

INTERPRETATION USING PARTIAL
DERIVATIVES:

$$\text{PUT } \psi := \Phi^{-1}, \quad (\tilde{u}, \tilde{v}) = \Phi(u, v) \\ (\Rightarrow) \quad (u, v) = \psi(\tilde{u}, \tilde{v})$$

JACOBIAN MATRICES:

$$J\Phi = \begin{pmatrix} \frac{\partial \tilde{u}}{\partial u} & \frac{\partial \tilde{u}}{\partial v} \\ \frac{\partial \tilde{v}}{\partial u} & \frac{\partial \tilde{v}}{\partial v} \end{pmatrix}$$

$$J\psi = J\Phi^{-1} = (J\Phi)^{-1} = \begin{pmatrix} \frac{\partial u}{\partial \tilde{u}} & \frac{\partial u}{\partial \tilde{v}} \\ \frac{\partial v}{\partial \tilde{u}} & \frac{\partial v}{\partial \tilde{v}} \end{pmatrix}$$

BY CHAIN RULE

CONVERSELY, BY INVERSE FCTN THM

$$\left. \begin{array}{l} \Phi: u \rightarrow \tilde{u} \text{ BIJECTIVE} \\ \text{SMOOTH} \\ J\Phi \text{ INVERTIBLE} \\ \text{EVERYWHERE} \end{array} \right\} \Rightarrow \Phi^{-1} \text{ SMOOTH.}$$

EXAMPLE 4.1.3.

$$\tilde{U} = \{ (u, v) \in \mathbb{R}^2 \mid u^2 + v^2 < 1 \}$$

$$\tilde{G}: \tilde{U} \rightarrow \mathbb{R}^3, (u, v) \mapsto (u, \sqrt{1-u^2-v^2}, v)$$

$$\tilde{G}(\tilde{U}) \subset S^2 \text{ AS } u^2 + (1-u^2-v^2) + v^2 = 1$$

\tilde{U} OPEN IN \mathbb{R}^2

\tilde{G} SMOOTH INJECTIVE

$\tilde{G}: \tilde{U} \rightarrow \mathbb{R}^3$ IS SURFACE PATCH OF S^2

$$\text{WITH } \tilde{G}(\tilde{U}) = \{ (x, y, z) \in S^2 \mid y > 0 \}$$

ANOTHER PATCH:

$$G: U \rightarrow \mathbb{R}^3, (\theta, \varphi) \mapsto (\cos(\theta) \cos(\varphi), \cos(\theta) \sin(\varphi), \sin(\theta))$$

$$U = \{ (\theta, \varphi) \in \mathbb{R}^2 \mid -\frac{\pi}{2} < \theta < \frac{\pi}{2}, 0 < \varphi < \pi \}$$

CLAIM: \tilde{G} IS A REPARAMETRIZATION OF G .

NEED TO FIND $\Phi: U \rightarrow \tilde{U}$ WITH
SMOOTH INVERSE $\Phi^{-1}: \tilde{U} \rightarrow U$ AND

$$\tilde{G}(\underbrace{\Phi(\theta, \varphi)}_{=: (u, v)}) = G(\theta, \varphi)$$

$$\Rightarrow \begin{cases} u = \cos(\theta) \cos(\varphi) \\ \gamma \sqrt{1 - u^2 - v^2} = \cos(\theta) \sin(\varphi) \\ v = \sin(\theta) \end{cases}$$

$$\Rightarrow \Phi(\theta, \varphi) = (\cos(\theta) \cos(\varphi), \sin(\theta), \cos(\theta) \sin(\varphi)) \text{ SMOOTH}$$

Φ DIRECTION (EASY TO CHECK)

$$J(\Phi) = \begin{pmatrix} -\sin(\theta) \cos(\varphi) & -\cos(\theta) \sin(\varphi) \\ \cos(\theta) & 0 \end{pmatrix}$$

$$\Rightarrow \det(J(\Phi)) = \cos^2(\theta) \sin(\varphi) \neq 0$$

\uparrow
 $-\frac{\pi}{2} < \theta < \frac{\pi}{2}, 0 < \varphi < \pi$

$$\Rightarrow J(\Phi) \text{ INVERTIBLE}$$

$$\Rightarrow \Phi \text{ REPARAMETRIZATION MAP}$$

(Φ^{-1} SMOOTH BY INVERSE
FUNCTION THM)

4.2 REGULAR SURFACES AND THEIR TANGENT PLANES

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STUDY SURFACES USING CURVES IN
SURFACES (WHICH ARE CURVES IN \mathbb{R}^3)

