

BUMPLESS PIPE DREAMS ENCODE GRÖBNER GEOMETRY OF SCHUBERT POLYNOMIALS

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ABSTRACT. In their study of infinite flag varieties, Lam, Lee, and Shimozono (2021) introduced bumpless pipe dreams in a new combinatorial formula for double Schubert polynomials. These polynomials are the $T \times T$ -equivariant cohomology classes of matrix Schubert varieties and of their flat degenerations. We give diagonal term orders with respect to which bumpless pipe dreams index the irreducible components of diagonal Gröbner degenerations of matrix Schubert varieties, counted with scheme-theoretic multiplicity.

This indexing was conjectured by Hamaker, Pechenik, and Weigandt (2022). We also give a generalization to equidimensional unions of matrix Schubert varieties. This result establishes that bumpless pipe dreams are dual to and as geometrically natural as classical pipe dreams, for which an analogous anti-diagonal theory was developed by Knutson and Miller (2005).

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1. INTRODUCTION

The **complete flag variety** $\mathcal{F}(\mathbb{C}^n) = B_- \backslash \mathrm{GL}(\mathbb{C}^n)$ is the quotient of the general linear group by the Borel subgroup B_- of lower triangular matrices. There is a natural action of the Borel subgroup of upper triangular matrices B_+ on $\mathcal{F}(\mathbb{C}^n)$ by matrix multiplication. The orbits Ω_w of this action, called **Schubert cells**, are indexed by permutations w in the symmetric group S_n . The closures $\mathfrak{X}_w = \overline{\Omega_w}$ of these orbits are called **Schubert varieties**. Schubert varieties emerged in the study of the enumerative geometry problems posed by Schubert [Sch79] and his contemporaries in the late nineteenth century. They have also played an essential role in the development of modern commutative algebra, providing crucial examples when the study of Cohen–Macaulay varieties was in its nascence.

Each Schubert variety gives rise to a **Schubert class** σ_w in the integral cohomology ring $H^*(\mathcal{F}(\mathbb{C}^n))$. Indeed, these Schubert classes form a \mathbb{Z} -linear basis for $H^*(\mathcal{F}(\mathbb{C}^n))$. Borel [Bor53] showed that $H^*(\mathcal{F}(\mathbb{C}^n))$ is isomorphic to $\mathbb{Z}[x_1, \dots, x_n]/I^{S_n}$, where I^{S_n} is the ideal generated by the nonconstant *elementary symmetric polynomials*. Geometric properties of $\mathcal{F}(\mathbb{C}^n)$ are readily expressed in terms of Schubert classes. For instance, the coefficients $c_{u,v}^w$ in the product $\sigma_u \cdot \sigma_v = \sum_{w \in S_n} c_{u,v}^w \sigma_w$ are nonnegative integers; $c_{u,v}^w$ counts points in the intersection of three Schubert varieties that depend on u, v , and w generically translated by elements of $\mathrm{GL}(\mathbb{C}^n)$. Something that for decades hindered the study of $\mathbb{Z}[x_1, \dots, x_n]/I^{S_n}$ was that there was no known choice of desirable coset representatives.

Motivated by earlier work of Bernštein, Gel’fand, and Gel’fand [BGG73] as well as Demazure [Dem74], Lascoux and Schützenberger [LS82] proposed one such choice: the *Schubert polynomials* $\mathfrak{S}_w(\mathbf{x})$. Schubert polynomials have many desirable combinatorial properties. Importantly, if $u, v \in S_n$, then, for N sufficiently large with respect to n , the coefficients in the product $\mathfrak{S}_u(\mathbf{x})\mathfrak{S}_v(\mathbf{x}) = \sum_{w \in S_N} c_{u,v}^w \mathfrak{S}_w(\mathbf{x})$ agree with those arising from the multiplication of the corresponding Schubert classes in $H^*(\mathcal{F}(\mathbb{C}^N))$. Moreover, Schubert polynomials expand positively in the monomial basis, allowing for numerous combinatorial interpretations for these coefficients. Of particular importance are the *pipe dream formula* of [BB93, BJS93, FK94, FS94] and a recent formula due to Lam, Lee, and Shimozono [LLS21] in terms of *bumpless pipe dreams*, which they introduced in their study of back stable Schubert calculus.

Bumpless pipe dreams had also appeared earlier in a different form in work related to study of the *six-vertex model*. In this context, they are called *osculating lattice paths* (see, e.g., [Beh08]). In an unpublished preprint, Lascoux [Las02] used the six-vertex model to give a formula for Grothendieck polynomials, which can be used to recover the formula of [LLS21] for Schubert polynomials (see [Wei21]). By interpreting bumpless pipe dreams as planar histories for permutations, Lam, Lee, and Shimozono gave a formula for *double Schubert polynomials* that is analogous to (but distinct from) the traditional pipe dream formula. Double Schubert polynomials represent classes of Schubert varieties in the Borel-equivariant cohomology of $\mathcal{F}(\mathbb{C}^n)$. Lam, Lee, and Shimozono’s innovation has inspired a great deal of further exploration of the combinatorics of Schubert polynomials (see, e.g., [FGS18, BS20, Hua20, Wei21, HPW22, Hua22]).

Despite the combinatorial desirability of Schubert polynomials, there was for many years skepticism over whether they were really the right choice. It was profoundly unclear whether Schubert polynomials reflected any of the geometric content of Schubert

varieties. Progress on this front came by way of understanding torus-equivariant classes of *matrix Schubert varieties*.

The torus T of diagonal matrices acts on $\text{Mat}(\mathbb{C}^n)$ by matrix multiplication on the right, and so we can study the ring $H_T^*(\text{Mat}(\mathbb{C}^n)) \cong \mathbb{Z}[x_1, \dots, x_n]$ of T -equivariant cohomology. There is a projection map $\pi : \text{GL}(\mathbb{C}^n) \rightarrow \mathcal{F}(\mathbb{C}^n) = B_- \backslash \text{GL}(\mathbb{C}^n)$ and an inclusion map $\iota : \text{GL}(\mathbb{C}^n) \rightarrow \text{Mat}(\mathbb{C}^n)$ taking elements of the general linear group into the space of $n \times n$ matrices. The **matrix Schubert variety** of w , introduced by Fulton [Ful92], is $X_w = \overline{\iota(\pi^{-1}(\mathfrak{X}_w))}$, which is an orbit closure for the natural $B_- \times B_+$ action on $\text{Mat}(\mathbb{C}^n)$. Because X_w is stable under the action of T , it gives rise to a class $[X_w]_T \in H_T^*(\text{Mat}(\mathbb{C}^n))$. Furthermore, this class is a polynomial representative for the Schubert class σ_w in $H^*(\mathcal{F}(\mathbb{C}^n))$. Remarkably, $[X_w]_T = \mathfrak{S}_w(\mathbf{x})$, i.e., the coset representative for σ_w that was singled out by Lascoux and Schützenberger is the same one identified by the theory of T -equivariant cohomology (see [Ful92], [FR03, Theorem 4.2], [KM05, Theorem A]). In this sense, Schubert polynomials are canonical representatives for Schubert classes. Analogously, double Schubert polynomials represent classes of matrix Schubert varieties in $H_{T \times T}^*(\text{Mat}(\mathbb{C}^n))$, and so double Schubert polynomials are identified as natural representatives for Schubert classes in $H_{B_+}^*(\mathcal{F}(\mathbb{C}^n))$.

Furthermore, Knutson and Miller [KM05] were able to use Gröbner geometry to explain the appearance of the traditional pipe dream formula for Schubert polynomials. Fixing an *anti-diagonal* term order σ on the coordinate ring of $\text{Mat}(\mathbb{C}^n)$, one can degenerate X_w to $\text{in}_\sigma(X_w)$, the scheme defined by the σ -initial ideal of the defining ideal of X_w , which Knutson and Miller showed to be a union of coordinate subspaces indexed by pipe dreams. Through this work, the pipe dream formula gained geometric significance.

In this way, the pipe dream formula is a canonical choice of expression for Schubert polynomials, but only insofar as anti-diagonal term orders would be considered canonical term orders. Several years after [KM05], Knutson, Miller, and Yong [KMY09] studied an arbitrary diagonal term order σ , but their results were restricted to the special case of *vexillary* matrix Schubert varieties. They showed that, in this case, the irreducible components of $\text{in}_\sigma(X_w)$ are indexed by *flagged tableaux* (or, equivalently, *diagonal pipe dreams*). One challenge of the diagonal degenerations of X_w is that they are not always reduced. For this reason, the complete story of the diagonal degenerations must include a count on the irreducible components with *multiplicity* (see [Eis95, Chapter 12]). Outside of the vexillary setting, there was no combinatorial candidate to index components of $\text{in}_\sigma(X_w)$.

Recently, Hamaker, Pechenik, and Weigandt [HPW22] extended [KMY09] to a wider class of matrix Schubert varieties. They showed that in this larger special case the irreducible components of $\text{in}_\sigma(X_w)$ are indexed by the bumpless pipe dreams of [LLS21]. The main theorem of the present work was previously conjectured by Hamaker, Pechenik, and Weigandt in ([HPW22, Conjecture 1.2]).

Main Theorem. *Let X_w be a matrix Schubert variety. There exist diagonal term orders with respect to which the irreducible components of the Gröbner degeneration of X_w , counted with scheme-theoretic multiplicity, naturally correspond to the bumpless pipe dreams for the permutation w .*

In fact, we prove a more general version of this statement for arbitrary unions of matrix Schubert varieties of a fixed dimension and a family of term orders for which Gröbner

degeneration reflects an algorithmic description of bumpless pipe dreams (see Theorem 5.16). Our theorem holds over an arbitrary field κ . When $\kappa = \mathbb{C}$, one recovers T -equivariant classes from the *multidegrees* of [Jos84, Ros89]. Indeed, when S is a multigraded polynomial ring over \mathbb{C} and I is a multihomogenous ideal, then the multidegree of S/I is the class of $\text{Spec}(S/I)$ in the T -equivariant Chow ring of $\text{Spec}(S)$ (see [KMS06, Proposition 1.19]).

We describe several consequences of our main theorem and of the machinery we build to prove it. We give a recurrence on unions of matrix Schubert varieties, which leads to a recurrence on the corresponding multigraded Hilbert series, which in turn allows us to recover transition formulas for (double) Schubert and (double) Grothendieck polynomials (Proposition 6.5 and Corollary 6.6). The algebro-geometric recurrence on unions of matrix Schubert varieties and that of the transition equations is mirrored by a corresponding transition on bumpless pipe dreams (Lemma 3.6 and [Wei21, Section 5]). This situation is (projectively) dual to that of [Knu19], which involves co-transition, pipe dreams, and unions of matrix Schubert varieties. Additionally, we initiate the study of Cohen–Macaulayness of unions of matrix Schubert varieties, of which our motivating examples are *alternating sign matrix varieties* (see Corollary 5.21, Corollary 5.22, and Corollary 5.23).

In light of the rich history of Schubert varieties in commutative algebra (see, e.g., [HE71, Hoc73, DCL81, HL82, MS83, MR85, Ram85, Ram87, MS89, GL96, GM00]) and also myriad generalizations of classical determinantal varieties (see, e.g., [HT92, Con96, CH97, BC98, Con98, LRPT06, Gor07, Boo12, EHHM13, Ber15, CDNG20, FK20, CDF⁺21]), one might expect a wealth of commutative algebraic results on matrix Schubert varieties and alternating sign matrix varieties. While there are results on matrix Schubert varieties using commutative algebraic techniques [Hsi13], a good deal of our understanding of them comes via their connection to Schubert varieties or via primarily combinatorial techniques. For example, results on Hilbert–Samuel multiplicities and Castlenuevo–Mumford regularities of matrix Schubert varieties have primarily been pursued via combinatorial methods (see, e.g., [LY12, WY12, PSW21, RRR⁺21, RRW23]). The classification of Gorenstein matrix Schubert varieties [WY06] passes through their connection to Schubert varieties and is geometrically and combinatorially driven.

No comparable results are known by any method for alternating sign matrix varieties. Indeed, very little is known about alternating sign matrices from the standpoint of commutative algebra. It is not known when alternating sign matrix varieties are Cohen–Macaulay nor even equidimensional. We hope that the current work showcases both the intrigue of matrix Schubert varieties and alternating sign matrix varieties from the perspective of commutative algebra and also the fact that both classes are amenable to study by such techniques.

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2. BACKGROUND AND PRELIMINARIES

Throughout this paper, we will take κ to be an arbitrary field.

2.1. Permutations. Let $\mathbb{N} = \{0, 1, 2, \dots\}$ and $\mathbb{Z}_+ = \{1, 2, 3, \dots\}$. Given $m, n \in \mathbb{Z}_+$, let $[n] = \{1, 2, \dots, n\}$ and $[m, n] = \{i \in \mathbb{Z}_+ : m \leq i \leq n\}$. The **symmetric group** S_n is the group of permutations of n letters. We often represent permutations in one-line notation. It will sometimes also be convenient to represent permutations as **permutation matrices**. We identify the permutation $w \in S_n$ with the matrix that has 1's in positions $(i, w(i))$ for all $i \in [n]$ and 0's in all other positions. The transposition $t_{i,j}$ is the 2-cycle (ij) , and we write s_i for the simple reflection $(i \ i+1)$. We use $\ell(w) = \#\{(i, j) : i < j \text{ and } w(i) > w(j)\}$ to denote the **length** of $w \in S_n$.

The **(strong) Bruhat order** on S_n is the transitive closure of covering relations of the form $w < wt_{i,j}$ if $\ell(w) + 1 = \ell(wt_{i,j})$. There is another characterization of Bruhat order we will use: define the **rank function** of w to be

$$\text{rk}_w(a, b) = \#\{(i, j) \in [a] \times [b] : w(i) = j\}.$$

Then $w \leq v$ if and only if $\text{rk}_w(i, j) \geq \text{rk}_v(i, j)$ for all $i, j \in [n]$.

In the tradition of [KM05], we will often use cardinal directions when describing relative positions of elements of an $n \times n$ grid representing $[n] \times [n]$. Specifically, we will say that (a, b) is **southeast** of (c, d) if both $a \geq c$ and $b \geq d$. We will say that (a, b) is **maximally southeast** within a subset of $[n] \times [n]$ if there does not exist $(a', b') \neq (a, b)$ in the specified subset so that $a \leq a'$ and $b \leq b'$. Use of the other cardinal directions occurs in the same manner.

Given $w \in S_n$, the **Rothe diagram** of w is

$$D(w) = \{(i, j) : i, j \in [n], w(i) > j, \text{ and } w^{-1}(j) > i\}.$$

The length of w satisfies $\ell(w) = \#D(w)$. The **essential set** of w is

$$\text{Ess}(w) = \{(i, j) \in D(w) : (i+1, j), (i, j+1) \notin D(w)\},$$

i.e., the maximally southeast corners of the connected components of $D(w)$.

We often visualize the Rothe diagram of the permutation w by putting dots at each $(i, w(i))$ in an $n \times n$ grid and drawing a ray down and right from each such dot. We call the boxes making up the $n \times n$ grid cells. The set of cells without a dot or line through them make up $D(w)$. Borrowing from the ladder determinantal literature, we will call a maximally southeast element of $D(w)$ a **lower outside corner** of $D(w)$. See Figure 1 for the visualization of $D(w)$ for $w = 4721653$.

A permutation $\pi \in S_n$ is **bigrassmannian** if $\#\text{Ess}(\pi) = 1$. A bigrassmannian permutation is uniquely determined by the position of its essential cell and the value of its rank function at this position. Explicitly, fix $a, b \in [n]$, and take r so that $0 \leq r < \min\{a, b\}$ and

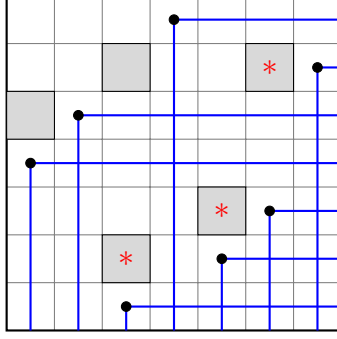


FIGURE 1. Let $w = 4721653$. The cells of $\text{Ess}(w)$ have been shaded in gray, and the lower outside corners are ornamented with an $*$. In particular, $\text{Ess}(w) = \{(2, 3), (2, 6), (3, 1), (5, 5), (6, 3)\}$ and $D(w) = \text{Ess}(w) \cup \{(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 5), (5, 3)\}$.

$a + b - r \leq n$. Then we construct a bigrassmannian $\pi \in S_n$, which, in block matrix form, is given by

$$\pi = \begin{pmatrix} \mathbb{1}_r & 0 & 0 & 0 \\ 0 & 0 & \mathbb{1}_{a-r} & 0 \\ 0 & \mathbb{1}_{b-r} & 0 & 0 \\ 0 & 0 & 0 & \mathbb{1}_{n-a-b+r} \end{pmatrix},$$

where $\mathbb{1}_k$ denotes the identity matrix of size k . By construction, $\text{Ess}(\pi) = \{(a, b)\}$ and $\text{rk}_\pi(a, b) = r$.

2.2. Alternating sign matrices. An **alternating sign matrix** (ASM) is a square matrix with entries in $\{-1, 0, 1\}$ so that the entries in each row (and column) sum to 1 and the nonzero entries in each row (and column) alternate in sign. An ASM with no negative entries is a permutation matrix. Write $\text{ASM}(n)$ for the set of $n \times n$ ASMs.

The **corner sum function** of $A = (A_{i,j}) \in \text{ASM}(n)$ is defined by $\text{rk}_A(a, b) = \sum_{i=1}^a \sum_{j=1}^b A_{i,j}$ for $(a, b) \in [n] \times [n]$. It will also be useful to define $\text{rk}_A(i, j) = 0$ whenever $i = 0$ or $j = 0$. If $A \in S_n$, then rk_A agrees with the definition of the rank function of a permutation. We may also use rk_A to denote the **corner sum matrix** of A , the $n \times n$ matrix whose $(i, j)^{\text{th}}$ entry is $\text{rk}_A(i, j)$: that is, $\text{rk}_A = ((\text{rk}_A)_{i,j}) = (\text{rk}_A(i, j))$. When $A \in S_n$, the corner sum matrix is commonly called the **rank matrix** of A .

Corner sum functions induce a lattice structure on $\text{ASM}(n)$ defined by $A \geq B$ if and only if $\text{rk}_A(i, j) \leq \text{rk}_B(i, j)$ for all $i, j \in [n]$. Restricting to permutations recovers the (strong) Bruhat order on S_n ; indeed, the ASM poset is the smallest lattice with this property [LS96, Lemme 5.4]. One computes the **join** (least upper bound) $A \vee B$ by taking entry-wise minima of rk_A and rk_B and the **meet** (greatest lower bound) $A \wedge B$ by taking entry-wise maxima of rk_A and rk_B . The bigrassmannian permutations are the join-irreducibles of the lattice of ASMs. To compare a bigrassmannian with an ASM, it is enough to compare a single value of their corresponding corner sum functions.

Lemma 2.1 ([BS17, Theorem 30]). *Fix a bigrassmannian $\pi \in S_n$ with $\text{Ess}(\pi) = \{(a, b)\}$. Given $A \in \text{ASM}(n)$, $\text{rk}_A(a, b) \leq \text{rk}_\pi(a, b)$ if and only if $\pi \leq A$.*

Let $\text{Perm}(A) = \{w \in S_n : w \geq A, \text{ and, if } w \geq v \geq A \text{ for some } v \in S_n, \text{ then } w = v\}$. We define $\text{ht}(A) = \min\{\ell(w) : w \in \text{Perm}(A)\}$. If $\ell(w) = \text{ht}(A)$ for all $w \in \text{Perm}(A)$, then we say that A is **equidimensional**.

