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TITLE:

Downstream processing of cell culture-derived virus particles

ABSTRACT:

Manufacturing of cell culture-derived virus particles for vaccination and gene therapy is a rapidly growing field in the biopharmaceutical industry. The process involves a number of complex tasks and unit operations ranging from selection of host cells and virus strains for the cultivation in bioreactors to the purification and formulation of the final product. For the majority of cell culture-derived products, efforts focused on maximization of bioreactor yields, whereas design and optimization of downstream processes were often neglected. Owing to this biased focus, downstream procedures today often constitute a bottleneck in various manufacturing processes and account for the majority of the overall production costs. For efficient production methods, particularly in sight of constantly increasing economic pressure within human healthcare systems, highly productive downstream schemes have to be developed. Here, we discuss unit operations and downstream trains to purify virus particles for use as vaccines and vectors for gene therapy.

Precipitation & flocculation:

Owing to the complexity of large bioparticles and the necessity to maintain the specific immunogenicity and infectivity of virus particles and viral vectors, precipitation and flocculation are rarely used in DSP. Even for purification of recombinant proteins, use of these methods is considered problematic owing to potential losses in biological activity. However, the latest advances in upstream processes for recombinant proteins in terms of high cell density cultures and drastically improved product levels triggered the re-evaluation of these methods, particularly for purification of monoclonal antibodies [21,22] or viral proteins [23].

Small-scale precipitation of virus particles was demonstrated for AAV vectors [19], cowpea chlorotic mottle virus [24], turkey coronavirus [25], mycovirus OMIV [26] and rotaviruses [27]. Turkey coronavirus was precipitated with ammonium sulfate but with low recoveries compared with ultracentrifugation (60% sucrose cushion) [25]. Other virus particles were precipitated with varying combinations of polyethylene glycol (PEG) and sodium chloride. In particular, PEG precipitation of bovine rotavirus particles resulted in an approximately tenfold better yield than pelleting by high-speed centrifugation based on results determined by the tissue culture infective dose (TCID50) assay [27]. This could be owing to increased damage of virus particles during high-speed centrifugation [27] or an irreversible aggregation of virus particles leading to overall reduced TCID50 measurements. Furthermore, precipitation of AAV vectors via PEG can lead to an enhanced purity compared with the classically conducted cesium chloride (CsCl) gradient ultracentrifugation [19].

An alternative to the precipitation of the target virus is the removal of contaminating host cell DNA or protein from crude culture harvests. A recent study focusing on the precipitation of host cell DNA from a clarified cultivation broth of influenza virus particles has been conducted by Kröber et al.[28]. They investigated cationic reagents with respect to their ability to selectively precipitate host cell DNA and observed successful DNA reduction applying the cationic polymers protamine sulfate and polyethyleneimine [28]. However, as discussed by the authors, the acidic isoelectric point of influenza virus particles (Table 2) may have resulted in co-precipitation of virus particles with cationic polymers [28], and therefore product losses. In the past effective purification of encephalomyocarditis virus from cellular components [29], and the removal of cellular DNA from poliovirus produced in continuous cell lines [30] as well as from preparations of inactivated vaccines against tick-borne encephalitis [31] by protamine sulfate precipitations was demonstrated.

Considering the continuous efforts to increase harvest volumes and cell concentration in vaccine and gene therapy vector production, precipitation represents an interesting approach for reduction of the high loads of host cell DNA and proteins. However, process robustness (i.e., the specificity of the applied precipitants) needs to be improved, and nontoxic compounds for precipitation processes need to be identified for economic applications in pharmaceutical production.

Extraction:

As for precipitation, the system's complexity, which is mainly dependent on the structural and physicochemical diversity of virus particles, constrains this technique to become widely used.

Further drawbacks of applying extraction methods for the purification of virus particles are the possible losses in viral infectivity, immunogenicity or transduction efficiency, high levels of co-extracted contaminants and the high cost for recycling of the utilized organic solvents [32,33]. Nevertheless, extractions via aqueous two-phase systems with PEG, dextran, salt or polyvenyl alcohol are used for the separation of virus particles from cultivation medium. Examples for these applications are the purification or concentration of adenovirus [34], bovine leukemia virus [35], feline leukemia virus [36], and human and simian immunodeficiency virus [37].

Centrifugation:

The number of publications [24,38–46] and patents [301–303] describing the purification or concentration of virus particles by centrifugation methods demonstrates that these procedures are extensively used at industrial- and small-scale levels for viral vectors and vaccine production processes. For industrial scales only continuous flow centrifugation methods can be considered as they allow handling of larger volumes. However, these methods require high investment costs and suffer in some cases from losses of infectivity [33,38,47], leading to an increased usage of ultrafiltration techniques particularly outside of laboratory scales. A clear advantage of centrifigation methods is their potential to separate some assembled viral vectors from their empty capsids, which is commonly challenging with other separation techniques [38]. Another advantage is in the DSP of viruses with frequently changing strains (e.g., influenza) as these centrifugation methods are robust in relation to viral strain differences, which resulted in a rediscovery of this technology for a number of processes.

