# A Comprehensive Framework for Curating Negative Datasets for SnoRNA Sequence Classification Models

# I. Introduction: The Critical Role of Negative Sampling in Sequence Classification

The computational identification of non-coding RNAs (ncRNAs) represents a significant frontier in bioinformatics. Unlike protein-coding genes, ncRNAs are not defined by open reading frames. Instead, their identification relies on sophisticated computational models that analyze complex features, including secondary structure, conserved sequence motifs, and genomic context. The user's approach to modeling snoRNAs, utilizing features such as "distance from blocks" and sequence length, is consistent with established methodologies for ncRNA prediction. <sup>2</sup>

At the heart of any supervised machine learning task is the dataset, which must accurately represent both the positive and negative classes. For snoRNAs, a well-defined set of positive examples can be readily obtained from high-quality, curated databases like snoRNA-LBME-db and snoDB.<sup>4</sup> These resources contain experimentally verified sequences, providing a robust foundation for the positive training set. The negative class, however, presents a profound challenge. The concept of "not a snoRNA" encompasses a vast and ill-defined universe of sequences, from junk DNA to other functional transcripts. This lack of a clear, definitive negative class is a pervasive problem in bioinformatics, often leading to models with high rates of false positives or false negatives.<sup>6</sup> The user's apprehension about "strange fitting" is a direct and valid concern arising from this fundamental data asymmetry.

A strategic and nuanced approach to dataset curation is essential to overcome this challenge. A single, naive method of generating negative samples is highly likely to introduce systemic biases that compromise the model's performance and its ability to generalize to new (here we will add the various example of the models training with different choice of the negatives example), unseen data. This report outlines a multi-phased, hybrid framework for curating a negative dataset. It advocates for starting with a broad collection of potential negative

samples and then progressively refining this set with more biologically realistic and challenging examples. This approach shifts the task from a one-time data collection problem to an iterative, dynamic process, ensuring the final model is robust and not merely exploiting superficial dataset artifacts.

# II. The Biological and Structural Context of SnoRNAs

To effectively curate negative samples, one must first have a deep understanding of the positive class. Small nucleolar RNAs (snoRNAs) are short non-coding RNAs, typically ranging from 60 to 300 nucleotides in length, that are primarily located in the nucleolus. Their canonical biological function is to guide post-transcriptional modifications on other RNA molecules, most notably ribosomal RNAs (rRNAs) and small nuclear RNAs (snRNAs).

SnoRNAs are broadly classified into two major families, each with distinct structural and sequence characteristics. The first family, **Box C/D snoRNAs**, is defined by the presence of a conserved C box (consensus sequence: RUGAUGA) and a D box (CUGA), which are typically found near the 5' and 3' ends of the RNA, respectively. These motifs are brought into close proximity by a terminal stem structure, forming a kink-turn structural element that recruits partner proteins. Box C/D snoRNAs primarily guide 2'-O-methylation. The second family,

**H/ACA box snoRNAs**, is characterized by H (ANANNA) and ACA boxes and a double hairpin secondary structure. These molecules are responsible for guiding pseudouridylation. A sub-class, scaRNAs, possesses features from both families. These structural and sequence-based distinctions are the core features a model must learn to recognize.

The user's chosen features, such as sequence length and the spatial arrangement of conserved motifs, are highly pertinent to this classification task.<sup>2</sup> However, the definition of a snoRNA is not always straightforward. A significant number of snoRNAs, referred to as "orphan snoRNAs," have no known canonical targets and may perform non-canonical functions, such as regulating mRNA splicing or translational efficiency.<sup>13</sup> This biological complexity adds another layer to the challenge of negative sampling. A model trained on a purely canonical snoRNA dataset may fail to accurately classify these functional but atypical variants.

Furthermore, it is crucial to distinguish snoRNAs from other non-coding RNA classes, such as microRNAs (miRNAs) and long non-coding RNAs (lncRNAs). While all are ncRNAs, they have different functions, sizes, and biogenesis pathways. For instance, lncRNAs are typically much longer than snoRNAs and are often capped and polyadenylated, similar to messenger RNAs (mRNAs). A particularly relevant case is the existence of snoRNA Host Genes (SNHGs), which

are lncRNAs that contain snoRNA genes within their introns.<sup>14</sup> This biological reality creates a highly challenging classification problem: the model must be able to discern a snoRNA from the intron and the larger host gene in which it is embedded.