Example 2.2. Let $A = \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$. Then $\text{rk}_A = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 2 \\ 1 & 2 & 3 \end{pmatrix}$. The reader may verify that rk_{231} , rk_{312} , and rk_{321} are all entry-wise smaller than rk_A ; thus, $231, 312, 321 > A$. Furthermore, these are the only permutations in S_3 that are larger than A . Since $321 > 231, 312$, it follows that $\text{Perm}(A) = \{231, 312\}$. Because $\ell(231) = 2 = \ell(312)$, A is equidimensional and $\text{ht}(A) = 2$. \diamond

2.3. Alternating sign matrix varieties. Given a matrix M , let $M_{[i],[j]}$ be the submatrix of M consisting of the first i rows and j columns. Given $A \in \text{ASM}(n)$, we define the **ASM variety** of A to be

$$X_A = \{M \in \text{Mat}(n) : \text{rk}(M_{[i],[j]}) \leq \text{rk}_A(i, j) \text{ for all } i, j \in [n]\}.$$

When $w \in S_n$, we say X_w is a **matrix Schubert variety**. For background on matrix Schubert varieties, see [Ful92, MS04].

Fix an $n \times n$ generic matrix $Z = (z_{i,j})$, and let $R = \kappa[z_{1,1}, \dots, z_{n,n}]$. We write $I_k(Z_{[i],[j]})$ for the ideal of R generated by the k -minors in $Z_{[i],[j]}$. As a convention, if $i = 0$ or $j = 0$, then define $I_k(Z_{[i],[j]}) = (0)$. The **ASM ideal** of A is

$$I_A = \sum_{i,j=1}^n I_{\text{rk}_A(i,j)+1}(Z_{[i],[j]}).$$

We call the union of the $(\text{rk}_A(i, j) + 1)$ -minors in $Z_{[i],[j]}$, as i and j range from 1 to n , the **natural generators** of I_A .

If $w \in S_n$, I_w is also called a **Schubert determinantal ideal**.

Proposition 2.3 ([Ful92, Proposition 3.3]). *Fix $w \in S_n$.*

- (1) I_w is prime.
- (2) $\text{ht} I_w = \ell(w)$.
- (3) X_w is Cohen–Macaulay.

By [Ful92, Lemma 3.10], a Schubert determinantal ideal can be generated by a (usually proper) subset of its natural generators:

$$I_w = \sum_{(i,j) \in \text{Ess}(w)} I_{\text{rk}_w(i,j)+1}(Z_{[i],[j]}).$$

We call these generators the **Fulton generators**. There is a generalization of the Fulton generators for ASM ideals (see [Wei17, Lemma 5.9]).

It is clear that $X_A = V(I_A)$, but it is not obvious that I_A is radical. We give a proof below of this and other fundamental facts about I_A . Before proceeding, we will need to recall the Stanley–Reisner correspondence.

Given a simplicial complex Δ on vertex set $[n]$, we define the **Stanley–Reisner ideal** $I_\Delta \subseteq \kappa[z_1, \dots, z_n]$ of Δ to be

$$I_\Delta = \left(\prod_{i \in U} z_i : U \subseteq [n], U \notin \Delta \right).$$

This map $\Delta \mapsto I_\Delta$ is a bijection from simplicial complexes on $[n]$ to squarefree monomial ideals of $\kappa[z_1, \dots, z_n]$. Let $\Delta(I)$ denote the simplicial complex associated to a squarefree monomial ideal I . For a subset $F \subseteq [n]$, observe that the prime ideal $P = (z_i : i \notin F)$ is a minimal prime of I if and only if F is a facet of $\Delta(I)$. For further background, we refer the reader to [MS04, Chapter 1].

Monomial ideals will typically occur for us as the initial ideals of Schubert determinantal ideals or ASM ideals. For general background on term orders, initial ideals, and Gröbner bases, we refer the reader to [Eis95, Chapter 15]. We will be especially interested in *lexicographic* term orders. For every ordering \prec of the variables, there is a unique term order that is called the lexicographic term order on that ordering of the variables. If, for example, $z_1 \prec z_2 \prec \dots \prec z_n$ and μ and ν are two monomials in $\kappa[z_1, \dots, z_n]$, then, to define the lexicographic term order $<$ on the ordering \prec of the variables, we say that $\mu < \nu$ if there exists $i \in [n]$ such that $\max\{k : z_j^k \mid \mu\} = \max\{k : z_j^k \mid \nu\}$ for all $z_j \succ z_i$ and $\max\{k : z_i^k \mid \mu\} < \max\{k : z_i^k \mid \nu\}$.

Because there are many orderings of the variables, there are many lexicographic term orders. “A lexicographic term order” should not be confused with “the lexicographic ordering of the variables,” which is sometimes used to mean $z_n \prec z_{n-1} \prec \dots \prec z_1$. Throughout this article, we will only use “lexicographic” to refer to a full term order. We will often describe orderings of the variables as “reading orders” (for various languages).

For a term order σ on a polynomial ring R and an ideal I of R , we will use $\text{in}_\sigma(I)$ to denote the initial ideal of I with respect to σ . When R is a polynomial ring in the entries of a generic matrix, we say that a term order is **diagonal** (respectively, **anti-diagonal**) if the leading term of each matrix minor is the product of the entries along the main diagonal (respectively, along the anti-diagonal).

Each permutation $w \in S_n$ has an associated set of (*reduced*) *pipe dreams* $\text{Pipes}(w)$. We refer the reader to [BB93] for background. Given $\mathcal{D} \in \text{Pipes}(w)$, we can record the locations of its crossing tiles $C(\mathcal{D}) \subseteq [n] \times [n]$. For any $E \subseteq [n] \times [n]$, define the ideal $I_E = (z_{i,j} : (i,j) \in E)$.

Theorem 2.4 ([KM05, Theorem B]). *If σ is any anti-diagonal term order on $\kappa[z_{1,1}, \dots, z_{n,n}]$, then the Fulton generators of I_w form a Gröbner basis. In particular, $\text{in}_\sigma(I_w)$ is squarefree. The facets of $\Delta(\text{in}_\sigma(I_w))$ are*

$$\{[n] \times [n] - C(\mathcal{D}) : \mathcal{D} \in \text{Pipes}(w)\},$$

and

$$\text{in}_\sigma(I_w) = \bigcap_{\mathcal{D} \in \text{Pipes}(w)} I_{C(\mathcal{D})}.$$

Remark 2.5. Since the lattice of ASMs is finite (and hence complete), for any $w_1, \dots, w_r \in S_n$, $\vee\{w_1, \dots, w_r\} \in \text{ASM}(n)$ is well defined. In particular, though Lemma 2.6, below, is phrased in terms of ASMs, it can just as well be thought of as a lemma about arbitrary intersections of matrix Schubert varieties. \diamond

Lemma 2.6. *Let $A \in \text{ASM}(n)$, and fix an anti-diagonal term order σ on $R = \kappa[z_{1,1}, \dots, z_{n,n}]$. Then the following hold:*

(i) *If $w_1, \dots, w_r \in S_n$ such that $A = \vee\{w_1, \dots, w_r\}$, then*

$$\sum_{i=1}^r \text{in}_\sigma(I_{w_i}) = \text{in}_\sigma(I_A) = \bigcap_{u \in \text{Perm}(A)} \text{in}_\sigma(I_u).$$

(ii) *If $w_1, \dots, w_r \in S_n$ such that $A = \vee\{w_1, \dots, w_r\}$, then $I_A = \sum_{i=1}^r I_{w_i}$.*

(iii) *I_A is radical.*

(iv) *I_A has the irredundant prime decomposition $I_A = \bigcap_{w \in \text{Perm}(A)} I_w$.*

(v) $\text{ht} I_A = \text{ht}(A)$.

(vi) *A is equidimensional if and only if $\text{Spec}(R/I_A)$ is equidimensional.*

Proof. (i) Since $A \geq w_i$ we have $\text{rk}_A \leq \text{rk}_{w_i}$, for all $i \in [r]$. Thus, $I_{w_i} \subseteq I_A$ and so

$$\sum_{i=1}^r I_{w_i} \subseteq I_A.$$

Similarly, since $A \leq u$ for all $u \in \text{Perm}(A)$, we know $I_A \subseteq \bigcap \{I_u : u \in \text{Perm}(A)\}$.

As such,

$$\sum_{i=1}^r \text{in}_\sigma(I_{w_i}) \subseteq \text{in}_\sigma\left(\sum_{i=1}^r I_{w_i}\right) \subseteq \text{in}_\sigma(I_A) \subseteq \text{in}_\sigma\left(\bigcap_{u \in \text{Perm}(A)} I_u\right) \subseteq \bigcap_{u \in \text{Perm}(A)} \text{in}_\sigma(I_u). \quad (1)$$

By Theorem 2.4, for all $w \in S_n$, $\text{in}_\sigma(I_w)$ is a squarefree monomial ideal with associated Stanley-Reisner complex $\Delta(\text{in}_\sigma(I_w))$. In particular, this implies that $\sum_{i=1}^r \text{in}_\sigma(I_{w_i})$ is squarefree with Stanley-Reisner complex $\bigcap \{\Delta(\text{in}_\sigma(I_{w_i})) : i \in [r]\}$ and that $\bigcap \{\text{in}_\sigma(I_u) : u \in \text{Perm}(A)\}$ is also squarefree with Stanley-Reisner complex $\bigcup \{\Delta(\text{in}_\sigma(I_u)) : u \in \text{Perm}(A)\}$.

By [Wei17, Proposition 4.8],

$$\bigcap_{i \in [r]} \Delta(\text{in}_\sigma(I_{w_i})) = \bigcup_{u \in \text{Perm}(A)} \Delta(\text{in}_\sigma(I_u)).$$

Thus, all containments in Equation 1 are actually equalities.

(ii) Each ASM ideal is homogeneous with respect to the standard grading on R . Because

$$\sum_{i=1}^r I_{w_i} \subseteq I_A \subseteq \bigcap_{u \in \text{Perm}(A)} I_u \quad \text{and} \quad \text{in}_\sigma\left(\sum_{i=1}^r I_{w_i}\right) = \text{in}_\sigma\left(\bigcap_{u \in \text{Perm}(A)} I_u\right),$$

we know, by comparing Hilbert functions (see Subsection 2.4),

$$\sum_{i=1}^r I_{w_i} = I_A = \bigcap_{u \in \text{Perm}(A)} I_u.$$

(iii) First note that there exists a set $\{\pi_1, \dots, \pi_m\}$ of bigrassmannian permutations in S_n so that $A = \vee\{\pi_1, \dots, \pi_m\}$ (this follows from [LS96] and [Rea02, Proposition 9]). Thus, as a consequence of (ii), $\text{in}_\sigma(I_A)$ is radical and so I_A is also radical.

(iv) Again, noting that we can write $A = \vee\{\pi_1, \dots, \pi_m\}$ for some set of bigrassmannian permutations, applying the argument in (ii), we conclude $I_A = \bigcap\{I_u : u \in \text{Perm}(A)\}$.

By Proposition 2.3, I_u is prime for each $u \in \text{Perm}(A)$. By definition, the elements of $\text{Perm}(A)$ are pairwise incomparable in Bruhat order. Thus, applying [MS04, Lemma 15.19], no I_u properly contains any $I_{u'}$ for $u, u' \in \text{Perm}(A)$.

(v) We have $\text{ht} I_A = \min\{\text{ht} I_u : u \in \text{Perm}(A)\} = \min\{\ell(u) : u \in \text{Perm}(A)\} = \text{ht}(A)$.

(vi) This is immediate from (iv) and (v). \square

Example 2.7. Let A be as in Example 2.2. By examining rk_A , we see that the only non-vacuous rank conditions come from $\text{rk}_A(1, 1) = 0$ and $\text{rk}_A(2, 2) = 1$. Thus,

$$I_A = \left(z_{11}, \begin{vmatrix} z_{11} & z_{12} \\ z_{21} & z_{22} \end{vmatrix} \right) = (z_{11}, z_{12}z_{21}) = (z_{11}, z_{12}) \cap (z_{11}, z_{21}).$$

Recall that $\text{Perm}(A) = \{231, 312\}$. Furthermore, $I_{231} = (z_{11}, z_{21})$ and $I_{312} = (z_{11}, z_{12})$. Hence, we have confirmed $I_A = \bigcap\{I_w : w \in \text{Perm}(A)\}$. \diamond

2.4. Hilbert functions and multidegrees. Fix a \mathbb{Z}^d -grading on a finitely generated κ -algebra S , and fix a finitely generated, \mathbb{Z}^d -graded S -module M . For $\mathbf{t} = (t_1, \dots, t_d)$ and $\mathbf{a} = (a_1, \dots, a_d)$, let $\mathbf{t}^{\mathbf{a}} = t_1^{a_1} \cdots t_d^{a_d}$ and $\langle \mathbf{a}, \mathbf{t} \rangle = a_1 t_1 + \cdots + a_d t_d$. Let $M_{\mathbf{a}}$ denote the \mathbf{a}^{th} graded piece of M . If $\dim_{\kappa\text{-vect}}(M_{\mathbf{a}}) < \infty$ for all $\mathbf{a} \in \mathbb{Z}^d$, we define the **multigraded Hilbert series** of M , denoted $\text{Hilb}(M; \mathbf{t})$, as

$$\text{Hilb}(M; \mathbf{t}) = \sum_{\mathbf{a} \in \mathbb{Z}^d} \dim_{\kappa\text{-vect}}(M_{\mathbf{a}}) \cdot \mathbf{t}^{\mathbf{a}}.$$

Note that $\text{Hilb}(M; \mathbf{t})$ is an element of the formal Laurent series ring $\mathbb{Z}((t_1, \dots, t_d))$. We refer the reader to [MS04, Chapter 8] for general background on multigraded Hilbert series.

We will often want to consider Hilbert series of finitely generated modules over polynomial rings, especially polynomial rings equipped with term orders. We now restrict to that case. For the remainder of this section, let $S = \kappa[z_1, \dots, z_n]$, and assume that the degrees $\deg(z_i) = (a_1, \dots, a_d)$ of the algebra generators of S all lie in a single open half-space of \mathbb{Z}^d . This assumption guarantees that, for each finitely generated S -module M , $\dim_{\kappa\text{-vect}}(M_{\mathbf{a}}) < \infty$ for all $\mathbf{a} \in \mathbb{Z}^d$. Recall that if S is equipped with a term order σ and I is a homogeneous ideal of S , then $\text{Hilb}(S/I; \mathbf{t}) = \text{Hilb}(S/\text{in}_{\sigma}(I); \mathbf{t})$. If M is a finitely generated, \mathbb{Z}^d -graded S -module, then there is a unique polynomial $\mathcal{K}(M; \mathbf{t}) \in \mathbb{Z}[t_1, \dots, t_d]$ so that

$$\text{Hilb}(M; \mathbf{t}) = \frac{\mathcal{K}(M; \mathbf{t})}{\prod_{i=1}^n (1 - \mathbf{t}^{\deg(z_i)})}.$$

We call this polynomial $\mathcal{K}(M; \mathbf{t})$ the **K-polynomial** of M . The **multidegree** $\mathcal{C}(M; \mathbf{t})$ consists of the lowest degree terms of $\mathcal{K}(M; \mathbf{t})$. If P is a minimal prime of M , let $\text{mult}_P(M)$ denote the length of the finite-length S_P -module M_P . The map $M \mapsto \mathcal{C}(M; \mathbf{t})$ satisfies three key properties (see [KM05, Theorem 1.7.1]):

(i) **Additivity:** If P_1, \dots, P_m are the associated primes of M of minimal height, then

$$\mathcal{C}(M; \mathbf{t}) = \sum_{i=1}^m \text{mult}_{P_i}(M) \cdot \mathcal{C}(S/P_i; \mathbf{t}).$$

(ii) Degeneration: If there is a flat degeneration from $\text{Spec}(S/I)$ to $\text{Spec}(S/I')$, then

$$\mathcal{C}(S/I; \mathbf{t}) = \mathcal{C}(S/I'; \mathbf{t}).$$

(iii) Normalization: Given $D \subseteq [n]$,

$$\mathcal{C}(S/(z_i : i \in D); \mathbf{t}) = \prod_{i \in D} \langle \deg(z_i), \mathbf{t} \rangle.$$

Regarding Property (ii), we will be particularly interested the case of (partial or full) Gröbner degenerations.


If S is standard graded and I is a homogeneous ideal of height h , then, by degeneration and normalization, $\mathcal{C}(S/I; t) = e(S/I) \cdot t^h$ for some integer $e(S/I)$, which is called the **degree** of the projective variety $\text{Proj}(S/I)$ (or the *Hilbert–Samuel* multiplicity of S/I on the homogeneous maximal ideal). Property (i) generalizes the ordinary associativity formula $e(M) = \sum_{i=1}^m \text{mult}_{P_i}(M) \cdot e(S/P_i)$ of the Hilbert–Samuel multiplicity studied by Lech [Lec57].

3. BUMPLESS PIPE DREAMS AND TRANSITION EQUATIONS

3.1. Bumpless pipe dreams. A **bumpless pipe dream** (BPD) is a tiling of the $n \times n$ grid with the pictures in (\star) so that

- (1) there are n total pipes,
- (2) pipes start at the bottom edge of the grid and end at the right edge, and
- (3) pairwise, pipes cross at most one time.



Given a BPD \mathcal{B} , label its pipes $1, \dots, n$ from left to right according to their starting columns. We obtain a permutation $w_{\mathcal{B}}$ by defining $w_{\mathcal{B}}(i)$ to be the label of the pipe that terminates in row i . Let $\text{BPD}(w)$ be the set of BPDs of $w \in S_n$. The name “bumpless” indicates that these tilings do not use the **bumping tile** , which appears in the pipe dreams of [BB93].






The **diagram** of \mathcal{B} is the set

$$D(\mathcal{B}) = \{(i, j) : \text{there is a blank tile in row } i \text{ and column } j \text{ of } \mathcal{B}\}.$$

We associate to \mathcal{B} the weight

$$\text{wt}(\mathcal{B}) = \prod_{(i,j) \in D(\mathcal{B})} (x_i - y_j).$$

The **Rothe** BPD for w is the (unique) BPD that has  tiles in cell $(i, w(i))$ for all $i \in [n]$ and has no  tiles. Notice that, if \mathcal{B} is the Rothe BPD for w , then $D(w) = D(\mathcal{B})$.

Fix a BPD \mathcal{B} . Suppose the tile in cell (i, j) is a downward elbow . Take $(a, b) \in D(\mathcal{B})$ with $i < a$ and $j < b$. Suppose further that the only  or  tile in the region $[i, a] \times [j, b]$ occurs in cell (i, j) . Then we can take the pipe passing through (i, j) and bend it within the rectangle so that there are downward elbows  in cells (i, b) and (a, j) and an upward elbow  in cell (a, b) (see Example 3.7). This move is called a **droop move**. Applying a

droop move to $\mathcal{B} \in \text{BPD}(w)$ produces another element of $\text{BPD}(w)$. Furthermore, $\text{BPD}(w)$ is connected by such moves:

Proposition 3.1. [LLS21, Proposition 5.3] *Every $\mathcal{B} \in \text{BPD}(w)$ can be reached from the Rothe BPD for w by a sequence of droop moves.*

Corollary 3.2. *If (a, b) is a lower outside corner of $D(w)$ and $\mathcal{B} \in \text{BPD}(w)$, then the tile in cell (a, b) of \mathcal{B} is either blank or is an upward elbow $\begin{smallmatrix} \square \\ \square \end{smallmatrix}$.*

Schubert polynomials are traditionally defined using divided difference operators. The content of [LLS21, Theorem 5.13] is that those definitions are equivalent to the definitions we give here. The **double Schubert polynomial** of $w \in S_n$ is the sum

$$\mathfrak{S}_w(\mathbf{x}, \mathbf{y}) = \sum_{\mathcal{B} \in \text{BPD}(w)} \text{wt}(\mathcal{B}).$$

We will also at times consider the **single Schubert polynomial**

$$\mathfrak{S}_w(\mathbf{x}) = \sum_{\mathcal{B} \in \text{BPD}(w)} \text{wt}_{\mathbf{x}}(\mathcal{B}),$$

where

$$\text{wt}_{\mathbf{x}}(\mathcal{B}) = \prod_{(i,j) \in D(\mathcal{B})} x_i.$$

Notice $\mathfrak{S}_w(\mathbf{x}) = \mathfrak{S}_w(\mathbf{x}, \mathbf{0})$.