The most common centrifugation method for the purification of macromolecules and virus particles is density gradient centrifugation using CsCl, iodixanol, or sucrose gradients. These can be classified into two categories: rate-zonal separation and isopycnic separation. The latter is based on the buoyant density of the particle and the rate zonal separation on the particle size and mass. Examples of virus particles that were purified by gradient centrifugation techniques are feline leukemia virus [48], Gibbon ape lymphoma virus [49], hepatitis B virus [50], influenza virus [51], Japanese encephalitis virus [52], mammalian type C virus [53], mouse mammary tumor virus [54], mouse oncorna virus [55], mumps virus [56], murine leukemia virus [57], tick-borne encephalitis virus [58], turkey coronavirus [25], rabies virus [59] and Vaccinia virus [60]. An alternative centrifugation method is the differential centrifugation where pelleting (Epstein–Barr virus [61] and hepatitis A virus [62]) or simple sedimentation onto a sucrose cushion (Moloney murine sarcoma virus [63]) is done once or repeatedly at different centrifugation speeds. Current examples for the concentration or purification of virus particles via centrifugation are: adenovirus and AAV [38,39], bromovirus [24], hepatitis C virus [40], herpes-like virus [41], retrovirus [45] and Vaccinia virus [46].

Microfiltration:

Microfiltration is commonly used for clarification in biotechnological production processes as an alternative to centrifugation to remove microcarriers, producer cells, cell debris and organelles. Frequently, membranes with pore sizes in the range of 0.45–1 µm are applied, although this technique involves the risk of viral losses [44,64,65]. Recoveries depend on the initial membrane pore size, type of membrane (material), quality of the crude stock, virus (i.e., size), virus subtype and its aggregation behavior, applied buffer conditions, filtration rate, nature and level of protein concentration in the filtrate, and extent of pore obstruction as demonstrated for retroviral vectors [65]. Virus losses are mainly attributed to mechanical disruption, particularly for active virus particles (i.e., gene therapy vectors), exclusion of virus particles [64] and membrane entrapment as well as unspecific virus adsorption. Losses due to pore obstruction can be reduced by applying membrane cascades with decreasing pore sizes or filter capsules with dual membranes to minimize membrane fouling [50,66,67] and applying larger membrane areas (i.e., frequent changes of the filtration units or usage of larger units) to limit the volume of supernatant per membrane surface.

Ultrafiltration:

Ultrafiltration is one of the preferred methods for virus removal in biological production methods [68–70] and for virus concentration and buffer exchanges in vaccine and viral gene transfer vector manufacturing processes (Table 3). Here, virus particles are usually enriched or maintained in the retentate while water and small-molecular-weight molecules are removed with the permeate. Although ultrafiltration has a low resolution, the size difference of virus particles (Table 2)

compared with soluble biopolymers (i.e., nucleic acids and proteins) allows a relatively efficient and economic separation of the virus.

The key parameters for an ultrafiltration process are the transmembrane pressure (TMP), feed (retentate) and permeate flux as well as the nominal molecular weight limit, also sometimes referred to as molecular weight cutoff (MWCO). Pore sizes defining the MWCO are normally distributed about the mean pore size, which varies depending on the production method for the membrane, and therefore also between the manufacturers. In order to minimize product losses via the permeate, the MWCO has to be significantly smaller than the virus particle. However, if the MWCO selected is too small, permeate fluxes are reduced,, which leads to longer filtration times, increased TMP and decreases the possibility of reducing the amount of contaminating proteins and nucleic acids. Furthermore, membrane fouling, which decreases the permeate flux, can often be greater for larger pore size membranes than for smaller ones. Here, small virus particles but also cell and virus debris are able to enter the pores where they are eventually trapped in constricted channels. Asymmetric ultrafiltration membranes with the finer filtering surface facing the feed suspension should be applied where possible to reduce internal fouling. Important for concentration of active virus particles is a gentle processing for which TMP and retentate flux have to be optimized for low wall shear rates during filtration.

The filtration performance and in particular the permeate flux is heavily affected by the type or components of the cultivation medium, thereby underlining the importance of a tight interaction between the up- and down-stream development. Nowadays, serum containing growth media are rarely used for production processes. Serum-free media often contain defined proteins such as bovine albumin and insulin, but also protein hydrolysates. Protein-free media only include defined polypeptides and amino acids. The higher the concentration of proteins, target virus, and nucleic acids and the larger the size of contaminating DNA fragments in the retentate, the higher the sample viscosity, leading to a continuously decreasing permeate flux at constant TMP. Additionally, high retentate protein concentrations result in an increased degree of concentration polarization, which also reduces the permeate flux [71]. Finally, ionic strength, type of ions and the pH in the suspending buffer influence the sieving coefficient of proteins [72–75] and probably also macromolecular biomolecules and virus particles.

Ultrafiltration can be carried out by a wide variety of filtration devices (Table 3). For small scales (up to 10 ml) centrifugal filtration devices are well suited, and for small to medium volumes (10-300 ml) stirred cell tanks are ideal. Larger volumes are usually concentrated by tangential flow filtration. There are three types of membranes available: tubular membranes, flat sheets (cassettes) and hollow-fiber membranes from which the latter two are commonly used in the biopharmaceutical industry. Generally, flat-sheet and tubular tangential-flow membrane modules have higher mass transfer coefficients at low cross-flow rates compared with hollow-fiber modules. The latter have wide feed flow paths, providing laminar flow with low shear rates [76], which are crucial processing life vaccines and gene therapy vectors. High shear rates can significantly reduce their efficacy and overall yield. A general advantage of hollow-fiber membrane modules compared with flat sheets is the high membrane surface-to-module volume ratio. However, individual modules of flat-sheet tangential-flow membrane modules are easier to clean and replacement of defective membrane elements is uncomplicated. Overall, the type of membranes eventually used for a specific process depends largely on the viscosity, solid content and volume of the feed as well as the product stability (shear-sensitivity). Thus, for the concentration or diafiltration of active virus particles, hollow-fiber membranes are superior to flatsheet membranes.