LET $\tilde{G}: U \rightarrow \mathbb{R}^3$ SURFACE PATCH

CURVE ON \tilde{G} IS

$$\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^3$$

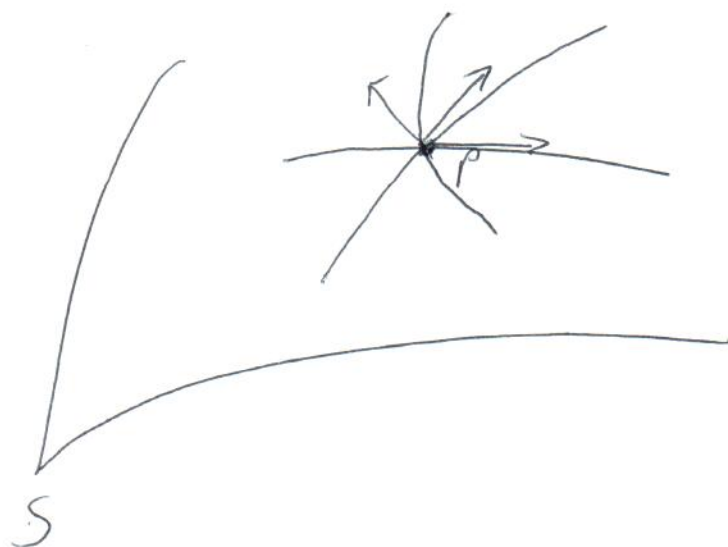
$$t \mapsto \gamma(t) = \tilde{G}(u(t), v(t))$$

DEF 4.2.1 $\tilde{G}: U \rightarrow \mathbb{R}^3$ SURFACE PATCH

$$p \in S = \tilde{G}(U) \subset \mathbb{R}^3.$$

TANGENT SPACE TO S AT p

$$= \{ \dot{\gamma}(t_0) \mid \gamma \text{ CURVE ON } \tilde{G}, \gamma(t_0) = p \} = T_p S$$



PROP 4.2.2 IF $p = \sigma(\mu_0, v_0)$, THEN

$$T_p S = \text{SPAN} \{ \bar{\sigma}_\mu(\mu_0, v_0), \bar{\sigma}_v(\mu_0, v_0) \}$$

PROOF "c": $\gamma(t) = \sigma(\mu(t), v(t))$ SMOOTH CURVE IN S
 $\gamma(t_0) = p$

$$\Rightarrow \dot{\gamma} = \bar{\sigma}_\mu \dot{\mu} + \bar{\sigma}_v \dot{v} \quad \dot{\quad} = \frac{d}{dt}$$

$$\Rightarrow \dot{\gamma}(t_0) \in \text{SPAN} \{ \bar{\sigma}_\mu(\mu_0, v_0), \bar{\sigma}_v(\mu_0, v_0) \}.$$

"d": ~~take~~ $(\xi \bar{\sigma}_\mu + \eta \bar{\sigma}_v)(\mu_0, v_0)$ AND DEFINE
~~to~~ TAKE

$$\gamma(t) = \sigma(\mu_0 + \xi t, v_0 + \eta t) \quad \text{SMOOTH}$$

$$\gamma \text{ SMOOTH } (\checkmark), \quad \gamma(0) = \sigma(\mu_0, v_0) = p$$

$$\dot{\gamma}(0) = (\xi \bar{\sigma}_\mu + \eta \bar{\sigma}_v) \cancel{(\mu_0, v_0)} (\mu_0, v_0) \in T_p S. \quad \square$$

$$\dim(S) = 2 \Rightarrow \dim(T_p S) \text{ SHOULD BE } 2$$



$\bar{\sigma}_\mu, \bar{\sigma}_v$ LINEARLY INDEPENDENT
AT (μ_0, v_0)



$$\bar{\sigma}_\mu \times \bar{\sigma}_v \neq 0 \text{ AT } (\mu_0, v_0)$$

DEF 4.2.3 $\sigma: U \rightarrow \mathbb{R}^3$ REGULAR

$$\Leftrightarrow \forall (u, v) \in U : (\sigma_u \times \sigma_v)(u, v) \neq 0.$$

IN THIS CASE CALL $T_p S$ THE TANGENT
PLANE OF S AT p .

