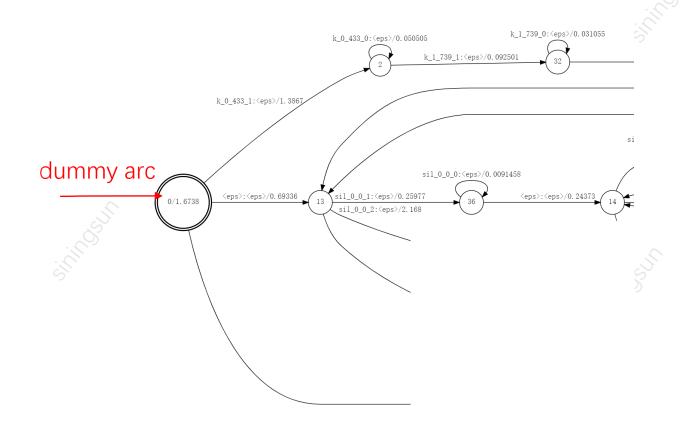
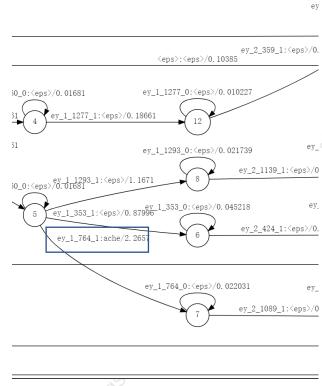
Kaldi SimpleDecoder 代码解析+逐步实例

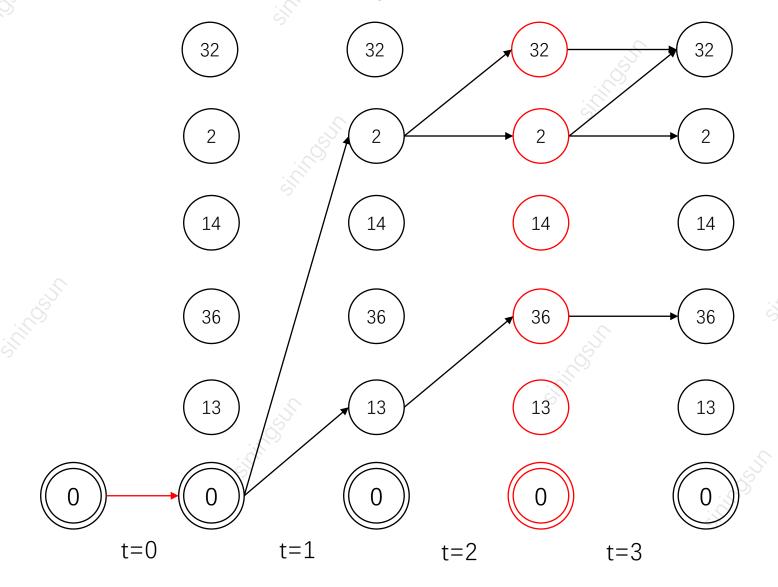
孙思宁

示例HCLG解码图





将HCLG沿着时间轴展开



arc_ HCLG这个WFST上的转移弧,弧上会有声学分、

语言分、输入和输出label,以及目的状态(104-

107)

*prev 指向上一个Token的回溯指针,表示当前Token是

从哪个Token传递过来的

ref count 主要是用来垃圾回收的,Token每当被其他Token

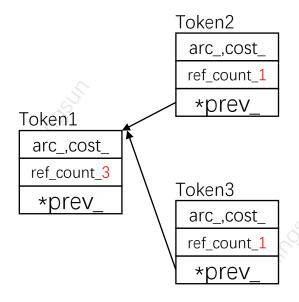
引用一次, 计数器+1(109)

