedian()) # Output: 2.0
```

## **Example Walkthrough**

We'll go through this sequence:

```
mf = MedianFinder()
mf.addNum(1)
mf.addNum(2)
print(mf.findMedian()) # 1.5
mf.addNum(3)
print(mf.findMedian()) # 2.0
```

## Step 1: addNum(1)

- Push -1 into small  $\rightarrow$  small = [-1], large = []
- No need to compare since large is empty.
- Size check:

```
- len(small) = 1, len(large) = 0 \rightarrow \text{difference is } 1 \rightarrow \text{acceptable}.
```

Final state: - small = [-1] (i.e., contains 1) - large = []

Step 2: addNum(2)

- Push -2 into small → small = [-2, -1] (min-heap of negatives → top is -2 → actual value is 2)
- Now check: is top(small) > top(large)?
  - But large is still empty  $\rightarrow$  skip comparison.
- Balance sizes:

```
- len(small) = 2, len(large) = 0 \rightarrow \text{difference} is 2 (>1), so move one element.
```

- Pop from small: val = -heapq.heappop(self.small) 
$$\rightarrow$$
 pop -2, so val = 2

$$-$$
 Now small =  $[-1]$ , large =  $[2]$ 

Final state: - small = 
$$[-1] \rightarrow \{1\}$$
 - large =  $[2] \rightarrow \{2\}$ 

Now both heaps differ in size by only  $1 \to \text{good}$ .

# Step 3: findMedian() $\rightarrow$ after adding [1,2]

- len(small) == 1, len(large) ==  $1 \rightarrow \text{equal sizes}$
- Median = (-self.small[0] + self.large[0]) / 2.0

$$- -self.small[0] = -(-1) = 1$$

- self.large[0] = 2
- Median = (1 + 2) / 2 = 1.5

Output:  $1.5 \rightarrow Correct$ 

Step 4: addNum(3)

- Push -3 into small  $\rightarrow$  small = [-3, -1]  $\rightarrow$  top is -3  $\rightarrow$  value is 3
- Check: is top(small) > top(large)?

$$-$$
 -self.small[0] = 3, self.large[0] = 2

- Is 3 > 2? Yes  $\rightarrow$  need to fix!
- So:
  - Pop from small: val = -heapq.heappop(self.small)  $\rightarrow$  pop -3 val = 3
  - Push 3 into large: now large =  $[2, 3] \rightarrow \text{min-heap}$ : [2, 3]
  - Now small = [-1], large = [2, 3]
- Recheck size balance:
  - len(small) = 1, len(large) =  $2 \rightarrow \text{difference is } 1 \rightarrow \text{acceptable}$

Final state: - small =  $[-1] \rightarrow \{1\}$  - large =  $[2, 3] \rightarrow \{2, 3\}$ 

# Step 5: findMedian() $\rightarrow$ after [1,2,3]

- len(small) = 1, len(large) =  $2 \rightarrow \text{not equal}$
- Since large has more elements → median is large[0] = 2

Output:  $2.0 \rightarrow Correct$ 

# **Summary of States**

Operation	small (max-heap)	large (min-heap)	Median
addNum(1)	[-1]		_
addNum(2)	[-1]	[2]	_
findMedian()	[-1]	[2]	1.5
addNum(3)	[-1]	[2, 3]	_
findMedian()	[-1]	[2, 3]	2.0

# Complexity

• addNum: O(log n) — heap operations

• findMedian: O(1)

• Space: O(n)

# 3. Merge k Sorted Lists

#### **Problem Statement**

You are given an array of k linked lists, each of which is sorted in ascending order.

Merge all the lists into one sorted linked list and return it.

Constraints: - k == lists.length - 0 <= k <=  $10^4$  - 0 <= lists[i].length <= 500 -  $-10^4$  <= lists[i][j] <=  $10^4$  - All lists are sorted in non-decreasing order.

#### **Example:**

```
Input: lists = [[1,4,5],[1,3,4],[2,6]]
Output: [1,1,2,3,4,4,5,6]
```

# **Solution: Min-Heap Approach (Optimal)**

```
import heapq
from typing import List, Optional
# Definition for singly-linked list.
class ListNode:
    def __init__(self, val=0, next=None):
        self.val = val
        self.next = next
class Solution:
    def mergeKLists(self, lists: List[Optional[ListNode]]):
        # Step 1: Create a min-heap to store (value, index, node)
        heap = []
        # Step 2: Push the head of each non-empty list into the heap
        for i, lst in enumerate(lists):
            if lst: # Skip empty lists
                heapq.heappush(heap, (lst.val, i, lst))
        # Step 3: Dummy node to simplify result construction
        dummy = ListNode(0)
        current = dummy
        # Step 4: While heap is not empty, extract smallest and add to result
        while heap:
            # Pop the smallest element: (val, index, node)
            val, idx, node = heapq.heappop(heap)
            # Add this node to the result list
            current.next = node
```