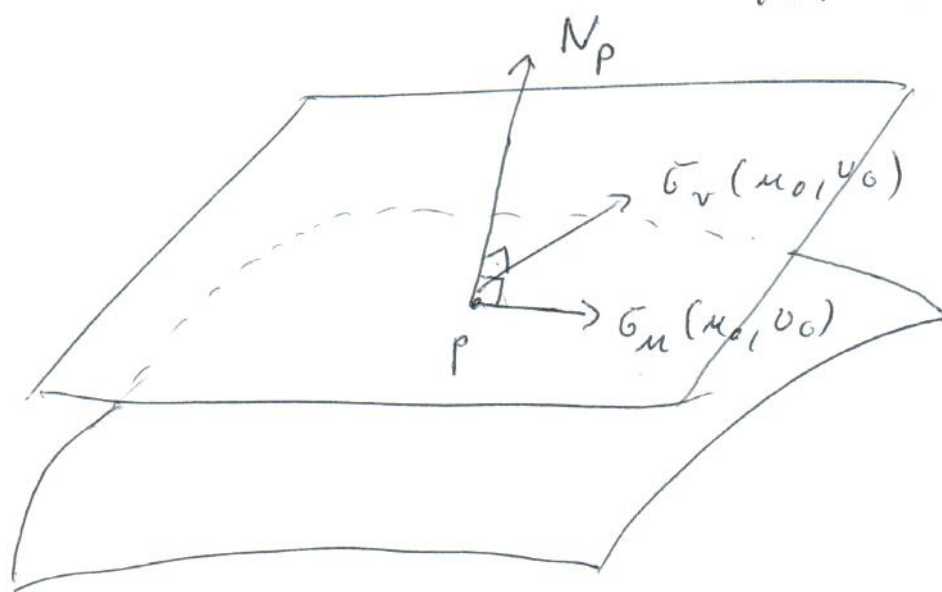
$$\sigma_u \times \sigma_v \perp \sigma_u, \sigma_v$$

$$\Rightarrow N_p = \frac{\sigma_u \times \sigma_v}{\|\sigma_u \times \sigma_v\|}(u_0, v_0) \perp T_p S$$

UNIT NORMAL TO σ (OR S)

REMARK: CAN BE SHOWN TO BE

INDEPENDENT OF PARAMETRIZATION.
(UP TO SIGN)

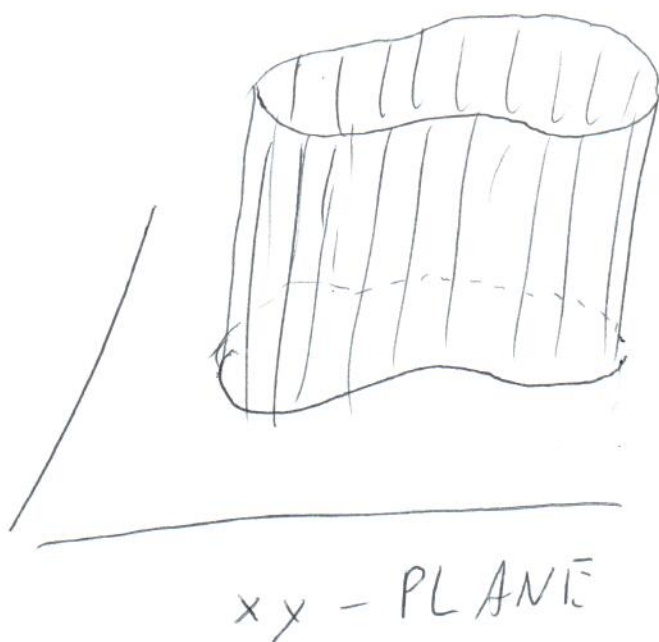


4.3 EXAMPLES OF SURFACES

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EX 4.3.1 (GENERALIZED CYLINDER)

TRANSLATE PLANE CURVE PERPENDICULAR
TO PLANE



$$\gamma(u) = (f(u), g(u), 0)$$

SMOOTH CURVE

IN \mathbb{R}^2 , REGULAR

$$G(u, v) = (f(u), g(u), v)$$

G SMOOTH SINCE γ SMOOTH

G INJECTIVE $\Leftrightarrow \gamma$ INJECTIVE

$\Leftrightarrow \gamma$ DOES NOT

SELF-INTERSECT

$$\sigma_u = (\dot{f}, \dot{g}, 0), \sigma_v = (0, 0, 1) \quad \cdot = \frac{d}{du}$$

$$\sigma_u \times \sigma_v = (\dot{g}, -\dot{f}, 0) = 0 \Rightarrow \dot{f} = 0 = \dot{g}$$

