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**TITLE:**

The Key Role of Genomics in Modern Vaccine and Drug Design for Emerging Infectious Diseases

**ABSTRACT:**

It can be argued that the arrival of the “genomics era” has significantly shifted the paradigm of vaccine and therapeutics development from microbiological to sequence-based approaches. Genome sequences provide a previously unattainable route to investigate the mechanisms that underpin pathogenesis. Genomics, transcriptomics, metabolomics, structural genomics, proteomics, and immunomics are being exploited to perfect the identification of targets, to design new vaccines and drugs, and to predict their effects in patients. Furthermore, human genomics and related studies are providing insights into aspects of host biology that are important in infectious disease. This ever-growing body of genomic data and new genome-based approaches will play a critical role in the future to enable timely development of vaccines and therapeutics to control emerging infectious diseases.

**Reverse Vaccinology, Pan-genomics, and Comparative Genomics:**

The idea behind reverse vaccinology is to screen an entire pathogen genome to find genes that encode proteins with the attributes of good vaccine targets, such as, for example, bacterial surface associated proteins [14]. These proteins can then undergo normal laboratory evaluation for immunogenicity. The *Neisseria meningitidis* serogroup B (MenB) reverse vaccinology project provides the “proof of concept” for this type of approach. This project identified more novel vaccine candidates in 18 months than had been discovered in 40 years of conventional vaccinology [15]. Analysis of the genome sequence of the virulent MenB strain MC58 found 2,158 predicted open reading frames (ORFs); these were screened using bioinformatics tools to identify 570 ORFs that were predicted to encode surface-exposed or secreted proteins that might be accessible to the immune system [15]. Antigen screening continued on the basis of several criteria: the ability of antigens to be expressed in *Escherichia coli* as recombinant proteins (350 candidates); confirmation by ELISA and flow cytometry that the antigen is exposed on the cell surface (91 candidates); the ability of induced antibodies to elicit killing, as measured by serum bactericidal assay and/or passive protection in infant rat assays (28 candidates); and screening of a panel of diverse meningococcal isolates to determine whether the antigens are conserved. This approach resulted in the development of a multi-component recombinant MenB vaccine that entered Phase III clinical trials in 2008 [16],[17].

As multiple genome sequences become available for a single species, the concept of pan-genomic reverse vaccinology is emerging as a powerful tool to identify vaccine candidates in antigenically diverse species [18]. Pan-genomics aims to identify the full complement of genes in a species, based on the superset of genes in several strains of the same species. Analysis of the genome sequences of eight *Streptococcus agalactiae* (also known as group B streptococcus) strains revealed substantial genetic heterogeneity and the extended gene repertoire of the species [19]. Screening found a total of 589 genes predicted to encode surface-exposed or secreted proteins in the *S. agalactiae* pan-genome (396 from the “core genome”—genes conserved in all strains—and 193 from the “dispensable genome”—genes that are present in two or more strains and are hence considered dispensable for survival). Based on further screening of this pool of candidates, including the ability of recombinant proteins to provide protection when used to immunize animals, a combination of four antigens—only one of which is in the core genome—was selected and shown to confer protection against a panel of *S. agalactiae* strains [20].

Whereas genome sequencing projects have typically focused on pathogenic organisms, comparison of the genomes of pathogenic and nonpathogenic strains allows vaccine and drug targets to be identified on the basis of proteins that are specifically involved in pathogenesis [21]. Comparative studies of up to 17 commensal and pathogenic *E. coli* genomes identified genes unique to certain pathogenic strains that are largely absent in commensal strains. This filter decreases the pool of targets to be screened and potentially limits any detrimental effects of therapeutics on the composition of the commensal flora [22].