cost cost: 当前Token中累积分数

TokenDelete 只有ref count 为1的Token才能被删除,说明它不

会被被其他Token回溯到; TokenDelete是递归回

溯删除ref_count_等于1的整条路径上的Token



Token示例

Token结构体

InitDecoding

- 1. 47行, cur_toks加入第一个token, 对应图中 Tokne1
- 2. 第49行,处理从state0转移且输入label为空的 所有的弧

```
93
        class Token {
          LatticeArc arc_; // We use LatticeArc so that we can separately
                           // store the acoustic and graph cost, in case
97
                           // we need to produce lattice-formatted output.
 98
          Token *prev ;
          int32 ref_count_;
          double cost ; // accumulated total cost up to this point.
101
          Token(const StdArc &arc,
102
                BaseFloat acoustic_cost,
103
                Token *prev): prev_(prev), ref_count_(1) {
104
            arc .ilabel = arc.ilabel;
105
            arc_.olabel = arc.olabel;
106
            arc_.weight = LatticeWeight(arc.weight.Value(), acoustic_cost);
107
            arc_.nextstate = arc.nextstate;
            if (prev) {
108
109
              prev->ref_count_++;
110
              cost_ = prev->cost_ + (arc.weight.Value() + acoustic_cost);
111
            } else {
112
              cost_ = arc.weight.Value() + acoustic_cost;
113
            }
114
115
          bool operator < (const Token &other) {</pre>
116
            return cost_ > other.cost_;
117
118
119
          static void TokenDelete(Token *tok) {
120
            while (--tok->ref_count_ == 0) {
121
             Token *prev = tok->prev ;
122
              delete tok:
123
              if (prev == NULL) return;
124
              else tok = prev:
125
      #ifdef KALDI_PARANOID
127
            KALDI_ASSERT(tok->ref_count_ > 0);
128
129
130
       };
131
```

cur_toks_ prev_toks(空) Token1 arc_,cost_ ref_count_1 *prev t=1 t=0

逐步分解SimpleDecoder的解码过程

InitDecoding

t=2

- 1. 47行, cur_toks加入第一个token, 对应图中 Tokne1
- 2. 第49行,处理从state0转移且输入label为空的 所有的弧

```
void SimpleDecoder::InitDecoding() {

// clean up from last time:

ClearToks(cur_toks_);

ClearToks(prev_toks_);

// initialize decoding:

StateId start_state = fst_.Start();

KALDI_ASSERT(start_state != fst::kNoStateId);

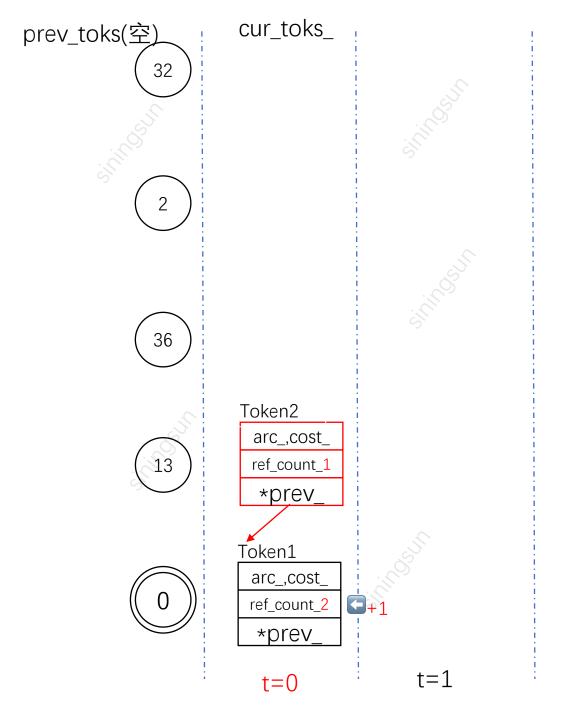
StdArc dummy_arc(0, 0, StdWeight::One(), start_state);

cur_toks_[start_state] = new Token(dummy_arc, 0.0, NULL);

num_frames_decoded_ = 0;

ProcessNonemitting();

}
```



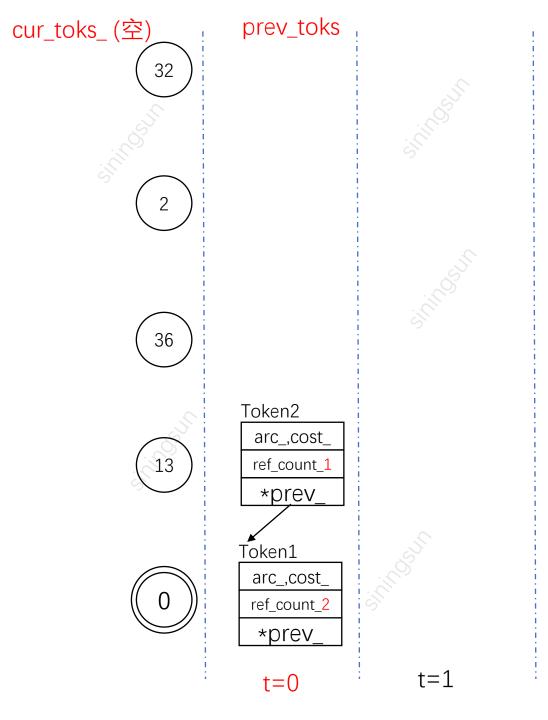
ProcessNonemitting()