# III. Foundational Methodologies for Generating Negative Samples

Developing an effective negative dataset requires a deliberate and well-considered strategy. Several foundational methods exist, each with distinct advantages and inherent risks.

### The Naive Approach: Synthetic Sequence Generation

The simplest strategy is to generate synthetic sequences. **Uniform random sampling** involves creating sequences where each nucleotide (A, C, G, U) has an equal probability of occurrence.<sup>20</sup> This provides a straightforward and inexhaustible source of negative data. A more sophisticated variation is

**shuffling with preserved compositional features**. This method involves permuting the nucleotides of a real sequence, such as a known snoRNA or a genomic region, to destroy its functional context while maintaining its base composition and k-mer frequencies(?). This approach prevents the model from learning a simple shortcut, such as GC-content, as the primary distinguishing feature. However, a key limitation of shuffling is that it can lead to an underestimation of false-positive rates. Shuffled sequences lack the higher-order structural complexity and evolutionary context of real biological sequences. A model trained exclusively on this type of negative data may perform exceptionally well on the validation set but fail to generalize to real, complex genomic data.

### The Contextual Approach: Leveraging Genomic Data

A more biologically realistic approach involves using sequences derived from the genome itself. One common method is to sample sequences from **intergenic or intronic regions** of a well-annotated genome, which are presumed to be non-functional.<sup>1</sup> These sequences

represent a more authentic biological background than purely synthetic ones. However, this strategy is not without significant risk. The research notes that large portions of the genome previously considered "junk" are now known to be transcribed and functional. Consequently, a sequence labeled as negative might, in reality, be an undiscovered gene or regulatory element. This phenomenon, known as "false negatives," can introduce noise and misinformation into the training dataset, undermining the model's ability to learn accurate decision boundaries.

Another contextual approach is to use sequences from **other non-coding RNA classes** (e.g., miRNAs, lncRNAs, tRNAs) as negative controls. This approach compels the model to learn the specific features that distinguish a snoRNA from other functional transcripts, creating a more challenging and realistic classification task. However, this method can also introduce biases; for example, if miRNAs and snoRNAs have different typical lengths, the model may simply learn to classify based on length rather than the intrinsic structural and sequence features of snoRNAs. 16

A crucial point to consider is that there is no single "correct" negative sample. The optimal negative dataset is not static; it must evolve as the model's capabilities improve. A model's ability to generalize is a function of the data it is trained on. If the negative data is too easy to distinguish (e.g., having a very different GC-content from the positive class), the model will achieve high performance metrics on its training and validation sets but will fail when confronted with a truly diverse, real-world set of negative sequences. The solution is not to find a perfect dataset but to build a model that is robust to the inherent imperfections and biases of real-world data by feeding it a progressively more challenging set of negative examples. This links the seemingly simple task of negative sampling to the core machine learning concepts of generalization and overfitting. The GC-content bias observed in bacterial promoter prediction <sup>8</sup> is conceptually analogous to the popularity bias in recommender systems. <sup>22</sup> In both cases, the model learns to exploit a superficial statistical property of the negative data (GC-content or popularity) rather than the true underlying characteristics of the positive class.

# IV. Advanced Strategies for Bias Mitigation and Model Robustness

Building a generalizable model requires a proactive approach to mitigating bias.

#### **Understanding and Quantifying Dataset Bias**

A model trained on a biased dataset will inevitably become biased itself. The **GC-content problem** is a well-documented issue in genomic machine learning. It is a simple, measurable feature that can disproportionately influence a model's predictions. The most effective way to identify if a model is exploiting this bias is to evaluate its performance on a negative dataset specifically engineered to have a GC-content that matches the positive set. Similarly, the presence of

"false negatives" in a training set of real genomic regions can degrade a model's performance by introducing noisy, mislabeled data.<sup>1</sup>

\* little topic in the end

#### The Concept of "Hard Negative Mining"

A powerful technique for improving a model's robustness is **hard negative mining**. This method focuses on identifying and training on negative examples that are difficult to distinguish from positive ones.<sup>7</sup> These "hard negatives" force the model to learn more subtle and discriminative features. One common approach is to train a preliminary model and then use it to scan a large, unlabeled pool of sequences. Any sequence that the model confidently classifies as positive but is not a true positive (a "false positive") is, by definition, a hard negative.<sup>7</sup> These challenging examples are then added to the training set for a subsequent round of training. Alternatively, one can use a rule-based or feature-based approach to generate or select negative sequences that share key properties with the positive class but are not true snoRNAs. For example, one could generate synthetic sequences that contain the Box C/D motifs but lack the required secondary structure or the correct spatial arrangement of the motifs.<sup>13</sup>