Write $\mathfrak{S}_w(\mathbf{1})$ for the principal specialization of $\mathfrak{S}_w(\mathbf{x})$, (i.e., the result of substituting $x_i \mapsto 1$ for all $i \in [n]$). From the BPD definition of $\mathfrak{S}_w(\mathbf{x})$ given above, it is immediate that $\mathfrak{S}_w(\mathbf{1}) = \#\text{BPD}(w)$.

For the remainder of this section, we take $R = \kappa[z_{1,1}, \dots, z_{n,n}]$. We will be interested in two gradings on R . The first is the \mathbb{Z}^n grading that assigns generators the degrees $\deg(z_{i,j}) = e_i$, the i^{th} standard basis vector. The second is the \mathbb{Z}^{2n} grading for which $\deg(z_{i,j}) = e_i + e_{n+j}$. Let $\mathbf{x} = (x_1, \dots, x_n)$ and $\mathbf{y} = (y_1, \dots, y_n)$. When writing Hilbert functions with respect to the \mathbb{Z}^n grading, we will have $\mathbf{t} = \mathbf{x}$ and, when with respect to the \mathbb{Z}^{2n} grading, $\mathbf{t} = (\mathbf{x}, \mathbf{y})$.

Theorem 3.3 ([FR03, KM05]). *If I_w is the Schubert determinantal ideal of $w \in S_n$, then, with respect to the \mathbb{Z}^n and \mathbb{Z}^{2n} gradings on R given above, $\mathcal{C}(R/I_w; \mathbf{x}) = \mathfrak{S}_w(\mathbf{x})$ and $\mathcal{C}(R/I_w; \mathbf{x}, \mathbf{y}) = \mathfrak{S}_w(\mathbf{x}, -\mathbf{y})$.*

3.2. Transition equations. Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Set $v = wt_{a, w^{-1}(b)}$. Observe that $D(w) = D(v) \cup \{(a, b)\}$ and, in particular, that $\ell(w) = \ell(v) + 1$.

Notation 3.4. Let

$$\phi(w, z_{a,b}) = \{i \in [a-1] : vt_{i,a} > v \text{ and } \ell(vt_{i,a}) = \ell(v) + 1 = \ell(w)\}.$$

Write $\Phi(w, z_{a,b}) = \{vt_{i,a} : i \in \phi(w, z_{a,b})\}$.

Theorem 3.5 ([KV97, Proposition 4.1]). *Keeping the above notation,*

$$\mathfrak{S}_w(\mathbf{x}, \mathbf{y}) = (x_a - y_b) \cdot \mathfrak{S}_v(\mathbf{x}, \mathbf{y}) + \sum_{u \in \Phi(w, z_{a,b})} \mathfrak{S}_u(\mathbf{x}, \mathbf{y}).$$

If one takes the BPD formula of [LLS21] as a definition for Schubert polynomials, Theorem 3.5 may be proved by appealing to the combinatorics of BPDs. Indeed, there is a bijective explanation¹. Alternatively, one may define Schubert polynomials using transition equations and then recover the BPD formula as a consequence. The following combinatorial lemma shows the two definitions are equivalent.

Lemma 3.6. *Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Set $v = wt_{a, w^{-1}(b)}$. There is a bijection*


$$\psi : \text{BPD}(w) \rightarrow \text{BPD}(v) \cup \bigcup_{u \in \Phi(w, z_{a,b})} \text{BPD}(u)$$

that respects the diagrams of the bumpless pipe dreams. Specifically, if $\psi(\mathcal{B}) \in \text{BPD}(v)$, then $D(\mathcal{B}) = D(\psi(\mathcal{B})) \cup \{(a, b)\}$. Otherwise, $D(\mathcal{B}) = D(\psi(\mathcal{B}))$.

Proof. The Rothe BPD for v is obtained from the Rothe BPD for w by exchanging the rows in which pipe b and pipe $w(a)$ exit. Because $\text{BPD}(w)$ is connected by droop moves, tiles strictly below the maximally southeast cells of $D(w)$ are the same in every element of $\text{BPD}(w)$. Thus, we can make the same modification of pipes b and $w(a)$ in every BPD for w . By Corollary 3.2, we have two cases.

Case 1: $\mathcal{B} \in \text{BPD}(w)$ has a blank tile in cell (a, b) .

In this case, exchanging the rows in which pipes b and $w(a)$ exit produces an element of $\text{BPD}(v)$. Define $\psi(\mathcal{B})$ to be this element. It is clear from construction that $D(\mathcal{B}) = D(\psi(\mathcal{B})) \cup \{(a, b)\}$.

Case 2: $\mathcal{B} \in \text{BPD}(w)$ has a  tile in cell (a, b) .

Since (a, b) is an upward elbow tile, exchanging the exit rows of pipes b and $w(a)$ introduces a bumping tile. Define $\psi(\mathcal{B})$ to be the BPD obtained by replacing this bumping tile with a crossing tile. By construction, $D(\mathcal{B}) = D(\psi(\mathcal{B}))$. Furthermore, we claim that $\psi(\mathcal{B})$ is an element of $\bigcup \{\text{BPD}(u) : u \in \Phi(w, z_{a,b})\}$.

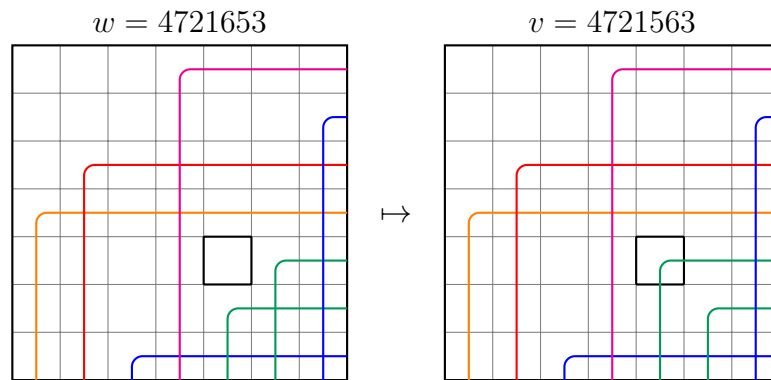
That this map is well defined and bijective we leave as an exercise to the reader. The proof is nearly identical to the arguments in [Wei21, Section 5]². \square

Example 3.7. Let $w = 4721653$. Fix the lower outside corner $(a, b) = (5, 5)$ of $D(w)$. The Rothe BPD of w is pictured below with cell $(5, 5)$ outlined. Pictorially, we obtain the Rothe BPD for $v = wt_{5,6} = 4721563$ by exchanging the rows in the Rothe BPD for w in which

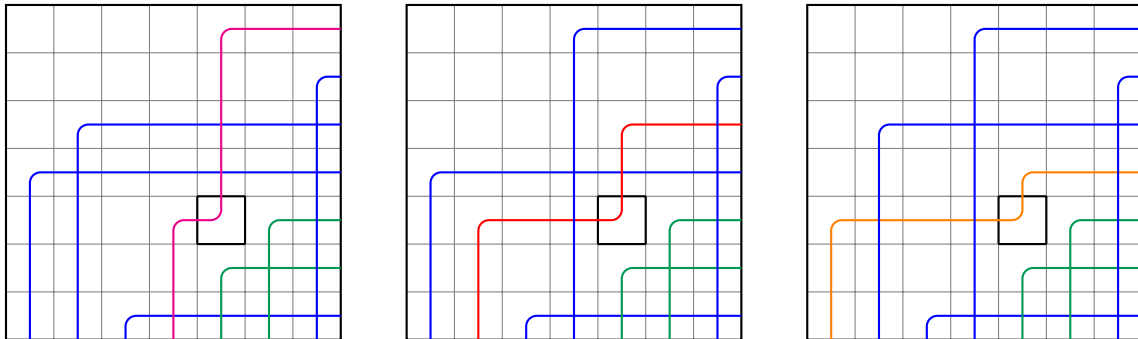
¹See also [KY04] for a diagrammatic interpretation of transition.

²The statement in [Wei21, Section 5] is K-theoretic; the cohomological version follows as a special case.

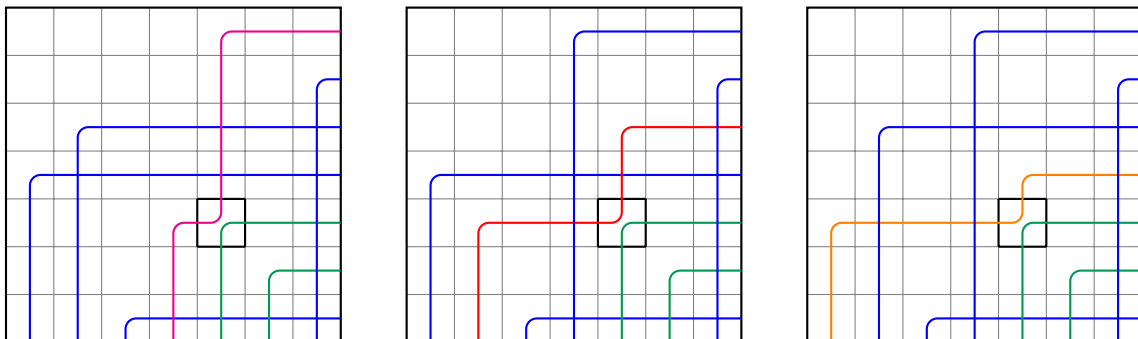
pipe $b = 5$ and pipe $w(a) = 6$ exit. See the green pipes below.



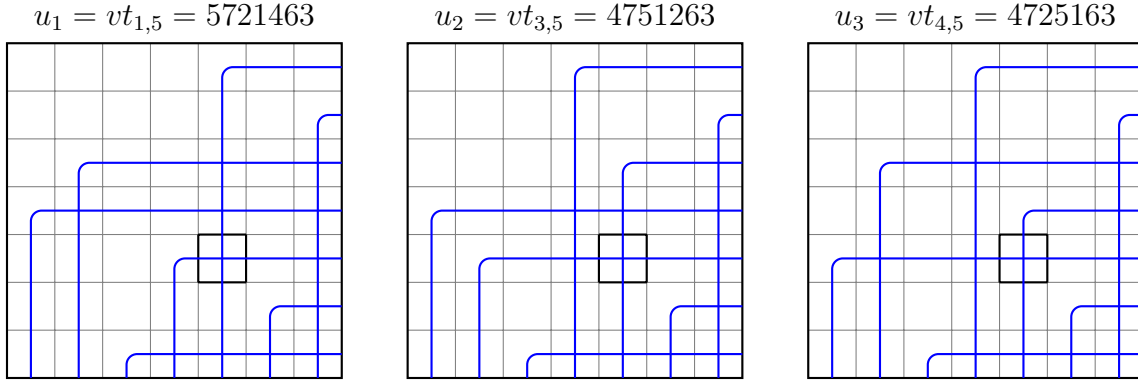
There are three pipes in the Rothe pipe dream for w that are able to droop into $(5, 5)$: the magenta, red, and orange pipes. Each of these droops is pictured below.



Taking these BPDs, again switch the rows in which the 5th and 6th pipes exit. This produces diagrams that are *not* BPDs, as they each have a bumping tile in cell $(5, 5)$.



We resolve this issue by replacing each bumping tile with a crossing tile. This produces the Rothe BPDs for each permutation in $\Phi(w, z_{55}) = \{5721463, 4751263, 4725163\}$.



Thus,

$$\mathfrak{S}_w(\mathbf{x}, \mathbf{y}) = (x_5 - y_5) \cdot \mathfrak{S}_v(\mathbf{x}, \mathbf{y}) + \mathfrak{S}_{u_1}(\mathbf{x}, \mathbf{y}) + \mathfrak{S}_{u_2}(\mathbf{x}, \mathbf{y}) + \mathfrak{S}_{u_3}(\mathbf{x}, \mathbf{y}),$$

where $u_1 = 5721463$, $u_2 = 4751263$, and $u_3 = 4725163$. ◇

In Section 6, we give a proof of Theorem 3.5 based on a geometric recurrence we develop in Sections 4 and 5.

4. BUMPLESS PIPE DREAMS AND GEOMETRIC VERTEX DECOMPOSITION

Geometric vertex decomposition, which was introduced by Knutson, Miller, and Yong [KMY09] in the study of vexillary matrix Schubert varieties, will be one of our main tools for understanding Schubert determinantal ideals in the context of BPDs. We will use it to obtain an algebro-geometric recurrence that mirrors the combinatorial recurrences we have seen in BPDs and transition. Throughout this section, we will take $R = \kappa[z_{1,1}, \dots, z_{n,n}]$ and assume that all ideals are ideals of R unless otherwise stated.

Fix one of the algebra generators $z_{a,b}$ of R , and set $y = z_{a,b}$. For a polynomial $f = \sum_{i=0}^m \alpha_i y^i \in R$ with each $\alpha_i \in \kappa[z_{1,1}, \dots, \widehat{y}, \dots, z_{n,n}]$ and $\alpha_m \neq 0$, define the **initial y -form of f** to be $\text{in}_y(f) = \alpha_m y^m$. Given an ideal I , define $\text{in}_y(I)$ to be the ideal generated by the initial y -forms of I ; that is, $\text{in}_y(I) = (\text{in}_y(f) : f \in I)$. We say that a term order σ on R is **y -compatible** if it satisfies $\text{in}_\sigma(f) = \text{in}_\sigma(\text{in}_y(f))$ for every $f \in R$. Notice that whenever a term order σ is y -compatible, $\text{in}_\sigma(I) = \text{in}_\sigma(\text{in}_y(I))$. Important examples of y -compatible term orders are lexicographic term orders in which y is the largest variable.

Definition 4.1. [KMY09, Section 2.1] Suppose that I is an ideal of a polynomial ring that is equipped with a y -compatible term order σ and that I has a Gröbner basis of the form $\mathcal{G} = \{yq_1 + r_1, \dots, yq_k + r_k, h_1, \dots, h_\ell\}$ where y does not divide any q_i , r_i , or h_i . We define $C_{y,I} = (q_1, \dots, q_k, h_1, \dots, h_\ell)$ and $N_{y,I} = (h_1, \dots, h_\ell)$. Then $\text{in}_y(I) = C_{y,I} \cap (N_{y,I} + (y))$, and this decomposition is called a **geometric vertex decomposition of I with respect to y** .

Throughout this paper, for an ideal I and variable y , we will only use the notation $C_{y,I}$ and $N_{y,I}$ to denote the ideals constructed as in Definition 4.1.

It follows from [KMY09, Theorem 2.1] that the ideals $C_{y,I}$ and $N_{y,I}$ do not depend on the choice of y -compatible term order and can be computed from any Gröbner basis for any such term order (rather than requiring knowledge of the reduced Gröbner basis). If $y \in I$,

then $C_{y,I} = R$ and $N_{y,I} + (y) = I$. We will be performing geometric vertex decompositions only when $\text{Spec}(R/I)$ is reduced and equidimensional. In this case and when $y \notin I$, then, by [KR21, Proposition 2.4], either $N_{y,I} = C_{y,I}$, in which case I has a generating set that does not involve y , or, by [KR21, Lemma 2.8], $\text{Spec}(R/C_{y,I})$ is also equidimensional, and

$$\dim(\text{Spec}(R/I)) = \dim(\text{Spec}(R/C_{y,I})) = \dim(\text{Spec}(R/N_{y,I})) - 1.$$

For more information on geometric vertex decomposition, we refer the reader to [KMY09, KR21].

When an ideal I has a generating set of the form $\{yq_1 + r_1, \dots, yq_k + r_k, h_1, \dots, h_\ell\}$ where y does not divide any q_i , r_i , or h_i , we will say that I is **linear in y** . Note that, if I is linear in y , then the reduced Gröbner basis \mathcal{G} of I with respect to any y -compatible term order σ will satisfy $\text{in}_\sigma(g) \notin (y^2)$ for all $g \in \mathcal{G}$. Hence, to perform a geometric vertex decomposition of an ideal I with respect to y , it suffices to know that I is linear in y .

We begin with a lemma that we will use to understand the geometric vertex decomposition of the Schubert determinantal ideal I_w at a lower outside corner of $D(w)$.

Lemma 4.2. *Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Write the Fulton generators of I_w as $\{z_{a,b}q_1 + r_1, \dots, z_{a,b}q_k + r_k, h_1, \dots, h_\ell\}$, where $z_{a,b}$ does not divide any q_i , r_i , or h_j . If $N = (h_1, \dots, h_\ell)$, then N is the Schubert determinantal ideal I_v for $v = wt_{a,w^{-1}(b)}$. The Fulton generators of I_v form a subset of $\{h_1, \dots, h_\ell\}$.*

Proof. We first claim that $N \subseteq I_v$. We will show that each h_j is a natural generator of I_v . Because h_j is a Fulton generator for I_w , we know $h_j \in I_{\text{rk}_w(c,d)+1}(Z_{[c],[d]})$ for some $(c, d) \in \text{Ess}(w)$. If $(c, d) = (a, b)$, since h_j does not involve the variable $z_{a,b}$, we must have $h_j \in I_{\text{rk}_w(c,d)+1}(Z_{[c-1],[d]})$ or $h_j \in I_{\text{rk}_w(c,d)+1}(Z_{[c],[d-1]})$.

Because $wt_{a,w^{-1}(b)} = v$ and $w > v$, we have

$$\text{rk}_v(c, d) - \text{rk}_w(c, d) = \begin{cases} 1 & \text{if } a \leq c < w^{-1}(b) \text{ and } b \leq d < w(a), \\ 0 & \text{otherwise.} \end{cases}$$

Since $(c, d) \in D(w)$, we have $\text{rk}_w(c, d) = \text{rk}_w(c-1, d) = \text{rk}_w(c, d-1)$ (see, e.g., [Wei21, Lemmas 3.3 and 3.5]). Thus, $\text{rk}_w(c, d) = \text{rk}_v(c-1, d) = \text{rk}_v(c, d-1)$. Therefore,

$$h_j \in I_{\text{rk}_w(c,d)+1}(Z_{[c-1],[d]}) = I_{\text{rk}_v(c-1,d)+1}(Z_{[c-1],[d]})$$

or

$$h_j \in I_{\text{rk}_w(c,d)+1}(Z_{[c],[d-1]}) = I_{\text{rk}_v(c,d-1)+1}(Z_{[c],[d-1]}).$$

In both cases, h_j is a natural generator of I_v .

Now suppose $(c, d) \neq (a, b)$. In this case, $\text{rk}_w(c, d) = \text{rk}_v(c, d)$, and so h_j is a natural generator of I_v . Since each h_j is a natural generator of I_v , we conclude $N \subseteq I_v$.