A potential improvement of the classical tangential-flow filtration is the high-performance tangential-flow filtration, which achieves a superior separation factor [71,77]. In high-performance tangential-flow filtration, part of the permeate is circulated co-current to the feed on the permeate side of the module, resulting in a more constant TMP throughout the module due to an axial pressure drop along the permeate flow channel [78]. This allows, by careful optimization of the operating conditions, a more stable transmembrane flux over time and in some cases leads to an improved selectivity for the purification of virus particles (Table 3).

In summary, the main advantages of ultrafiltration compared with other methods are their high-throughput and (for the concentration of active virus particles) the gentle processing at optimal operating conditions [43,47] that results in improved efficacies for purification of viral vectors for gene therapy. Another advantage of utilizing size differences of virus particles to other soluble components in the culture medium for their purification and concentration is its broad applicability among different types of viruses, virus subtypes or different recombinant forms of a virus. This opens the path for the establishment of generic platform technologies.

Considering a complete purification train for the production of vaccines or gene therapy vectors (Figure 1), current improvements of the dynamic binding capacities in chromatography media might facilitate the removal of the initial concentration step within the downstream process. However, later concentration steps and buffer exchanges for final formulations will continue to be done via ultrafiltration.

Physical structure of the stationary phase ::: Chromatography using beads, membranes & monoliths:

Chromatographic purification of virus particles is mainly achieved by use of three different types of stationary phases: packed beds, membrane adsorbers and monoliths.

In the case of packed beds the matrix consists of resins (beads), which are filled into a column. For bioseparations polymer and inorganic resin materials are commonly used. Polymer-based beads either consist of natural polymers (agarose, agarose-dextran composites, cellulose and dextran) or synthetic polymers (polyacrylamide, polystyrene divinylbenzene, derivatives of polyacrylamide derivatives and polymethacrylate) [80]. Examples for inorganic matrices used for the purification of virus particles are hydroxyapatite [81-83], silica [84] and controlled-pore glass [85,86]. Beads can be spherical and nonspherical shaped and are available as porous, nonporous and solid-core resins. Pore sizes of conventional porous beads range from 10 to 100 nm [79], although specific particles with pore diameters of up to 400 nm are available [33]. Virus particles vary generally from 30 nm to greater than 300 nm (Table 2). Hence, the material transport of virus particles is limited by pore-diffusion or pore-exclusion effects. Even small virus particles, which are able to enter large pores, diffuse through the intra-particular channels two- to 100-fold slower than proteins [87]. In fact, the majority of chromatography resins currently available are optimized for purification of proteins rather than virus particles, which primarily adsorb to the outer bead surface [88]. Furthermore, the inter-particle porosity for packed beds can reach values of maximally 40%, whereas for stationary phases such as monoliths this value can be up to 90% or more [89].

Monoliths are continuous stationary phases of homologous columns, where interconnecting channels allow for convective flow of the mobile phase through the entire matrix [90,91]. Commercially available monoliths are made of polymethacrylate copolymers (BIA Separations, Ljubljana, Slovenia; Dionex Sunnyvale, CA, USA), polyacrylamide (Bio-Rad, Hercules, CA, USA; Protista Biotechnology AB, Lund, Sweden), polystyrene (Dionex, Sunnyvale, CA, USA), polystyrene-divinylbenzene (Dionex, Sunnyvale, CA, USA), modified cellulose (Sepragen, Hayward, CA, USA) and silica (Merck, Darmstadt, Germany; Phenomenex, Torrence, CA, USA) [92,93]. Depending on the manufacturer they are sold as disks, tubes and rods with pore sizes ranging from 13 nm (silica, Merck) [94] to 6 µm (polymethacrylate, BIA Separations). Membrane materials for chromatographic bioseparations include cellulose, polyamine, polysulfone, hydrazide and composite membranes such as polyethylene oxide and polyethersulfone coated with hydroxyethyl-cellulose [95,96]. There are three types of chromatographic membrane adsorbers used for the purification of bioproducts: flat sheets (e.g., Pall, Dreieich, Germany; Sartorius, Göttingen, Germany), hollow fibers (e.g., Kinetic Systems Inc., Boston, MA, USA) and radial-flow devices (e.g., 3M, Neuss, Germany) [96,97]. The advantages of hollow-fiber membrane adsorbers are the high surface area and the reduction in particle accumulation near the pore entrance owing to the cross-flow principle used during separation. However, the breakthrough of target compounds is generally broadened, leading to poor adsorber utilization compared with flat sheets [97]. Furthermore, eluted products are heavily diluted and internal mixing within the fiber housing results in low resolutions, and the application of gradient elution is very limited. Radial-flow adsorbers have flat-sheet membranes spirally wound over a cylindrical core [97] whereby the available surface area can be significantly increased. Thus, the volume-to-surface area for spiral-wound membrane adsorbers is better than for the majority of hollow-fiber adsorbers. Still, products are diluted and gradient elutions are accordingly challenging. Flat sheets are mainly used as stacks of numerous individual sheets providing a larger adsorbent volume. In flat-sheet membrane adsorbers the liquid is commonly introduced vertical to the membrane adsorber surface, allowing a minimum dead volume in an optimally designed membrane module. Thus, these membrane adsorbers do not suffer from diluted product fractions and can be used for gradient elution procedures.