t=2

251

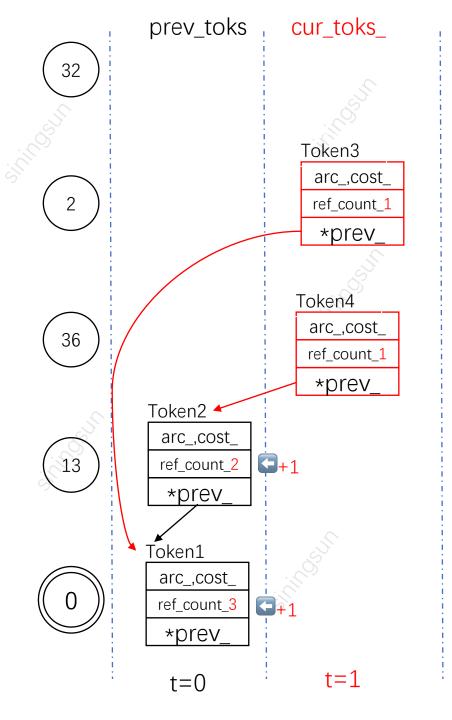
- 1. 本例子只有0->13这一个满足要求的转移
- 2. 新建一个状态13的Token2,加入到cur_toks_
- 3. Token2指向了Token1, Token1的ref_count_+1

```
void SimpleDecoder::ProcessNonemitting() {
      // Processes nonemitting arcs for one frame. Propagates within
      // cur toks .
      std::vector<StateId> queue;
      double infinity = std::numeric_limits<double>::infinity();
      double best_cost = infinity;
      for (unordered_map<StateId, Token*>::iterator iter = cur_toks_.begin();
215
          iter != cur_toks_.end();
                                    遍历cur_toks_里面所有的元素,将state id放入队列,并且得到
216
          ++iter) {
                                   cur_toks_里面最优的分数
217
        queue.push_back(iter->first);
218
        best cost = std::min(best cost, iter->second->cost );
219
                                     最优分数+beam, 计算出cutoff
      double cutoff = best_cost + beam_;
220
221
222
      while (!queue.empty()) {
223
        StateId state = queue.back();
                                          循环处理cur_toks_里面所有的stateid
224
        queue.pop back();
        Token *tok = cur toks [state];
225
        KALDI ASSERT(tok != NULL && state == tok->arc .nextstate);
        for (fst::ArcIterator<fst::Fst<StdArc> > aiter(fst_, state);
228
            !aiter.Done();
                                          处理从当前stateid发射出的所有弧
229
            aiter.Next()) {
230
          const StdArc &arc = aiter.Value();
          if (arc.ilabel == 0) { // propagate nonemitting only.只处理ilabel为空的弧,空转移没有AM分数
231
232
           const BaseFloat acoustic_cost = 0.0;
233
           Token *new_tok = new Token(arc, acoustic_cost, tok);
234
           if (new_tok->cost_ > cutoff) {
             Token::TokenDelete(new_tok); 如果新token的得分超过cutoff, 直接丢弃
235
236
           } else {
237
             unordered_map<StateId, Token*>::iterator find_iter
                = cur_toks_.find(arc.nextstate); 查找新token对应的stateid是不是已经在cur_toks_
238
239
             if (find_iter == cur_toks_.end()) {
                                                  如果不在,将<stateid,new_tok>加入到cur_toks_,
               cur_toks_[arc.nextstate] = new_tok;
                                                  同时这里还会把新的stateid加入到处理队列中,
241
               queue.push_back(arc.nextstate);
                                                  也就是如果有连续的空转移、会一起被处理、
242
243
               if (*(find_iter->second) < *new_tok) { 一直到达没有空转移状态
244
                Token::TokenDelete(find iter->second):
245
                find iter->second = new tok;
                                              如果新token对应的stateid中已经存在于cur_toks_,那么
246
                 queue.push back(arc.nextstate);
                                              根据维特比的要求、保留得分最优的token、非最优的被
247
               } else {
                                              递归删除
248
                 Token::TokenDelete(new_tok);
249
250
```