We next claim that each Fulton generator for I_v is an element of the set $\{h_1, \dots, h_\ell\}$, from which it follows that $I_v \subseteq N$. Because $D(v) = D(w) - \{(a, b)\}$, we have

$$\text{Ess}(v) \subseteq (\text{Ess}(w) - \{(a, b)\}) \cup \{(a-1, b), (a, b-1)\}.$$

Take a Fulton generator $h \in I_{\text{rk}_v(c,d)+1}(Z_{[c],[d]})$ for some $(c, d) \in \text{Ess}(v)$. If $(c, d) \in \text{Ess}(w) - \{(a, b)\}$, then, because $\text{rk}_v(c, d) = \text{rk}_w(c, d)$, h is a Fulton generator of I_w . Otherwise, $(c, d) \in \{(a-1, b), (a, b-1)\}$. In that case, $\text{rk}_v(c, d) = \text{rk}_w(c, d) = \text{rk}_w(a, b)$, which implies that h is a Fulton generator of I_w that is among the natural generators of $I_{\text{rk}_w(a,b)+1}(Z_{[a],[b]})$.

In both cases, h is a Fulton generator of I_w that does not involve the variable $z_{a,b}$, and so $h \in \{h_1, \dots, h_\ell\}$. Hence, $I_v \subseteq N$.

Thus, we conclude $I_v = N$. \square

There is one uncommon family of term orders that will be of use to us throughout the remainder of this paper. We now describe and name those term orders for later repeated use.

Notation 4.3 (The term orders $\tau_{a,b}$). Consider the order on the variables appearing in the $n \times n$ matrix $Z = (z_{i,j})$ starting from the northeast corner of Z , then reading left across the top row, and then right to left along the second row, and so on. We will call this right-to-left reading order. Note that the lexicographic term order on right-to-left reading order is an anti-diagonal term order. Throughout this paper, we will use $\tau_{a,b}$ to denote the lexicographic order in which $z_{a,b}$ is the largest variable and the remaining variables appearing in Z are ordered in right-to-left reading order (skipping over $z_{a,b}$ as it would appear later in right-to-left reading order).

Example 4.4. Let $w = 1243$. Then

$$I_w = \left(\begin{vmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \end{vmatrix} \right).$$

The cell $(3, 3)$ is a lower outside corner of $D(w)$. The term order $\tau_{3,3}$ is the lexicographic order with $z_{33} > z_{14} > z_{13} > z_{12} > z_{11} > z_{24} > z_{23} > z_{22} > z_{21} > z_{34} > z_{32} > z_{31} > z_{44} > z_{43} > z_{42} > z_{41}$. In particular,

$$\text{in}_{\tau_{a,b}} \begin{vmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \end{vmatrix} = z_{33} z_{12} z_{21}. \quad \diamond$$

Proposition 4.5. Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. The Fulton generators of I_w form a Gröbner basis with respect to $\tau_{a,b}$ (as in Notation 4.3).

Proof. Write $I_w = (z_{a,b}q_1 + r_1, \dots, z_{a,b}q_k + r_k, h_1, \dots, h_\ell)$, where $z_{a,b}$ does not divide any term of any of the q_i, r_i , or h_i and the given generators are the Fulton generators. If $\text{rk}_w(a, b) = 0$, then $z_{a,b} \in I_w$, and the result is obvious as the Fulton generators form an anti-diagonal Gröbner basis [KM05, Theorem B]. When $\text{rk}_w(a, b) \geq 1$, we will use [KR21, Corollary 4.13].

Set $C = (q_1, \dots, q_k, h_1, \dots, h_\ell)$ and $N = (h_1, \dots, h_\ell)$. (Recall from Definition 4.1 that, once we have shown that the generators named above for I_w form a Gröbner basis under a $z_{a,b}$ -compatible term order, then we will have shown $C = C_{z_{a,b}, I_w}$ and $N = N_{z_{a,b}, I_w}$. We have named C and N in anticipation of this result.)

By Lemma 4.2, N is the Schubert determinantal ideal of the permutation $v = wt_{a,w^{-1}(b)}$, and the given generators of $N = I_v$ contain the Fulton generators. Because N does not involve $z_{a,b}$, $\tau_{a,b}$ restricts to the lexicographic term order on right-to-left reading order on the variables involved in some Fulton generator of N . Because the lexicographic term order on right-to-left reading order is an anti-diagonal order, the Fulton generators of N form a Gröbner basis under $\tau_{a,b}$ [KM05, Theorem B]; hence, so too does the set $\{h_1, \dots, h_\ell\}$.

Let π be the bigrassmannian permutation whose unique essential cell is $(a-1, b-1)$ with rank condition $\text{rk}_\pi(a-1, b-1) = \text{rk}_w(a, b) - 1$. Let H denote the subset of $\{h_1, \dots, h_\ell\}$ consisting of the h_i that are also Fulton generators of I_π . Then $\{q_1, \dots, q_k\} \cup H$ is the set of Fulton generators of I_π , which form an anti-diagonal Gröbner basis. Now $C = I_\pi + I_v = I_{\pi \vee v}$ by Lemma 2.6(ii). In particular, the generating set $\{q_1, \dots, q_k, h_1, \dots, h_\ell\}$ for C is the concatenation of a Gröbner basis for I_v and a Gröbner basis for I_π under $\tau_{a,b}$. Since C , I_v , and I_π all have generating sets that do not involve $z_{a,b}$, we may apply Lemma 2.6(i), which tells us $\text{in}_{\tau_{a,b}}(C) = \text{in}_{\tau_{a,b}}(I_v) + \text{in}_{\tau_{a,b}}(I_\pi)$. Thus, $\{q_1, \dots, q_k, h_1, \dots, h_\ell\}$ is a Gröbner basis for C under $\tau_{a,b}$.

Because $\ell(v) = \ell(w) - 1$, the height of N is one less than the height of I_w . Because N is a Schubert determinantal ideal, it is prime and so, in particular, height unmixed, (i.e., all associated primes of N have the same height). Because C properly contains the prime ideal N , the height of C is strictly greater than the height of N . Because $\tau_{a,b}$ is a lexicographic order with $z_{a,b}$ the largest variable, $\text{in}_{\tau_{a,b}}(z_{a,b}q_i + r_i) = z_{a,b} \cdot \text{in}_{\tau_{a,b}}(q_i)$ for all $i \in [k]$.

Finally, we claim that $q_i r_j - q_j r_i \in N$ for all $i, j \in [k] \times [k]$. Fix a diagonal term order σ with $z_{a,b}$ largest among variables appearing in at least one Fulton generator of I_w . Consider the ideal $J = I_{\text{rk}_w(a,b)+1}(Z_{[a],[b]})$, for which the natural generators form a diagonal Gröbner basis. Then

$$q_i r_j - q_j r_i = (z_{a,b}q_j + r_j)q_i - (z_{a,b}q_i + r_i)q_j \in J.$$

Because $q_i r_j - q_j r_i$ does not involve $z_{a,b}$, which is the lexicographically largest variable, it must have remainder 0 on division by the generators of J that do not involve $z_{a,b}$, each of which is one of the natural generators of N . Hence, $q_i r_j - q_j r_i \in N$ for all $i, j \in [k] \times [k]$, and so the result follows from [KR21, Corollary 4.13]. \square

In the proof of Proposition 4.5, if one is working in characteristic 0, one may alternatively use the Frobenius splitting described in [Knu09, Section 7.2] to show that the given generators of C form a Gröbner basis under $\tau_{a,b}$.

With notation and assumptions as in Proposition 4.5, we are now entitled to write $C = C_{z_{a,b}, I_w}$ and $N = N_{z_{a,b}, I_w} = I_v$. For later convenience, we record as a corollary the relationship between $C_{z_{a,b}, I_w}$, I_v , and I_π discussed in the proof of Proposition 4.5.

Corollary 4.6. *Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Assume $\text{rk}_w(a, b) \geq 1$. Write $v = wt_{a, w^{-1}(b)}$, and let π be the bigrassmannian permutation so that $\text{Ess}(\pi) = \{(a-1, b-1)\}$ and $\text{rk}_\pi(a-1, b-1) = \text{rk}_w(a, b) - 1$. Then $C_{z_{a,b}, I_w} = I_v + I_\pi = I_{v \vee \pi}$ and $\text{in}_{z_{a,b}}(I_w) = I_{v \vee \pi} \cap (I_v + (z_{a,b}))$.*

We now give a combinatorial lemma that allows us to use Corollary 4.6 to identify the associated primes of $C_{z_{a,b}, I_w}$ if $w \in S_n$, (a, b) is a lower outside corner of $D(w)$, and $\text{rk}_w(a, b) \geq 1$.

Proposition 4.7. *Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Assume $\text{rk}_w(a, b) \geq 1$. Set $v = wt_{a, w^{-1}(b)}$, and let π be the bigrassmannian permutation so that $\text{Ess}(\pi) = \{(a-1, b-1)\}$ and $\text{rk}_\pi(a-1, b-1) = \text{rk}_w(a, b) - 1$. Then $\text{Perm}(v \vee \pi) = \Phi(w, z_{a,b})$ and $\text{ht}(v \vee \pi) = \ell(w)$.*

Proof. For convenience, write $A = v \vee \pi$. Write $\text{in}_{z_{a,b}}(I_w) = C_{z_{a,b}, I_w} \cap (N_{y, I_w} + (z_{a,b}))$. By [KR21, Lemma 2.8], $\text{Spec}(R/C_{z_{a,b}, I_w})$ is equidimensional, and $\text{ht}C_{z_{a,b}, I_w} = \text{ht}I_w$. By

Corollary 4.6, $C_{z_{a,b}, I_w} = I_\pi + I_v$, and, by Lemma 2.6 (ii), $I_\pi + I_v = I_{\pi \vee v} = I_A$. Hence, by Lemma 2.6(v) and (vi), $\text{ht}(A) = \text{ht} C_{z_{a,b}, I_w} = \text{ht} I_w = \ell(w)$, and A is equidimensional.

We now claim that $\Phi(w, z_{a,b}) \subseteq \text{Perm}(A)$. Fix some $w' \in \Phi(w, z_{a,b})$. By definition of $\Phi(w, z_{a,b})$, $\ell(w') = \ell(w)$. It follows that, if $w' \geq A$, then $w' \in \text{Perm}(A)$. Also by definition of $\Phi(w, z_{a,b})$, $w' > v$. By construction,

$$\text{rk}_{w'}(a-1, b-1) = \text{rk}_v(a-1, b-1) - 1 = \text{rk}_\pi(a-1, b-1).$$

Thus, by Lemma 2.1, $w' \geq \pi$. Since $w' \geq \pi, v$, we know $w' \geq A = u \vee v$, and so $w' \in \text{Perm}(A)$.

Conversely, fix $\tilde{w} \in \text{Perm}(A)$. We seek to show $\tilde{w} \in \Phi(w, z_{a,b})$. Because A is equidimensional, all elements of $\text{Perm}(A)$ are of length $\ell(w)$. Since $\tilde{w} \geq A > v$, it is enough to consider covers of v ; i.e., we may assume $\tilde{w} = vt_{i,j}$ for some $1 \leq i < j \leq n$ such that $v(i) < v(j)$ and there does not exist k so that $i < k < j$ and $v(i) < v(k) < v(j)$ [BB05, Lemma 2.1.4]. By Lemma 2.1,

$$\text{rk}_{\tilde{w}}(a-1, b-1) \leq \text{rk}_\pi(a-1, b-1) = \text{rk}_v(a-1, b-1) - 1.$$

Because $\text{rk}_{\tilde{w}}(a-1, b-1) < \text{rk}_v(a-1, b-1)$, we must have $i \leq a-1$ and $v(i) \leq b-1$ as well as $j \geq a$ and $v(j) \geq b$. Suppose that $j > a$. Because $v(a) = b$ and $v(j) \geq b$, it must also be that $v(j) > b$. But then $i < a < j$ and $v(i) < v(a) = b < v(j)$, contradicting the assumption that \tilde{w} is a cover of v . Hence, $j = a$.

Thus, by definition of $\phi(w, z_{a,b})$, we have $i \in \phi(w, z_{a,b})$, and so $\tilde{w} \in \Phi(w, z_{a,b})$. \square

Example 4.8. Let $w = 4721653$ as in Example 3.7. Consider the lower outside corner $(5, 5)$ of $D(w)$. Since $\text{rk}_w(5, 5) = 3$, we set $\pi \in S_7$ to be the bigrassmannian permutation with $\text{Ess}(\pi) = \{(4, 4)\}$ and $\text{rk}_\pi(4, 4) = 2$, i.e., $u = 1256347$. As before, set $v = 4721563 = wt_{5,6}$. Thus, we have

$$v \vee \pi = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

We leave it as an exercise to verify directly that $\text{Perm}(v \vee \pi) = \Phi(w, z_{5,5})$. \diamond

In light of Proposition 4.7, we may interpret the transition equations using ASMs:

Corollary 4.9. Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Assume $\text{rk}_w(a, b) \geq 1$, and let u be the bigrassmannian permutation so that $\text{Ess}(\pi) = \{(a-1, b-1)\}$ and $\text{rk}_\pi(a-1, b-1) = \text{rk}_w(a, b) - 1$. Let $v = wt_{a, w^{-1}(b)}$. Then

$$\mathfrak{S}_w(\mathbf{x}, \mathbf{y}) = (x_a - y_b) \cdot \mathfrak{S}_v(\mathbf{x}, \mathbf{y}) + \sum_{u \in \text{Perm}(v \vee \pi)} \mathfrak{S}_u(\mathbf{x}, \mathbf{y}).$$

Proposition 4.10. Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. Write $\text{in}_{z_{a,b}}(I_w) = C_{z_{a,b}, I_w} \cap (N_{z_{a,b}, I_w} + (z_{a,b}))$ for the geometric vertex decomposition of I_w at $z_{a,b}$. Then

$$C_{z_{a,b}, I_w} = \bigcap_{u \in \Phi(w, z_{a,b})} I_u,$$

and $N_{z_{a,b}, I_w} = I_v$ where $v = wt_{a, w^{-1}(b)}$.

Proof. Because the geometric vertex decomposition does not depend on the choice of $z_{a,b}$ -compatible term order, we may assume, for the purposes of computing $C_{z_{a,b}, I_w}$ and $N_{z_{a,b}, I_w}$, that R is equipped with the term order $\tau_{a,b}$ (as in Notation 4.3). Then, by Proposition 4.5, the Fulton generators, which have the form $\{z_{a,b}q_1 + r_1, \dots, z_{a,b}q_k + r_k, h_1, \dots, h_\ell\}$ where $z_{a,b}$ does not divide any q_i, r_i , or h_i , form a Gröbner basis for I_w under $\tau_{a,b}$. Hence, $C_{z_{a,b}, I_w} = (q_1, \dots, q_k, h_1, \dots, h_\ell)$ and $N_{z_{a,b}, I_w} = (h_1, \dots, h_\ell)$. Then, by Lemma 4.2, $N_{z_{a,b}, I_w} = I_v$ for $v = wt_{a, w^{-1}(b)}$.

We now break our argument into cases. First suppose $\text{rk}_w(a, b) = 0$. Then $\Phi(w, z_{a,b}) = \emptyset$, and so $\bigcap \{I_u : u \in \Phi(w, z_{a,b})\}$ is the empty intersection of ideals, which is R . Also, if $\text{rk}_w(a, b) = 0$, then $z_{a,b}$ is a Fulton generator of I_w , and so $1 = q_i$ for some $i \in [k]$, which is to say that $C_{z_{a,b}, I_w} = R$, as well.

Alternatively, suppose $\text{rk}_w(a, b) \geq 1$. Let π be the bigrassmannian permutation with $\text{Ess}(\pi) = \{(a-1, b-1)\}$ and $\text{rk}_\pi(a-1, b-1) = \text{rk}_w(a, b) - 1$. By Corollary 4.6, $I_{v \vee \pi} = C_{z_{a,b}, I_w}$. We know from Proposition 4.7 that $\text{Perm}(v \vee \pi) = \Phi(w, z_{a,b})$. Thus, by Lemma 2.6(iv),

$$C_{z_{a,b}, I_w} = \bigcap_{u \in \Phi(w, z_{a,b})} I_u. \quad \square$$

We give an example to illustrate Propositions 4.5 and 4.10 and to see the recursion on Schubert determinantal ideals they give rise to in terms of the recursion on BPDs of Lemma 3.6.

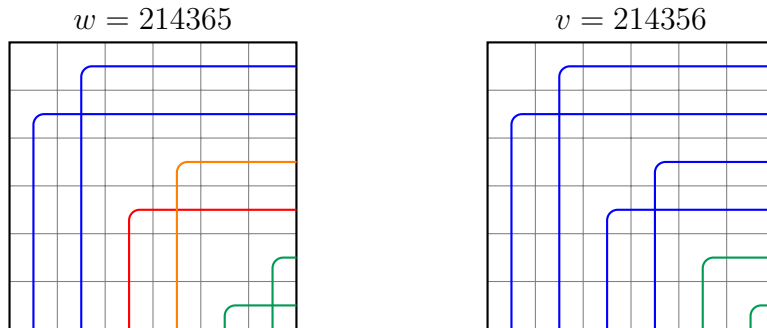
Example 4.11. Set $w = 214365$ and $(a, b) = (5, 5)$. Then

$$I_w = \left(z_{11}, \begin{vmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \end{vmatrix}, \begin{vmatrix} z_{11} & z_{12} & z_{13} & z_{14} & z_{15} \\ z_{21} & z_{22} & z_{23} & z_{24} & z_{25} \\ z_{31} & z_{32} & z_{33} & z_{34} & z_{35} \\ z_{41} & z_{42} & z_{43} & z_{44} & z_{45} \\ z_{51} & z_{52} & z_{53} & z_{54} & z_{55} \end{vmatrix} \right).$$

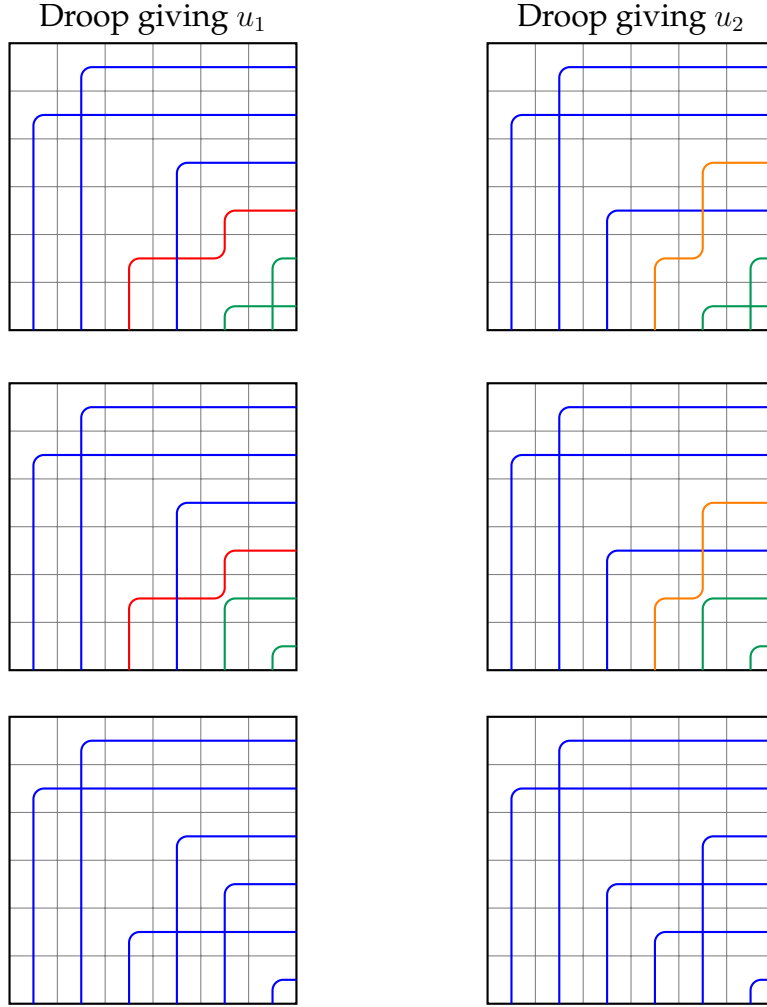
Using Proposition 4.5,

$$C_{y, I_w} = \left(z_{11}, \begin{vmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \end{vmatrix}, \begin{vmatrix} z_{11} & z_{12} & z_{13} & z_{14} \\ z_{21} & z_{22} & z_{23} & z_{24} \\ z_{31} & z_{32} & z_{33} & z_{34} \\ z_{41} & z_{42} & z_{43} & z_{44} \end{vmatrix} \right) \text{ and } N_{y, I_w} = \left(z_{11}, \begin{vmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \end{vmatrix} \right).$$

The Rothe BPDs of w and $v = wt_{5,6} = 214356$ are below, with the green pipes noting those whose exiting rows are exchanged, as described in Lemma 3.6.