The main advantages of membrane adsorbers and monoliths for the separation of virus particles are the predominance of convective material transport with nearly no diffusion limitations, [79,90,96–99] owing to large pores, and well-interconnected channels with small constrictions and high dynamic binding capacities [79,99,100], whereby the latter is sometimes lower for membrane

adsorbers than for comparable monoliths [101]. The pore structure of membrane adsorbers and monoliths facilitates the accessibility of large virus particles to bound ligands in contrast to typical resins where they are excluded from the internal bead pores [98].

Except for monoliths, eddies are formed in the majority of chromatographic matrices including membrane adsorbers. As a result, labile virus particles can be deteriorated and separation impaired by peak broadening. Stacks of membrane adsorbers can be considered as very thin slices of monoliths. Pores between membrane layers are connected by a void space between individual membrane slices, leading potentially to eddy formations. Flow distributions in hollow-fiber and radial-flow membrane adsorbers are less well controlled compared with either monoliths or packed-bed columns. In addition to eddy formations this could result in reduced dynamic binding capacities and dispersions. The latter does not only affect negative-mode adsorption chromatography, but also reduce the resolution of positive-mode applications.

The majority of membrane adsorbers are designed for single-use applications, supporting current efforts in the pharmaceutical industry to reduce costs for validation, cleaning and sanitization. Monoliths are currently following this trend. For beads, however, it remains to be seen if disposable approaches can be realized economically. Nevertheless, a wider diversity of adsorptive surface chemistries is currently available for resins than for convective alternatives, but the product range for membrane-based separations constantly expands.

Surface chemistry of the stationary phase & the composition of the mobile phase ::: Chromatography using beads, membranes & monoliths:

Currently, five different modes are used for the chromatographic separation of virus particles: size-exclusion chromatography (SEC), ion exchange chromatography (IEC), affinity chromatography (AC), hydrophobic-interaction chromatography (HIC) and mixed-mode chromatography (Table 4). The action principles and possibilities for process optimization are limited by the stability of the individual viruses. Extreme running conditions in terms of pH, osmolarity, ion compositions or certain organic solvents might influence the efficacy of vaccines or gene therapy vectors. Hence, normal or reversed-phase chromatography requiring organic solvents is usually not applied for whole-virus separations. However, for split vaccines or subunit vaccines, where virus membrane integrity does not necessarily have to be conserved, organic solvents and thus reversed- or normal-phase chromatography might be used depending on the solvent effects on the target proteins.

Partition chromatography such as SEC can only be achieved by porous particles but not by membrane adsorbers or monoliths. For SEC, virus particles are generally recovered in the column void volume allowing the separation of bulk proteins and small molecular contaminants without buffer changes under gentle operating conditions, thereby maintaining virus infectivity and immunogenicity.

Owing to the large difference in hydrodynamic volume of intact virus particles and soluble contaminants, the SEC exclusion limit can easily be optimized not to distinguish between morphologically similar viruses, allowing robust process conditions and broad applicability where different strains are routinely required (e.g., for influenza vaccines). The main disadvantages of SEC are the low capacity, product dilution and the poor pressure resistance of the matrix, requiring low flow rates. Despite these disadvantages, SEC represents a valuable method in addition to adsorptive chromatography, but high-throughput options for ultrafiltration processes will challenge the application of this unit operation for the separation of virus particles in the future

Industrial chromatography processes are usually optimized for high dynamic binding capacities, particularly for the primary capturing step, and the elimination of residual contaminants during the polishing step (Figure 1). High capacities are attained by large accessible surface areas, optimized ligand densities and high selectivity. The latter is important if highly contaminated loads (i.e., feedstocks) are applied. In addition to the dynamic binding capacity, the ligand density of adsorption matrices such as IEC, AC, and HIC also contributes to the overall adsorption strength. This is mainly due to the multivalent interactions of virus particles with the matrix ligands. Thus, ligand density needs to be carefully selected taking into account both of these aspects. Furthermore, the desorption conditions need to be considered, selecting optimal ligands and ligand densities, and allowing elution conditions that do not affect the virus immunogenicity or transfection efficiency of vectors. Strong adsorptions, requiring harsh desorption conditions for the target virus particles, are often observed for IEC or HIC [102].

Adsorption matrices are commonly used both in positive mode (i.e., virus particles adsorbing to the chromatography medium) and negative mode (i.e., contaminants adsorbing to the matrix and

virus particles eluting). Positive-mode applications are usually used for capturing or intermediate purification and negative modes for the final polishing.

Ion exchange chromatography exploits the charge-to-charge interaction between the virus surface and immobilized ion exchange groups on the matrix. Depending on the overall isoelectric point (Table 2) of the individual virus particles, cation- or anion exchange chromatography (AEC) matrixes are utilized. Different variations of IEC have been applied for the purification of a variety of viruses (Table 4). Adsorbed virus particles are in general displaced by an increasing ionic strength. Alternatively, they are desorbed by a change in buffer pH, leading to an unfavorable overall charge of the virus surface or the matrix, at which the virus particles do not bind anymore. IEC has a relatively low specificity resulting in a reduced dynamic binding capacity for the target virus and often results in co-elutions of contaminants (e.g., host cell DNA for AEC). Differential elution between the target virus and contaminants can sometimes be achieved by linear- or stepgradient elutions. If high salt concentrations or nonphysiological pH conditions are required for purification, it is crucial to monitor immunogenicity or transfection efficiency of virus particles [47,304]. Hence, IEC matrices have to be carefully selected in terms of the type of charged groups, ligand density, and applied buffer conditions with respect to the virus type, product application and utilized assays for process characterization.

