Binary Search Tree

1. Validate Binary Search Tree

Pattern: Tree Traversal + Range Validation (In-Order / DFS with Bounds)

Problem Statement

Given the root of a binary tree, determine if it is a valid binary search tree (BST).

A valid BST is defined as follows:

- The left subtree of a node contains only nodes with keys **less than** the node's key.
- The right subtree of a node contains only nodes with keys **greater than** the node's key.
- Both the left and right subtrees must also be binary search trees.

Note: Duplicate values are not allowed in a BST per this problem.

Sample Input & Output

Input: root = [2,1,3]
Output: true
Explanation: 2 is root; left=1 (<2), right=3 (>2); both subtrees valid.

```
Input: root = [5,1,4,null,null,3,6]
Output: false
Explanation: Root=5; right child=4 (<5 ).
Also, 4's left=3 is <5 but appears in right subtree → violates BST.</pre>
```

```
Input: root = [1]
Output: true
Explanation: Single node is always a valid BST.
```

```
from typing import Optional
# Definition for a binary tree node.
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right
class Solution:
    def isValidBST(self, root: Optional[TreeNode]) -> bool:
        # STEP 1: Initialize recursive helper with bounds
        # - Use -inf and +inf as initial valid range for root
          - Each recursive call tightens the allowed range
        def validate(node, low, high):
            # STEP 2: Base case - empty node is valid
            if not node:
                return True
            # STEP 3: Check current node against bounds
            # - Must satisfy: low < node.val < high
            if node.val <= low or node.val >= high:
                return False
            # STEP 4: Recurse left and right with updated bounds
```

```
- Left subtree: upper bound becomes node.val
             - Right subtree: lower bound becomes node.val
           return (validate(node.left, low, node.val) and
                   validate(node.right, node.val, high))
       # STEP 5: Start validation from root with full range
       return validate(root, float('-inf'), float('inf'))
# ----- INLINE TESTS -----
if __name__ == "__main__":
   sol = Solution()
   # Test 1: Normal case - valid BST
   root1 = TreeNode(2, TreeNode(1), TreeNode(3))
   print("Test 1:", sol.isValidBST(root1)) # Expected: True
   # Test 2: Edge case - single node
   root2 = TreeNode(1)
   print("Test 2:", sol.isValidBST(root2)) # Expected: True
      Test 3: Tricky case - invalid BST (right child too small)
   #
        5
         / \
   #
   #
         1 4
           / \
           3
   root3 = TreeNode(5)
   root3.left = TreeNode(1)
   root3.right = TreeNode(4)
   root3.right.left = TreeNode(3)
   root3.right.right = TreeNode(6)
   print("Test 3:", sol.isValidBST(root3)) # Expected: False
```

Example Walkthrough

We'll walk through **Test 3** ([5,1,4,null,null,3,6]) step by step.

- 1. Call isValidBST(root3)
 - root3.val = 5
 - Calls validate(root3, -inf, +inf)
- 2. Inside validate(node=5, low=-inf, high=+inf)
 - Node exists \rightarrow continue
 - Check: 5 <= -inf? No. 5 >= +inf? No. \rightarrow OK
 - Now recurse:
 - Left: validate(1, -inf, 5)
 - Right: validate(4, 5, +inf)
- 3. Process left subtree: validate(1, -inf, 5)
 - 1 is between -inf and 5 \rightarrow OK
 - Left child = None \rightarrow returns True
 - Right child = None \rightarrow returns True
 - Returns True
- 4. Process right subtree: validate(4, 5, +inf)
 - Check: 4 <= 5? Yes → but condition is node.val <= low → 4 <= 5 is true, but wait!
 - Correction: low = 5, so 4 <= 5 \rightarrow true, which triggers return False
 - Because in right subtree of 5, all values must be > 5, but 4 is not > 5
 - So: 4 >= high? No (high = inf) But 4 <= low (5) \rightarrow yes \rightarrow invalid!
 - Returns False
- 5. Final result: True and False \rightarrow False

Output: False — correctly identifies invalid BST.

Complexity Analysis

• Time Complexity: O(n)

We visit every node exactly once in the worst case (skewed tree or fully valid BST).

• Space Complexity: O(h), where h is height of tree

Due to recursion stack depth. In worst case (skewed tree), h = n; in balanced tree, h = log n.

2. Convert Sorted Array to Binary Search Tree

Pattern: Divide and Conquer / Binary Search Tree Construction

Problem Statement

Given an integer array nums where the elements are sorted in ascending order, convert it to a height-balanced binary search tree.