Drooping the red pipe of the Rothe BPD of w into $(5, 5)$ gives $u_1 = 214536$ and drooping the orange pipe produces $u_2 = 215346$, as pictured below.



We can determine the Fulton generators of the I_{u_i} from the corresponding drooped BPDs of w , pictured above, and verify the equality $C_{z_{55}, I_w} = I_{u_1} \cap I_{u_2}$. Here $\Phi(w, z_{55}) = \{u_1, u_2\}$, and

$$C_{y, I_w} = I_{u_1} \cap I_{u_2} = \left((z_{11}) + I_3 \begin{pmatrix} z_{11} & z_{12} & z_{13} \\ z_{21} & z_{22} & z_{23} \\ z_{31} & z_{32} & z_{33} \\ z_{41} & z_{42} & z_{43} \end{pmatrix} \right) \cap \left((z_{11}) + I_3 \begin{pmatrix} z_{11} & z_{12} & z_{13} & z_{14} \\ z_{21} & z_{22} & z_{23} & z_{24} \\ z_{31} & z_{32} & z_{33} & z_{34} \end{pmatrix} \right).$$

In terms of the transition equations of Theorem 3.5 (and Corollary 4.9), we have

$$\mathfrak{S}_w(\mathbf{x}, \mathbf{y}) = (x_5 - y_5) \cdot \mathfrak{S}_v(\mathbf{x}, \mathbf{y}) + \mathfrak{S}_{u_1}(\mathbf{x}, \mathbf{y}) + \mathfrak{S}_{u_2}(\mathbf{x}, \mathbf{y}). \quad \diamond$$

For $w \in S_n$ and (a, b) a lower outside corner of $D(w)$, we conclude this section with an explicit description of $\text{in}_{\tau_{a,b}}(I_w)$ in terms of pipe dreams (where $\tau_{a,b}$ is as in Notation 4.3). Recall that, for each pipe dream $\mathcal{D} \in \text{Pipes}(w)$, $C(\mathcal{D}) \subseteq [n] \times [n]$ denotes the set of crossing tiles of \mathcal{D} and that $I_{C(\mathcal{D})} = (z_{i,j} : (i, j) \in C(\mathcal{D}))$.

Proposition 4.12. Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. As before, let $v = wt_{a, w^{-1}(b)}$ and $\tau_{a, b}$ be the term order of Notation 4.3. Then

$$\text{in}_{\tau_{a, b}}(I_w) = \left(\bigcap_{u \in \Phi(w, z_{a, b})} \left(\bigcap_{\mathcal{D} \in \text{Pipes}(u)} I_{C(\mathcal{D})} \right) \right) \cap \left(\bigcap_{\mathcal{D} \in \text{Pipes}(v)} (I_{C(\mathcal{D})} + (z_{a, b})) \right).$$

Proof. Let σ be any anti-diagonal term order on R . First assume $\text{rk}_w(a, b) = 0$, in which case $z_{a, b} \in I_w$. Then $\text{in}_{\tau_{a, b}}(I_w) = \text{in}_{\tau_{a, b}}(I_v + (z_{a, b})) = I_v + (z_{a, b})$ because $z_{a, b}$ does not divide any term of any Fulton generator of I_v . Because $\tau_{a, b}$ is $z_{a, b}$ -compatible,

$$\text{in}_{\tau_{a, b}}(I_w) = \text{in}_{\tau_{a, b}}(\text{in}_{\tau_{a, b}}(I_w)) = \text{in}_{\tau_{a, b}}(I_v + (z_{a, b})) = \text{in}_{\tau_{a, b}}(I_v) + (z_{a, b}).$$

Hence

$$\text{in}_{\tau_{a, b}}(I_w) = \text{in}_{\tau_{a, b}}(I_v) + (z_{a, b}) = \text{in}_{\sigma}(I_v) + (z_{a, b}) = \bigcap_{\mathcal{D} \in \text{Pipes}(v)} (I_{C(\mathcal{D})} + (z_{a, b})).$$

Furthermore, $\Phi(w, z_{a, b}) = \emptyset$, and so we have the empty intersection of ideals

$$\bigcap_{u \in \Phi(w, z_{a, b})} \left(\bigcap_{\mathcal{D} \in \text{Pipes}(u)} I_{C(\mathcal{D})} \right),$$

which is (by convention) R . So the statement holds.

Now suppose $\text{rk}_w(a, b) \geq 1$. Let $A = v \vee \pi$, where π is the bigrassmannian permutation with essential cell $(a-1, b-1)$ and $\text{rk}_{\pi}(a-1, b-1) = \text{rk}_w(a, b) - 1$. Then by Corollary 4.6

$$\text{in}_{\tau_{a, b}}(I_w) = I_A \cap (I_v + (z_{a, b})).$$

If $\{z_{a, b}q_1 + r_1, \dots, z_{a, b}q_k + r_k, h_1, \dots, h_{\ell}\}$ are the Fulton generators of I_w , which form a Gröbner basis for I_w under the $z_{a, b}$ -compatible term order $\tau_{a, b}$ by Proposition 4.5, then, by [KMY09, Theorem 2.1(a)], $\{q_1, \dots, q_k, h_1, \dots, h_{\ell}\}$ is a Gröbner basis for $C_{z_{a, b}, I_w} = I_A$ (using Corollary 4.6), and $\{h_1, \dots, h_{\ell}\}$ is a Gröbner basis for $N_{z_{a, b}, I_w} = I_v$ (using Lemma 4.2). Hence,

$$\begin{aligned} \text{in}_{\tau_{a, b}}(I_w) &= (\text{in}_{\tau_{a, b}}(z_{a, b}q_1), \dots, \text{in}_{\tau_{a, b}}(z_{a, b}q_k), \text{in}_{\tau_{a, b}}(h_1), \dots, \text{in}_{\tau_{a, b}}(h_{\ell})) \\ &= (\text{in}_{\tau_{a, b}}(I_A) \cap (z_{a, b})) + \text{in}_{\tau_{a, b}}(I_v) \\ &= \text{in}_{\tau_{a, b}}(I_A) \cap (\text{in}_{\tau_{a, b}}(I_v) + (z_{a, b})), \end{aligned}$$

where the final equality holds because $\text{in}_{\tau_{a, b}}(I_v) \subseteq \text{in}_{\tau_{a, b}}(I_A)$.

Because both I_v and I_A have generating sets that do not involve $z_{a, b}$, $\text{in}_{\tau_{a, b}}(I_v) = \text{in}_{\sigma}(I_v)$ and $\text{in}_{\tau_{a, b}}(I_A) = \text{in}_{\sigma}(I_A)$. Putting these equalities together,

$$\begin{aligned} \text{in}_{\tau_{a, b}}(I_w) &= \text{in}_{\tau_{a, b}}(I_A) \cap \text{in}_{\tau_{a, b}}(I_v + (z_{a, b})) \\ &= \text{in}_{\sigma}(I_A) \cap \text{in}_{\sigma}((I_v) + (z_{a, b})) \\ &= \left(\bigcap_{u \in \Phi(w, z_{a, b})} \text{in}_{\sigma}(I_u) \right) \cap (\text{in}_{\sigma}(I_v) + (z_{a, b})) \quad (\text{by Lemma 2.6(i) and Proposition 4.7}). \end{aligned}$$

Thus, applying Theorem 2.4 yields

$$\mathrm{in}_{\tau_{a,b}}(I_w) = \left(\bigcap_{u \in \Phi(w, z_{a,b})} \left(\bigcap_{\mathcal{D} \in \mathrm{Pipes}(u)} I_{C(\mathcal{D})} \right) \right) \cap \bigcap_{\mathcal{D} \in \mathrm{Pipes}(v)} (I_{C(\mathcal{D})} + (z_{a,b})). \quad \square$$

5. THE MAIN RESULT

The main goal of this section is to prove Theorem 5.16. We also obtain, as a consequence of the proof of this theorem, the Cohen–Macaulayness of certain families of equidimensional unions of matrix Schubert varieties. As in previous sections, we will take $R = \kappa[z_{1,1}, \dots, z_{n,n}]$ and assume that all ideals are ideals of R unless otherwise stated.

5.1. Proof of main result. We will use $\min(I)$ to denote the set of minimal primes of an ideal I and $\ell(M)$ to denote the length of a finite length R -module M . When working over an algebraically closed field \mathcal{F} , $\ell(M)$ is the \mathcal{F} -vector space dimension of M . Suppose P is a minimal prime of I , in which case $\mathrm{Spec}(R/P)$ is an irreducible component of $\mathrm{Spec}(R/I)$. Recall that the multiplicity of $\mathrm{Spec}(R/P)$ along $\mathrm{Spec}(R/I)$ is defined to be the length $\ell(R_P/IR_P)$ (equivalently, $\ell((R/I)_P)$) and is denoted $\mathrm{mult}_P(R/I)$.

We will use geometric vertex decomposition to develop a recurrence on unions of matrix Schubert varieties that mirrors the recurrence on bumpless pipe dreams from Section 4. Our tool for tracking multiplicities will be multidegrees. Before beginning the proofs in this section, we give an example to illustrate the structure of the induction.

Example 5.1. Assume $w = 214365$ and $(a, b) = (5, 5)$ as in Example 4.11. Keeping notation from that example for u_1 , u_2 , and v , we have seen that the equality of ideals

$$\mathrm{in}_{z_{55}}(I_w) = (I_{u_1} \cap I_{u_2}) \cap (I_v + (z_{55}))$$

is reflected in a bijection of the BPDs of w with the union of the BPDs of u_1 , u_2 , and v .

Fix a z_{55} -compatible term order σ . The minimal primes of $\mathrm{in}_\sigma(I_w)$ that contain z_{55} are of the form $Q + (z_{55})$ for a minimal prime Q of $\mathrm{in}_\sigma(I_v)$, and the minimal primes of $\mathrm{in}_\sigma(I_w)$ that do not contain z_{55} are the minimal primes of $\mathrm{in}_\sigma(C_{z_{55}, I_w}) = \mathrm{in}_\sigma(I_{u_1} \cap I_{u_2})$, which are in turn the union of the minimal primes of $\mathrm{in}_\sigma(I_{u_1})$ and $\mathrm{in}_\sigma(I_{u_2})$. If $P = (z_{11}, z_{12}, z_{21})$, which is a minimal prime both of $\mathrm{in}_\sigma(I_{u_1})$ and of $\mathrm{in}_\sigma(I_{u_2})$, then $\mathrm{mult}_P(R/\mathrm{in}_\sigma(I_w)) = 2 = \mathrm{mult}_P(R/\mathrm{in}_\sigma(C_{z_{55}, I_w}))$. The multiplicity of every other irreducible component along $\mathrm{Spec}(R/\mathrm{in}_\sigma(I_w))$ is 1. \diamond

We begin by proving that the total number of minimal primes, counted with multiplicity, of the initial scheme of any equidimensional union of matrix Schubert varieties is equal to the total number of BPDs associated to that union. Recall that $e(R/J)$ denotes the degree of $\mathrm{Proj}(R/J)$, which is also the normalized leading coefficient of the Hilbert polynomial of R/J and the Hilbert–Samuel multiplicity of its localization at the homogeneous maximal ideal.

Lemma 5.2. *Let σ be any term order on R . Let $w_1, \dots, w_r \in S_n$ be distinct permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Let $\min(\mathrm{in}_\sigma(J)) = \{P_1, \dots, P_m\}$. Then*

$$e(R/J) = \sum_{i=1}^m \mathrm{mult}_{P_i}(R/\mathrm{in}_\sigma(J)) = \sum_{i=1}^r \#\mathrm{BPD}(w_i).$$

Proof. By Proposition 2.3, I_{w_i} is prime for all $i \in [r]$. Since $J = \bigcap \{I_{w_i} : i \in [r]\}$ is radical and $\text{ht} J = \text{ht} I_{w_i}$, we have $\text{mult}_{I_{w_i}}(R/J) = 1$ for all $i \in [r]$. Applying additivity of multidegrees and Theorem 3.3, we have $\mathcal{C}(R/J; \mathbf{x}) = \sum_{i=1}^r \mathcal{C}(R/I_{w_i}; \mathbf{x}) = \sum_{i=1}^r \mathfrak{S}_w(\mathbf{x})$. Specializing each $x_i \mapsto 1$ in $\mathcal{C}(R/J; \mathbf{x})$ yields $\sum_{i=1}^r \mathfrak{S}_{w_i}(\mathbf{1}) = \sum_{i=1}^r \# \text{BPD}(w_i)$.

On the other hand, because multidegrees respect degeneration,

$$\mathcal{C}(R/J; \mathbf{x}) = \mathcal{C}(R/\text{in}_\sigma(J); \mathbf{x}) = \sum_{i=1}^m \text{mult}_{P_i}(R/\text{in}_\sigma(J)) \cdot \mathcal{C}(R/P_i; \mathbf{x}).$$

(Note that $\text{ht} P_i = \text{ht} J$ for all i because Gröbner degenerations are flat.) Since each of the P_i is a monomial ideal, the multidegrees $\mathcal{C}(R/P_i; \mathbf{x})$ are monomials (by normalization). Thus, specializing $x_i \mapsto 1$ for all $i \in [n]$ in $\mathcal{C}(R/J; \mathbf{x})$ produces $\sum_{i=1}^m \text{mult}_{P_i}(R/\text{in}_\sigma(J))$.

Therefore, $\sum_{i=1}^m \text{mult}_{P_i}(R/\text{in}_\sigma(J)) = \sum_{i=1}^r \# \text{BPD}(w_i)$. Combined with the above, it is by definition of $e(R/J)$ that the equality $e(R/J) = \sum_{i=1}^m \text{mult}_{P_i}(R/\text{in}_\sigma(J))$ holds. \square

Next, we prepare to use geometric vertex decomposition. In order to do so, we will first need to know that the defining ideal of a union of matrix Schubert varieties is linear in the desired variable.

Lemma 5.3. *Fix $w_1, \dots, w_r \in S_n$, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. Then J is linear in $z_{a,b}$.*

Proof. We will proceed by induction on r . If $r = 1$, then J is linear in $z_{a,b}$ in virtue of the Fulton generators. For $r > 1$, suppose that $z_{a,b}$ is involved in the Fulton generators of the I_{w_i} for $i \in [q]$ for some $q \in [r]$ and that $z_{a,b}$ is not involved in the Fulton generators of I_{w_i} for $i \in [q+1, r]$. For $i \in [q]$, let π_i be the bigrassmannian permutation whose unique essential cell is (a, b) and whose rank condition at that cell is $\text{rk}_{\pi_i}(a, b) = \text{rk}_{w_i}(a, b)$. Let $v_i = w_i t_{a, w_i^{-1}(b)}$. Because $D(w_i) = D(v_i) \cup \{(a, b)\}$, we have $I_{w_i} = I_{v_i} + I_{\pi_i}$. Order the w_i so that $\text{rk}_{w_q}(a, b) = \max\{\text{rk}_{w_i}(a, b) : i \in [q]\}$, in which case $I_{\pi_q} \subseteq I_{w_i}$ for each $i \in [q]$. Set

$$K' = \bigcap_{i \in [q-1]} I_{w_i}$$

and

$$K = \bigcap_{i \in [q]} I_{w_i} = K' \cap I_{w_q} = K' \cap (I_{v_q} + I_{\pi_q}).$$

Because $I_{\pi_q} \subseteq K'$, we may apply the modular law to see

$$K' \cap (I_{v_q} + I_{\pi_q}) = I_{\pi_q} + (K' \cap I_{v_q}).$$

By induction, K' is linear in $z_{a,b}$. Let σ be any $z_{a,b}$ -compatible term order. Then the reduced Gröbner basis for K' with respect to σ is also linear in $z_{a,b}$. Note that I_{v_q} has a generating set that does not involve $z_{a,b}$, and so no product of generators of K' and I_{v_q} has any term divisible by $z_{a,b}^2$, which is to say that $K' I_{v_q}$ is linear in $z_{a,b}$. Because

$$\text{in}_\sigma(K' I_{v_q}) \subseteq \text{in}_\sigma(K' \cap I_{v_q}) \subseteq \sqrt{\text{in}_\sigma(K' I_{v_q})},$$

and $\text{in}_\sigma(K' I_{v_q})$ has no monomial generator divisible by $z_{a,b}$, neither can $\text{in}_\sigma(K' \cap I_{v_q})$. Hence, the reduced Gröbner basis for $K' \cap I_{v_q}$ is linear in $z_{a,b}$. By concatenating the reduced Gröbner basis for $K' \cap I_{v_q}$ with the Fulton generators of I_{π_q} , we obtain a generating set for K that is linear in $z_{a,b}$. Because $L = \bigcap \{I_{w_i} : i \in [q+1, r]\}$ does not involve $z_{a,b}$, a similar

argument to that given above for the intersection of K' and I_{w_q} shows that $J = K \cap L$ is linear in $z_{a,b}$. \square

For an intersection $J = \bigcap \{I_{w_i} : i \in [r]\}$ of Schubert determinantal ideals and a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$, we record a useful fact about the structure of $N_{z_{a,b}, J}$, which can either be proved directly, as done here, or by appealing to standard elimination theory.

Lemma 5.4. *Fix $w_1, \dots, w_r \in S_n$, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. Then $N_{z_{a,b}, J} = \bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\}$.*

Proof. Fix a $z_{a,b}$ -compatible term order σ and Gröbner basis \mathcal{G}_J of J with respect to σ . From Lemma 5.3, we have a geometric vertex decomposition $\text{in}_{z_{a,b}}(J) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J} + (z_{a,b}))$. Using [KMY09, Theorem 2.1(a)], the elements of \mathcal{G}_J that do not involve $z_{a,b}$ form a Gröbner basis \mathcal{G}_N for $N_{z_{a,b}, J}$ under σ . Each such polynomial is an element of each I_{w_i} that does not involve $z_{a,b}$. Because σ is $z_{a,b}$ -compatible, each such element has a remainder of 0 on division by $N_{z_{a,b}, I_{w_i}}$ for all $i \in [r]$. Thus, $N_{z_{a,b}, J} \subseteq \bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\}$. Conversely, because each $N_{z_{a,b}, I_{w_i}}$ has a set of generators that does not involve $z_{a,b}$, so too does $\bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\}$. Each such generator is an element of J that does not involve $z_{a,b}$ and so has a remainder of 0 on division by \mathcal{G}_N . Therefore, $\bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\} \subseteq N_{z_{a,b}, J}$ as well. \square

Notation 5.5. Let $w_1, \dots, w_r \in S_n$ be distinct permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Suppose that (a, b) is a maximally southeast cell among elements of $\bigcup \{D(w_i) : i \in [r]\}$ and that $z_{a,b}$ is involved in some Fulton generator of I_{w_i} for $i \in [q]$ but not for $i \in [q+1, r]$ for some $q \in [r]$. Set $N_{z_{a,b}, J}^{\text{ht}} = \bigcap \{N_{z_{a,b}, w_i} : i \in [q]\}$.