Affinity chromatography of virus particles relies on a specific and reversible adsorption and subsequent recovery of the active target virus from a ligand immobilized onto the chromatography matrix. The specific interactions between ligand and virus are based on individual structural properties, and the virus particles are commonly eluted by an altered conformation via a changed buffer pH or ionic strength or competitive displacement. However, the latter is generally not economic for large-scale production processes, but is frequently applied at smaller scales. Applied ligands or matrices for the purification of virus particles are immobilized metal ion affinity [103,104], sulfated carbohydrates (e.g., heparin) [100,105–107], specific antibodies [108] or antibody fragments, biotin streptavidine system for recombinant viral vectors [109], lectins [110,111], DNA aptamers [112] and peptides (Table 4). For the majority of viruses, no specific ligands are known, resulting in the application of less-specific ligands such as lectins or sulfated carbohydrates (i.e., heparin). Lectins interact with accessible carbohydrate moieties of the surface glycoproteins according to their specificity. Heparin is primarily a weak and strong cation exchanger containing sulfo and carboxyl groups as well as numerous hydroxyl groups [113]. Desorption of the virus particles from heparin matrices is done by increasing the ionic strength. However, it often requires a higher ionic strength than for classical cation exchangers owing to the multivalent interactions of the branched linear structure of heparin and the formation of hydrogen bonding between the target virus and heparin [113]. An alternative to laborious ligand screens or the application of less specific pseudo-affinity ligands are engineered viral vectors containing affinity tags on the virus surface [109,114]. Crucial for specific affinity ligands is the consideration of their dissociation constants. For recombinant proteins, dissociation constants of 10-4-10-10 M are usually considered a good working range [115]. Optimal affinity ligands allow fast binding kinetics for high flow rates but mild elution conditions to avoid reduction in immunogenicity or activity. Therefore, it is essential to consider the overall binding strength of large virus particles. which is due to the already discussed multivalent interactions. If proteins or larger biomolecules are used as ligands, their potential toxicity or immunogenicity has to be considered and, if applied, assays have to be developed for their detection and quantification. Furthermore, for many proteins, high costs are associated and the low stability of these ligands towards sanitizing agents in some cases prohibits their application for large-scale processes [116]. In some cases, additional drawbacks of specific ligands are their restricted application as platform technology and limited process robustness for vaccines with frequently varying subtypes (e.g., influenza vaccines). Furthermore, for viruses with varying progenies (e.g., Vaccinia virus) [117], some virus particles might be excluded, thereby affecting the overall yield. On the other hand, the applied specificity allows the separation of specific progenies. Ligands targeting post-translational modifications on the virus surface are heavily susceptible to the cultivation conditions and host cells [118]. Hence, target epitopes for AC matrices on the virus surface have to be carefully selected, considering their stability during the entire process. Nevertheless, matrices with highly specific affinity ligands and high dynamic binding capacities are very well suited to capture virus particles after clarification from low concentrated cultivation broths.

Hydrophobic-interaction chromatography separates biomolecules dependent on the differential interaction of these compounds with hydrophobic ligands on the surface of the stationary phase. It is routinely used for bioseparations of proteins [119–122], and has also been applied for virus purification [100,113] since it is an orthogonal separation technique to purification methods based

on ionic interactions. However, many factors such as type of salt and ionic strength of buffer, pH. temperature, ligand and ligand density influence the performance of HIC, making its development and optimization a difficult and time-consuming endeavor. The two most commonly used HIC ligands are butyl and phenyl [113]. Interactions with these ligands are relatively strong and have been frequently cited to denature labile proteins, potentially reducing their efficacy. However, often proteins subjected to these methods revert upon elution to their native structure [113]. Even so, if used for purification of gene transfer vectors or vaccines, their transfection efficiency or immunogenicity has to be closely monitored, just as for the high salt applications for the IEC methods. Stronger HIC ligands such as hexyl and octyl are commonly destructive to proteins, and most likely also to virus particles or gene therapy vectors if applied in positive mode. Furthermore, HIC requires the application of high concentrations of kosmotropic salts, which potentially also reduces virus efficacies. Also, the application of high salt concentrations influences virus aggregation in the majority of cases, affecting further downstream processes and often process analytics. In addition, disaggregation of the formed aggregates usually impacts virus transfection efficiency and immunogenicity. Nevertheless, it was recently shown that HIC can be successfully applied to remove residual DNA after AC for purification of active Vaccinia virus particles (MVA-BN®; Bavarian Nordic A/S, Kvistgaard, Denmark) (Table 4)[100,305].

Mixed-mode chromatography exploits a multimodal functionality that allows virus particles or contaminants to adsorb to the stationary phase by a combination of ionic interactions, hydrogen bonds and/or hydrophobic interactions. A typical example for a mixed-mode chromatography media is hydroxyapatite, which supports metal affinity interactions through its hydroxyapatite calcium groups, and cation exchange interactions through its hydroxyapatite phosphate groups [81]. Successful applications of mixed-mode matrices for the purification of gene therapy vectors have been described for adenoviruses [82], AAV [123], Moloney murine leukemia viruses [124] and retroviruses (Table 4)[83].

Continuous chromatography: a potential future method for the purification of virus particles ::: Current topics to promote cell culture-derived viral vaccine & gene therapy vector production processes:

Continuous chromatographic processes, such as the simulated moving bed (SMB) technology is today well established for the separation of binary mixtures of petrochemicals, sugars and small molecule pharmaceuticals [125,126]. SMB significantly improves the volumetric throughput as well as the purity and concentration factor relative to batch chromatography. However, purification of complex biological mixtures is still challenging because most systems are operated as a binary fractionator, providing only two exit streams [127]. However, new operation modes such as the three-fraction SMB [128,129], solvent gradient SMB, and cleaning in-place SMB are important steps leading the way for its successful application in bioseparations. Finally, the complexity of the equipment and the experimental setup – that is, the large number of valves required – poses a serious challenge for process validation.