- 1. 解码器开始逐帧处理decodable中的后验概率
- 2. while循环中,首先ClearToks,此时prev_toks_本身 就是空;
- 3. 将cur_toks_和prev_toks_交换,然后70行开始核心代码

```
void SimpleDecoder::AdvanceDecoding(DecodableInterface *decodable,
                                          int32 max num frames) {
      KALDI_ASSERT(num_frames_decoded_ >= 0 &&
55
                   "You must call InitDecoding() before AdvanceDecoding()");
      int32 num_frames_ready = decodable->NumFramesReady();
      // num frames ready must be >= num frames decoded, or else
      // the number of frames ready must have decreased (which doesn't
      // make sense) or the decodable object changed between calls
      // (which isn't allowed).
      KALDI ASSERT(num frames ready >= num frames decoded );
      int32 target_frames_decoded = num_frames_ready;
      if (max_num_frames >= 0)
        target_frames_decoded = std::min(target_frames_decoded,
65
                                          num frames decoded + max num frames);
      while (num_frames_decoded_ < target_frames_decoded) {</pre>
        // note: ProcessEmitting() increments num_frames_decoded
        ClearToks(prev_toks_);
         cur_toks_.swap(prev_toks_);
        ProcessEmitting(decodable);
        ProcessNonemitting();
        PruneToks(beam_, &cur_toks_);
73
74 }
```



ProcessEmitting()

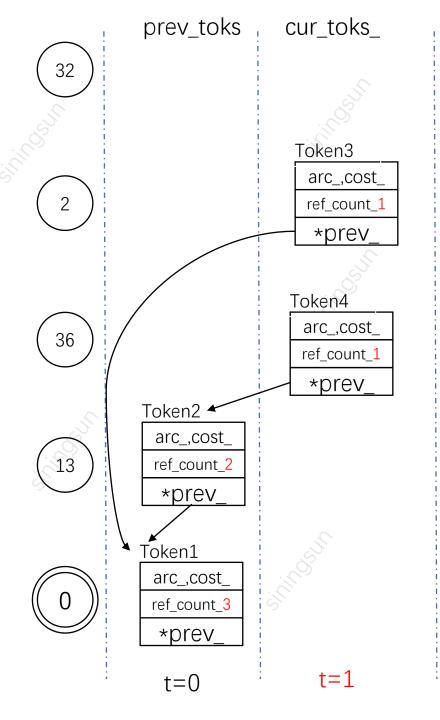
205

206

num_frames_decoded_++;