New sequencing technologies will also open up opportunities for monitoring pathogen vaccine escape by screening for evidence of immune selection in the genomes of pathogen populations before and after vaccine selection. By deep-sequencing of bacterial and viral populations it will be

possible to identify antigens under immune selection by monitoring the clustering of single nucleotide polymorphisms (SNPs) and other mutations that affect protein sequence. This approach has already been used to search for evidence of antigenic variation/selection in populations of *Salmonella enterica* serovar Typhi [23], where variation is extremely limited. Similar sequencing strategies could be applied to populations of bacteria taken before or after a vaccine trial in a particular geographical region.

#### Beyond Genomics: Other -Omics Approaches to Study Pathogens:

Pathogen genes that are up-regulated during infection and/or essential for microorganism survival or pathogenesis can be identified by using transcriptomics, i.e., the analysis of a near complete set of RNA transcripts expressed by the pathogen under a specified condition. Comprehensive DNA-based microarray chips (probed with cDNA generated from RNA by reverse transcription) [24] and ultra-high-throughput sequencing technologies that allow rapid sequencing and direct quantification of cDNA [25] enable the transcriptome of a pathogen to be characterized and particular types of gene product to be identified. For example, genes involved in the hyperinfectious state of *Vibrio cholerae*, which appears after passage through the human gastrointestinal tract, were identified through a comparison of the transcriptome of bacteria isolated directly from stool samples of cholera patients with that of *V. cholerae* grown in vitro [26]. Similarly, analysis of the transcription profile of *M. tuberculosis* during early infection in immune-competent (BALB/c) and severe combined immunodeficient (SCID) mice revealed a set of 67 genes activated exclusively in response to the host immune system [27].

Functional genomics—linking genotype, through transcriptomics and proteomics, to phenotype—has been applied to many pathogens to identify genes essential to survival or virulence that may be valid vaccine candidates. DNA microarrays can be used to screen comprehensive libraries of pathogen mutants, by comparing bacterial isolates from before and after passage through animal models or exposure to compound libraries to identify attenuated clones [28]–[30]. For example, these methods have been used to identify 65 novel MenB genes that are required for the pathogen to cause septicemia in infant rats [31], 47 genes essential for *H. pylori* gastric colonization of the gerbil [32], and genes contributing to *M. tuberculosis* persistence in the host [33].

Analysis of a pathogen's proteome (the near complete set of proteins expressed under a specified condition) to reveal potential vaccine and drug candidates can add significant value to in silico approaches [34]. High-throughput proteomic analyses can be performed by using mass spectrometry (MS), chromatographic techniques, and protein microarrays [35]. A novel proteome-based approach has been applied to identify the surface proteins of GAS by making use of proteolytic enzymes to “shave” the bacterial surface, releasing exposed proteins and partially exposed peptides. Seventeen surface proteins of a virulent GAS strain were identified in this way by using MS and genome sequence analysis. Their location on the pathogen surface was confirmed by flow cytometry, and one of them provided protective immunity in a mouse model of the disease [36].

The proteome of a pathogen can also be screened to identify the immunome (the near complete set of pathogen proteins or epitopes that interact with the host immune system) using in vitro or in silico techniques [37],[38]. In vitro identification and screening of the immunome are based on the idea that antibodies present in serum from a host, which has been exposed to a pathogen, represent a molecular “imprint” of the pathogen's immunogenic proteins and can be used to identify vaccine candidates. As such, several techniques have been developed to allow the high-throughput display of pathogen proteins, and the subsequent screening for proteins that interact with antibodies in sera. Immunogenic surface proteins of several organisms have been identified, including *S. aureus* using 2D-PAGE, membrane blotting, and MS [39]; *S. agalactiae*, *S. pyogenes*, and *Streptococcus pneumoniae* using phage- or *E. coli*-based comprehensive genomic peptide expression libraries [38],[40]; and *Francisella tularensis* (the causative agent of tularemia or rabbit fever) [41] and *V. cholerae* using protein microarray chips [42]. Protein microarrays, in which proteins from the pathogen are spotted onto a microarray chip, can also be used to characterize protein–drug interactions, as well as other protein–protein, protein–nucleic acid, ligand–receptor, and enzyme–substrate interactions [43].