~~can~~ CANNOT HAPPEN

$$\sigma \text{ REGULAR} \Leftrightarrow \gamma \text{ REGULAR}$$

EXAMPLE : γ CIRCLE

$$\gamma(u) = (\cos(u), \sin(u), 0)$$

$$\Rightarrow \sigma(u, v) = (\cos(u), \sin(u), v)$$

CIRCULAR CYLINDER

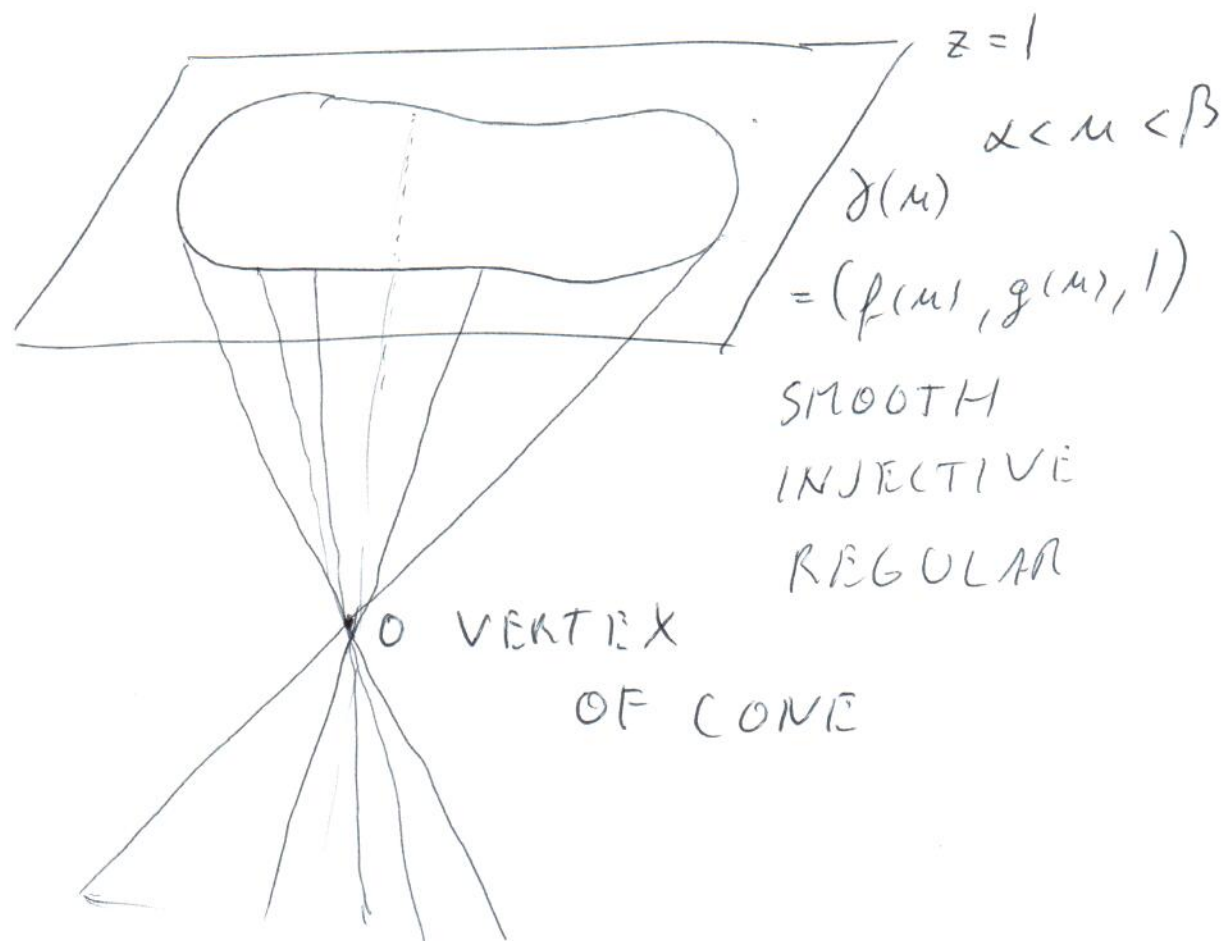
σ INJECTIVE REQUIRES u IN OPEN INTERVAL OF LENGTH $\leq 2\pi$, E.G.:

$$U = \{(u, v) \in \mathbb{R}^2 \mid 0 < u < 2\pi\}$$

EXAMPLE 4.3.2 (GENERALIZED CONE)