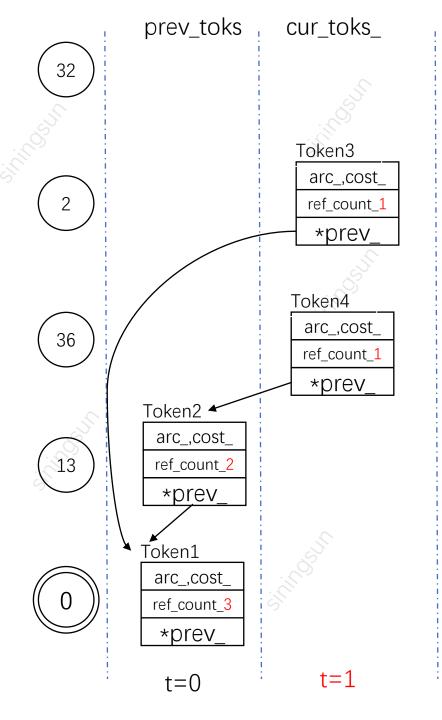
- 1. line 172-204,遍历prev_toks_,而从178-203,遍 历每个prev_toks_中的所有可以到达的非空转移
- 2. 本例子中, S13->S36, S0->S2两个转移产生新的 Token3和4, 放入了cur_toks, 注意此时Token1, 2 的计数器都增加了1

```
void SimpleDecoder::ProcessEmitting(DecodableInterface *decodable) {
                      int32 frame = num_frames_decoded_;
                      // Processes emitting arcs for one frame. Propagates from
                      // prev_toks_ to cur_toks_.
                      double cutoff = std::numeric_limits<BaseFloat>::infinity();
                      for (unordered_map<StateId, Token*>::iterator iter = prev_toks_.begin();
                          iter != prev_toks_.end(); 遍历上一帧所有的stateid-token映射表
               174
                          ++iter) {
               175
                        StateId state = iter->first;
                                                  获取token对应的state id和token
               176
                        Token *tok = iter->second;
               177
                        KALDI_ASSERT(state == tok->arc_.nextstate);
                        for (fst::ArcIterator<fst::Fst<StdArc> > aiter(fst_, state);
               178
               179
                                            遍历从每个状态出发的所有弧
                            !aiter.Done();
               180
                            aiter.Next()) {
               181
                         const StdArc &arc = aiter.Value(); 只处理输入为非空的转移
                         if (arc.ilabel != 0) { // propagate.. 空转移交给ProcessNonEmitting处理.
               182
                           BaseFloat acoustic_cost = -decodable->LogLikelihood(frame, arc.ilabel);
                           double total_cost = tok->cost_ + arc.weight.Value() + acoustic_cost;
                                                        原始token中的得分和新转移的声学、图上的得分累计
超过cutoff门限就无需进行传递if (total_cost >= cutoff) continue;
                           if (total_cost + beam_ < cutoff) 如果当前cost+beam比cufoff更小,那么更新cutoff为
                            Token *new_tok = new Token(arc, acoustic_cost, tok);
unordered_map<StateId, Token*>::iterator find_iter 新建一个Token, 确定
                                                                       当前stateid是否已经存在, 如果
                              = cur toks .find(arc.nextstate);
                                                                      不存在(192-194),直接将新的token
                           if (find_iter == cur_toks_.end()) {
                                                                      加入。如果存在,再判断新的token
               193
                            cur_toks_[arc.nextstate] = new_tok;
                                                                      和已经存在的token哪个更好。如果
               194
                                                                      new_tok比现有的更好, 那么更新
               195
                             if ( *(find_iter->second) < *new_tok ) {</pre>
               196
                              Token::TokenDelete(find_iter->second);
                                                                      当前stateid的Token为new_tok, 并且
               197
                              find_iter->second = new_tok;
                                                                      递归回溯删除原来的token(195-198);
                            } else {
                                                                      如果当前token不如先有token
               199
                               Token::TokenDelete(new_tok);
                                                                      直接删除(199)
               200
               201
               202
               203
               204
```



- 1. 返回到AdvanceDecoding里面,继续第71行处理非发射弧。
- 2. 比例中cur_toks_里的stateid是2,36,都有没有非发射弧,因此这一步没有进行任务操作
- 3. 最后第72行进行剪枝,

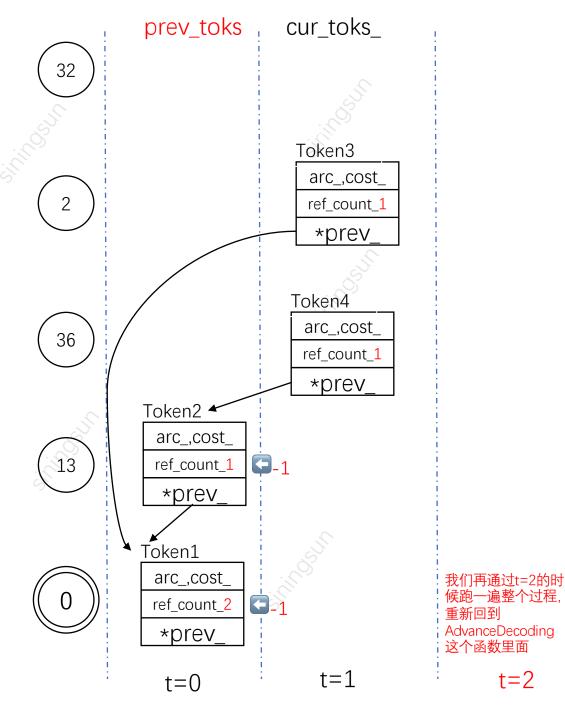
```
52 void SimpleDecoder::AdvanceDecoding(DecodableInterface *decodable,
53
                                           int32 max num frames) {
      KALDI_ASSERT(num_frames_decoded_ >= 0 &&
55
                    "You must call InitDecoding() before AdvanceDecoding()");
      int32 num_frames_ready = decodable->NumFramesReady();
      // num frames ready must be >= num frames decoded, or else
      // the number of frames ready must have decreased (which doesn't
      // make sense) or the decodable object changed between calls
      // (which isn't allowed).
      KALDI ASSERT(num frames ready >= num frames decoded );
      int32 target_frames_decoded = num_frames_ready;
63
      if (max_num_frames >= 0)
        target_frames_decoded = std::min(target_frames_decoded,
65
                                          num frames decoded + max num frames);
       while (num_frames_decoded_ < target_frames_decoded) {</pre>
67
        // note: ProcessEmitting() increments num_frames_decoded_
        ClearToks(prev_toks_);
         cur_toks_.swap(prev_toks_);
        ProcessEmitting(decodable);
        ProcessNonemitting();
        PruneToks(beam_, &cur_toks_);
74 }
```