```
current = current.next

# If the node has a next, push it back into the heap
if node.next:
    heapq.heappush(heap, (node.next.val, idx, node.next))

# Step 5: Return the merged list (skip dummy head)
return dummy.next
```

# Code Walkthrough with Example

# Input:

```
lists = [
    [1 → 4 → 5],  # list 0
    [1 → 3 → 4],  # list 1
    [2 → 6]  # list 2
]
```

We'll simulate every step.

# **Initial Setup**

- heap = []
- dummy = ListNode(0)
- current = dummy

# Step 1: Push Heads into Heap

Loop over lists:

i	lst	lst.val	Pushed?	Tuple	
0	${\tt nodeA}\ (1)$	1	Yes	(1, 0, n	odeA)

i	lst	lst.val	Pushed?	Tuple	
1	nodeD(1)	1	Yes	(1, 1,	nodeD)
2	${\tt nodeG}\ (2)$	2	Yes	(2, 2,	nodeG)

Now:

```
heap = [(1, 0, nodeA), (1, 1, nodeD), (2, 2, nodeG)]
```

Heap maintains min-heap property: smallest value first. Ties broken by index.

# Iteration 1: Pop (1, 0, nodeA)

- val = 1, idx = 0, node = nodeA
- current.next = nodeA  $\rightarrow$  result: [1]
- current = nodeA
- nodeA.next = nodeB  $\rightarrow$  push (4, 0, nodeB)

Heap now:

# Iteration 2: Pop (1, 1, nodeD)

- Add nodeD  $\rightarrow$  result: [1, 1]
- Push nodeE (value 3)  $\rightarrow$  (3, 1, nodeE)

Heap:

```
[(2, 2, nodeG), (3, 1, nodeE), (4, 0, nodeB)]
```

# Iteration 3: Pop (2, 2, nodeG)

- Add nodeG  $\rightarrow$  result: [1, 1, 2]
- Push nodeH (value 6)  $\rightarrow$  (6, 2, nodeH)

Heap:

```
[(3, 1, nodeE), (4, 0, nodeB), (6, 2, nodeH)]
```

# Iteration 4: Pop (3, 1, nodeE)

- Add nodeE  $\rightarrow$  result: [1, 1, 2, 3]
- Push nodeF (value 4)  $\rightarrow$  (4, 1, nodeF)

Heap:

 $\mathrm{Two}\ 4\mathrm{s} \to \mathrm{pick}$  (4, 0, nodeB) because 0 < 1

# Iteration 5: Pop (4, 0, nodeB)

- Add nodeB  $\rightarrow$  result: [1, 1, 2, 3, 4]
- Push nodeC (value 5)  $\rightarrow$  (5, 0, nodeC)

Heap:

```
[(4, 1, nodeF), (5, 0, nodeC), (6, 2, nodeH)]
```

Iteration 6: Pop (4, 1, nodeF)

- Add nodeF  $\rightarrow$  result: [1, 1, 2, 3, 4, 4]
- No next  $\rightarrow$  don't push

Heap:

[(5, 0, nodeC), (6, 2, nodeH)]

Iteration 7: Pop (5, 0, nodeC)

- Add nodeC  $\rightarrow$  result: [1, 1, 2, 3, 4, 4, 5]
- No next  $\rightarrow$  stop

Heap:

[(6, 2, nodeH)]

Iteration 8: Pop (6, 2, nodeH)

- Add nodeH  $\rightarrow$  result: [1, 1, 2, 3, 4, 4, 5, 6]
- Done!

**Final Result** 

Return dummy.next  $\rightarrow$  the full merged list:

 $1 \rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow 4 \rightarrow 5 \rightarrow 6$ 

Output: [1,1,2,3,4,4,5,6]

Time & Space Complexity

Metric	Complexity	Explanation
Time	O(n log k)	<ul> <li>n = total number of nodes- Each node is pushed and popped once →</li> <li>n operations- Each heap op takes</li> <li>O(log k)- Total: O(n log k)</li> </ul>
Space	0(k)	- Heap stores at most k elements (one per list)- dummy and pointers: O(1)- Output list not counted

Efficient even for large k (e.g., 1000 lists)

# **Key Takeaways (Revision Notes)**

Concept	Why It Matters
Min-Heap	Always gives smallest current head in O(log k)
Tuple (val, idx, node)	Prevents errors when values are equal; tiebreaker via idx
Dummy Node	Avoids edge cases when building result list
One Pass Per Node	Each node inserted and removed exactly once
Heap Size k	Memory efficient — only keeps front nodes

# **Pro Tips for Interviews**

- 1. Always use (val, idx, node) never compare ListNode directly!
- 2. Handle empty lists (if 1st) avoid None errors.
- 3. Use heapq Python's built-in min-heap is perfect.
- 4. Draw the heap evolution on paper during interviews.
- 5. Explain the logic clearly: "We always pick the smallest available head."

#### 4. Task Scheduler

#### **Problem Statement**

You are given a characters array tasks representing tasks labeled from 'A' to 'Z'. Each task takes one unit of time to complete. You are also given an integer n, which represents the cooldown period between two identical tasks.

You can only perform one task at a time. After performing a task, you must wait **exactly n units** before performing the same task again.

Return the minimum number of time units needed to complete all tasks.