Applying chromatographic models for process optimization ::: Current topics to promote cell culture-derived viral vaccine & gene therapy vector production processes:

The design of chromatographic purification processes is challenging owing to the multitude of unit operations and process variables, that is, a constantly growing number of available matrices, different chromatography modes and diverse operating conditions. As a result, an extremely large amount of design freedom exists. So far, the selection of unit operation and the choice of process conditions relies mainly on practical experiences or on trial and error approaches. In order to save valuable time and resources, miniaturized parallel screening tools have been developed [130], that can be combined with additional kinetic studies (e.g., surface plasmon resonance [SPR] spectroscopy) [98,131], thermodynamic measurements and dynamic light-scattering analysis [132] to guide the early stage process development and optimization. For the selection of the most appropriate experiments and parameters, the screens are commonly planned via experimental design software tools (e.g., Design-Expert, MODDE, STATISTICA), implementing the concepts of 'quality by design'. Furthermore, software tools for computer-aided process design, production scheduling and process debottlenecking is often used [133,134].

To date, high-throughput process developments have been mainly applied to production processes for recombinant proteins. However, these methods can also be applied for the DSP of viral vaccines and gene therapy vectors. Here, progress will largely depend on the establishment of reliable and robust analytical assays, which can cope with the high number of samples. A particular problem is the determination of product titers, which requires provision of suitable

standards, biological and technical replicates, and assay validation on a logarithmic range. The latter typically results in comparatively large errors. Typically, standard deviation of methods for virus titer assays in the range of 0.3 to 0.5 log10 have to be accepted.

Screening and analysis of well-proven operating conditions are one point, to further facilitate efficient downstream development, good modeling tools have to be established and applied. For instance, Vicente et al. combined the steric mass action model of ion exchange [135] with a standard chromatographic column model to simulate and predict adsorption and elution conditions for virus-like particles on anion-exchange (AEX) membrane adsorbers [136]. The same research group recently described the effect of ligand density on AEX membrane adsorbers for the purification of recombinant baculoviruses by comparing model strategies based on SPR spectroscopy with diethylaminoethyl (DEAE) ligand densities of membrane adsorbers. Both methods indicated that a lower ligand density increased the overall yields by over 20% [98], demonstrating that SPR technology can be successfully used to model membrane adsorption processes. Their studies were further aided by a theoretical model to predict process conditions for DSP improvement [98].

Overall these studies demonstrate how chromatographic models combined with parallel screening techniques and rationally designed experiments can streamline the design and optimization of viral DSP in the future.

Use of disposables in DSP ::: Current topics to promote cell culture-derived viral vaccine & gene therapy vector production processes:

Single-use components for individual unit operations in DSP have been used for years in the pharmaceutical industry, mainly for filtration and buffer/media storage. Over the last decade, the relevance of disposable concepts has been extended to other unit operations including chromatographic applications for which matrices such as membrane adsorbers, monoliths and more recently resins are used. The driving force for the increasing interest in single-use concepts for the production of viral vaccines is the fast and highly flexible set up of production processes, which is expected to improve the response time for increasing vaccine demands such as for pandemic preparations. In addition, disposable concepts for limited production campaigns are economically attractive considering that under current good manufacturing practice (cGMP) standards, raw material and equipment in direct contact with the product have to be dedicated to the particular production process [137]. Furthermore, single-use concepts commonly reduce or eliminate time-consuming and cost-intensive process steps such as cleaning and sanitization and their validation. However, the economic application depends heavily on the scale and requirements of the individual product, in addition to the available production facilities. Hence, the use of disposable concepts always has to be considered on a by-case basis, taking into account investment costs, the entire variable (running) costs of the particular manufacturing facility and individual processes, and the product requirements [137].

Kits for virus purification ::: Purification of virus particles: from kits to complete downstream processes:

During recent years, kits for small- and medium-scale purifications and concentrations were introduced for adenoviruses (Adenoviridae), AAV (Parvoviridae) and lentiviruses (Retroviridae). In the ease of operation they are comparable to plasmid purification kits and require approximately 2 h for the entire process. The majority of manufacturers rely on adsorption membrane technology based on centrifugal devices (small scale), syringe capsules (small scale) or larger capsules for fast protein liquid chromatography systems. Purification of lentivirus and AAV particles is mainly achieved by the use of AEX and cation-exchange (CEX) membrane adsorbers. According to the manufacturer's handbooks, the maximum capacities of the largest units currently available are approximately 1 × 1012 and 3 × 1013 total virus particles for adenovirus and AAV, respectively, and 2 × 108 infectious particles for lentivirus. The required culture volumes range from 20 to 500 ml, allowing recoveries of approximately 60-80% of the total particles depending on the culture conditions and virus. For the best results, it is important to consider the virus type for which the respective kit has been optimized. However, the kits can be used for other virus species after adapting the operating conditions. Importantly, nucleic acids (i.e., host cell DNA and proteoglycans) will also bind to AEX membrane adsorbers and potentially co-elute. Hence, kit procedures often include nuclease treatment of the harvest to reduce nucleic acid contaminations and to improve the flow rates and thus process time (i.e., kits for adenovirus purification). In addition, a digestion step using chondroitinase ABC, an enzyme that degrades glycosaminoglycan side chains of chondroitin sulfate proteoglycans [138], is sometimes included

to remove proteoglycan contaminations. Certainly, the purity of the resulting virus fractions heavily depends on culture conditions, virus species, type and strain, as well as the applied kit. Therefore, no detailed information on virus purity can be provided by the manufacturers. It should also be pointed out, however, that viruses purified by this method can only be used for research purposes and are not intended for clinical studies or medical applications.