ProneToks()

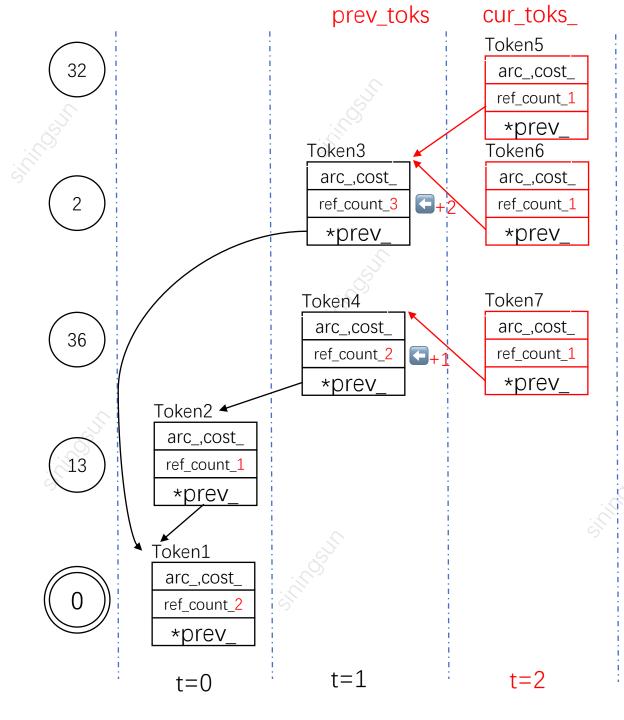
- SimpleDecoder里面的剪枝操作特别简单, FasterDecoder稍微复杂一下,引入了max和min的 active token,并动态调整beam
- 2. 本例子中,我们认为所有的token都在beam以内, 没有进行剪枝,cur_toks_没有变化,只是对代码进 行简单注释

```
266
     // static
     void SimpleDecoder::PruneToks(BaseFloat beam, unordered_map<StateId, Token*> *toks) {
       if (toks->empty()) {
         KALDI_VLOG(2) << "No tokens to prune.\n";</pre>
270
         return;
271
       double best_cost = std::numeric_limits<double>::infinity();
       for (unordered map<StateId, Token*>::iterator iter = toks->begin();
                                                              遍历cur toks 里面所有的元素, 或得
            iter != toks->end(); ++iter)
                                                             最优的分数存入best_cost_
275
         best_cost = std::min(best_cost, iter->second->cost_);
       std::vector<StateId> retained;
       double cutoff = best_cost + beam;
       for (unordered map<StateId, Token*>::iterator iter = toks->begin();
279
            iter != toks->end(); ++iter) {
                                                             再一遍历cur toks , 如果token得分在
280
         if (iter->second->cost_ < cutoff)</pre>
                                                            beam以内,则将stateid放入retained中,
281
           retained.push_back(iter->first);
                                                             否则此token就会被剪枝删除。
282
283
           Token::TokenDelete(iter->second);
284
       unordered_map<StateId, Token*> tmp;
       for (size_t i = 0; i < retained.size(); i++) { 将保留的tokens存在tmp中,后面在swap
         tmp[retained[i]] = (*toks)[retained[i]]; 到toks_中,也就是cur_toks_里面
288
       KALDI_VLOG(2) << "Pruned to " << (retained.size()) << " toks.\n";</pre>
       tmp.swap(*toks);
291 }
```