# The "Decoy" Methodology: A Case Study in Molecular Recognition

The concept of using "decoys" is a cornerstone of molecular docking and drug discovery. <sup>25</sup> In this context, inactive molecules are designed to be physiochemically similar to active compounds, which prevents computational models from learning trivial biases. This principle can be directly applied to the snoRNA classification problem.

"Decoy" snoRNA sequences can be generated that have the same length, GC-content, and

even some conserved motifs as the positive class, but which lack the specific secondary structure or full motif set required for function. Tools like GenRGenS can generate sequences based on weighted context-free grammars, allowing for the creation of structured, "decoy" sequences that are difficult to distinguish from the real thing. 20

# V. A Proposed Workflow for SnoRNA Negative Sample Curation

Based on the preceding analysis, an effective workflow for curating a negative dataset for snoRNA classification should follow a multi-phased, iterative process.

#### Phase 1: Initial Dataset Assembly (A Hybrid Approach)

The first step is to establish a strong foundation. The core positive set should be assembled from high-quality, curated databases of known snoRNAs (reached thanks to the fbk lab that gave us trusted data information).<sup>4</sup> The initial negative set should be a large and diverse collection generated by combining two types of sequences:

- 1. **Random Samples:** Use a tool like OligoApp to generate synthetic sequences that match the length and GC-content distribution of the positive snoRNA set.<sup>21</sup>
- 2. **Real Genomic Sequences:** Collect a large number of sequences from a well-annotated genome's intergenic and intronic regions.<sup>1</sup> It is important to acknowledge the risk of false negatives in this pool.

#### Phase 2: Statistical Characterization and Bias Detection

Once the <u>initial datasets</u> are assembled, it is critical to characterize them. Dimensionality reduction techniques, such as PCA, can be used to visualize the <u>feature space and determine</u> if the positive and negative samples are clustering separately based on simple features like GC-content.<sup>8</sup> After this initial analysis, a <u>baseline model should be trained on the Phase 1</u> dataset. The performance of this model will provide a crucial benchmark, although the metrics may be artificially inflated due to biases within the dataset.

#### **Phase 3: Iterative Model Training and Hard Negative Mining**

This final phase is the key to building a robust and generalizable model. The baseline model from Phase 2 should be used to scan the initial negative set and identify sequences that it incorrectly classifies as positive. These are the "hard negatives" that will challenge the model to learn more complex features. The negative set should then be supplemented with other non-coding RNA classes (e.g., miRNAs, lncRNAs) and synthetically generated decoys that mimic key snoRNA features but are not true snoRNAs. The model should then be retrained on this new, more challenging dataset. During this process, it is essential to monitor performance, paying close attention to false positive rates and the model's ability to generalize on a completely unseen, diverse test set.

#### VI. Essential Data Resources and Software Tools

The following tables provide a direct, actionable guide to the resources and tools that can be used to implement the proposed workflow.

Table 1: Curated Resources and Tools for SnoRNA Research and Sequence Generation

Resource Type	Name/Tool	Description & Application	Citation/Referenc e
SnoRNA Databases	snoRNA-LBME-db	A dedicated database for human C/D box, H/ACA box snoRNAs, and scaRNAs, with experimentally verified and predicted sequences. <sup>4</sup>	http://www-snorna. biotoul.fr/ <sup>4</sup>
SnoRNA	snoDB	A specialized,	https://bioinfo-scot

Databases		up-to-date database for human snoRNAs, integrating data on genomic location, sequence, and interactions. <sup>5</sup>	tgroup.med.usherb rooke.ca/snoDB/ <sup>5</sup>
General ncRNA Databases	RNAcentral	A comprehensive database for all non-coding RNA types, including snoRNAs, across a broad range of organisms. <sup>26</sup>	https://rnacentral.or g/ <sup>26</sup>
Sequence Generation Tool	OligoApp	A web-based tool for generating random DNA/RNA sequences with specified percentages of individual bases and other properties. <sup>21</sup>	https://www.generi- biotech.com/oligoa pp/ <sup>21</sup>
Sequence Generation Tool	GenRGenS	A software tool for generating random genomic sequences and structures based on various models (e.g., Markov chains, context-free grammars). <sup>20</sup>	https://academic.ou p.com/bioinformati cs/article/22/12/153 4/207198 <sup>20</sup>