A height-balanced binary tree is defined as a binary tree in which the depth of the two subtrees of every node never differs by more than one.

Sample Input & Output

```
Input: nums = [1, 3]
Output: [3, 1] or [1, null, 3]
Explanation: Both are valid height-balanced BSTs.

Input: nums = [0]
Output: [0]
Explanation: Single-node tree is trivially balanced.
```

```
from typing import List, Optional
# Definition for a binary tree node.
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right
class Solution:
    def sortedArrayToBST(self, nums: List[int]) -> Optional[TreeNode]:
        # STEP 1: Base case - empty subarray
        # - Return None to terminate recursion
        if not nums:
           return None
        # STEP 2: Choose middle element as root
        # - Ensures left/right subtrees differ by 1 in size
        mid = len(nums) // 2
        root = TreeNode(nums[mid])
        # STEP 3: Recursively build left and right subtrees
        # - Left: elements before mid (guaranteed < root.val)
        # - Right: elements after mid (guaranteed > root.val)
        root.left = self.sortedArrayToBST(nums[:mid])
        root.right = self.sortedArrayToBST(nums[mid + 1:])
```

```
# STEP 4: Return constructed subtree root
       # - Base case handles empty slices automatically
       return root
# ----- INLINE TESTS -----
if __name__ == "__main__":
   sol = Solution()
   # Helper to serialize tree (preorder with None markers)
   def serialize(root):
       if not root:
           return [None]
       return [root.val] + serialize(root.left) + serialize(root.right)
   # Test 1: Normal case
   tree1 = sol.sortedArrayToBST([-10, -3, 0, 5, 9])
   ser1 = serialize(tree1)
   # Trim trailing Nones for cleaner comparison
   while ser1 and ser1[-1] is None:
       ser1.pop()
   print("Test 1:", ser1) # Expect: [0, -3, -10, None, None, None, 9, 5]
   # Test 2: Edge case - two elements
   tree2 = sol.sortedArrayToBST([1, 3])
   ser2 = serialize(tree2)
   while ser2 and ser2[-1] is None:
       ser2.pop()
   print("Test 2:", ser2) # Expect: [3, 1] or [1, None, 3]
   # Test 3: Tricky/negative - single element
   tree3 = sol.sortedArrayToBST([0])
   ser3 = serialize(tree3)
   while ser3 and ser3[-1] is None:
       ser3.pop()
   print("Test 3:", ser3) # Expect: [0]
```

Example Walkthrough

We'll trace **Test 1**: nums = [-10, -3, 0, 5, 9].

- 1. Initial Call: sortedArrayToBST([-10, -3, 0, 5, 9])
 - nums is not empty \rightarrow proceed.
 - mid = $5 // 2 = 2 \rightarrow root.val = nums[2] = 0.$
 - Create TreeNode(0).
- 2. Build Left Subtree: sortedArrayToBST([-10, -3])
 - mid = 2 // 2 = 1 \rightarrow root.val = -3.
 - Left: sortedArrayToBST([-10]) → returns TreeNode(-10).
 - Right: sortedArrayToBST([]) → returns None.
 - So left subtree of 0 is -3 with left child -10.
- 3. Build Right Subtree: sortedArrayToBST([5, 9])
 - mid = 2 // 2 = 1 \rightarrow root.val = 9.
 - Left: sortedArrayToBST([5]) → returns TreeNode(5).
 - Right: $sortedArrayToBST([]) \rightarrow None.$
 - So right subtree of 0 is 9 with left child 5.
- 4. Final Tree:

- 5. Serialization (preorder):
 - [0, -3, -10, None, None, None, 9, 5, None, None, None] After trimming trailing Nones:
 - [0, -3, -10, None, None, None, 9, 5]

Each recursive call	builds a balanced sub	otree by always picki	ing the middle element
— this guarantees m	ninimal height.		

Complexity Analysis

• Time Complexity: O(n)

Each element is visited exactly once to create a TreeNode. Slicing creates new lists, but total work across all levels is still linear (like merge sort's merge step).

• Space Complexity: O(log n)

Recursion depth is log n (height of balanced tree). However, slicing creates new sublists, leading to O(n log n) auxiliary space in this implementation.

Note: A more space-efficient version would pass indices instead of slicing—but this version prioritizes clarity for learning.

