

# Additional Proof Techniques and Applications

## CS 2LC3

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- When dealing with proofs of boolean expressions, our equational logic suffices.
- When dealing with other domains of interest (e.g. integers, sequences, or trees), where we use inductively defined objects, partial functions and the like, a few additional proof techniques become useful.
- In this section, we introduce these techniques.
- In doing so, we can begin looking at the relation between formal and informal proofs.

# Assuming the Antecedent

- A common practice in mathematics is to prove an implication  $P \implies Q$  by assuming the antecedent  $P$  and proving the consequent  $Q$ .
- By “assuming the antecedent” we mean thinking of it, momentarily, as an axiom and thus equivalent to true. In the proof of consequent  $Q$ , each variable in the new axiom  $P$  is treated as a constant

## Theorem ((Extended) Deduction Theorem)

*Suppose adding  $P_1, \dots, P_n$  as axioms to propositional logic, with the variables of the  $P_i$  considered to be constants, allows  $Q$  to be proved. Then  $P_1 \wedge \dots \wedge P_n \implies Q$  is a theorem.*

# Proof By Case Analysis

- A proof of  $P$  (say) by *case analysis* proceeds as follows.
- Find cases (boolean expressions)  $Q$  and  $R$  (say) such that  $Q \vee R$  holds.
- Then show that  $P$  holds in each case:  $Q \implies P$  and  $R \implies P$ .
- One could have a 3-case analysis, or a 4-case analysis, and so on; the disjunction of all the cases must be true and each case must imply  $P$ .

**Prove:**  $S$

**By cases:**  $P, Q, R$

(proof of  $P \vee Q \vee R$  —omitted if obvious)

**Case  $P$ :** (proof of  $P \Rightarrow S$ )

**Case  $Q$ :** (proof of  $Q \Rightarrow S$ )

**Case  $R$ :** (proof of  $R \Rightarrow S$ )

# Proof By Mutual Implication

- A proof by *mutual implication* of an equivalence  $P \equiv Q$  is performed as follows:
- To prove  $P \equiv Q$ , prove  $P \implies Q$  and  $Q \implies P$ .
- Such a proof rests on theorem (3.80), which we repeat here:

$$(p \implies q) \wedge (q \implies p) \equiv (p \equiv q).$$

# Proof By Contradiction

- The formal basis: Theorem (3.74),  $p \implies \text{false} \equiv \neg p$ .
- Hence by substitution  $p := \neg p$ , we have **proof by contradiction**, i.e.

$$\neg p \implies \text{false} \equiv p.$$

- Proofs by contradiction cannot be used as basis for constructing algorithms. They usually state existence of some entity or property.

# Proof by Contrapositive

- An implication  $P \implies Q$  is sometimes proved as follows.
- First assume  $P$  ; then prove  $Q$  by contradiction, i.e. assume  $\neg Q$  and prove false.
- Such a proof is not as clear as we might hope, and there is a better way:
- **Proof method:** Prove  $P \implies Q$  by proving its *contrapositive*  $\neg Q \implies \neg P$ . (see (3.61)).

- *Statement in English:* If Joe fails to submit a project in course CS414, then he fails the course. If Joe fails CS414, then he cannot graduate. Hence, if Joe graduates, he must have submitted a project.
- *Formalisation:*  
 $s$  : Joe submits a project in CS414.  
 $f$  : Joe fails CS414.  
 $g$  : Joe graduates.  
 $F0 : \neg s \implies f$ ,  $F1 : f \implies \neg g$ ,  $C : g \implies s$ .  
We want  $F0 \wedge F1 \implies C$ ., i.e.  
 $(\neg s \implies f) \wedge (f \implies \neg g) \implies (g \implies s)$ .
- *Proof:*

$$\begin{aligned} & (\neg s \implies f) \wedge (f \implies \neg g) \\ \Rightarrow & \langle \text{Transitivity of } \implies \text{ (3.82a)} \rangle \\ & \neg s \implies \neg g \\ = & \langle \text{Contrapositive (3.61)} \rangle \\ & g \implies s \end{aligned}$$



- Value  $v$  is in  $b[1..10]$  means that if  $v$  is in  $b[11..20]$  then it is not in  $b[11..20]$ .

- *Formalization*

$x$ :  $v$  is in  $b[1..10]$

$y$ :  $v$  is in  $b[11..20]$

Hence:  $x \equiv y \implies \neg y$ . WE simplify it:

$$\begin{aligned}
 & x \equiv y \Rightarrow \neg y \\
 = & \langle \text{Rewrite implication (3.59)} \rangle \\
 & x \equiv \neg y \vee \neg y \\
 = & \langle \text{Idempotency of } \vee \text{ (3.26)} \rangle \\
 & x \equiv \neg y
 \end{aligned}$$

- Back to English: “ $v$  is in  $b[1..10]$  means that it is not in  $b[11..20]$ ”.

- Consider the following, which is a simplification of a situation in Shakespeare's *Merchant of Venice*.
- Portia has a gold casket and a silver casket and has placed a picture of herself in one of them.
- On the caskets, she has written the following inscriptions:  
Gold: The portrait is not in here.  
Silver: Exactly one of these inscriptions is true.
- Portia explains to her suitor that each inscription may be true or false , but that she has placed her portrait in one of the caskets in a manner that is consistent with this truth or falsity of the inscriptions.
- If he can choose the casket with her portrait, she will marry him.
- The problem for the suitor is to use the inscriptions (although they could be true or false) to determine which casket contains her portrait.

- *Formalization.* Variables:

$gc$  : The portrait is in the gold casket.

$sc$  : The portrait is in the silver casket.

$g$  : The portrait is not in the gold casket.

(This the inscription on the gold casket.)

$s$  : Exactly one of  $g$  and  $s$  is *true*.

(This the inscription on the silver casket.)

- *Facts:*

$$F0 : gc \equiv \neg sc$$

$$F1 : g \equiv \neg gc$$

$$F2 : s \equiv (s \equiv \neg g)$$

- *Solution:*

$$\begin{aligned} & s \equiv s \equiv \neg g \\ = & \langle \text{Symmetry of } \equiv \text{ (3.2)} \text{ —so } \neg g \equiv s \equiv s \equiv \neg g \rangle \\ & \neg g \\ = & \langle F1 ; \text{Double negation (3.12)} \rangle \\ & gc \end{aligned}$$