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UNION OF LINES PASSING THROUGH
A FIXED POINT AND THE POINTS OF A
PLANE CURVE NOT PASSING THROUGH POINT.



$$\begin{aligned}\sigma(\mu, v) &= v(f(\mu), g(\mu), 1) \\ &= (f(\mu)v, g(\mu)v, v)\end{aligned}$$

$$\sigma_\mu = (\dot{f}(\mu)v, \dot{g}(\mu)v, 0)$$

$$\sigma_v = (f(\mu), g(\mu), 1)$$

$$(\bar{\sigma}_u \times \bar{\sigma}_v)(u, v) = (\dot{g}(u)v, -\dot{f}(u)v, v\dot{f}(u)g(u) - \dot{g}(u)f(u)) \quad (59)$$

$$= v(\dot{g}(u), -\dot{f}(u), \dot{f}(u)g(u) - \dot{g}(u)f(u))$$

$$(\bar{\sigma}_u \times \bar{\sigma}_v)(u, v) = 0 \quad \Leftrightarrow \quad v = 0 \quad \begin{array}{l} \text{VERTEX} \\ \text{OF CONE} \end{array}$$

σ REGULAR

PUT

$$U = \{(u, v) \in \mathbb{R}^2 \mid \alpha < u < \beta, v \neq 0\}$$

$$\Rightarrow \sigma \text{ REGULAR.}$$

EXAMPLE 4.3.3. (QUADRIC SURFACE)

$$v = (x, y, z), \quad A \in M_3(\mathbb{R}) \text{ SYMMETRIC, } b \in \mathbb{R}^3, c \in \mathbb{R}$$

$$v A v^T + b \cdot v + c = 0$$

$$A v \cdot v + b \cdot v + c = 0$$

WRITE

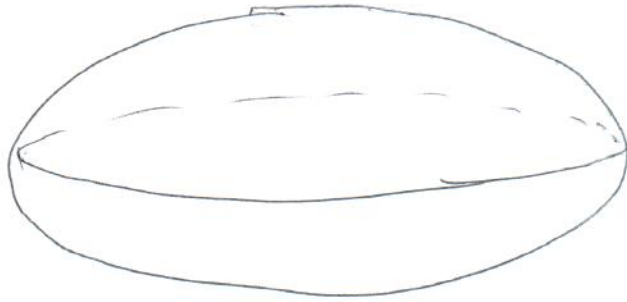
$$A = \begin{pmatrix} a_1 & a_4 & a_6 \\ a_4 & a_2 & a_5 \\ a_6 & a_5 & a_3 \end{pmatrix}, \quad b = (b_1, b_2, b_3)$$

$$a_1 x^2 + a_2 y^2 + a_3 z^2 + 2a_4 xy + 2a_5 yz + 2a_6 xz$$

$$+ b_1 x + b_2 y + b_3 z + c = 0$$

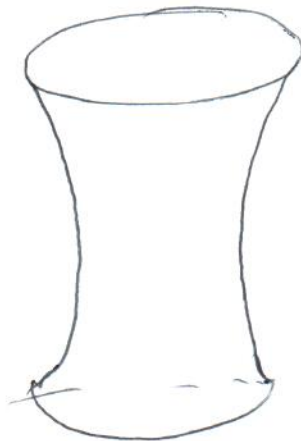
USING LINEAR ALGEBRA & GEOMETRY,
THE FOLLOWING (NON-DEGENERATE)
CASES CAN OCCUR

(i) ELLIPSOID: $\frac{x^2}{p^2} + \frac{y^2}{q^2} + \frac{z^2}{r^2} = 1$



(ii) HYPERBOLOID OF ONE SHEET:

$$\frac{x^2}{p^2} + \frac{y^2}{q^2} - \frac{z^2}{r^2} = 1$$



(iii) HYPERBOLOID OF TWO SHEETS

$$\frac{x^2}{p^2} - \frac{y^2}{q^2} - \frac{z^2}{r^2} = 1$$



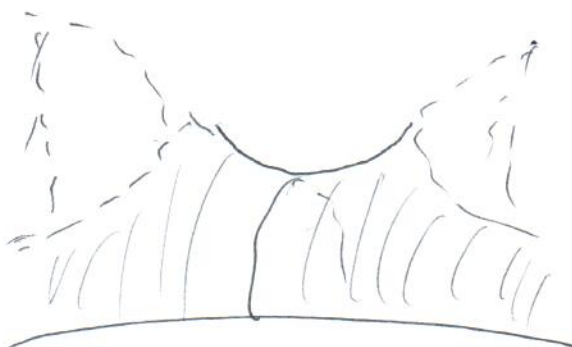
(iv) ELLIPTIC PARABOLOID

$$\frac{x^2}{p^2} + \frac{y^2}{q^2} = z$$



(v) HYPERBOLIC PARABOLOID

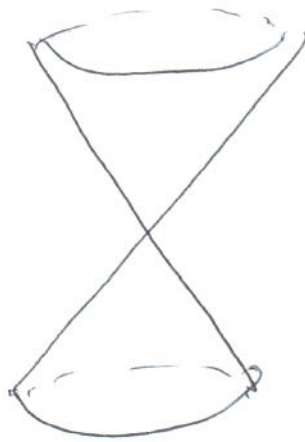
$$\frac{x^2}{p^2} - \frac{y^2}{q^2} = z$$



(vi) QUADRATIC CONE

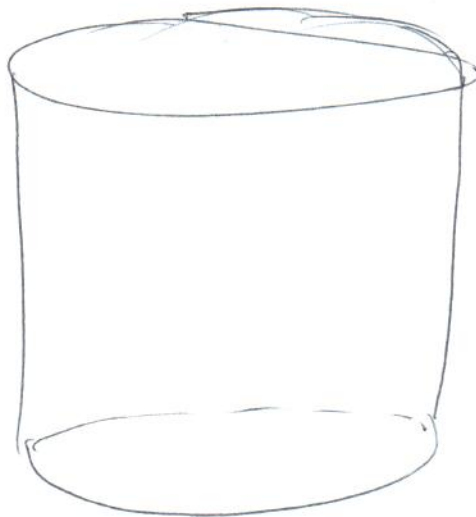
(62)

$$\frac{x^2}{p^2} + \frac{y^2}{q^2} - \frac{z^2}{r^2} = 0$$



(vii) ELLIPTIC CYLINDER

$$\frac{x^2}{p^2} + \frac{y^2}{q^2} = 1$$



(viii) HYPERBOLIC CYLINDER

$$\frac{x^2}{p^2} - \frac{y^2}{q^2} = 1$$



(ix) PARABOLIC CYLINDER

$$\frac{x^2}{p^2} = y$$

(x) PLANE $z = 0$ 

MUST FIND PARAMETRIZATIONS.

NOT DIFFICULT, BUT TEDIOUS.

FOR ELLIPSOID:

$$\sigma(\theta, \varphi) = (p \cos(\theta) \cos(\varphi), q \cos(\theta) \sin(\varphi), r \sin(\theta))$$

HYPERBOLOID OF ONE SHEET:

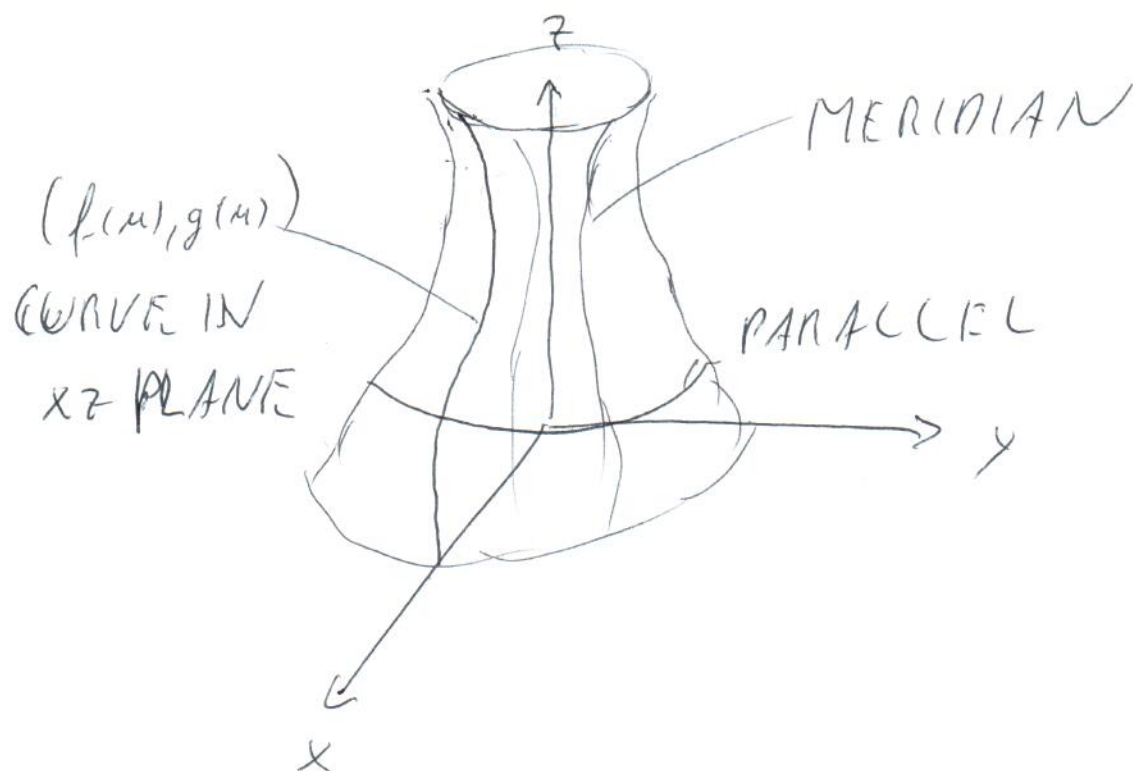
$$\sigma(\theta, \varphi) = (p \cos(\theta), q \sin(\theta) \cosh(\varphi), r \sin(\theta) \sinh(\varphi))$$