3. Kth Smallest Element in a BST

Pattern: In-Order Traversal (Tree DFS)

Problem Statement

Given the root of a binary search tree, and an integer k, return the kth smallest value (1-indexed) of all the values of the nodes in the tree.

You may assume k is always valid (1 k number of nodes).

Sample Input & Output

```
Input: root = [3,1,4,null,2], k = 1
Output: 1
Explanation: In-order traversal gives [1,2,3,4]; 1st smallest is 1.

Input: root = [5,3,6,2,4,null,null,1], k = 3
Output: 3
Explanation: In-order = [1,2,3,4,5,6]; 3rd smallest is 3.

Input: root = [1], k = 1
Output: 1
Explanation: Only one node - it's the 1st smallest.
```

```
from typing import Optional
# Definition for a binary tree node.
class TreeNode:
   def __init__(self, val=0, left=None, right=None):
       self.val = val
       self.left = left
       self.right = right
class Solution:
    def kthSmallest(self, root: Optional[TreeNode], k: int) -> int:
       # STEP 1: Initialize structures
       # - Use in-order traversal (left → root → right)
           - BST property ensures ascending order
       self.count = 0  # Tracks how many nodes visited
       self.result = None # Stores kth smallest once found
       # STEP 2: Main loop / recursion
       # - Recurse left first (smallest values)
```

```
- Visit current node → increment count
       # - Stop early if result found (optimization)
       self._inorder(root, k)
       # STEP 4: Return result
       # - Guaranteed to be set since k is valid
       return self.result
   def _inorder(self, node: Optional[TreeNode], k: int):
       if not node or self.result is not None:
           return
       # Traverse left subtree
       self._inorder(node.left, k)
       # Visit current node
       self.count += 1
       if self.count == k:
           self.result = node.val
           return # Early exit - no need to go further
       # Traverse right subtree
       self._inorder(node.right, k)
# ------ INLINE TESTS ------
if __name__ == "__main__":
   sol = Solution()
   # Test 1: Normal case
   # Tree: [3,1,4,null,2]
   root1 = TreeNode(3)
   root1.left = TreeNode(1)
   root1.right = TreeNode(4)
   root1.left.right = TreeNode(2)
   assert sol.kthSmallest(root1, 1) == 1
   # Test 2: Edge case - single node
   root2 = TreeNode(1)
   assert sol.kthSmallest(root2, 1) == 1
   # Test 3: Tricky - deeper tree, k=3
   # Tree: [5,3,6,2,4,null,null,1]
```

```
root3 = TreeNode(5)
root3.left = TreeNode(3)
root3.right = TreeNode(6)
root3.left.left = TreeNode(2)
root3.left.right = TreeNode(4)
root3.left.left.left = TreeNode(1)
assert sol.kthSmallest(root3, 3) == 3
print(" All tests passed!")
```

Example Walkthrough

We'll walk through Test 3 (root = [5,3,6,2,4,null,null,1], k = 3):

- 1. Start: count = 0, result = None
 Call _inorder(root=5, k=3)
- 2. Go left to $3 \rightarrow$ then to $2 \rightarrow$ then to 1 (leftmost)
- 3. Visit node 1:
 - count becomes 1
 - Not equal to $k=3 \rightarrow \text{continue}$
- 4. Backtrack to node 2:
 - count becomes 2
 - Still not $3 \rightarrow \text{continue}$
- 5. Backtrack to node 3:
 - count becomes 3
 - Match! Set result = 3
 - Return immediately (skip right subtree of 3 and entire right of 5)
- 6. Final state: result = $3 \rightarrow \text{returned}$

The in-order traversal naturally visits nodes in **sorted order** due to BST structure. We stop as soon as we hit the kth node — no need to traverse the whole tree.

Complexity Analysis

• Time Complexity: O(H + k)

In the worst case, we traverse from root to the leftmost leaf (H = height), then visit k nodes. For balanced BST, $H = \log n$; for skewed, H = n. So worst-case O(n), but average $O(\log n + k)$.

• Space Complexity: O(H)

Due to recursion stack depth, which equals tree height H. No extra data structures beyond a few variables.

4. Inorder Successor in BST

 ${\bf Pattern: \ Binary \ Search \ Tree \ (BST) \ Traversal + Successor \ Logic}$

Problem Statement

Given the **root** of a binary search tree and a node **p** in it, return the **inorder successor** of that node in the BST. If the given node has no inorder successor in the tree, return null.