The ability to predict in silico which pathogen epitopes will be recognized by B cells or T cells has greatly improved in recent years [44]. Large-scale screening of pathogens including HIV, *Bacillus anthracis*, *M. tuberculosis*, *F. tularensis*, *Yersinia pestis* (the causative agent of bubonic plague), flaviviruses, and influenza for B cell and T cell epitopes is currently underway [45],[46]. Although epitope prediction is not foolproof, it can serve as a guide for further biological evaluation. T cell

epitopes are presented by MHC/HLA proteins on the surface of antigen-presenting cells, which vary considerably between hosts, complicating the task of functional epitope prediction. Additionally, B cell epitopes can be both linear and conformational. The ultimate aim of researchers in this field of study would be to engineer a single peptide that represents defined epitope combinations from a protein or organism, enabling the genetic variability of both pathogen and host to be overcome [44].

Structural genomics—the study of the three-dimensional structures of the proteins produced by a species—is increasingly being applied to vaccine and drug development as a result of the explosion of genome and proteome data, and continuing improvements in the fields of protein expression, purification, and structural determination [47]. The structure-based design of antiviral therapeutics has led to the development of drugs directed at the active sites of the HIV-1 protease [48] and influenza neuraminidase [49]. More than 45,000 high-resolution protein structures are available in public databases (see <http://www.wwpdb.org/stats.html>), and several initiatives have been established to pursue high-throughput characterization of protein structures on a genome-wide scale [50], focusing on determining and understanding the structural basis of immune-dominant and immune-recessive antigens as well as protein active sites and potential drug-binding sites [51],[52]. For example, structural characterization of the HIV envelope proteins gp120 and gp41 has revealed mechanisms used by the virus to evade host antibody responses, many of which involve hypervariability in immunodominant epitopes [53],[54]. Based on this information, immune refocusing (e.g., by retargeted glycosylation, deletion, and/or substitution of amino acids) has been used to dampen the response to variable immunodominant epitopes of the envelope glycoprotein gp160, enabling the host to respond to previously subdominant epitopes [55]. High-throughput modification of proteins and their screening for immunogenicity and interaction with antimicrobials is predicted to become more common as techniques evolve [51].

#### The Contribution of Human Genomics:

When designing new vaccines, one important consideration is the risk that the vaccine might generate “self” immune reactions against host epitopes; immune responses against a pathogen antigen can cross-react with host antigens if homologies exist in the primary amino acid sequence or structure, potentially leading to damage to the host tissue [56]. Drugs aimed at pathogen targets could also theoretically target similar host molecules. The availability of the human genome sequence combined with methods for predicting B cell and T cell epitopes will facilitate screening for the presence of homologies between candidate microbial vaccine antigens and proteins in humans, enabling issues of autoimmunity and cross-reactivity to be tackled [57]. As such, vaccine or drug targets identified using methods based on pathogen genomics should be screened for homology or similarity to human proteins *in silico*, using programs such as BLAST (Basic Local Alignment Search Tool; <http://blast.ncbi.nlm.nih.gov/Blast.cgi>) to query human genome databases. Interestingly, analysis of 30 viral genomes revealed that around 90% of viral pentapeptides, which could be components of epitopes, are identical to human peptides [58]. There is little homology, however, between validated immunogenic disease-associated peptides/epitopes and host peptides [57],[59], suggesting that screening approaches that include prediction of immunogenicity could improve the pool of target candidates.

It is important to keep in mind that we do not fully understand how self-tolerance is broken, so we currently have no perfect way of predicting all potential autoimmune triggers that could be associated with vaccination. While many links have been made between autoimmune disease and vaccination, they have been confirmed in only a small number of cases (reviewed in [60]). For example, treatment-resistant Lyme arthritis is associated in certain patients with immune reactivity to the outer surface protein A (OspA) of the causative agent of Lyme disease, *Borrelia burgdorferi*, and an OspA epitope (OspA165–173) has homology to the human lymphocyte function-associated antigen (hLFA)-1αL [61]. As a result, the OspA-based Lyme disease vaccine (LYMERix) was taken off the market in 2002, but a recombinant OspA lacking the potentially autoreactive T cell epitope has been proposed as a replacement vaccine [62].