→ EXERCISES.

EXAMPLE 4.3.4 (SURFACE OF REVOLUTION)

ROTATE PLANE CURVE (PROFILE CURVE)

AROUND STRAIGHT LINE IN PLANE



PROFILE CURVE $\gamma(u) = (f(u), 0, g(u))$

ROTATE BY ANGLE v ABOUT z -AXIS:

$$\bar{\sigma}(u, v) = (f(u) \cos(v), f(u) \sin(v), g(u))$$

$u \equiv \text{const}$ PARALLELS

$v \equiv \text{const}$ MERIDIANS

$$\bar{\sigma}_u(u, v) = (f'(u) \cos(v), f'(u) \sin(v), g'(u))$$

$$\bar{\sigma}_v(u, v) = (-f(u) \sin(v), f(u) \cos(v), 0)$$

$$(\bar{\sigma}_u \times \bar{\sigma}_v)(u, v) = (-f(u) g'(u) \cos(v), -f(u) g'(u) \sin(v), f(u) f'(u))$$

$$\|(\bar{\sigma}_u \times \bar{\sigma}_v)(u, v)\|^2 = \underbrace{f^2(u)}_{\neq 0 \text{ IF}} \underbrace{(f'^2(u) + g'^2(u))}_{\neq 0 \text{ IF } \gamma \text{ REGULAR}}$$

γ DOES NOT INTERSECT z -AXIS

SPECIAL CASES: SPHERES,

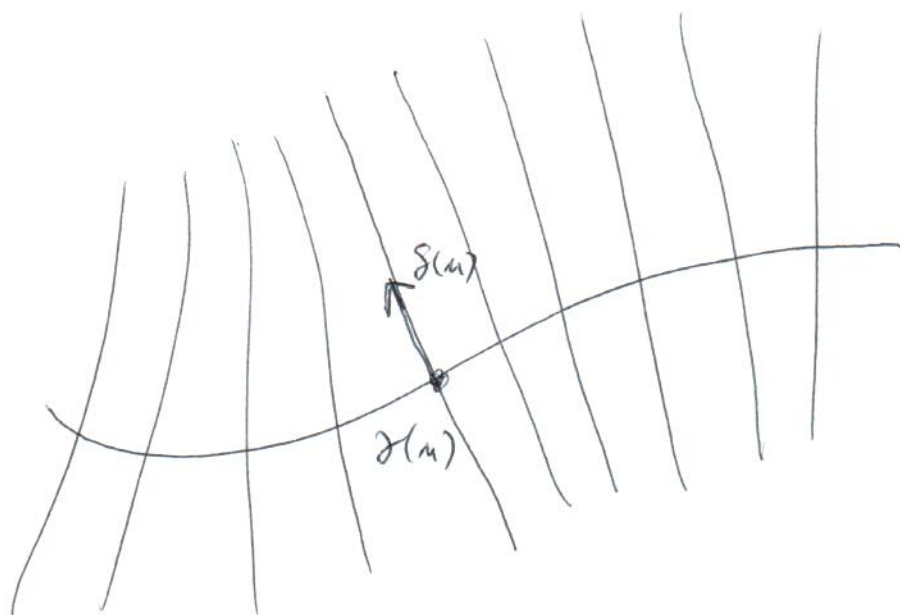
CIRCULAR CYLINDERS

CIRCULAR CONES.