Downstream processes of virus particles ::: Purification of virus particles: from kits to complete downstream processes:

Current downstream processes aim to combine individual unit operations to an overall purification train taking into account the virus type (morphology, specific surface characteristics), the final product type (e.g., subunits vaccines, split vaccines, inactivated or active virus particles), application requirements (contamination levels), product yields and size of the production batch. For the development of new purification schemes it will be equally important to develop and optimize new technologies, as to design efficient DSP trains based on optimal combinations of these new technologies with currently used methods. Unfortunately, very few complete purification schemes, from culture supernatant to clinical grade virus product, have been described in detail with overall recoveries and degree of contaminations in the literature. Hence, a precise evaluation of the respective methods is nearly impossible. In general, however, overall viral recoveries of greater than 30% are currently considered acceptable for human pharmaceutical products.

A particularly challenging issue for the DSP of human vaccines and the purification of gene therapy vectors is the removal of host cell DNA. Current guidelines for newly licensed human inactive vaccine products from continuous cell lines stipulate that residual DNA levels exceeding 10 ng per dose are not acceptable [139,140]. Levels of contaminating host cell DNA for gene therapy applications are even lower. Here, levels of 10–100 pg of residual host cell DNA per parenterally administered dose are considered acceptable by most medical agencies [141]. For many applications these levels can only be achieved via nuclease treatments such as Benzonase. The clear advantage of such a treatment is the elimination of potential oncogenes or other functional DNA sequences [142] even in very low amounts of host cell DNA and the cleavage of nucleic acids adsorbed to the target virus. In addition, nuclease and condroitinase treatment frequently results in improved efficacies for gene therapy vectors.

For the purification of gene therapy vectors, the removal of empty capsids or virus particles containing nucleic acid sequences other than the intended genome is crucial for their efficacy. However, owing to the small differences in specific surface characteristics or in virus morphology this is fairly difficult. Successful separations were described via density centrifugation (adenovirus) [38], IEC (AAV) [20,143], and partial depletions by HIC and immobilized zinc-chelating interaction chromatography (adenovirus) [144]. However, the possible application of the methods and their optimization has to be considered on a by-case basis.

A further substantial challenge for DSP of viral vaccines, gene therapy vectors and other biotechnological products is batch-to-batch variations from the upstream processes. Cell culture harvests can vary considerably not only in product concentration and quality but also in the type and amount of contaminations. For efficient and successful process development, all the aforementioned issues have to be addressed within a tightly coupled up- and down-stream process. Therefore, critical parameters of the cultivation process effecting the DSP should be closely monitored and the subsequent purification methods adapted accordingly [145]. In the following paragraphs, we summarize unit operations and purification trains for different virus types that have been described in the last 5 years (Table 4).

Adenovirus ::: Purification of virus particles: from kits to complete downstream processes: A thorough review has been published by Lusky et al. on the GMP production of adenoviral vectors for clinical trials [146]. Another overview by Burova and Loffe deals with the chromatographic purification of recombinant adenoviral and AAV vectors [38]. In general, AEC is the driving force for the described processes for adenoviruses [147,148]. Eglon described a purification via AEC in combination with SEC from clarified and benzonase-treated cell lysate, which yielded total virus recoveries of 36% compared with 27.5% via the classical CsCl-purification method [149]. Duffy et al. compared a novel membrane-based technology for the purification of adenovirus and AAV to conventional techniques [150] and Peixoto et al. described a downstream process for adenoviral vectors based on membrane technology [147]. This purification train comprising clarification, ultrafiltration, AEX membrane adsorber, ultrafiltration and sterile filtration resulted in a recovery of 52% of the infectious particles [147]. Another very

important aspect, namely the virus aggregation and association of DNA to virus particles, was addressed by Konz et al.[82].

Adeno-associated virus ::: Purification of virus particles: from kits to complete downstream processes:

Wright reviewed, among other issues, vector quality characteristics such as AAV-related impurities (e.g., AAV-encapsidated DNA impurities) and their pharmacological impact as well as GMP considerations for clinical AAV vector production methods [18]. A chromatographic purification train for AAV using a combination of hydroxyapatite, DEAE-Sepharose and Cellufine® (Chisso America Incorporated, Rye, NY, USA) sulfate with an overall yield of 30% has been described by O'Riordan et al.[123]. More recently Okada et al. described the use of a combination of CEX and AEX membrane adsorbers for the purification of AAV serotype 1 and 8 with average recoveries of 17.4 and 49%, respectively [151].

Retroviral vectors (e.g., lentivirus) ::: Purification of virus particles: from kits to complete downstream processes:

Downstream processing strategies for purification of retroviral vectors are summarized in at least two excellent reviews from Segura et al.[47] and Andreadis et al.[116], the latter being published in 1999, ahead of the former. Rodrigues et al. described the purification of murine leukemia virus-derived gene therapy vectors by means of membrane separation and AEC with overall recoveries of 26% and a purity of greater than 99% relative to the protein concentration [152]. Moreover, Lesch et al. illustrated a scalable capture step for lentiviral vectors generated in 293T cells with baculoviral vectors [153]. Capturing based on DEAE monolithic columns reached a 65% recovery.