```
Constraints: - 1 <= tasks.length <= 10 - tasks[i] is an uppercase English letter. - 0 <= n <= 100
```

# Sample Input & Output

```
Input: tasks = ["A","A","A","B","B","B"], n = 2
Output: 8

Explanation:
One valid schedule is:
Time: 0 1 2 3 4 5 6 7
     [A, B, _, A, B, _, A, B]
```

```
All As are separated by 2 units \rightarrow valid
All Bs are separated by 2 units \rightarrow valid
Total time = 8 units
```

#### **Solution Code**

```
from collections import Counter
from typing import List
class Solution:
    def leastInterval(self, tasks: List[str], n: int) -> int:
        Find the minimum time to schedule all tasks with cooldown n.
        :param tasks: List of task labels (e.g., ['A','A','B','B'])
        :param n: Cooldown period between identical tasks
        :return: Minimum time units required
        Intuition:
        - The most frequent task(s) determine the minimum time.
        - We need to place max_freq tasks with
        - at least n other tasks/idle in between.
        - If there are enough other tasks to fill gaps → no idle time needed.
        # Step 1: Count frequency of each task
        task_count = Counter(tasks)
        # Step 2: Find the maximum frequency
        max_freq = max(task_count.values())
        # Step 3: Count how many tasks have the maximum frequency
        count_max_freq = sum(
            1 for freq in task_count.values() if freq == max_freq
        # Step 4: Calculate minimum time using formula
        # (max_freq - 1) full cycles of length (n + 1) each
        # Plus the final instances of the most frequent tasks
        min_time = (max_freq - 1) * (n + 1) + count_max_freq
        # Step 5: Return the maximum between min_time and total tasks
        # Why? If there are enough other tasks to fill all slots,
        # we don't need idle time → answer is just len(tasks)
        return max(min_time, len(tasks))
```

# Step-by-Step Walkthrough (Example)

Input:

```
tasks = ["A", "A", "A", "B", "B", "B"]
n = 2
```

# **Step 1: Count Frequencies**

```
task_count = {'A': 3, 'B': 3}
```

# Step 2: Max Frequency

```
max_freq = 3
```

# Step 3: Count Tasks with Max Frequency

```
count_max_freq = 2  # Both A and B appear 3 times
```

# Step 4: Apply Formula

```
min_time = (3 - 1) * (2 + 1) + 2
= 2 * 3 + 2
= 6 + 2
= 8
```

# Step 5: Compare with Total Tasks

```
len(tasks) = 6
return max(8, 6) = 8
```

Answer: 8

# **Valid Schedule Visualization**

Time	Task
0	A
1	В
2	_
3	A
4	В
5	_
6	A
7	В

Final output: 8 time units

Check cooldowns: - A at  $0 \to \text{next}$  at  $3 \to \text{gap} = 3$   $3 \to \text{valid}$  - B at  $1 \to \text{next}$  at  $4 \to \text{gap} = 3$   $3 \to \text{valid}$  - A at  $3 \to \text{next}$  at  $6 \to \text{gap} = 3$   $3 \to \text{valid}$  - B at  $4 \to \text{next}$  at  $7 \to \text{gap} = 3$   $3 \to \text{valid}$ 

All constraints satisfied!

# **Time Complexity**

- O(m) where m = len(tasks)
  - One pass to build Counter: O(m)
  - Finding max() and counting frequencies: O(26) O(1)
- So overall: O(m)

# **Space Complexity**

- **O**(1) because:
  - The Counter stores at most 26 keys (letters A-Z)
  - No additional data structures grow with input size
- Thus, space used is constant regardless of input size

# **Key Takeaways**

- The bottleneck is the **most frequent task**.
- Use the formula: (max\_freq 1) \* (n + 1) + count\_max\_freq
- Only add idle time if needed otherwise, use len(tasks)

# 5. Top K Frequent Words

#### Problem:

We want to find the k most frequent words in a list, with ties broken by lexicographical (dictionary) order.