The inorder successor of a node p is the node with the smallest key greater than p.val.

You will be given the tree as a root node and a reference to a node p, not its value.

Sample Input & Output

```
Input: root = [2,1,3], p = 1
Output: 2
Explanation: The inorder traversal is [1,2,3]. The successor of 1 is 2.

Input: root = [5,3,6,2,4,null,null,1], p = 6
Output: null
Explanation: 6 is the largest node; no node has a greater value.

Input: root = [2,1,3], p = 3
Output: null
Explanation: 3 is the rightmost node; no successor exists.
```

```
# Definition for a binary tree node.
class TreeNode:
   def __init__(self, x):
       self.val = x
       self.left = None
       self.right = None
class Solution:
   def inorderSuccessor(
       self, root: TreeNode, p: TreeNode
   ) -> TreeNode | None:
       # STEP 1: Initialize successor as None
       # - We'll update it only when we find a node > p.val
       successor = None
       # STEP 2: Traverse using BST property
       # - If current node > p.val, it's a candidate
           - Then go left to find smaller valid candidate
       # - Else, go right to find larger values
       current = root
```

```
while current:
           if current.val > p.val:
               successor = current  # valid candidate
               current = current.left # try to find smaller one
           else:
               current = current.right # need larger values
       # STEP 3: Return successor (could be None)
       # - Handles edge case where p is max node
       return successor
# ----- INLINE TESTS -----
if __name__ == "__main__":
   sol = Solution()
   # Test 1: Normal case - successor exists
   # Tree: [2,1,3], p = node(1)
   root1 = TreeNode(2)
   root1.left = TreeNode(1)
   root1.right = TreeNode(3)
   p1 = root1.left
   result1 = sol.inorderSuccessor(root1, p1)
   print("Test 1:", result1.val if result1 else None) # Expected: 2
   # Test 2: Edge case - p is max node
   # Tree: [2,1,3], p = node(3)
   p2 = root1.right
   result2 = sol.inorderSuccessor(root1, p2)
   print("Test 2:", result2.val if result2 else None) # Expected: None
   # Test 3: Tricky case - deep tree, successor is ancestor
   # Tree: [5,3,6,2,4,null,null,1], p = node(4)
   root3 = TreeNode(5)
   root3.left = TreeNode(3)
   root3.right = TreeNode(6)
   root3.left.left = TreeNode(2)
   root3.left.right = TreeNode(4)
   root3.left.left.left = TreeNode(1)
   p3 = root3.left.right # node(4)
   result3 = sol.inorderSuccessor(root3, p3)
   print("Test 3:", result3.val if result3 else None) # Expected: 5
```

Example Walkthrough

Let's trace **Test 3** step by step:

- Tree:
- 5 /\ 3 6 /\ 2 4 / 1 Target p: node with val = 4
- Goal: Find smallest node with value $> 4 \rightarrow$ should be 5.

Initial state:

- successor = None
- current = root (5)

Step 1: current.val = 5, p.val = 4

- Since 5 > 4 \rightarrow candidate!
- Set successor = node(5)
- Move current = current.left \rightarrow now at node(3)

State:

- successor = 5
- -current = 3

Step 2: current.val = 3, p.val = 4

- 3 \leftarrow 4 \rightarrow not a candidate
- Move current = current.right \rightarrow now at node(4)

State:

- successor = 5
- current = 4

Step 3: current.val = 4, p.val = 4

- 4 <= 4 \rightarrow not greater \rightarrow not a candidate
- Move current = current.right ightarrow now None

State:

- successor = 5
- current = None \rightarrow loop ends

Return: $successor = node(5) \rightarrow output 5$

This works because:

- We **never go left unless we've found a valid candidate**, ensuring we don't miss the smallest greater value.
- The BST property lets us **eliminate half the tree** at each step.

Complexity Analysis

• Time Complexity: O(h)

h = height of tree. In worst case (skewed tree), h = n. In balanced BST, $h = \log n$. We traverse one path from root to leaf.

• Space Complexity: 0(1)

Only using a few pointers (successor, current). No recursion or extra data structures that scale with input.

5. Lowest Common Ancestor of a Binary Search Tree

Pattern: Binary Search Tree (BST) Traversal

Problem Statement

Given a binary search tree (BST), find the lowest common ancestor (LCA) of two given nodes in the BST.

According to the definition of LCA on Wikipedia: "The lowest common ancestor is defined between two nodes p and q as the lowest node in T that has both p and q as descendants (where we allow a node to be a descendant of itself)."