Corollary 5.6. *With notation and assumptions as in Notation 5.5, $N_{z_{a,b}, J}^{\text{ht}}$ is the intersection of the minimal primes of $N_{z_{a,b}, J}$ of height $\text{ht} N_{z_{a,b}, J}$.*

Proof. By Lemma 5.4, $N_{z_{a,b}, J} = \bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\}$. By Lemma 4.2 and [Ful92, Proposition 3.3], each $N_{z_{a,b}, I_{w_i}}$ is prime. By Lemma 4.2, the $N_{z_{a,b}, w_i}$ with $i \in [q]$ have height $\text{ht} J - 1 = \text{ht} N_{z_{a,b}, J}$ while the $N_{z_{a,b}, w_i} = I_{w_i}$ with $i \in [q+1, r]$ have height $\text{ht} J$. \square

With notation and assumptions as in Notation 5.5, there may exist $i \in [q+1, r]$ so that $I_{w_i} + (z_{a,b})$ is a minimal prime of $N_{z_{a,b}, J} + (z_{a,b})$. However, in this case, I_{w_i} will be a minimal prime of $C_{z_{a,b}, J}$, as we will later see in Lemma 5.8, and so $I_{w_i} + (z_{a,b})$ will be redundant in a decomposition of $\text{in}_{z_{a,b}}(J)$. That is, we will go on to see that

$$\text{in}_{z_{a,b}}(J) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J} + (z_{a,b})) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J}^{\text{ht}} + (z_{a,b})).$$

Lemma 5.7. *Fix $w_1, \dots, w_r \in S_n$ all of length h , and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. Then the minimal primes of $\text{in}_{z_{a,b}}(J) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J} + (z_{a,b}))$ that do not contain $z_{a,b}$ are exactly minimal primes of $C_{z_{a,b}, J}$, and those that do contain $z_{a,b}$ are exactly the minimal primes of $N_{z_{a,b}, J}^{\text{ht}} + (z_{a,b})$. Moreover,*

$$\sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \notin \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}) = \sum_{i=1}^r \# \{\mathcal{B} \in \text{BPD}(w_i) : (a, b) \notin D(\mathcal{B})\}$$

and

$$\sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \in \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}) = \sum_{i=1}^r \# \{ \mathcal{B} \in \text{BPD}(w_i) : (a,b) \in D(\mathcal{B}) \}.$$

Proof. Because $\text{in}_{z_{a,b}}(J) = C_{z_{a,b},J} \cap (N_{z_{a,b},J} + (z_{a,b}))$, the primes of height h that contain $\text{in}_{z_{a,b}}(J)$ are exactly the primes of height h that contain $C_{z_{a,b},J}$ or $N_{z_{a,b},J} + (z_{a,b})$. By [KR21, Lemma 2.8], $\text{Spec}(R/C_{z_{a,b},J})$ is equidimensional of codimension $h = \text{ht} J = \text{ht} \text{in}_{z_{a,b}}(J) = \text{ht} N_{z_{a,b},J} + 1$. Because Gröbner degenerations are flat and $\text{Spec}(R/J)$ is equidimensional, so too is $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$. Hence, $\min(\text{in}_{z_{a,b}}(J))$ is the union of minimal primes of $C_{z_{a,b},J}$ (all of which are height h) and the height h minimal primes of $N_{z_{a,b},J} + (z_{a,b})$, which are exactly the minimal primes of $N_{z_{a,b},J}^{\text{ht}} + (z_{a,b})$ by Corollary 5.6, using that $z_{a,b}$ does not divide any term of any natural generator of $N_{z_{a,b},J}$.

Fix a prime $\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J))$ with $z_{a,b} \in \mathcal{P}$, and let Q denote the minimal prime of $N_{z_{a,b},J}^{\text{ht}}$ so that $\mathcal{P} = Q + (z_{a,b})$. Because $C_{z_{a,b},J} \not\subseteq \mathcal{P}$, $\text{in}_{z_{a,b}}(J)R_{\mathcal{P}} = (N_{z_{a,b},J} + (z_{a,b}))R_{\mathcal{P}} = (N_{z_{a,b},J}^{\text{ht}} + (z_{a,b}))R_{\mathcal{P}}$. Therefore,

$$\text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) = \text{mult}_{\mathcal{P}}(R/(N_{z_{a,b},J} + (z_{a,b}))) = \text{mult}_Q(R/N_{z_{a,b},J}) = \text{mult}_Q(R/N_{z_{a,b},J}^{\text{ht}}). \quad (2)$$

Note that $e(R/\mathcal{P}) = e(R/Q)$ because $\mathcal{P} = Q + (z_{a,b})$ and $z_{a,b}$ is a degree 1 nonzerodivisor on R/Q . Suppose that $z_{a,b}$ is involved in some Fulton generator of I_{w_i} for $i \in [q]$ but not for $i \in [q+1, r]$ for some $q \in [r]$, and set $v_i = w_i t_{aw_i^{-1}(b)}$ for all $i \in [q]$. Then

$$\begin{aligned} & \sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \in \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}) \\ &= \sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \in \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/(N_{z_{a,b},J}^{\text{ht}} + (z_{a,b}))) \cdot e(R/\mathcal{P}) \quad (\text{Equation 2}) \\ &= \sum_{Q \in \min(N_{z_{a,b},J}^{\text{ht}})} \text{mult}_Q(R/N_{z_{a,b},J}^{\text{ht}}) \cdot e(R/Q) \quad (\mathcal{P} = Q + (z_{a,b})) \\ &= e(R/N_{z_{a,b},J}^{\text{ht}}) \quad (\text{Associativity formula}) \\ &= e\left(R/\bigcap_{i \in [q]} I_{v_i}\right) \quad (\text{Notation 5.5}) \\ &= \sum_{i=1}^q \# \{ \mathcal{B} \in \text{BPD}(v_i) \} \quad (\text{Lemma 5.2}) \\ &= \sum_{i=1}^r \# \{ \mathcal{B} \in \text{BPD}(w_i) : (a,b) \in D(\mathcal{B}) \}. \quad (\text{Proposition 3.6}) \end{aligned}$$

Finally, because

$$\sum_{i=1}^r \# \{ \mathcal{B} \in \text{BPD}(w_i) \} = e(R/J) = e(R/\text{in}_{z_{a,b}}(J)) = \sum_{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J))} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}),$$

the equality

$$\sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \notin \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}) = \sum_{i=1}^r \# \{ \mathcal{B} \in \text{BPD}(w_i) : (a,b) \notin D(\mathcal{B}) \}$$

follows from the equality

$$\sum_{\substack{\mathcal{P} \in \min(\text{in}_{z_{a,b}}(J)) \\ z_{a,b} \in \mathcal{P}}} \text{mult}_{\mathcal{P}}(R/\text{in}_{z_{a,b}}(J)) \cdot e(R/\mathcal{P}) = \sum_{i=1}^r \# \{ \mathcal{B} \in \text{BPD}(w_i) : (a,b) \in D(\mathcal{B}) \}. \quad \square$$

For $J = \bigcap \{I_{w_i} : i \in [r]\}$ an intersection of Schubert determinantal ideals and (a,b) a maximally southeast cell among $\bigcup \{D(w_i) : i \in [r]\}$, we have now completely understood $N_{z_{a,b},J}$. Our next goal is to show that each irreducible component of $\text{Spec}(R/C_{z_{a,b},J})$ appears with multiplicity governed by $\bigcup \{\text{BPD}(w_i) : i \in [r]\}$ as a step towards understanding the multiplicity of each irreducible component of $\text{Spec}(R/\text{in}_{\sigma}(C_{z_{a,b},J}))$ for suitable term orders σ .

Recall that, for a BPD \mathcal{B} , $I_{D(\mathcal{B})}$ is defined to be the ideal generated by the variables $\{z_{i,j} : (i,j) \in D(\mathcal{B})\}$. When \mathcal{B} is the Rothe BPD of $w \in S_n$, we may also write $I_{D(w)}$.

Lemma 5.8. *Fix distinct permutations $w_1, \dots, w_r \in S_n$ all of length h . Suppose that $z_{a,b}$ is involved in the Fulton generators of I_{w_1}, \dots, I_{w_q} but not of $I_{w_{q+1}}, \dots, I_{w_r}$. Suppose further that (a,b) is a lower outside corner of $D(w_i)$ for all $i \in [q]$. Set $J = \bigcap \{I_{w_i} : i \in [r]\}$, with geometric vertex decomposition $\text{in}_{z_{a,b}}(J) = C_{z_{a,b},J} \cap (N_{z_{a,b},J} + (z_{a,b}))$. Then the minimal primes of $C_{z_{a,b},J}$ are $\mathcal{A} = \{I_u : u \in \Phi(w_i, z_{a,b}), i \in [q]\} \cup \{I_{w_i} : i \in [q+1, r]\}$. Moreover, for all $I_w \in \mathcal{A}$,*

$$\begin{aligned} \text{mult}_{I_w}(R/\text{in}_{z_{a,b}}(J)) &= \text{mult}_{I_w}(R/C_{z_{a,b},J}) \\ &= \begin{cases} \#\{i \in [q] : w \in \Phi(w_i, z_{a,b})\} & \text{if } I_w \neq I_{w_i} \text{ for all } i \in [q+1, r] \\ \#\{i \in [q] : w \in \Phi(w_i, z_{a,b})\} + 1 & \text{if } I_w = I_{w_i} \text{ for some } i \in [q+1, r]. \end{cases} \end{aligned}$$

Proof. To see that $\min(C_{z_{a,b},J}) = \mathcal{A}$, first note that

$$\text{in}_{z_{a,b}}(J) \subseteq \bigcap_{i \in [r]} \text{in}_{z_{a,b}}(I_{w_i}) \subseteq \sqrt{\text{in}_{z_{a,b}}(J)},$$

and so, because all minimal primes of all of the $\text{in}_{z_{a,b}}(I_{w_i})$ are height h ,

$$\min(\text{in}_{z_{a,b}}(J)) = \bigcup_{i \in [r]} \min(\text{in}_{z_{a,b}}(I_{w_i})).$$

The equality $\min(C_{z_{a,b},J}) = \mathcal{A}$ now follows from Proposition 4.10 and Lemma 5.7 together with the equalities $\text{in}_{z_{a,b}}(I_{w_i}) = C_{z_{a,b},I_{w_i}} = I_{w_i}$ for all $i \in [q+1, r]$.

Turning to the second claim, note first that $z_{a,b} \notin I_w$ for all $I_w \in \mathcal{A}$, and so

$$(R/\text{in}_{z_{a,b}}(J))_{I_w} = (R/(C_{z_{a,b},J} \cap (N_{z_{a,b},J} + (z_{a,b}))))_{I_w} = (R/C_{z_{a,b},J})_{I_w}.$$

Hence, $\text{mult}_{I_w}(R/\text{in}_{z_{a,b}}(J)) = \text{mult}_{I_w}(R/C_{z_{a,b},J})$ for all $I_w \in \mathcal{A}$, and we may direct our attention to $C_{z_{a,b}}$ to establish the remainder of the claim.

If $r = 1$, the result is immediate from Proposition 4.10. For $r > 1$, suppose, for contradiction, that we have a counterexample with $e(R/J)$ as small as possible. With respect to

this fixed counterexample J , let \mathcal{A} be as in the theorem statement. By Lemma 5.7, there is some $I_w \in \mathcal{A}$ so that $\text{mult}_{I_w}(R/C_{z_{a,b},J})$ is greater than claimed if and only if there is some $I_{w'} \in \mathcal{A}$ so that $\text{mult}_{I_{w'}}(R/C_{z_{a,b},J})$ is less than claimed. Fix such an I_w and $I_{w'}$.

Suppose there is some $C_{z_{a,b},I_{w_i}}$ to which I_w is not associated. Set $J' = \bigcap \{I_{w_j} : j \in [r], j \neq i\}$. Then, because

$$C_{z_{a,b},I_{w_i}} C_{z_{a,b},J'} \subseteq C_{z_{a,b},J} \subseteq C_{z_{a,b},I_{w_i}} \cap C_{z_{a,b},J'},$$

localizing at I_w shows $C_{z_{a,b},J'} R_{I_w} \subseteq C_{z_{a,b},J} R_{I_w} \subseteq C_{z_{a,b},J'} R_{I_w}$. Thus, $\text{mult}_{I_w}(R/C_{z_{a,b},J'})$ is also greater than claimed, and $e(R/J') < e(R/J)$ by Lemma 5.2, contradicting minimality of our choice of J . Hence, we may assume that I_w is associated to each $C_{z_{a,b},I_{w_i}}$. By a parallel argument to the above, $I_{w'}$ must be associated to every $C_{z_{a,b},I_{w_i}}$. But then $q = r$ because it cannot be that $I_{w_i} = I_w = I_{w'}$ for any i .

Set $\tilde{J} = \bigcap \{I_{w_i} : i \in [r-1]\} \cap \bigcap \{I_u : u \in \Phi(w_r, z_{a,b})\}$, and note that $e(R/\tilde{J}) < e(R/J)$. Note also that, for all $i \in [r-1]$ and all $u \in \Phi(w_r, z_{a,b})$, $I_u \neq I_{w_i}$ because each I_{w_i} involves $z_{a,b}$ in some Fulton generator and no I_u does. Now $\text{mult}_{I_w}(R/C_{z_{a,b},J}) = \text{mult}_{I_w}(R/C_{z_{a,b},\tilde{J}})$, but $\text{mult}_{I_w}(R/C_{z_{a,b},J}) > r$ while $\text{mult}_{I_w}(R/C_{z_{a,b},\tilde{J}}) = r$, which is a contradiction. \square

One oddity that can arise is that there may exist a set of permutations $\{w_1, \dots, w_r\}$ of the same length and a cell (a, b) so that (a, b) is a lower outside corner of $D(w_i)$ for all $i \in [r]$ for which $z_{a,b}$ is involved in some Fulton generator of I_{w_i} and $\text{rk}_{w_i}(a, b) \geq 1$, even though (a, b) is not a lower outside corner of some $D(w_j)$ with $\text{rk}_{w_j}(a, b) = 0$. For example, if $w_1 = 345612789$ and $w_2 = 193245678$, the cell $(a, b) = (3, 2)$ satisfies this property. The obstruction to $(3, 2)$ being a lower outside corner of $D(w_2)$ is the cell $(4, 2)$ which satisfies $\text{rk}_{w_1}(4, 2) = 0$ and does not appear in any Fulton generator of I_{w_2} . It will be more convenient for us to treat separately cells (a', b') satisfying $\text{rk}_{w_i}(a', b') = 0$ for all i for which $z_{a',b'}$ is involved in some Fulton generator of I_{w_i} in order to avoid this oddity. The purpose of the following lemma is that reduction.

Lemma 5.9. *Fix a term order σ and distinct permutations $w_1, \dots, w_r \in S_n$ all of length h . Suppose $(a, b) \in [n] \times [n]$ so that, for all $i \in [r]$ for which $z_{a,b}$ is involved in some Fulton generator of I_{w_i} , $\text{rk}_{w_i}(a, b) = 0$ and (a, b) is a lower outside corner of $D(w_i)$.*

Set $J = \bigcap \{I_{w_i} : i \in [r]\}$. If there exists $q \in [r]$ so that $z_{a,b}$ is involved in some Fulton generator of I_{w_i} for $i \in [q]$ but not for $i \in [q+1, r]$, set $J' = \bigcap \{I_{w_i} t_{a', w_i^{-1}(b')} : i \in [q]\}$ and $J'' = \bigcap \{I_{w_i} : i \in [q+1, r]\}$. Then, for each minimal prime P of $\text{in}_\sigma(J)$,

$$\text{mult}_P(R/\text{in}_\sigma(J)) = \begin{cases} \text{mult}_Q(R/\text{in}_\sigma(J')), & Q \in \min(\text{in}_\sigma(J')), P = Q + (z_{a,b}) \\ \text{mult}_P(R/\text{in}_\sigma(J'')), & z_{a,b} \notin P. \end{cases}$$

Moreover, there is a bijection

$$\psi : \bigcup_{i \in [r]} \text{BPD}(w_i) \rightarrow \bigcup_{i \in [q]} \text{BPD}(w_i t_{a', w_i^{-1}(b')}) \cup \bigcup_{i \in [q+1, r]} \text{BPD}(w_i)$$

so that $D(\mathcal{B}) = D(\psi(\mathcal{B})) \cup \{(a', b')\}$ if $\mathcal{B} \in \bigcup \{\text{BPD}(w_i) : i \in [q]\}$ and $D(\mathcal{B}) = D(\psi(\mathcal{B}))$ if $\mathcal{B} \in \bigcup \{\text{BPD}(w_i) : i \in [q+1, r]\}$.

Proof. For each $i \in [q]$, let $v_i = w_i t_{a', w_i^{-1}(b')}$, in which case $I_{w_i} = I_{v_i} + (z_{a,b})$ by Lemma 4.2. Hence, $J = (J' + (z_{a,b})) \cap J''$. Note that $\text{in}_\sigma(J) \subseteq \text{in}_\sigma(J' + (z_{a,b})) \cap \text{in}_\sigma(J'') \subseteq \sqrt{\text{in}_\sigma(J)}$, and so, because all minimal primes of $\min(\text{in}_\sigma(J))$, $\min(\text{in}_\sigma(J' + (z_{a,b})))$, and $\min(\text{in}_\sigma(J''))$ are

of height h by flatness of Gröbner degenerations, $\min(\text{in}_\sigma(J)) = \min(\text{in}_\sigma(J' + (z_{a,b}))) \cup \min(\text{in}_\sigma(J''))$. Because no Fulton generator of any I_{w_i} for $i \in [q+1, r]$ involves $z_{a,b}$, no minimal prime of $\text{in}_\sigma(J'')$ contains $z_{a,b}$. Clearly, every minimal prime of $\text{in}_\sigma(J' + (z_{a,b}))$ contains $z_{a,b}$. Hence, because $J \subseteq J''$ and $J \subseteq J' + (z_{a,b})$, we have an inequality

$$\text{mult}_P(R/\text{in}_\sigma(J)) \geq \begin{cases} \text{mult}_P(R/\text{in}_\sigma(J' + (z_{a,b}))), & z_{a,b} \in P \\ \text{mult}_P(R/\text{in}_\sigma(J'')), & z_{a,b} \notin P. \end{cases}$$

But whenever $z_{a,b} \in P$, $\text{mult}_P(R/\text{in}_\sigma(J' + (z_{a,b}))) = \text{mult}_Q(R/\text{in}_\sigma(J'))$ for $Q \in \min(\text{in}_\sigma(J'))$ satisfying $P = Q + (z_{a,b})$. (Because the minimal primes of initial ideals are monomial prime ideals, which are generated by a subset of the variables of R , Q is uniquely determined.) Hence, the desired equality follows from Lemma 5.2 and because $e(R/J) = e(R/(J' + (z_{a,b}))) + e(R/J'')$ by additivity of multidegrees.

The bijection ψ is constructed from the individual bijections for each $i \in [r]$, which is the content of Lemma 3.6, using that $\Phi(w_i, z_{a,b}) = \emptyset$ for $i \in [q]$. \square

The following lemma will facilitate an inductive argument in our main theorem by allowing us to track multiplicities along primary components and return to the case of a (radical) defining ideal of a union of matrix Schubert varieties.