EXAMPLE 4.3.5 (RULED SURFACES)

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SURFACE THAT IS UNION OF STRAIGHT LINES



$$\sigma(u, v) = \sigma(u) + v \delta(u)$$

$$\sigma_u(u, v) = \dot{\sigma}(u) + v \dot{\delta}(u)$$

$$\sigma_v(u, v) = \delta(u)$$

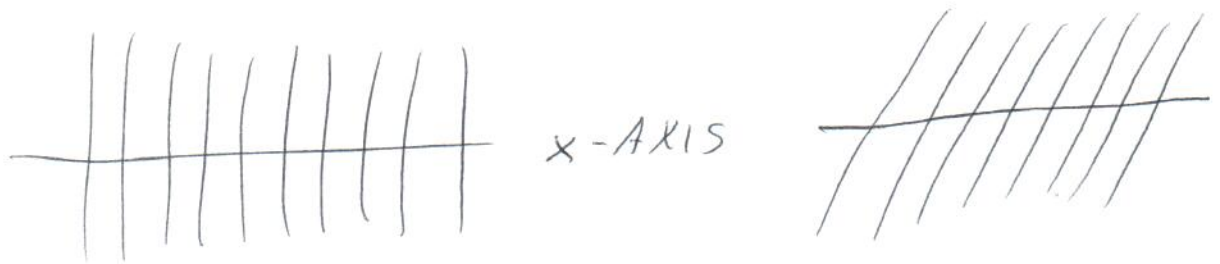
$$\sigma \text{ REGULAR} \Leftrightarrow \dot{\sigma}(u) + v \dot{\delta}(u), \delta(u)$$

LINEARLY INDEPENDENT

OK IF $\dot{\sigma}, \delta$ LINEARLY
INDEPENDENT AND

v SUFFICIENTLY SMALL.

SPECIAL CASE: PLANE



GENERALIZED CYLINDERS
GENERALIZED CONES

OBVIOUS
FROM DEF

HYPERBOLOID OF ONE SHEET (LESS OBVIOUS)



DOUBLY
RULED

$$\frac{x^2}{p^2} + \frac{y^2}{q^2} - \frac{z^2}{r^2} = 1$$

$$z=0 : \frac{x^2}{p^2} + \frac{y^2}{q^2} = 1$$

"WAIST" OF
HYPERBOLOID

PARAMETRIZED BY

$$\gamma(u) = (p \cos(u), q \sin(u), 0)$$

LET $\delta = (a, b, c) \neq 0 \in \mathbb{R}^3$

STRAIGHT LINE

$$\delta(u) + v\delta = (av + p \cos(u), bv + q \sin(u), cv)$$

CONTAINED IN HYPERBOLOID IF

$$\frac{(av + p \cos(u))^2}{p^2} + \frac{(bv + q \sin(u))^2}{q^2} - \frac{c^2 v^2}{r^2} = 1$$

$$\left(\frac{a^2}{p^2} + \frac{b^2}{q^2} - \frac{c^2}{r^2} \right) v^2 + 2v \left(\frac{a}{p} \cos(u) + \frac{b}{q} \sin(u) \right) + 1$$

MUST BE = 0

$$a = \lambda p \sin(u)$$

$$b = -\lambda q \cos(u)$$

$$0 = \lambda^2 \sin^2(u) + \lambda^2 \cos^2(u) - \frac{c^2}{r^2} = \lambda^2 - \frac{c^2}{r^2}$$

$$\Rightarrow \lambda = \pm \frac{c}{r}$$

$$\Rightarrow \delta(u) = \frac{c}{r} (p \sin(u), -q \cos(u), r)$$

$\lambda = \frac{c}{r}$

CAN TAKE $c = r$:

$$G(u, v) = \left(p(\cos(u) + v \sin(u)), \right. \\ \left. q(\sin(u) - v \cos(u)), rv \right)$$

"RULED" PARAMETRIZATION OF
HYPERBOLOID.

TAKE $d = -\frac{c}{r}$:

$$G(u, v) = \left(p(\cos(u) - v \sin(u)), \right. \\ \left. q(\sin(u) + v \cos(u)), rv \right)$$

ANOTHER "RULED" PARAMETRIZATION

REMARK: ONE CAN SHOW THAT
EVERY DOUBLY RULED SURFACE IS
A QUADRIC SURFACE.