```
words = ["the","day","is","sunny","the","the","the","sunny","is","is"]
k = 4
print(topKFrequent(words, k))
# Output: ["the", "is", "sunny", "day"]
```

# **Solution (Python):**

```
import heapq
from collections import Counter

def topKFrequent(words, k):
    # Step 1: Count frequency of each word
    count = Counter(words)

# Step 2: Create a min-heap (or use negative frequency for max behavior)
    heap = [(-freq, word) for word, freq in count.items()]
    heapq.heapify(heap)

# Step 3: Pop the top k elements
    return [heapq.heappop(heap)[1] for _ in range(k)]

# ---- Official LeetCode Example ----
if __name__ == "__main__":
```

```
# Example Input: words = ["i","love","leetcode","i","love","coding"],k = 2
words = ["i", "love", "leetcode", "i", "love", "coding"]
k = 2

# Call function
result = topKFrequent(words, k)

# Expected Output: ["i","love"]
# i:2, love:2, coding:1 → top 2 → i and
# love (tie broken by lex order: i < love)
print("Output:", result) # Output: ['i', 'love']</pre>
```

#### Step-by-Step Breakdown

#### **Step 1: Count Frequencies**

```
count = Counter(words)
```

- Counter is a subclass of dict that counts occurrences.
- Example:

```
words = ["i","love","leetcode","i","love","coding"]
count = Counter(words)
# count = {'i': 2, 'love': 2, 'leetcode': 1, 'coding': 1}
```

# Step 2: Build a List for the Heap

```
heap = [(-freq, word) for word, freq in count.items()]
```

- We create a list of tuples: (-frequency, word)
- We use **negative frequency** because:
  - Python's heapq is a min-heap by default.
  - To simulate a **max-heap** for frequency, we negate the frequency.
  - So higher actual frequency becomes more negative  $\rightarrow$  smaller in min-heap  $\rightarrow$  comes out first.

#### Example:

From our count, this gives:

```
[(-2, 'i'), (-2, 'love'), (-1, 'leetcode'), (-1, 'coding')]
```

Now, when we heapify, the **smallest tuple** (by first element, then second) will be at the top.

#### But here's the **key insight**:

When two frequencies are the same (e.g., -2), Python compares the **second element**, which is the word.

So: - (-2, 'i') vs (-2, 'love')  $\rightarrow$  'i' < 'love' lexicographically  $\rightarrow$  (-2, 'i') is smaller. - But we want higher frequency first, and lexicographically smaller word first in the result.

Wait — doesn't that mean 'i' should come before 'love'? Yes.

But in the heap, since (-2, 'i') is smaller than (-2, 'love'), it will be **popped first** — which is exactly what we want.

So the tuple (-freq, word) naturally gives us: - Higher frequency first (because of -freq) - Lexicographically smaller word first in case of tie

This is why the tuple ordering works perfectly.

#### **Step 3: Heapify the List**

#### heapq.heapify(heap)

- Converts the list into a **min-heap** in-place.
- The smallest element (i.e., highest frequency, then lexicographically smallest) is at the top.

After heapify, the internal structure maintains heap property: - heap[0] is always the smallest (i.e., the "best" candidate).

But note: The entire list is **not sorted** — just heap-ordered.

# Step 4: Extract Top k Elements

```
return [heapq.heappop(heap)[1] for _ in range(k)]
```

- We pop k times.
- Each heappop() removes and returns the smallest (i.e., most frequent, or lexicographically smaller) element.
- We take [1]  $\rightarrow$  the word part of the tuple (-freq, word).

Each pop takes  $O(\log n)$  time, so k pops  $\rightarrow O(k \log n)$ .

# **Example Walkthrough**

Let's run through:

```
words = ["i","love","leetcode","i","love","coding"]
k = 2
```

#### Step 1: Count

```
count = {'i': 2, 'love': 2, 'leetcode': 1, 'coding': 1}
```

# Step 2: Build heap list

```
heap = [(-2, 'i'), (-2, 'love'), (-1, 'leetcode'), (-1, 'coding')]
```

#### Step 3: heapify

After heapify, the heap is reordered so that: - (-2, 'i') is at the top (smallest), because 'i' < 'love' lexicographically.

So the heap order ensures: 1. (-2, 'i') 2. (-2, 'love') 3. (-1, ...) etc.

# Step 4: Pop k=2 times

- 1st pop:  $(-2, 'i') \rightarrow append 'i'$
- 2nd pop:  $(-2, 'love') \rightarrow append 'love'$

Result: ["i", "love"]

# Why Doesn't Lex Order Mess It Up?

Suppose we had:

```
words = ["love", "i", "i", "love"] # same frequencies
```

Then: - (-2, 'i') and (-2, 'love') - 'i' < 'love'  $\rightarrow$  so (-2, 'i') is smaller  $\rightarrow$  popped first  $\rightarrow$  correct.

So the natural tuple comparison handles the tie-break correctly.

#### **Time & Space Complexity**

Aspect	Complexity
Time	$O(n + k \log n) - O(n)$ for counting - $O(n)$ for heapify - $O(k \log n)$ for popping k times
Space	O(n) for counter and heap

#### **Final Notes**

- The tuple (-freq, word) is the magic key.
- Python's **lexicographic comparison of strings in tuples** makes tie-breaking automatic.
- heapq only supports min-heap, so we negate frequency to simulate max behavior.

Let me know if you'd like to see the **bucket sort** version (O(n) time) too!

#### 6. Find K Closest Elements

#### **Problem Summary**

Given a sorted array and integer k, return the k closest elements to a target value x. Return them in ascending order.

#### **Pattern**

- Binary Search on Answer (find left boundary of result window)
- Two Pointers (after finding start, expand outward)
- Or: Sliding Window on sorted array

#### **Solution with Inline Comments**

```
from typing import List
def findClosestElements(arr: List[int], k: int, x: int) -> List[int]:
    # Use binary search to find the leftmost starting index of k elements
    left, right = 0, len(arr) - k # right is len-k because we need k elements
    while left < right:</pre>
        mid = (left + right) // 2
        \# Compare the distances from mid and mid+k to x
        # If arr[mid] is farther than arr[mid+k],
        # then mid cannot be the left bound
        # Because we'd get better elements by moving right
        if x - arr[mid] > arr[mid + k] - x:
            left = mid + 1
        else:
            right = mid
    # Now left is the starting index of the k closest elements
    return arr[left:left + k]
# ---- Official LeetCode Example ----
if __name__ == "__main__":
    # Example Input: arr = [1,2,3,4,5], k = 4, x = 3
```

```
arr = [1, 2, 3, 4, 5]
k = 4
x = 3

# Call function
result = findClosestElements(arr, k, x)

# Expected Output: [1,2,3,4]
# Distances: |1-3|=2, |2-3|=1, |3-3|=0, |4-3|=1, |5-3|=2
# Closest 4: 2,3,4,2 → but 1,2,3,4 are closer than 5
print("Output:", result) # Output: [1, 2, 3, 4]
```

# **Example Walkthrough**

#### **Example Input**

```
arr = [1, 2, 3, 4, 5]
k = 4
x = 3
```

We want the 4 closest elements to 3.

# Step-by-Step Walkthrough

## Step 1: Initial Setup

```
left = 0
right = len(arr) - k = 5 - 4 = 1
```

So our binary search range is  $[0, 1) \rightarrow$  only possible values for left are 0 or 1.

We are trying to find the **starting index** of a subarray of length k=4 that contains the closest elements to x=3.

Possible windows: - Start at  $0 \rightarrow [1,2,3,4]$  - Start at  $1 \rightarrow [2,3,4,5]$ 

We'll use binary search to pick the best one.

## **Binary Search Loop**

#### Iteration 1:

```
left = 0, right = 1
mid = (0 + 1) // 2 = 0
```

Now compare:  $-x - arr[mid] \rightarrow distance$  from x to left end of window  $- arr[mid + k] - x \rightarrow distance$  from x to right end of window

Why this comparison?

Because we're comparing two overlapping windows: - One starting at mid = 0: [1,2,3,4] - One starting at mid + 1 = 1: [2,3,4,5]

We decide which one is better by comparing the outer edges: arr[mid] vs arr[mid + k].

If arr[mid + k] is closer to x, then we should move the window right  $\rightarrow$  discard current mid.

Let's compute:

```
x - arr[mid] = 3 - arr[0] = 3 - 1 = 2

arr[mid + k] - x = arr[0 + 4] - 3 = arr[4] - 3 = 5 - 3 = 2
```

So:

```
if 2 > 2 → False
```

So we go to else:

```
right = mid = 0
```

Now left = 0, right =  $0 \rightarrow loop ends$ .

#### **Final Result**

```
return arr[left : left + k] = arr[0:4] = [1, 2, 3, 4]
```

# Why [1,2,3,4] and not [2,3,4,5]?

Let's compute distances to x = 3:

Element	Distance
1	
2	
3	
4	
5	

Top 4 smallest distances: all except one of the 2s.

But both 1 and 5 are equally distant from 3. Since 1 < 5, we prefer 1. So we pick [1,2,3,4]. This matches our result.

## Key Insight of the Algorithm

Instead of comparing individual elements, we compare candidate windows of size k.

At each mid, we consider: - Window starting at mid: includes arr[mid] to arr[mid + k - 1] - The next window would start at mid + 1

To decide whether to move right, we compare:  $-x - arr[mid] \rightarrow how$  far the **leftmost** element of current window is from  $x - arr[mid + k] - x \rightarrow how$  far the **next element** after the window is from x

If the next element (arr[mid+k]) is closer than the current leftmost (arr[mid]), we should shift the window right.

Hence:

```
if x - arr[mid] > arr[mid + k] - x:
    left = mid + 1  # shift window right
else:
    right = mid  # keep current left or go left
```

# Complexity

- Time:  $O(\log(n k))$  binary search over n k positions
- **Space**: O(1) only indices used

# 7. Kth Largest Element in an Array

#### **Problem**

Given an array nums and integer k, find the kth largest element.