Rather than targeting drugs to pathogen enzymes, an alternative approach has focused on targeting the host-cell proteins that are exploited by pathogens for replication and survival. The use of techniques including microarray-based analysis of virus-induced host gene expression has revealed several possible targets [63],[64]. The cholesterol-lowering drugs statins, for example, have an anti-HIV effect that is believed to be mediated by preventing activation of the host protein Rho, which is activated by the HIV envelope protein and required for virus entry to the cell [65]. Furthermore, such studies can improve our understanding of the host immune responses that protect against a pathogen (i.e., innate, antibody, Th1, or Th2 responses), which will aid the

selection of appropriate vaccine adjuvants. For example, induction of interferon signaling early in infection may be critical to confer protection against SARS-CoV, as determined from functional genomic studies of early host responses to SARS-CoV infection in the lungs of macaques [66]. Many of the genes of the human immune system are highly polymorphic, which enables the population as a whole to generate sufficient immunological diversity to combat EIDs. This variation also impacts on the outcome of vaccination and treatment. The International HapMap Project has identified over 3.1 million SNPs in 270 individuals [67] and the 1000 Genomes Project aims to identify even more genetic variants. The field of vaccinomics (also called immunogenetics) investigates heterogeneity in host genetic markers that results in variations in vaccine-induced immune responses, with the aim of predicting and minimizing vaccine failures or adverse events [68]. For example, polymorphisms of HLA and immunoregulatory cytokine receptor genes are associated with variable outcomes of vaccination against mumps [69]. Similarly, pharmacogenetics, which investigates genetic differences in the way individuals metabolize therapeutics, has found that human variability in the speed of metabolism of the common first-line tuberculosis drug isoniazid is associated with genetic variants, including SNPs, in the gene encoding arylamine N-acetyltransferase (NAT2) [70],[71]. The ability to predict an individual's response to a vaccine or drug, may eventually allow physicians to determine whether a patient is genetically susceptible to a disease, the possible adverse effects of a vaccine or drug, and the appropriate schedule or dose to use.

#### Challenges for the Future:

We predict that genomics will greatly aid the control of EIDs because of the increased efficiency with which vaccine and therapeutic targets can be identified using the genome-based approaches described above. Furthermore, we anticipate the continual refinement and development of novel genome-based approaches as sequencing becomes faster and more affordable. Several challenges remain, however, in the identification of these targets and in the processes needed to bring a new vaccine or drug to the market. Understanding the molecular nature of epitopes, the mechanisms of action of adjuvants, and T cell and mucosal immunity are key priorities to be tackled in the coming years [3]. These issues can be addressed by improved structural studies of antigen epitopes and the compilation of databases containing information on structure, immunogenicity, and in silico B cell and T cell epitope predictions. Genome-based development of effective vaccines and therapeutics is still largely dependent on the availability of valid models to measure efficacy and protection against disease; however, the increased understanding of microbial pathogenesis that is emerging from genomics should greatly aid in this respect. Likewise, the continued development of animal models with knockout and allele-specific mutations in key components of the immune response will greatly increase understanding of the type of immune response needed to control disease and the ways in which the immune system can be programmed to protect the host against disease. Unfortunately, the stepwise series of prelicensure clinical trials (Phase I, II, and III) that are required to document the safety, immunogenicity, and efficacy of a vaccine are still highly time-consuming and costly. We can only hope that the increasingly “smart” identification and design of targets, and the fresh impetus given to the fields of vaccine and drug development by the arrival of genomics, will enable increased success of those vaccines and drugs that do make it into clinical development.