Sample Input & Output

```
Input: root = [6,2,8,0,4,7,9,null,null,3,5], p = 2, q = 8
Output: 6
Explanation: Nodes 2 and 8 are in left and right subtrees of 6 → LCA is 6.

Input: root = [6,2,8,0,4,7,9,null,null,3,5], p = 2, q = 4
Output: 2
Explanation: Both 2 and 4 are in left subtree; 2 is ancestor of 4 → LCA is 2.

Input: root = [2,1], p = 2, q = 1
Output: 2
Explanation: One node is the root itself → LCA is root.
```

```
from typing import Optional

# Definition for a binary tree node.
class TreeNode:
    def __init__(self, x):
        self.val = x
        self.left = None
        self.right = None
```

```
class Solution:
   def lowestCommonAncestor(
       self,
       root: 'TreeNode',
       p: 'TreeNode',
       q: 'TreeNode'
   ) -> 'TreeNode':
       # STEP 1: Initialize current node as root
       # - We traverse from root downward using BST property
       curr = root
       # STEP 2: Main loop - exploit BST ordering
       # - In BST: left < root < right
          - If both p and q are < curr → LCA in left subtree
       # - If both p and q are > curr → LCA in right subtree
          - Otherwise, curr splits p and q → curr is LCA
       while curr:
           if p.val < curr.val and q.val < curr.val:</pre>
               curr = curr.left
           elif p.val > curr.val and q.val > curr.val:
               curr = curr.right
           else:
               # p and q are on different sides (or one is curr)
               return curr
       # STEP 3: Return result
       # - Loop always returns inside; this line never reached
       return None
# ------ INLINE TESTS ------
if __name__ == "__main__":
   sol = Solution()
   # Helper to build minimal tree for testing
   def build_tree():
       root = TreeNode(6)
       root.left = TreeNode(2)
       root.right = TreeNode(8)
       root.left.left = TreeNode(0)
       root.left.right = TreeNode(4)
```

```
root.right.left = TreeNode(7)
    root.right.right = TreeNode(9)
    root.left.right.left = TreeNode(3)
    root.left.right.right = TreeNode(5)
    return root
tree = build_tree()
# Test 1: Normal case - p=2, q=8 \rightarrow LCA=6
p1 = tree.left
                        # val=2
                        # val=8
q1 = tree.right
assert sol.lowestCommonAncestor(tree, p1, q1).val == 6
# Test 2: Edge case - p=2, q=4 \rightarrow LCA=2
p2 = tree.left
                        # val=2
q2 = tree.left.right
                        # val=4
assert sol.lowestCommonAncestor(tree, p2, q2).val == 2
# Test 3: Tricky/negative - p=root, q=1 (in small tree)
small = TreeNode(2)
small.left = TreeNode(1)
p3 = small
                        # val=2
q3 = small.left
                        # val=1
assert sol.lowestCommonAncestor(small, p3, q3).val == 2
print(" All tests passed!")
```

Example Walkthrough

```
We'll trace Test 1: p = node(2), q = node(8), root = node(6).
```

- 1. Start: curr = root \rightarrow curr.val = 6
 - Check: Is 2 < 6 and 8 < 6? \rightarrow No (8 > 6)
 - Check: Is 2 > 6 and 8 > 6? \rightarrow No (2 < 6)

• So, else branch triggers → return curr (node 6).

That's it! Because p is in left subtree and q in right, the root splits them \rightarrow it's the LCA.

Now Test 2: p = node(2), q = node(4)

- 1. curr = 6
 - Both 2 < 6 and 4 < 6 \rightarrow go left \rightarrow curr = node(2)
- 2. Now curr.val = 2
 - Check: 2 < 2 and 4 < 2? \rightarrow No
 - Check: 2 > 2 and 4 > 2? \rightarrow 2 > 2 is false
 - So, else \rightarrow return node(2)
 - Why? Because p is the current node, and q is in its right subtree \rightarrow LCA is p.

Key Insight: In a BST, we **never need to search both subtrees**. The ordering tells us exactly where to go — making this O(h) instead of O(n).

Complexity Analysis

• Time Complexity: O(h)

h = height of BST. At each step, we go one level deeper. In balanced BST, h = log n; worst-case (skewed), h = n.

• Space Complexity: 0(1)

Only using a constant number of pointers (curr). No recursion stack or extra data structures.