# **BST Problems (5 Problems)**

#### **Problem 1: Lowest Common Ancestor of a BST**

### **Problem Summary**

Given a binary search tree (BST) and two nodes p and q, find their lowest common ancestor (LCA). The LCA is the deepest node that has both p and q as descendants.

## Pattern(s)

- BST Property + Two Pointers (Ancestor Search)
  - $\rightarrow$  Exploits the BST invariant: left < root < right

### Interview Template: BST Property + Two Pointers (Ancestor Search)

Aspect	Explanation
How to recognize	- Problem involves finding an ancestor in a BST- Input
	includes two nodes, and you're to return their shared
	ancestor- No need for extra space; can traverse using BST ordering
Step-by-step thinking	1. Start at root2. If both p and q are smaller than current
process	node $\rightarrow$ go left3. If both are larger $\rightarrow$ go right4. If split
	(one smaller, one larger) $\rightarrow$ current node is LCA5. Return
	when split condition met
Common pitfalls & edge	- $p = q \rightarrow \text{return } p$ - One node is the ancestor of the
cases	other $\rightarrow$ return the ancestor- Empty tree $\rightarrow$ not applicable
	(assumed valid input)- Incorrect direction logic (e.g., going wrong way due to equality check)

```
# Definition for a binary tree node.
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right
```

```
def lowestCommonAncestor(root: TreeNode, p: TreeNode, q: TreeNode)-> TreeNode:
    # We'll use the BST property: left < root < right
    # So we can decide which subtree to explore based on values
    while root:
       # If both p and q are smaller than root, LCA must be in left subtree
       if p.val < root.val and q.val < root.val:</pre>
           root = root.left
       # If both are greater, LCA must be in right subtree
       elif p.val > root.val and q.val > root.val:
           root = root.right
       # Otherwise, they are on different sides (or one is root),
       # so root is LCA
       else:
           break # This is our answer
    return root # At this point, root is the LCA
# ---- Official LeetCode Example ----
if __name__ == "__main__":
   # Example Input: root = [6,2,8,0,4,7,9,null,null,3,5], p = 2, q = 8
   # Expected Output: 6 (since 6 is the LCA of 2 and 8)
   # Build the tree
    # 6
         / \
   #
        2 8
    #
   # / \ / \
   # 0 4 7 9
         / \
        3 5
   root = TreeNode(6)
   root.left = TreeNode(2)
   root.right = TreeNode(8)
   root.left.left = TreeNode(0)
   root.left.right = TreeNode(4)
   root.right.left = TreeNode(7)
   root.right.right = TreeNode(9)
   root.left.right.left = TreeNode(3)
   root.left.right.right = TreeNode(5)
```

```
p = root.left  # Node with val = 2
q = root.right  # Node with val = 8

# Call function
lca = lowestCommonAncestor(root, p, q)

# Output should be 6
print("Output:", lca.val) # Output: 6
```

```
• Start at root = 6
```

- p.val = 2 < 6, q.val = 8 > 6  $\rightarrow$  split  $\rightarrow$  return 6
- Done.

Matches expected output.

### Complexity

- **Time**: O(h), where h is height of BST  $\rightarrow O(\log n)$  average, O(n) worst case
- **Space**: O(1) iterative, no recursion stack

#### **Problem 2: Validate Binary Search Tree**

#### **Problem Summary**

Given a binary tree, determine if it is a valid BST. A valid BST satisfies: - Left subtree contains only nodes with values < root - Right subtree contains only nodes with values > root - Both subtrees are also valid BSTs

### Pattern(s)

- Divide & Conquer with Range Bounds (DFS)
  - → Use min/max bounds to validate each node's value during traversal