Table 2: A Comparative Analysis of Negative Sample Generation Strategies for Biological Sequence Classification

	Strategy	Description	Advantages	Disadvantages &
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			Bias Risks
Random Sequences	Synthetically generated sequences with random or controlled base frequencies.	Simple, inexhaustible source, can match GC content of positive set. <sup>8</sup>	Lacks true genomic complexity, model may learn spurious features (e.g., simple motifs). <sup>9</sup>
Shuffled Sequences	Existing positive or genomic sequences with permuted nucleotides.	Preserves k-mer frequency and base composition; a better control than purely random. <sup>9</sup>	Can lead to an underestimation of false-positive rates; lacks higher-order structure and complexity of real sequences. <sup>1</sup>
Real Genomic Regions	Sequences from presumed non-functional areas (e.g., intergenic, intronic).	Represents a realistic biological background. <sup>6</sup>	May contain undiscovered functional elements (false negatives); significant compositional and structural biases (e.g., GC-content).1
Hard Negatives/Decoys	Sequences that are structurally or compositionally similar to positives but are not true positives.	Forces the model to learn subtle, discriminative features, improving generalizability and reducing bias. <sup>7</sup>	Computationally intensive to find, risk of including false negatives if not carefully curated. <sup>24</sup>

# VII. Conclusion: A Framework for Building a Generalizable Model

The user's initial concern about "strange fitting" is a valid one, as a model trained on a poorly curated negative dataset is highly susceptible to learning spurious correlations. For instance,

if the negative sequences have a GC-content that is significantly different from the positive snoRNA set, the model may learn to classify based on this simple feature rather than the true biological determinants of snoRNA identity, such as conserved motifs or secondary structure. Such a model would fail to generalize to real-world scenarios where GC-content is not a reliable predictor.

The solution is a strategic, multi-phase approach. The process is not about finding a single, perfect negative dataset but about building a robust and generalizable model. This is achieved by progressively challenging the model with increasingly difficult-to-classify negative examples. By starting with a broad, hybrid set of simple negatives, the initial model can learn the foundational distinctions. Statistical analysis can then reveal any underlying biases that the model has exploited. Finally, by iteratively adding hard negatives and decoys—sequences that are designed to be difficult to distinguish—the model is forced to refine its understanding and focus on the subtle, nuanced features that truly define a snoRNA. This framework ensures that the model's predictive power extends beyond the confines of the training environment and accurately reflects the complex biological universe of non-coding RNAs.

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The **GC-content problem** in molecular biology and genomics refers to the challenges and unanswered questions surrounding the wide variations in the proportion of Guanine (G) and Cytosine (C) nucleotides (the **GC-content**, or G+C percentage) across and within genomes, and the impact of these variations on genome biology and technology.

The problem has two main facets:

- 1. Evolutionary and Biological Significance: What are the evolutionary forces (selection, mutation, recombination) that drive the immense diversity of GC-content across species (from ~13% to ~75% in bacteria) and create regional differences within a single genome (like the isochores in mammals)? What is the functional consequence of these variations on DNA stability, gene expression, and other biological processes?
- 2. **Technological Biases:** How does GC-content introduce technical biases in high-throughput sequencing and molecular biology techniques, and how can these biases be corrected?

### 1. Evolutionary Drivers and Biological Significance

The overall nucleotide composition of a genome is shaped by the interplay of several evolutionary forces: **mutation bias**, **natural selection**, and **recombination-associated repair biases**.

#### GC-Biased Gene Conversion (gBGC)

A major hypothesis for the observed heterogeneity and high GC-content in many organisms is **GC-Biased Gene Conversion (gBGC)**.

- Mechanism: Gene conversion is a non-reciprocal transfer of genetic information that occurs during homologous recombination (or DNA repair). gBGC is a repair bias in this process that favors the fixation of G/C alleles over A/T alleles at heterozygous sites.
- Effect: Since recombination rates vary across the genome, regions with a higher rate of recombination experience more gBGC and consequently evolve towards a higher GC-content. This neutral (non-selective) process mimics the effect of selection for higher GC-content, complicating the interpretation of GC-content evolution.
- Impact: gBGC is now widely accepted as a major driver of GC-content heterogeneity and the formation of GC-rich regions (isochores) in many eukaryotes (like mammals and birds) and is also increasingly recognized in bacteria.