```
Example: nums = [3,2,1,5,6,4], k = 2 \rightarrow \text{return 5} (since 5 is the 2nd largest)
```

#### Why Use a Min-Heap?

We want the kth largest, so we only need to keep track of the top k largest elements.

#### Code

```
class Solution:
    def findKthLargest(self, nums: list[int], k: int) -> int:
        # Min-heap to store the k largest elements
        heap = []

    for num in nums:
        if len(heap) < k:
            # If we have space, add the number
            heapq.heappush(heap, num)
        elif num > heap[0]:
        # If current number is bigger than the smallest in heap,
        # replace the smallest with this one
        heapq.heapreplace(heap, num)
```

```
# The root of the min-heap is the kth largest
return heap[0]
```

# Step-by-Step Walkthrough with nums = [3,2,1,5,6,4], k = 2

# heap = [] # min-heap

- 1. num = 3
  - $len(heap) = 0 < 2 \rightarrow push 3$
  - heap = [3]
- 2. num = 2
  - $len(heap) = 1 < 2 \rightarrow push 2$
  - heap = [2, 3] (heap property: min at front)
- 3. num = 1
  - $len(heap) = 2 \rightarrow not less than k$
  - Is  $1 > \text{heap}[0]? \rightarrow 1 > 2?$  No  $\rightarrow \text{skip}$
- 4. num = 5
  - $len(heap) = 2 \rightarrow check if 5 > 2 \rightarrow Yes$
  - Replace: heapreplace(heap, 5)  $\rightarrow$  removes 2, adds 5
  - heap =  $[3, 5] \rightarrow \text{now min is } 3$
- 5. num = 6
  - $6 > 3 \rightarrow \text{Yes}$
  - heapreplace(heap, 6)  $\rightarrow$  removes 3, adds 6
  - heap =  $[5, 6] \rightarrow \min is 5$
- 6. num = 4
  - 4 > 5? No  $\rightarrow$  skip

Final heap: [5, 6]  $\rightarrow$  heap[0] = 5  $\rightarrow$  return 5

**Time & Space Complexity** 

Metric	Complexity	Explanation
Time	O(n log k)	For each of n elements: heap operation takes O(log k)
Space	O(k)	Heap stores at most k elements

Efficient when **k** is small compared to n (e.g., k = 10, n = 10000)

# **Pro Tips**

- Use heapq.heapreplace() instead of heappop() + heappush() for efficiency.
- Always compare num > heap[0] not >=, because duplicates are allowed.
- This method works even if there are duplicate values.

Example: nums = [1,1,1,2,2], k =  $3 \rightarrow 3$ rd largest is  $1 \rightarrow correct$ .

# 8. Smallest Range Covering Elements from K Lists

#### **Problem Statement:**

You are given k sorted integer arrays. You need to find the **smallest range** that includes **at least one number from each array**.

The range is defined as [start, end], and its size is end - start.

Return the **smallest such range**. If multiple ranges have the same size, return any one of them.

# Example:

```
Input: nums = [[4,10,15,24,26], [0,9,12,20], [5,18,22,30]]
Output: [20,24]
```

Explanation: The range [20,24] covers: - 20 from the second list, - 24 from the first list, - 22 from the third list.

All lists are covered, and it's the smallest possible range.

# **Key Insight:**

We want to minimize the difference (end - start) while ensuring that each of the k lists contributes at least one element in the range.

A greedy + heap approach works well here.

#### **Python Implementation:**

```
import heapq
from typing import List
class Solution:
    def smallestRange(self, nums: List[List[int]]) -> List[int]:
        # Min-heap to store (value, list_index, index_in_list)
        heap = []
        max_val = float('-inf')
        # Initialize: add the first element from each list
        for i in range(len(nums)):
            heapq.heappush(heap, (nums[i][0], i, 0))
            max_val = max(max_val, nums[i][0])
        # Initialize result range
        best_start, best_end = float('-inf'), float('inf')
        while heap:
            min_val, list_idx, idx_in_list = heapq.heappop(heap)
            # Update the best range if current range is smaller
```

```
if max_val - min_val < best_end - best_start:
    best_start, best_end = min_val, max_val