It will be convenient for us, within this lemma only, to consider initial ideals determined by weight orders in addition to those determined by monomial orders. For a general background on weight orders, we refer the reader to [Eis95, Chapter 15] and [Bay82]. To construct a weight order, one assigns to each variable $z_{i,j} \in R$ an integer $g_{i,j}$. Let \mathbf{g} be the vector of the $g_{i,j}$. For exponents $a_{i,j}$, the weight of a monomial $\prod (z_{i,j}^{a_{i,j}} : (i,j) \in [n] \times [n])$ is $\sum (a_{i,j} g_{i,j} : (i,j) \in [n] \times [n])$. The initial term of a polynomial f , denoted $\text{in}_{\mathbf{g}}(f)$ is the sum of the terms of f of highest weight. If I is an ideal, then $\text{in}_{\mathbf{g}}(I) = (\text{in}_{\mathbf{g}}(f) : f \in I)$. For any finite collection of ideals I_1, \dots, I_k and any term order σ , there exists a weight vector \mathbf{g} so that $\text{in}_\sigma(I_\ell) = \text{in}_{\mathbf{g}}(I_\ell)$ for all $\ell \in [k]$. If one assigns the weight $-g_{i,j}$ to $z_{i,j}^{-1}$, then every element of $R[z_{i,j}^{-1}]$ has a well-defined weight, independent of its representation as a fraction, and so initial ideals of ideals of $R[z_{i,j}^{-1}]$ may be taken with respect to a weight vector provided that the weight of $z_{i,j}^{-1}$ is equal to $-g_{i,j}$.

Lemma 5.10. *Taking the standard grading on R , let J be a homogeneous ideal defining an equidimensional scheme with $\min(J) = \{P_1, \dots, P_r\}$. Let σ be any term order. If, for all $\mathcal{P} \in \min(\text{in}_\sigma(J))$,*

$$\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(\sqrt{J})) = \sum_{i=1}^r \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)),$$

then

$$\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) = \sum_{i=1}^r \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)).$$

Proof. Note first that, for any ideal I so that $\min(I) = \{P_1, \dots, P_r\}$, we have

$$\text{in}_\sigma(I) \subseteq \bigcap_{i \in [r]} \text{in}_\sigma(P_i) \subseteq \sqrt{\text{in}_\sigma(I)},$$

and so the condition $\mathcal{P} \in \min(\text{in}_\sigma(J))$ is equivalent to the condition $\mathcal{P} \in \min(\text{in}_\sigma(I))$. We will encounter several auxiliary ideals in the course of this proof satisfying this condition.

For all $i \in [r]$, let Q_i denote the primary ideal in an irredundant primary decomposition of J that satisfies $\sqrt{Q_i} = P_i$. We claim first that we may replace J by $\tilde{J} = \bigcap \{Q_i : i \in [r]\}$. Now J and \tilde{J} differ only in that J may have embedded associated primes. The inclusion $J \subseteq \tilde{J}$ implies $R/\text{in}_\sigma(J) \twoheadrightarrow R/\text{in}_\sigma(\tilde{J})$, and so, for every prime \mathcal{P} , we have $(R/\text{in}_\sigma(J))_{\mathcal{P}} \twoheadrightarrow (R/\text{in}_\sigma(\tilde{J}))_{\mathcal{P}}$. Hence, we have the inequality $\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) \geq \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(\tilde{J}))$ for all prime \mathcal{P} . Moreover, because Gröbner degenerations are flat and both $\text{Spec}(R/J)$ and $\text{Spec}(R/\tilde{J})$ are equidimensional, so too are $R/\text{in}_\sigma(J)$ and $R/\text{in}_\sigma(\tilde{J})$. Thus, the minimal primes of each are all of height $\text{ht} J$. Hence,

$$\begin{aligned} \sum_{\mathcal{P} \in \min(J)} \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) &= e(R/\text{in}_\sigma(J)) = e(R/J) \\ &= e(R/\tilde{J}) = e(R/\text{in}_\sigma(\tilde{J})) = \sum_{\mathcal{P} \in \min(J)} \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(\tilde{J})). \end{aligned}$$

Therefore, the inequalities $\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) \geq \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(\tilde{J}))$ must be equalities for all $\mathcal{P} \in \min(\text{in}_\sigma(J))$. Henceforth, we assume J has no embedded associated primes.

We proceed by induction on $N = \max\{\text{mult}_{P_i}(R/Q_i) : i \in [r]\}$ and, within that, on the number of primes P_i satisfying $\text{mult}_{P_i}(R/Q_i) = N$. Set $J' = \bigcap \{P_i : i \in [r]\}$. If $N = 1$, then $J = J'$ and the result is immediate. With $N > 1$ arbitrary, assume that $\text{mult}_{P_r}(R/Q_r) = N$.

Fix some $\mathcal{P} \in \min(\text{in}_\sigma(J))$. If $\mathcal{P} \notin \min(\text{in}_\sigma(P_r))$, set $I = \bigcap \{Q_i : i \in [r-1]\}$ and $I' = \bigcap \{P_i : i \in [r-1]\}$. (Because $\mathcal{P} \in \min(\text{in}_\sigma(J))$, it must be that $r > 1$.) Because $\text{ht} J = \text{ht} \mathcal{P}$, the condition $\mathcal{P} \notin \min(\text{in}_\sigma(P_r))$ implies that $\text{in}_\sigma(P_r) \not\subseteq \mathcal{P}$. Observe that, because $J' = I' \cap P_r$, we have $\text{in}_\sigma(I')\text{in}_\sigma(P_r) \subseteq \text{in}_\sigma(J') \subseteq \text{in}_\sigma(I') \cap \text{in}_\sigma(P_r)$, and so $(R/\text{in}_\sigma(J'))_{\mathcal{P}} = (R/\text{in}_\sigma(I'))_{\mathcal{P}}$. Similarly, $\text{in}_\sigma(I)\text{in}_\sigma(Q_r) \subseteq \text{in}_\sigma(J) \subseteq \text{in}_\sigma(I)$ and $\text{in}_\sigma(P_r) \not\subseteq \mathcal{P}$ imply that $\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) = \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(I))$.

Applying the inductive hypothesis to I , using that $\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r)) = 0$, we have

$$\begin{aligned} \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J)) &= \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(I)) \\ &= \sum_{i=1}^{r-1} \text{mult}_{P_i}(R/I') \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)) \\ &= \sum_{i=1}^{r-1} \text{mult}_{P_i}(R/J') \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)) \\ &= \sum_{i=1}^r \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)). \end{aligned}$$

Thus, we may assume that $\mathcal{P} \in \min(\text{in}_\sigma(P_r))$.

Because P_r is an associated prime of Q_r , there exists some homogeneous $f \in P_r - Q_r$ so that $P_r = \text{Ann}_R(f \cdot R/Q_r)$. Again, take $I = \bigcap \{Q_i : i \in [r-1]\}$ (in which case $I = R$ if $r = 1$). We may construct the short exact sequence

$$0 \rightarrow \frac{I}{I \cap P_r} \xrightarrow{f} \frac{R}{J} \rightarrow \frac{R}{J + fI} \rightarrow 0.$$

Notice that $\left(\frac{R}{J+fI}\right)_{P_r} = \left(\frac{R}{Q_r+(f)}\right)_{P_r}$ because $Q_i \not\subseteq P_r$ for $i \in [r-1]$. The short exact sequence

$$0 \rightarrow \frac{R}{P_r} \xrightarrow{f} \frac{R}{Q_r} \rightarrow \frac{R}{Q_r+(f)} \rightarrow 0$$

shows that $\text{mult}_{P_r}(R/(Q_r+(f))) = \text{mult}_{P_r}(R/Q_r) - 1$. Similarly, we have the equality $\left(\frac{R}{J+fI}\right)_{P_i} = \left(\frac{R}{Q_i}\right)_{P_i}$ for all $i \in [r-1]$ by the same reasoning together with the inclusion $fQ_i \subseteq Q_i$. Then $\text{mult}_{P_i}(R/(J+fI)) = \text{mult}_{P_i}(R/J)$ for all $i \in [r-1]$, and $\text{mult}_{P_r}(R/(J+fI)) = \text{mult}_{P_r}(R/J) - 1$. Hence, we may apply the inductive hypothesis to $J+fI$ to conclude that, for all $\mathcal{P} \in \min(\text{in}_\sigma(J)) = \min(\text{in}_\sigma(J+fI))$,

$$\begin{aligned} \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(J+fI)) &= \sum_{i=1}^r \text{mult}_{P_i}(R/(J+fI)) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)) \\ &= (\text{mult}_{P_r}(R/J) - 1) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r)) + \sum_{i=1}^{r-1} \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)). \end{aligned} \quad (3)$$

This last quantity differs from the sum claimed in the theorem statement by exactly $\text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r))$. We now work to treat this difference. Localizing the short exact sequence

$$0 \rightarrow \frac{\text{in}_\sigma(J+fI)}{\text{in}_\sigma(J)} \rightarrow \frac{R}{\text{in}_\sigma(J)} \rightarrow \frac{R}{\text{in}_\sigma(J+fI)} \rightarrow 0$$

at \mathcal{P} shows that

$$\text{mult}_{\mathcal{P}}\left(\frac{R}{\text{in}_\sigma(J)}\right) = \text{mult}_{\mathcal{P}}\left(\frac{R}{\text{in}_\sigma(J+fI)}\right) + \text{mult}_{\mathcal{P}}\left(\frac{\text{in}_\sigma(J+fI)}{\text{in}_\sigma(J)}\right). \quad (4)$$

For that reason, it suffices to show

$$\text{mult}_{\mathcal{P}}(\text{in}_\sigma(J+fI)/\text{in}_\sigma(J)) = \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r)),$$

which we will accomplish by comparison to $\text{in}_\sigma(I)/\text{in}_\sigma(I \cap P_r)$.

Localizing the short exact sequence

$$0 \rightarrow \frac{\text{in}_\sigma(I)}{\text{in}_\sigma(I \cap P_r)} \rightarrow \frac{R}{\text{in}_\sigma(I \cap P_r)} \rightarrow \frac{R}{\text{in}_\sigma(I)} \rightarrow 0$$

at \mathcal{P} and applying the inductive hypothesis, we see that

$$\begin{aligned} \text{mult}_{\mathcal{P}}\left(\frac{\text{in}_\sigma(I)}{\text{in}_\sigma(I \cap P_r)}\right) &= \text{mult}_{\mathcal{P}}\left(\frac{R}{\text{in}_\sigma(I \cap P_r)}\right) - \text{mult}_{\mathcal{P}}\left(\frac{R}{\text{in}_\sigma(I)}\right) \\ &= \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r)) + \sum_{i=1}^{r-1} \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)) \\ &\quad - \sum_{i=1}^{r-1} \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_i)) \\ &= \text{mult}_{\mathcal{P}}(R/\text{in}_\sigma(P_r)). \end{aligned} \quad (5)$$

Because $P_r = \text{Ann}_R(f \cdot R/Q_r)$, we see $f(I \cap P_r) \subseteq I \cap Q_r = J$, and so we may consider the map $I/(I \cap P_r) \xrightarrow{f} (J + fI)/J$, which we note is a surjection. If $a \in I$ and $fa \in J$, then $a \in P_r$, and so the map $I/(I \cap P_r) \xrightarrow{f} (J + fI)/J$ is also injective.

Choose a weight vector $\mathbf{g} = (g_{1,1}, \dots, g_{n,n})$ so that $\text{in}_{\mathbf{g}}(I) = \text{in}_{\sigma}(I)$, $\text{in}_{\mathbf{g}}(I \cap P_r) = \text{in}_{\sigma}(I \cap P_r)$, $\text{in}_{\mathbf{g}}(J + fI) = \text{in}_{\sigma}(J + fI)$, and $\text{in}_{\mathbf{g}}(J) = \text{in}_{\sigma}(J)$. Let $R' = R[z_{i,j}^{-1} : z_{i,j} \notin \mathcal{P}]$. For $z_{i,j} \notin \mathcal{P}$, set the weight of $z_{i,j}^{-1}$ to be $-g_{i,j}$, and let \mathbf{g}' be the weight vector for all $z_{i,j}$ and $z_{i,j}^{-1}$ in R' . Because each element of R' is of the form $h/(\prod z_{i,j}^{a_{i,j}} : z_{i,j} \notin \mathcal{P})$ for $h \in R$ and exponents $a_{i,j}$ and $\text{in}_{\mathbf{g}'}(h/(\prod z_{i,j}^{a_{i,j}} : z_{i,j} \notin \mathcal{P})) = \text{in}_{\mathbf{g}}(h)/(\prod z_{i,j}^{a_{i,j}} : z_{i,j} \notin \mathcal{P})$, we have $\text{in}_{\sigma}(I)R' = \text{in}_{\mathbf{g}}(I)R' = \text{in}_{\mathbf{g}'}(IR')$, $\text{in}_{\sigma}(I \cap P_r)R' = \text{in}_{\mathbf{g}}(I \cap P_r)R' = \text{in}_{\mathbf{g}'}((I \cap P_r)R')$, $\text{in}_{\sigma}(J + fI)R' = \text{in}_{\mathbf{g}}(J + fI)R' = \text{in}_{\mathbf{g}'}((J + fI)R')$, and $\text{in}_{\mathbf{g}}(J)R' = \text{in}_{\mathbf{g}}(J)R' = \text{in}_{\mathbf{g}'}(JR')$.

Because localization is exact and $I/(I \cap P_r) \cong (J + fI)/J$, we have $IR'/(I \cap P_r)R' \cong (J + fI)R'/JR'$. But $IR'/((I \cap P_r)R')$ has a free $\kappa[z_{i,j}, z_{i,j}^{-1} : z_{i,j} \notin \mathcal{P}]$ basis given by the monomials in $\text{in}_{\mathbf{g}'}(IR')$ in the generators of \mathcal{P} that are not elements of $\text{in}_{\mathbf{g}'}((I \cap P_r)R')$, and $(J + fI)R'/JR'$ has a free $\kappa[z_{i,j}, z_{i,j}^{-1} : z_{i,j} \notin \mathcal{P}]$ basis given by the monomials in $\text{in}_{\mathbf{g}'}((J + fI)R')$ in the generators of \mathcal{P} that are not elements of $\text{in}_{\mathbf{g}'}(JR')$. The isomorphism $IR'/((I \cap P_r)R') \cong (J + fI)R'/JR'$ implies that those two bases have the same cardinality. The equalities in the preceding paragraph show that these are also the monomials in $\text{in}_{\sigma}(I)$ in the generators of \mathcal{P} that are not in $\text{in}_{\sigma}(I \cap P_r)$ and the monomials in $\text{in}_{\sigma}(J + fI)$ in the generators of \mathcal{P} that are not elements of $\text{in}_{\sigma}(J)$, respectively. This equality establishes precisely

$$\text{mult}_{\mathcal{P}}\left(\frac{\text{in}_{\sigma}(J + fI)}{\text{in}_{\sigma}(J)}\right) = \text{mult}_{\mathcal{P}}\left(\frac{\text{in}_{\sigma}(I)}{\text{in}_{\sigma}(I \cap P_r)}\right). \quad (6)$$

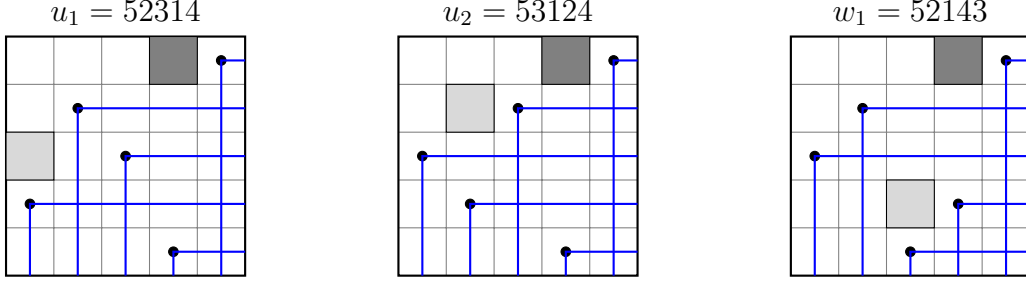
Combining equalities 3, 4, 5, and 6, we have, for all $\mathcal{P} \in \min(\text{in}_{\sigma}(J))$,

$$\text{mult}_{\mathcal{P}}(R/\text{in}_{\sigma}(J)) = \sum_{i=1}^r \text{mult}_{P_i}(R/J) \cdot \text{mult}_{\mathcal{P}}(R/\text{in}_{\sigma}(P_i)). \quad \square$$

To facilitate an inductive argument in the main theorem of this paper, we define a relation on subsets of S_n . We will use this relation to give a quantity that decreases upon performance of an appropriate geometric vertex decomposition.

Notation 5.11. If $X = \{u_1, \dots, u_q\} \subseteq S_n$ and $Y = \{w_1, \dots, w_r\} \subseteq S_n$, we will say that $X \prec Y$ if, for every $i \in [q]$ and every lower outside corner (α, β) of $D(u_i)$, there exists some $j \in [r]$ and some (γ, δ) lower outside corner of $D(w_j)$ so that $(\alpha, \beta) \leq (\gamma, \delta)$ (i.e., $\alpha \leq \gamma$ and $\beta \leq \delta$). Note that the relation \prec is reflexive and transitive but not anti-symmetric.

Example 5.12. If $X = \{u_1 = 52314, u_2 = 53124\}$ and $Y = \{w_1 = 52143\}$, then $X \preceq Y$. Then $(2, 2), (3, 1) \leq (4, 3)$ (corresponding to the light gray diagram boxes in the Rothe diagrams below) and $(1, 4) \leq (1, 4)$ (corresponding to the dark gray diagram boxes in the Rothe diagrams below). We remark that $\{u_1, u_2\} = \Phi(w_1, z_{43})$. \diamond



Lemma 5.13. Set $Y = \{w_1, \dots, w_r\} \subseteq S_n$, and suppose there is $q \in [r]$ so that (a, b) is a lower outside corner of $D(w_i)$ for all $i \in [q]$ and that $z_{a,b}$ is not involved in any Fulton generator of I_{w_i} for all $i \in [q+1, r]$.

Then

$$\#\{X \subseteq S_n : X \prec \{w_{q+1}, \dots, w_r\} \cup \bigcup_{i \in [q]} \Phi(w_i, z_{a,b})\} < \#\{X \subseteq S_n : X \prec Y\}.$$

Proof. For convenience, write $S = \{X \subseteq S_n : X \prec \{w_{q+1}, \dots, w_r\} \cup \bigcup_{i \in [q]} \Phi(w_i, z_{a,b})\}$ and $T = \{X \subseteq S_n : X \prec Y\}$. We will show $S \subsetneq T$.

First, we will establish that $S \subseteq T$. Take $W \in S$ and fix $u \in W$. Let (α, β) be a lower outside corner of $D(u)$. By definition of \prec , there exists some $\pi \in \{w_{q+1}, \dots, w_r\} \cup \bigcup_{i \in [q]} \Phi(w_i, z_{a,b})$ with lower outside corner (γ, δ) so that $(\alpha, \beta) \leq (\gamma, \delta)$. If $\pi = w_i$ for some $i \in [q+1, r]$, then $\pi \in Y$, so there is nothing to show.

Now suppose $\pi \neq w_i$ for all $i \in [q+1, r]$. Then $\pi \in \Phi(w_i, z_{a,b})$ for some $i \in [q]$. By [Wei21, Lemma 4.6], combined with the bijection from the proof of Lemma 3.6, because $(\gamma, \delta) \in D(\pi)$, there exists a lower outside corner (γ', δ') in $D(w_i)$ so that $(\gamma, \delta) \leq (\gamma', \delta')$. (Alternatively, one may phrase this argument in terms of the “marching” operation of [KY04]). Thus, $(\alpha, \beta) \leq (\gamma', \delta')$ as well. As such, $W \prec Y$.