#### **Selection and Functional Correlation**

High GC-content sequences form more stable DNA double helices due to the three hydrogen bonds between G and C (compared to two between A and T). This stability has been proposed to be under selection in certain environments or for specific functions:

- Thermal Stability: Early theories suggested a correlation between high genomic GC-content and high optimal growth temperatures in prokaryotes, though this link is generally refuted for the whole genome (but may hold for structural RNA like 16S RNA).
- Gene Density and Expression: In some complex organisms (e.g., mammals), GC-rich isochores are often found to be gene-rich, containing a higher density of protein-coding genes. However, the direct relationship between GC-content and gene expression levels or tissue-specific expression remains a subject of debate, with studies showing weak or conflicting correlations. GC-content, or associated features like CpG islands, may affect chromatin structure and transcription.
- DNA Damage: In prokaryotes, a link has been suggested between high GC-content and the presence of DNA double-strand break repair pathways, implying that DNA damage might be a fundamental driver of GC-content variation.

### 2. Technical and Methodological Challenges

The varying stability and sequence context of GC-rich regions pose significant technical challenges in the lab, particularly in molecular techniques that involve DNA amplification or sequencing.

#### **PCR Amplification Bias**

- Problem: GC-rich regions are significantly more difficult to amplify in
  polymerase chain reaction (PCR) experiments. The higher thermal stability of
  GC-rich DNA requires higher denaturation temperatures, which can damage the
  DNA polymerase, and the high stability favors the formation of stable
  secondary structures (like hairpin loops) that impede polymerase activity.
- **Solution:** Scientists often use special additives (e.g., DMSO, betaine), high-fidelity polymerases, or modified thermal cycling protocols to address this **GC-rich amplification problem**.

#### **High-Throughput Sequencing Bias**

- Problem: Next-generation sequencing platforms, particularly Illumina sequencing, exhibit a GC-content bias where both extremely GC-rich and AT-rich fragments are often underrepresented in the final read count (a unimodal curve pattern). This is largely attributed to biases introduced during the PCR amplification step of library preparation.
- Impact: This technical bias can severely confound downstream analyses that rely on accurate fragment abundance measurements, such as Copy Number Variation (CNV) estimation in DNA-seq or gene expression quantification in RNA-seq.
- **Solution:** Various computational methods have been developed to **normalize** or **correct** the raw fragment count data based on the local GC-content of the sequenced region.

# **Related Key Papers and Reviews**

The GC-content problem is a long-standing area of research, dating back to the discovery of isochores. Here are three papers representing key aspects of the problem:

- Recombination Drives the Evolution of GC-Content in the Human Genome
  - Authors/Year: Meunier and Duret (2004)
  - Focus: Genomic Evolution. This paper provides strong evidence in the

human genome that the local rate of recombination (specifically crossover events) is the major predictor of the GC-content toward which a sequence is evolving (the GC\* equilibrium), supporting the hypothesis that **gBGC** is the dominant force shaping mammalian genomic GC-content landscapes (isochores).

- Journal: Molecular Biology and Evolution
- 2. Summarizing and correcting the GC content bias in high-throughput sequencing
  - Authors/Year: Benjamini and Speed (2012)
  - Focus: Technical Bias Correction. This work is an important contribution to understanding and correcting the sequencing GC-bias. It systematically analyzes the bias in Illumina data, concludes that it is largely due to PCR during library preparation, and proposes a parsimonious model for correcting this bias in read-count-based analyses like Copy Number Estimation.
  - Journal: Nucleic Acids Research (NAR)
- 3. GC-Content Evolution in Bacterial Genomes: The Biased Gene Conversion Hypothesis Expands
  - o Authors/Year: Lassalle et al. (2015)
  - Focus: Comparative Genomics. This study expands the scope of the gBGC hypothesis to the immensely diverse bacterial domain. It shows a consistent positive relationship between GC-content and evidence of intra-genic recombination in a broad range of bacterial clades, suggesting that gBGC is a widespread, ancestral feature of cellular organisms and a major driver of GC-content diversity in prokaryotes.
  - Journal: PLoS Genetics