Oncolytic gene therapy vectors ::: Purification of virus particles: from kits to complete downstream processes:

Ausubel et al. described the cGMP large-scale production of an oncolytic recombinant vesicular stomatitis virus based on a downstream process composed of a primary clarification step (filtration), Benzonase treatment, AEC, dia-/ultra-filtration and sterile filtration. The obtained yields of individual unit operations for infectious virus particles ranged from 59% to approximately 100% after sterile filtration [5]. The fact that full recovery was obtained after the complete purification of some batches suggests that some of the titers, which were estimated via plaque forming assays, might be overestimated. However, the overall process is described comprehensively. Working et al. discussed critical points affecting the development and production of clinical grade oncolytic adenoviruses, describing the up- and downstream process in detail [154]. They illustrated a downstream process composed of cell lysis (Triton), clarification, Benzonase treatment, AEC capture, ultra-/dia-filtration, SEC, ultra-/dia-filtration (final formulation), followed by a final sterile filtration. However, the achievable purities and yields were not discussed in detail.

Vaccines: influenza ::: Purification of virus particles: from kits to complete downstream processes: Downstream processes for purification of influenza virus particles were recently reviewed, summarizing applied purification trains for egg- and cell culture-derived influenza virus particles by Wolff and Reichl [155]. Motivated by the recent pandemic threats of influenza, numerous research laboratories worked on the optimization of influenza vaccine production processes. For the downstream side the center of attention was on specific chromatography media to substitute the frequently applied Cellufine Sulfate for the capturing of influenza virus particles [105,156] and on the use of chromatographic matrices with improved volumetric throughputs (i.e., monoliths [156,306]) and membrane adsorbers [102,104].

Vaccines: smallpox ::: Purification of virus particles: from kits to complete downstream processes: Wolff et al. described a downstream process for Vaccinia virus based on a combination of pseudo-affinity and ion-exchange membrane adsorbers as well as pseudo-affinity and HIC matrices [100,117]. The most promising downstream train resulted in an overall yield of 34% (infectious virus particles). Furthermore, depletion of total DNA to 0.01% of the starting material and a total protein amount of less than 25 µg per dose was achieved [100]. However, batch variations of the starting material resulted in significant variations in the product yield and purity, and need to be further addressed.

Expert commentary:

The success story of vaccination against major infectious diseases rests on a 200-year-old history [157]. Gene therapy in contrast is a relatively new but promising technology with the revolution of molecular genetics in the 1970s paving the way into successful medical applications [158]. Vaccines currently comprise a rapidly growing market within the biopharmaceutical industry and gene therapy vectors have the potential to follow this line. Nevertheless, for some vaccines, manufacturing capacities are still limited (i.e., for pandemic influenza vaccines). In general these problems are related to both, upsteam processing and DSP, and can only be efficiently solved if both are considered together. In the last 10 years, upstream production processes of some vaccines moved away from their respective classical systems to the usage of diploid and continuous cell lines. However, downstream purification trains were in many cases only adapted to the new requirements but still lack further optimization. Main improvements for vaccine purifications have to be governed by enhanced throughputs, capacities and potentially specificities of individual unit operations, as well as an enhanced linking of applied unit operations and the reduction of the number of purification steps. Process development for gene therapy vectors could significantly benefit from the experience accumulated in vaccine production, especially with vectors derived from vaccines. Nevertheless, there are still several technical problems to be solved for both product classes such as the Benzonase-free removal of host cell nucleic acids. Specific difficulties for the purification of gene therapy vectors are:

• High final vector titers;• Removal of transduction inhibitors from producer cells (proteoglycans, glycosaminoglycans [159–161]);• Elimination of free envelope proteins, defective-virus particles and empty capsids.

Removal of host cell proteins, medium proteins and peptides is usually less problematic but equally important owing to potential allergic reactions. Of note, the reduced starting levels of process-related protein contaminations are mainly due to increased usage of serum-free, protein-free or even fully defined media for upstream cultivations – an excellent example for improved overall process economics via a tighter coupling of up- and down-stream methods. In summary, for the downstream processes described in Table 4, overall yields of virus particles of greater than 30% for production of human vaccines or gene therapy applications should be considered satisfactory. For other purposes, higher yields can be achieved depending on the requirements on product purity, the type and the amount of contaminants in the starting material, and the exertion.

Five-year view:

Constant pressure towards improved product quality and safety as well as tighter timelines for the development of biopharmaceuticals will certainly push for more efficient process development. Current advances in the field indicate that increased application of high-throughput automated scaled-down models in combination with good mathematical models for experimental design and process development will support these efforts. Certainly, these advances will also require the establishment of high-throughput assay platforms for precise and reliable process characterization. As a result, unit operations should be available that have not only higher specificity and increased volumetric throughput but also enable design of purification trains with a reduced number of steps.

Advances in DSP will be most likely involve a broader use of filtration techniques (e.g., tangential flow filtration and diafiltration), the availability of new chromatography matrices such as membrane adsorbers, monoliths or bifunctional beads, and the identification of new, specific ligands. Furthermore, single use concepts and the introduction of continuous DSP methods will play an important role as well as tighter process integration in terms of the up- and downstream process. Furthermore, the establishment of platform technologies will have high priority whereas a large step towards platform technologies has already been accomplished by the development of downstream purification kits for gene therapy vectors.

For additional readings, Pedro et al. recently published a detailed summary dealing with the purification of bionanoparticles [162], Gagnon published a comprehensive description on the chromatographic purification of virus particles [113] and Segura et al. published an overview of current scalable methods for purification of viral vectors [43].