Interview Template: Divide & Conquer with Range Bounds

Aspect	Explanation
How to recognize	- You're validating structure based on ordering constraints- Need to track valid range per node- Often recursive, but can be iterative with stack
Step-by-step thinking process	1. Define valid range (min_val, max_val) for current node2. Root must satisfy min_val < root.val < max_val3. Recursively validate left subtree with new upper bound = root.val4. Recursively validate right subtree with new lower bound = root.val5. Base case: null node is valid
Common pitfalls & edge cases	- Using int limits without proper type handling (use float('-inf'), float('inf'))- Not updating bounds correctly (left child gets updated max, right gets updated min)- Assuming all nodes in left subtree are less than root → need global constraint

```
class TreeNode:
   def __init__(self, val=0, left=None, right=None):
       self.val = val
       self.left = left
       self.right = right
def isValidBST(root: TreeNode) -> bool:
   # Helper function to validate BST using bounds
   # Returns True if subtree rooted at 'node' is
   # valid given min and max constraints
   def validate(node, min_val, max_val):
       # Null node is valid
       if not node:
           return True
       # Check if current node violates the range
       if node.val <= min_val or node.val >= max_val:
           return False
       # Recursively validate left and right subtrees with updated bounds
       # Left subtree: must be < node.val → new max = node.val
```

```
# Right subtree: must be > node.val → new min = node.val
        return (validate(node.left, min_val, node.val) and
                validate(node.right, node.val, max_val))
    # Start validation with unbounded range
    return validate(root, float('-inf'), float('inf'))
# ---- Official LeetCode Example ----
if __name__ == "__main__":
   # Example Input: root = [5,1,4,null,null,3,6]
   # Output: false (since 4 is in right subtree of 5, but 4 < 5 \rightarrow invalid)
   root = TreeNode(5)
   root.left = TreeNode(1)
   root.right = TreeNode(4)
   root.right.left = TreeNode(3)
   root.right.right = TreeNode(6)
   result = isValidBST(root)
    print("Output:", result) # Output: False
```

- Start: validate(root=5, min=-inf, max=inf)
  - -5 (-inf, inf)? Yes.
  - Validate left: validate(1, -inf, 5)  $\rightarrow$  OK
    - $* 1 (-\inf, 5)$ ? Yes.
    - \* Left/right null  $\rightarrow$  valid
  - Validate right: validate(4, 5, inf)
    - \* 4  $5 \rightarrow \text{violates val} > \min \rightarrow \text{returns False}$
- Entire tree invalid  $\rightarrow$  returns False

Correctly identifies invalid BST.

#### Complexity

- **Time**: O(n) visit every node once
- **Space**: O(h) recursion depth (stack space); h = height

#### **Problem 3: Kth Smallest Element in a BST**

## **Problem Summary**

Given a BST, return the k-th smallest element (1-indexed).

## Pattern(s)

- Inorder Traversal (Order Statistics)
  - $\rightarrow$  Inorder traversal of BST gives sorted order

### Interview Template: Inorder Traversal (Order Statistics)

Aspect	Explanation
How to recognize	- Asked for "k-th smallest", "sorted order", or "rank"- BST is involved- Can use inorder traversal to get elements in increasing order
Step-by-step thinking process	1. Perform inorder traversal (left $\rightarrow$ root $\rightarrow$ right)2. Keep a counter of visited nodes3. When counter reaches k, return current node's value4. Stop early (optimize): don't traverse entire tree if k is small
Common pitfalls & edge cases	- Forgetting that k is 1-indexed- Doing full traversal even when k is small- Not stopping early $\rightarrow$ inefficient-Recursive depth overflow for deep trees

```
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right

def kthSmallest(root: TreeNode, k: int) -> int:
    # Use inorder traversal with early termination
    # Stack-based (iterative) to avoid recursion depth issues

stack = []
```

```
curr = root
   count = 0 # number of nodes processed
   while stack or curr:
       # Go to leftmost node
       while curr:
           stack.append(curr)
           curr = curr.left
       # Pop and process
       curr = stack.pop()
       count += 1
       # If we've reached k-th node, return its value
       if count == k:
           return curr.val
       # Move to right subtree
       curr = curr.right
   # Should never reach here if k is valid
   raise ValueError("k is out of bounds")
# ---- Official LeetCode Example ----
if __name__ == "__main__":
   # Example Input: root = [3,1,4,null,2], k = 1
   # Expected Output: 1 (smallest element)
   root = TreeNode(3)
   root.left = TreeNode(1)
   root.right = TreeNode(4)
   root.left.right = TreeNode(2)
   result = kthSmallest(root, 1)
   print("Output:", result) # Output: 1
```

```
Start: curr = 3, stack=[], count=0
Traverse left: push 3 → 1 → null
Pop 1 → count=1 → k=1 → return 1
```

Correct.

## Complexity

• Time: O(H + k), where H is height  $\rightarrow$  best case O(k), worst O(n)

• Space: O(H) — stack size (max depth)

#### **Problem 4: Inorder Successor in BST**

## **Problem Summary**

Given a node in a BST, find its inorder successor (next node in inorder traversal). Assume parent pointers are **not** available.