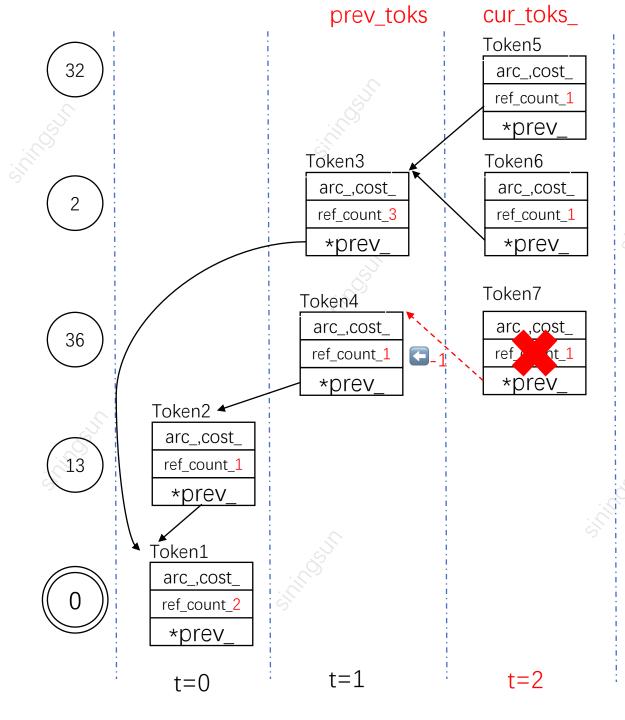
- 1. 首先进行ClearToks(prev_toks_), 此时prev_toks_不再是空
- 2. ClearToks遍历prev_toks_,递归的删除ref_count_为 1的Token,然后将map清空;注意第261行代码, 这里prev_toks_的每个token的ref_count_都减去1! 这样保证了ref_count_就等于回指的Token个数

```
void SimpleDecoder::AdvanceDecoding(DecodableInterface *decodable,
53
                                          int32 max_num_frames) {
54
      KALDI ASSERT(num frames decoded >= 0 &&
55
                   "You must call InitDecoding() before AdvanceDecoding()");
      int32 num_frames_ready = decodable->NumFramesReady();
      // num frames ready must be >= num frames decoded, or else
      // the number of frames ready must have decreased (which doesn't
      // make sense) or the decodable object changed between calls
      // (which isn't allowed).
      KALDI_ASSERT(num_frames_ready >= num_frames_decoded_);
      int32 target frames decoded = num frames ready;
      if (max num frames >= 0)
        target frames decoded = std::min(target frames decoded.
65
                                         num_frames_decoded_ + max_num_frames);
      while (num_frames_decoded_ < target_frames_decoded) {</pre>
        // note: ProcessEmitting() increments num frames decoded
        ClearToks(prev_toks_);
        cur_toks_.swap(prev_toks_);
        ProcessEmitting(decodable);
        ProcessNonemitting();
        PruneToks(beam_, &cur_toks_);
73
74 }
75
      void SimpleDecoder::ClearToks(unordered_map<StateId, Token*> &toks) {
259
        for (unordered_map<StateId, Token*>::iterator iter = toks.begin();
260
              iter != toks.end(); ++iter) {
261
          Token::TokenDelete(iter->second);
262
263
        toks.clear():
264
```



- 1. 69行,把prev_toks_和cur_toks_交换,此时 prev_toks_存放的是t=1的所有Token,cur_toks_被清 空,准备存储t=2的Tokens
- 2. 70行,处理prev_toks_里面所有的stateid的发射转移,此时pre_toks_里面只有<2,Token3>和
 <36,Token4>,此时可能的转移是2->2, 2->32, 36->36,产生了新的Token5-7
- 3. 我们假设此时没有非发射弧需要处理,并且假设此此时Token7的cost_满足剪枝的要求,然后模拟一下Token7->4->2这条路径是如何在t=2到t=3被剪枝的

```
void SimpleDecoder::AdvanceDecoding(DecodableInterface *decodable,
53
                                           int32 max_num_frames) {
54
      KALDI_ASSERT(num_frames_decoded_ >= 0 &&
55
                    "You must call InitDecoding() before AdvanceDecoding()");
       int32 num frames ready = decodable->NumFramesReady();
       // num_frames_ready must be >= num_frames_decoded, or else
       // the number of frames ready must have decreased (which doesn't
       // make sense) or the decodable object changed between calls
      // (which isn't allowed).
       KALDI_ASSERT(num_frames_ready >= num_frames_decoded_);
       int32 target_frames_decoded = num_frames_ready;
       if (max_num_frames >= 0)
        target_frames_decoded = std::min(target_frames_decoded,
                                          num_frames_decoded_ + max_num_frames);
       while (num_frames_decoded_ < target_frames_decoded) {</pre>
67
        // note: ProcessEmitting() increments num frames decoded
68
        ClearToks(prev toks );
         cur_toks_.swap(prev_toks_);
70
        ProcessEmitting(decodable);
        ProcessNonemitting();
        PruneToks(beam , &cur toks );
73
74
75
```