# Move to next element in the same list
if idx_in_list + 1 < len(nums[list_idx]):
    next_val = nums[list_idx][idx_in_list + 1]
    heapq.heappush(heap, (next_val, list_idx, idx_in_list + 1))
    max_val = max(max_val, next_val)
else:
    # One list is exhausted; we can't form a valid range anymore break

return [best_start, best_end]</pre>
```

# **Complexity Analysis:**

# • Time Complexity:

O(N log k), where N is the total number of elements across all lists, and k is the number of lists.

Each element is pushed and popped once from the heap (log k per operation).

## • Space Complexity:

O(k) for the heap (stores one element per list at a time).

## Why This Works:

- We always maintain one element from each list (initially), then replace the smallest one with the next in its list.
- By doing this, we ensure we never skip a potentially better range.
- The heap ensures we always process the smallest current element, which helps shrink the range.

# **Example walkthrough**

We'll use this example:

```
nums = [
    [4, 10, 15, 24, 26],  # List 0
    [0, 9, 12, 20],  # List 1
    [5, 18, 22, 30]  # List 2
]
```

# Line-by-Line Walkthrough (With Visuals & Tracing)

Let's now go **step-by-step**, updating variables at every stage.

#### Step 1: Initialize heap and max\_val

```
heap = []
max_val = float('-inf') # -\omega
```

Now loop over each list (i = 0, 1, 2):

# i = 0: List $0 \rightarrow element = 4$

- Push (4, 0, 0) into heap
- $\max_{val} = \max(-\omega, 4) = 4$

Heap: [(4, 0, 0)]

## i = 1: List $1 \rightarrow element = 0$

- Push (0, 1, 0) into heap
- $max_val = max(4, 0) = 4$

Heap:  $[(0, 1, 0), (4, 0, 0)] \rightarrow \text{min-heap sorted: } [0, 4]$ 

#### i = 2: List $2 \rightarrow element = 5$

- Push (5, 2, 0) into heap
- $max_val = max(4, 5) = 5$

Heap:  $[(0, 1, 0), (4, 0, 0), (5, 2, 0)] \rightarrow \text{sorted by value}$ 

After initialization: - heap = [(0, 1, 0), (4, 0, 0), (5, 2, 0)] - max\_val = 5 - best\_start =  $-\omega$ , best\_end =  $\omega$ 

This window: {0 (list1), 4 (list0), 5 (list2)}  $\rightarrow$  covers all lists!

Step 2: Set best\_start, best\_end

```
best_start, best_end = float('-inf'), float('inf')
```

So far, no valid range  $\rightarrow$  we'll update it when we find a better one.

## Step 3: Start the while heap: Loop

We process the heap until it's empty or a list runs out.

Let's trace each iteration.

# **Iteration 1: Pop** (0, 1, 0)

```
min_val, list_idx, idx_in_list = heapq.heappop(heap)
# \( \text{min_val} = 0, list_idx = 1, idx_in_list = 0 \)
```

Now check:

```
if max_val - min_val < best_end - best_start:
    # 5 - 0 = 5 < ∞ - (-∞) → True
    best_start, best_end = 0, 5</pre>
```

Update best range: [0, 5] (size = 5)

Now try to advance list 1:

```
if idx_in_list + 1 < len(nums[1]): # 0+1=1 < 4 → True
  next_val = nums[1][1] = 9
  heapq.heappush(heap, (9, 1, 1))
  max_val = max(5, 9) = 9</pre>
```

```
New heap: [(4, 0, 0), (5, 2, 0), (9, 1, 1)] \rightarrow Sorted: [4, 5, 9]
```

Now window:  $\{4, 5, 9\} \rightarrow \min=4, \max=9 \rightarrow \text{range}=5$ 

# **Iteration 2: Pop** (4, 0, 0)

```
min_val = 4, list_idx = 0, idx_in_list = 0
```

Check:

```
if 9 - 4 = 5 < 5 - 0 = 5? \rightarrow No (5 < 5 is False)
```

No update.

Advance list 0:

```
if 0+1=1 < 5 \rightarrow True

next_val = nums[0][1] = 10

push (10, 0, 1)

max_val = max(9, 10) = 10
```

```
Heap: [(5, 2, 0), (9, 1, 1), (10, 0, 1)] \rightarrow sorted: [5, 9, 10]
```

Window:  $\{5, 9, 10\} \rightarrow \text{range} = 5$ 

# **Iteration 3: Pop** (5, 2, 0)

```
min_val = 5, list_idx = 2, idx_in_list = 0
```

Check:

```
10 - 5 = 5 < 5 \rightarrow \text{False} \rightarrow \text{no update}
```

Advance list 2:

```
1 < 4 → True

next_val = nums[2][1] = 18

push (18, 2, 1)

max_val = max(10, 18) = 18
```

```
Heap: [(9, 1, 1), (10, 0, 1), (18, 2, 1)] \rightarrow [9, 10, 18]
```

Window:  $\{9, 10, 18\} \rightarrow range = 9$ 

## **Iteration 4: Pop** (9, 1, 1)

```
min_val = 9, list_idx = 1, idx_in_list = 1
```

Check:

```
18 - 9 = 9 < 5? \rightarrow \text{No} \rightarrow \text{skip}
```

Advance list 1:

```
1+1=2 < 4 \rightarrow True

next_val = nums[1][2] = 12

push (12, 1, 2)

max_val = max(18, 12) = 18
```

```
Heap: [(10, 0, 1), (12, 1, 2), (18, 2, 1)] \rightarrow [10, 12, 18]
```

Window: {10, 12, 18}  $\rightarrow$  range = 8

#### **Iteration 5: Pop** (10, 0, 1)

```
min_val = 10, list_idx = 0, idx_in_list = 1
```

Check:

```
18 - 10 = 8 < 5? → No
```

Advance list 0:

```
1+1=2 < 5 → True

next_val = nums[0][2] = 15

push (15, 0, 2)

max_val = max(18, 15) = 18
```

```
Heap: [(12, 1, 2), (15, 0, 2), (18, 2, 1)] \rightarrow [12, 15, 18]
```

Window: {12, 15, 18}  $\to \text{range} = 6$ 

## **Iteration 6: Pop** (12, 1, 2)

```
min_val = 12, list_idx = 1, idx_in_list = 2
```

Check:

```
18 - 12 = 6 < 5? → No
```

Advance list 1:

```
2+1=3 < 4 → True

next_val = nums[1][3] = 20

push (20, 1, 3)

max_val = max(18, 20) = 20
```

```
Heap: [(15, 0, 2), (18, 2, 1), (20, 1, 3)] \rightarrow [15, 18, 20]
```

Window: {15, 18, 20}  $\rightarrow$  range = 5  $\rightarrow$  same as before  $\rightarrow$  no update

# **Iteration 7: Pop** (15, 0, 2)

```
min_val = 15, list_idx = 0, idx_in_list = 2
```

Check:

```
20 - 15 = 5 < 5? \rightarrow No
```

Advance list 0:

```
2+1=3 < 5 \rightarrow True
next_val = nums[0][3] = 24
push (24, 0, 3)
max_val = max(20, 24) = 24
```

```
Heap: [(18, 2, 1), (20, 1, 3), (24, 0, 3)] \rightarrow [18, 20, 24]
```

Window: {18, 20, 24}  $\rightarrow$  range = 6

```
Iteration 8: Pop (18, 2, 1)
```

```
min_val = 18, list_idx = 2, idx_in_list = 1
```

Check:

```
24 - 18 = 6 < 5? → No
```

Advance list 2:

```
1+1=2 < 4 → True

next_val = nums[2][2] = 22

push (22, 2, 2)

max_val = max(24, 22) = 24
```

Heap:  $[(20, 1, 3), (22, 2, 2), (24, 0, 3)] \rightarrow [20, 22, 24]$ 

Now check:

```
24 - 20 = 4 < 5? \rightarrow YES!
```

Update best range: best\_start = 20, best\_end = 24

We found a better range: [20, 24] (size = 4)

**Iteration 9: Pop** (20, 1, 3)

```
min_val = 20, list_idx = 1, idx_in_list = 3
```

Check:

```
24 - 20 = 4 < 4? \rightarrow No (4 == 4)
```

Now try to advance list 1:

```
3+1=4 < 4? → False → list 1 is exhausted!
break
```

Loop ends.

# **Final Output**

```
return [best_start, best_end] # → [20, 24]
```

# **Summary Table: Key Variables Over Time**

Iteration	Popped From	New Max	Current Window	Range	Best Range
1	List 1 (0)	9	{4,5,9}	5	[0,5]
2	List $0(4)$	10	{5,9,10}	5	[0,5]
3	List $2(5)$	18	{9,10,18}	9	[0,5]
4	List 1 (9)	18	{10,12,18}	8	[0,5]
5	List 0 (10)	18	$\{12,15,18\}$	6	[0,5]
6	List 1 (12)	20	$\{15,18,20\}$	5	[0,5]
7	List 0 (15)	24	{18,20,24}	6	[0,5]
8	List 2 (18)	24	$\{20,22,24\}$	4	[20,24]
9	List 1 (20)	24	List 1 done $\rightarrow$		
	, ,		break		

# Why This Works: Algorithm Logic

Concept	Explanation
Min-Heap	Always picks the smallest current element $\rightarrow$ helps
	shrink the left side of the range.
Track max_val	Ensures we know how wide the current window is.
Replace with next in same list	Keeps one element per list, explores new combinations.
Break when list exhausted	Can't form a full window anymore $\rightarrow$ stop.
Greedy but optimal	Because arrays are sorted, advancing the smallest
	guarantees we don't miss the global minimum.

# **Final Answer**

[20, 24]

# **Pro Tips for Understanding**

- Think of the heap as a "priority queue" of "front runners" always the smallest.
- The max\_val is like the tallest person in the group we care about the span between shortest and tallest.
- Every time we move the shortest forward, we're trying to **tighten the group**.