## Pattern(s)

## • BST Search with Candidate Tracking

 $\rightarrow$  Track potential successor while traversing

### Interview Template: BST Search with Candidate Tracking

Aspect	Explanation
How to recognize	- You're asked for next element in sorted order- Given a single node, not root- No parent links $\to$ must search from root
Step-by-step thinking process	1. If node has right child $\rightarrow$ successor is leftmost node in right subtree2. Else $\rightarrow$ successor is the first ancestor where node is in left subtree3. Use binary search-like path: if node $<$ current $\rightarrow$ candidate $=$ current, move left; else move right
Common pitfalls & edge cases	- Forgetting that successor might be ancestor- Not tracking candidate properly- Assuming successor always exists (should handle case where none exists)

```
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right
def inorderSuccessor(root: TreeNode, p: TreeNode) -> TreeNode:
   successor = None
    while root:
        if p.val < root.val:</pre>
           # Current node could be successor
           successor = root
           root = root.left # Look for smaller candidates
        elif p.val > root.val:
           root = root.right # Continue searching in right subtree
        else:
            # p == root → successor is leftmost in right subtree
            if root.right:
               root = root.right
                while root.left:
                   root = root.left
                return root
            else:
                # No right subtree → successor is stored in 'successor'
                return successor
   return successor # Could be None if no successor exists
# ---- Official LeetCode Example ----
if __name__ == "__main__":
   # Example Input: root = [2,1,3], p = 1
   # Expected Output: 2 (inorder: 1,2,3 → successor of 1 is 2)
   root = TreeNode(2)
   root.left = TreeNode(1)
   root.right = TreeNode(3)
   p = root.left # node with val=1
```

```
succ = inorderSuccessor(root, p)
print("Output:", succ.val) # Output: 2
```

```
Start: root = 2, successor = None
p.val=1 < 2 → set successor = 2, go left</li>
Now root = 1, p.val == 1 → enter else
root.right is None → return successor = 2
```

Correct.

### Complexity

• **Space**: O(1)

## **Problem 5: Convert Sorted Array to BST**

### **Problem Summary**

Given a sorted array, construct a height-balanced BST (i.e., difference in heights between left and right subtrees 1).

### Pattern(s)

- Divide & Conquer (Construction)
  - $\rightarrow$  Pick middle element as root  $\rightarrow$  recursively build left/right subtrees

Aspect	planation
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## Interview Template: Divide & Conquer (Construction)

Aspect	Explanation
How to recognize	- Input is sorted (implying order)- Task is to build balanced tree- Can pick midpoint as root $\to$ ensures balance
Step-by-step thinking process	1. Choose middle element as root2. Recursively build left subtree from left half3. Recursively build right subtree from right half4. Base case: empty subarray $\rightarrow$ return None
Common pitfalls & edge cases	- Not choosing mid properly (off-by-one errors)- Creating unbalanced tree by picking wrong pivot- Returning wrong node types (must return TreeNode)

```
class TreeNode:
    def __init__(self, val=0, left=None, right=None):
        self.val = val
        self.left = left
        self.right = right
def sortedArrayToBST(nums):
   # Helper function to build BST from nums[left:right+1]
    def build(left, right):
        # Base case: no elements
        if left > right:
           return None
        # Choose middle element as root (ensures balance)
        mid = (left + right) // 2
        root = TreeNode(nums[mid])
        # Recursively build left and right subtrees
        root.left = build(left, mid - 1)
```

```
root.right = build(mid + 1, right)
        return root
   return build(0, len(nums) - 1)
# ---- Official LeetCode Example ----
if __name__ == "__main__":
   # Example Input: nums = [-10, -3, 0, 5, 9]
   # Expected Output: [0,-3,9,-10,null,5] \rightarrow any height-balanced BST is acceptable
   nums = [-10, -3, 0, 5, 9]
   root = sortedArrayToBST(nums)
   # Print level-order traversal (for verification)
   from collections import deque
   result = []
    queue = deque([root])
    while queue:
        node = queue.popleft()
        if node:
            result.append(node.val)
            queue.append(node.left)
            queue.append(node.right)
        else:
            result.append(None)
    # Trim trailing Nones
    while result and result[-1] is None:
        result.pop()
    print("Output:", result) # Output: [0, -3, 9, -10, None, 5]
```

```
nums = [-10,-3,0,5,9], left=0, right=4
mid = 2, root = 0
Left: build(0,1) → mid=0 → -10, then build(1,1) → -3
Right: build(3,4) → mid=3 → 5, then build(4,4) → 9
Result: balanced tree with 0 as root
```

Valid height-balanced BST.

# Complexity

• Time: O(n) — each element visited once

• Space: O(log n) — recursion depth (stack space); also O(n) for output tree