ProneToks()

- 1. 此时Token7满足282行,调用TokenDelete递归删除
- 2. 此时Token7的ref_count_=1,满足while的条件,将 Token7的prev_,也就是Token4赋予tok,删除 Token7
- 3. 重新回到while的条件里之后, tok已经指向了 Token4, Token4的ref_count_-1=1, 不满足条件, 退出剪枝
- 4. 此时并没有删除掉Token4,而这一步是在t=3完成

```
void SimpleDecoder::PruneToks(BaseFloat beam, unordered_map<StateId, Token*> *toks) {
268
       if (toks->empty()) {
269
          KALDI VLOG(2) << "No tokens to prune.\n";</pre>
270
          return;
271
272
        double best_cost = std::numeric_limits<double>::infinity();
273
        for (unordered_map<StateId, Token*>::iterator iter = toks->begin();
274
             iter != toks->end(); ++iter)
275
         best cost = std::min(best cost, iter->second->cost );
        std::vector<StateId> retained;
        double cutoff = best_cost + beam;
277
        for (unordered map<StateId, Token*>::iterator iter = toks->begin();
278
279
             iter != toks->end(); ++iter) {
          if (iter->second->cost_ < cutoff)</pre>
            retained.push back(iter >first)
282
283
            Token::TokenDelete(iter->second);
119
         static void TokenDelete(Token *tok) {
120
           while (--tok->ref_count_ == 0) {
121
             Token *prev = tok->prev_;
122
             delete tok;
123
             if (prev == NULL) return;
             else tok = prev;
124
125
      #ifdef KALDI_PARANOID
127
            KALDI_ASSERT(tok->ref_count_ > 0);
      #endif
128
130
       };
```

cur_toks_ prev_toks Token5 arc ,cost ref count 1 *prev Token3 Token6 arc ,cost arc ,cost + ref count 2 ref count 1 *prev *prev Token4 arc...cost 36 *prev Token2 arc, cost ref *prev Token1 arc ,cost ref count 1 *prev t=1t=2t=0

逐步分解SimpleDecoder的解码过程

- 1. t=3时,68行首先进行ClearToks(prev_toks_) 此时prev_toks_还停留在t=1,此时对 prev_toks_进行clear,对Token3和4进行递归 删除,此时Token4,Token2都会被删除, Token1和Token3的ref_count_会减1.
- 2. 69行将t=2的toks_交换给prev_toks_,继续解码

```
vbid SimpleDecoder::AdvanceDecoding(DecodableInterface *decodable,
53
                                            int32 max num frames) {
54
      IKALDI_ASSERT(num_frames_decoded_ >= 0 &&
55
                    "You must call InitDecoding() before AdvanceDecoding()");
      int32 num_frames_ready = decodable->NumFramesReady();
      i// num frames ready must be >= num frames decoded, or else
      // the number of frames ready must have decreased (which doesn't
      // make sense) or the decodable object changed between calls
      // (which isn't allowed).
      KALDI ASSERT(num frames ready >= num frames decoded );
      int32 target_frames_decoded = num_frames_ready;
      if (max_num_frames >= 0)
         target_frames_decoded = std::min(target_frames_decoded,
65
                                           num_frames_decoded_ + max_num_frames);
66
       while (num frames decoded < target frames decoded) {</pre>
67
        // note: ProcessEmitting() increments num_frames_decoded_
68
        ClearToks(prev toks );
69
         cur_toks_.swap(prev_toks_);
70
         ProcessEmitting(decodable);
71
         ProcessNonemitting();
72
         PruneToks(beam_, &cur_toks_);
73
74
75
      void SimpleDecoder::ClearToks(unordered_map<StateId, Token*> &toks) {
259
       for (unordered map<StateId, Token*>::iterator iter = toks.begin();
260
           iter != toks.end(); ++iter) {
         Token::TokenDelete(iter->second):
261
262
263
       toks.clear();
264
```