Now we will show the containment of S in T is proper. Because $w_1 \in Y$, we have $\{w_1\} \prec Y$. Thus $\{w_1\} \in T$. We claim that $\{w_1\} \notin S$. Since $1 \in [q]$, we have that (a, b) is a lower outside corner of w_1 . Now take $j \in [q]$ and $u \in \Phi(w_j, z_{a,b})$. We know $z_{a,b}$ is not involved in any Fulton generator of I_u . Similarly, if $k \in [q+1, r]$, then, by assumption, $z_{a,b}$ is not involved in any Fulton generator of I_{w_k} . Hence, there is no $(c, d) \geq (a, b)$ that is a lower outside corner of $D(u)$ or of $D(w_k)$. Thus, $\{w_1\} \not\prec \{w_{q+1}, \dots, w_r\} \cup \bigcup_{i \in [q]} \Phi(w_i, z_{a,b})$. \square

We now define the family of term orders that will appear in this paper’s main theorem.

Definition 5.14. Let J be an ideal of R . We call a lexicographic term order σ **lexicographic from southeast** with respect to J if either J is a monomial ideal (including $J = (0)$ or $J = R$) or J has a generating set \mathcal{G} so that the lexicographically largest variable y involved in at least one element of \mathcal{G} satisfies the following:

- (1) J is linear in y ,
- (2) y is southeast of every variable involved in at least one generator of \mathcal{G} , and
- (3) σ is lexicographic from southeast with respect to both $\sqrt{C_{y,J}}$ and $\sqrt{N_{y,J}}$.

Remark 5.15. Fix $w_1, \dots, w_r \in S_n$ of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Suppose that σ is lexicographic from southeast with respect to J . If J is not a monomial ideal, we

may assume that \mathcal{G} has been chosen so that, for the lexicographically largest variable $z_{a,b}$ involved in some generator of \mathcal{G} , (a, b) is a lower outside corner of all $D(w_i)$ for which $z_{a,b}$ is involved in a Fulton generator of I_{w_i} .

Indeed, if \mathcal{G} has a generating set that does not involve $z_{a,b}$, then $J = C_{z_{a,b}, J} = N_{z_{a,b}, J}$. We may replace \mathcal{G} by $\mathcal{G}' = \{g \in \mathcal{G} : z_{a,b} \text{ is not involved in } g\}$ and $z_{a,b}$ by the lexicographically largest variable $z_{a',b'}$ involved in some element of \mathcal{G}' . Because J has a generating set involving only variables that occur in some Fulton generator of some I_{w_i} , $i \in [r]$, we may assume that $z_{a',b'}$ is involved in some Fulton generator of some I_{w_i} . If there exists $i \in [r]$ so that $z_{a',b'}$ is involved in some Fulton generator of I_{w_i} but (a', b') is not a lower outside corner of $D(w_i)$, then there exists a lower outside corner $(c, d) \neq (a', b')$ of $D(w_i)$ southeast of (a', b') . By choosing a maximally southeast such cell, we may assume that (c, d) is a lower outside corner of every $D(w_i)$ in which it occurs. It suffices to show that $z_{c,d}$ is involved in at least one generator of \mathcal{G}' . Were it not, J would have a Gröbner basis that did not involve $z_{c,d}$, which would force $J = C_{z_{a,b}, J} = N_{z_{a,b}, J}$, in violation of Lemma 5.8. \diamond

We consider two examples of lexicographic from southeast term orders that we will return to several times. Let σ be the lexicographic order on the variables ordered starting from $z_{n,n}$ and progressing up column n , then from $z_{n,n-1}$ up column $n-1$ and so on. Similarly, let σ' be the lexicographic order on the variables ordered starting from $z_{n,n}$ and progressing left along row n , then from $z_{n-1,n}$ left along row $n-1$ and so on. Then both σ and σ' are lexicographic from southeast term orders on all J arising as the intersection of Schubert determinantal ideals of permutations of the same length (using Lemma 5.3 for condition (1) and Lemmas 5.4 and 5.8 to see that $\sqrt{C_{y,J}}$ and $\sqrt{N_{y,J}}$ are again intersections of Schubert determinantal ideals). Note also that both σ and σ' are diagonal term orders.

Recall that, to a subset E of $[n] \times [n]$, we associate the ideal $I_E = (z_{i,j} : (i, j) \in E)$. In particular, if \mathcal{B} is a bumpless pipe dream, then $I_{D(\mathcal{B})}$ is the ideal generated by the $z_{i,j}$ where (i, j) is a blank tile of \mathcal{B} .

We will now prove the main result of this paper. The case $r = 1$ of its immediate corollary (Corollary 5.18) was conjectured by Hamaker, Pechenik, and Weigandt in [HPW22, Conjecture 1.2].

Theorem 5.16. *Fix distinct permutations $w_1, \dots, w_r \in S_n$ of the same length and a term order σ that is lexicographic from southeast with respect to $J = \bigcap \{I_{w_i} : i \in [r]\}$. Then the irreducible components of $\text{Spec}(R/\text{in}_\sigma(J))$, counted with multiplicity, are indexed by $\bigcup \{\text{BPD}(w_i) : i \in [r]\}$. Precisely, the multiplicity of $\text{Spec}(R/P)$ along $\text{Spec}(R/\text{in}_\sigma(J))$ is*

$$\# \{ \mathcal{B} \in \text{BPD}(w_1) \cup \dots \cup \text{BPD}(w_r) : P = I_{D(\mathcal{B})} \}.$$

Proof. Fix σ and J as in the theorem statement. If $\text{ht} J = 0$, then the result is trivial. Note also that, if $\text{rk}_{w_i}(a, b) = 0$ for all $(a, b) \in D(w_i)$ and all $i \in [r]$, then each I_{w_i} is a monomial prime ideal, and so J is also a monomial ideal. In this case $\#\text{BPD}(w_i) = 1$ for all $i \in [r]$, and the I_{w_i} are themselves the minimal primes of $\text{in}_\sigma(J) = J$, so the result is immediate.

Alternatively, assume there is some w_i with some $(a, b) \in D(w_i)$ satisfying $\text{rk}_{w_i}(a, b) \geq 1$. We will proceed by induction on $\text{ht} J$ and, within that, on $\#\{X \subseteq S_n : X \prec \{w_1, \dots, w_r\}\}$ (where \prec is as in Notation 5.11). The $\text{ht} J = 0$ and monomial cases serve as our base cases.

By the assumption that σ is a lexicographic from southeast term order with respect to J , we may assume that J has a generating set so that, if $z_{a',b'}$ is the lexicographically largest

variable involved in one of the generators, then (a', b') is a lower outside corner of every $D(w_i)$ for which $z_{a', b'}$ is involved in some Fulton generator of I_{w_i} . By (possibly repeated) application of Lemma 5.9 together with induction on $\text{ht} J$, we may assume $\text{rk}_{w_i}(a', b') \geq 1$ for at least one i . That is, we may take $(a, b) = (a', b')$. By Lemma 5.3, J is linear in $z_{a, b}$; therefore, we may take a geometric vertex decomposition of J with respect to $z_{a, b}$ and write $\text{in}_{z_{a, b}}(J) = C_{z_{a, b}, J} \cap (N_{z_{a, b}, J} + (z_{a, b}))$. Because $z_{a, b}$ is the lexicographically largest variable, $\text{in}_\sigma(J) = \text{in}_\sigma(\text{in}_{z_{a, b}}(J))$, and so it suffices to show that the minimal primes, counted with multiplicity, of $\text{in}_\sigma(\text{in}_{z_{a, b}}(J))$ are indexed by $\bigcup \{D(w_i) : i \in [r]\}$.

By reordering the I_{w_i} , we may fix $q \in [r]$ so that $z_{a, b}$ is involved in some Fulton generator of I_{w_i} for all $i \in [q]$ but not for all $i \in [q+1, r]$. By Corollary 5.6, $N_{z_{a, b}, J}^{\text{ht}} = \bigcap \{N_{z_{a, b}, I_{w_i}} : i \in [q]\}$ is the intersection of the minimal primes of $N_{z_{a, b}, J}$ of height $\text{ht} N_{z_{a, b}, J} = \text{ht} J - 1$. By Lemma 4.2, for all $i \in [q]$, $N_{z_{a, b}, I_{w_i}} = I_{v_i}$ for $v_i = w_i t_{a, w_i^{-1}(b)}$.

By using the bijection ψ of Lemma 3.6, we obtain a bijection from the set

$$\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : (a, b) \in D(\mathcal{B})\}$$

to $\bigcup \{\text{BPD}(v_i) : i \in [q]\}$. Since the w_i are distinct, the v_i are also distinct for $i \in [q]$, and so the inductive hypothesis applies to $N_{z_{a, b}, J}^{\text{ht}}$. Because $I_{D(\mathcal{B}')} = I_{D(\psi(\mathcal{B}')) \cup \{(a, b)\}}$ for all $\mathcal{B} \in \bigcup \{\text{BPD}(w_i) : i \in [r]\}$ such that $(a, b) \in D(\mathcal{B})$ and because $\text{in}_\sigma(\text{in}_{z_{a, b}}(J)) \subseteq \text{in}_\sigma(N_{z_{a, b}, J}^{\text{ht}} + (z_{a, b}))$, we have

$$\text{mult}_P(R/\text{in}_\sigma(J)) \geq \#\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : P = I_{D(\mathcal{B})}\}$$

for all P containing $z_{a, b}$.

For the primes not containing $z_{a, b}$, we turn to $C_{z_{a, b}, J}$. Set

$$\mathcal{A} = \{I_u : u \in \Phi(w_i, z_{a, b}), i \in [q]\} \cup \{I_{w_i} : i \in [q+1, r]\}.$$

By Lemma 5.8, $\mathcal{A} = \min(C_{z_{a, b}, J})$, and so $\sqrt{C_{z_{a, b}, J}} = \bigcap \{I_u : u \in \mathcal{A}\}$. From [KR21, Lemma 2.8], $\text{Spec}(R/C_{z_{a, b}, J})$ is equidimensional. Using Lemma 5.13, we may apply the induction hypothesis to $\sqrt{C_{z_{a, b}, J}}$, which implies that, for all $P \in \min(\text{in}_\sigma(J))$ with $z_{a, b} \notin P$, $\text{mult}_P(R/\text{in}_\sigma(\sqrt{C_{z_{a, b}, J}})) = \sum_{u \in \mathcal{A}} \text{mult}_P(R/\text{in}_\sigma(I_u))$. Thus, by Lemma 5.10,

$$\text{mult}_P(R/\text{in}_\sigma(C_{z_{a, b}, J})) = \sum_{u \in \mathcal{A}} \text{mult}_{I_u}(R/C_{z_{a, b}, J}) \cdot \text{mult}_P(R/\text{in}_\sigma(I_u)).$$

Combining Lemmas 5.8 and 3.6, for all $I_u \in \mathcal{A}$,

$$\text{mult}_{I_u}(R/C_{z_{a, b}, J}) = \#\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : I_u = I_{D(\mathcal{B})}, (a, b) \notin D(\mathcal{B})\}.$$

Because $\text{in}_\sigma(\text{in}_{z_{a, b}}(J)) \subseteq \text{in}_\sigma(C_{z_{a, b}, J})$, we have the inequality

$$\text{mult}_P(R/\text{in}_\sigma(J)) \geq \#\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : P = I_{D(\mathcal{B})}\}$$

for all P not containing $z_{a, b}$.

Having established that

$$\text{mult}_P(R/\text{in}_\sigma(J)) \geq \#\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : P = I_{D(\mathcal{B})}\}$$

for all $P \in \min(\text{in}_\sigma(J))$, it now follows from Lemma 5.2 that each such inequality must be an equality. \square

The example below illustrates Theorem 5.16 for a union of two matrix Schubert varieties of codimension one.

Example 5.17. Let $w_1 = 213$ and $w_2 = 132$. Then $I_{w_1} = (z_{11})$ and $I_{w_2} = \left(\begin{vmatrix} z_{11} & z_{12} \\ z_{21} & z_{22} \end{vmatrix} \right)$. Let $J = I_{w_1} \cap I_{w_2} = (z_{11}^2 z_{22} - z_{11} z_{12} z_{21})$. With respect to any lexicographic from southeast term order (or indeed any diagonal term order σ), we have $\text{in}_\sigma(J) = (z_{11}^2 z_{22})$, which has primary decomposition $(z_{11}^2) \cap (z_{22})$. As such, $\text{mult}_{I_{\{(1,1)\}}}(R/\text{in}_\sigma(J)) = 2$, and $\text{mult}_{I_{\{(2,2)\}}}(R/\text{in}_\sigma(J)) = 1$.

Note that

$$\text{BPD}(w_1) = \left\{ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \right\} \quad \text{and} \quad \text{BPD}(w_2) = \left\{ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \right\}.$$

Thus, we have two BPDs in $\text{BPD}(w_1) \cup \text{BPD}(w_2)$ that correspond to the prime $I_{\{(1,1)\}} = (z_{11})$ and one that corresponds to $I_{\{(2,2)\}} = (z_{22})$, as predicted by Theorem 5.16. \diamond

Corollary 5.18. Suppose that $w_1, \dots, w_r \in S_n$ are permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. There exist diagonal term orders so that the irreducible components of $\text{Spec}(R/\text{in}_\sigma(J))$, counted with multiplicity, are indexed by $\bigcup \{\text{BPD}(w_i) : i \in [r]\}$. Precisely, the multiplicity of $\text{Spec}(R/P)$ along $\text{Spec}(R/\text{in}_\sigma(J))$ is

$$\#\{\mathcal{B} \in \text{BPD}(w_1) \cup \dots \cup \text{BPD}(w_r) : P = I_{D(\mathcal{B})}\}.$$

Proof. Let σ be the lexicographic order on the variables ordered starting from $z_{n,n}$ and progressing up column n , then from $z_{n,n-1}$ up column $n-1$ and so on. Similarly, let σ' be the lexicographic order on the variables ordered starting from $z_{n,n}$ and progressing left along row n , then from $z_{n-1,n}$ left along row $n-1$ and so on. Recall that σ and σ' are both diagonal term orders that are also lexicographic from southeast with respect to J . Considering either of those term orders, the statement is immediate from Theorem 5.16. \square

With notation and assumptions as in Theorem 5.16, the situation is especially nice when $\text{Spec}(R/\text{in}_\sigma(J))$ is reduced. In that case, for all $D \subseteq [n] \times [n]$,

$$\#\{\mathcal{B} \in \bigcup_{i \in [r]} \text{BPD}(w_i) : D(\mathcal{B}) = D\} = 1;$$

that is, there are no repeated diagrams occurring among the BPDs of the w_i . We will also see good behavior in this case with respect to Cohen–Macaulayness in Corollary 5.21. We conclude this section by recording corollaries concerning similarities among the initial ideals arising from lexicographic from southeast term orders, particularly when some lexicographic from southeast initial scheme is known to be reduced.

Corollary 5.19. *Suppose that $w_1, \dots, w_r \in S_n$ are permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a lexicographic from southeast term order σ with respect to J . The following two conditions are equivalent:*

- (1) $D(\mathcal{B}) = D(\mathcal{B}')$ implies $\mathcal{B} = \mathcal{B}'$ for all $\mathcal{B}, \mathcal{B}' \in \bigcup \{\text{BPD}(w_i) : i \in [r]\}$ and $\text{in}_\sigma(J)$ has no embedded primes
- (2) $\text{in}_\sigma(J)$ is radical.

Proof. A radical ideal cannot have embedded primes. If I is an ideal without embedded primes, then I is radical if and only if the multiplicity of $\text{Spec}(R/I)$ along each irreducible component is 1. The result now follows from Theorem 5.16. \square

Corollary 5.20. *Suppose that $w_1, \dots, w_r \in S_n$ are permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Suppose that σ is a term order satisfying the two equivalent conditions of Corollary 5.19. Then $\text{in}_\sigma(J) = \text{in}_{\sigma'}(J)$ for every term order σ' that is lexicographic from southeast with respect to J .*

Proof. It follows from Theorem 5.16 and Corollary 5.19 that

$$\sqrt{\text{in}_{\sigma'}(J)} = \sqrt{\text{in}_\sigma(J)} = \text{in}_\sigma(J).$$

Hence, $\text{Hilb}(R/\sqrt{\text{in}_{\sigma'}(J)}; \mathbf{t}) = \text{Hilb}(R/\text{in}_\sigma(J); \mathbf{t})$ (where the Hilbert functions may be computed with respect to any grading for which J is homogeneous, for example the standard grading). Because $\text{in}_{\sigma'}(J)$ and $\text{in}_\sigma(J)$ are both initial ideals of J , $\text{Hilb}(R/\text{in}_{\sigma'}(J); \mathbf{t}) = \text{Hilb}(R/J; \mathbf{t}) = \text{Hilb}(R/\text{in}_\sigma(J); \mathbf{t})$. The equality $\text{Hilb}(R/\sqrt{\text{in}_{\sigma'}(J)}; \mathbf{t}) = \text{Hilb}(R/\text{in}_{\sigma'}(J); \mathbf{t})$ precludes the proper containment $\text{in}_{\sigma'}(J) \subsetneq \sqrt{\text{in}_{\sigma'}(J)}$, and so $\text{in}_\sigma(J) = \sqrt{\text{in}_{\sigma'}(J)} = \text{in}_{\sigma'}(J)$. \square

5.2. Applications to Cohen–Macaulayness of unions of matrix Schubert varieties. It is by no means guaranteed that arbitrary equidimensional unions of matrix Schubert varieties will be Cohen–Macaulay (see Section 7). However, we can use the results in Subsection 5.1 to begin the study of when they are. Of particular interest are the unions of matrix Schubert varieties that are ASM varieties.

Given $w_1, \dots, w_r \in S_n$, $J = \bigcap \{I_{w_i} : i \in [r]\}$, and (a, b) a maximally southeast cell among $\bigcup \{D(w_i) : i \in [r]\}$, we will first observe that, whenever $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ is reduced, the geometric vertex decomposition of J with respect to $z_{a,b}$ may be computed by taking the geometric vertex decomposition separately at each of the matrix Schubert varieties occurring as irreducible components of $\text{Spec}(R/J)$. When there is a $z_{a,b}$ -compatible term order σ with respect to which $\text{Spec}(R/\text{in}_\sigma(J))$ is reduced, we will use this observation to describe classes of Cohen–Macaulay unions of matrix Schubert varieties. (We include varieties of the form $\text{Spec}(R/(N + (z_{a,b}))) \times \mathbb{A}^1 \cong \text{Spec}(R/N)$ for a Schubert determinantal ideal N in these unions.)

Corollary 5.21. *Let $w_1, \dots, w_r \in S_n$ be permutations (not necessarily of the same length), and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. If $\text{in}_{z_{a,b}}(J)$ is radical, then*

$$\text{in}_{z_{a,b}}(J) = \text{in}_{z_{a,b}}(I_{w_1}) \cap \dots \cap \text{in}_{z_{a,b}}(I_{w_r}).$$

Moreover, if $\text{Spec}(R/J)$ is Cohen–Macaulay and there exists a $z_{a,b}$ -compatible term order σ for which $\text{in}_\sigma(J)$ is radical, then $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ is also Cohen–Macaulay.

Proof. Because $\text{in}_{z_{a,b}}(J)$ is radical, the containments

$$\text{in}_{z_{a,b}}(J) \subseteq \text{in}_{z_{a,b}}(I_1) \cap \cdots \cap \text{in}_{z_{a,b}}(I_r) \subseteq \sqrt{\text{in}_{z_{a,b}}(J)}$$

imply the equality

$$\text{in}_{z_{a,b}}(J) = \text{in}_{z_{a,b}}(I_1) \cap \cdots \cap \text{in}_{z_{a,b}}(I_r).$$

Suppose that $\text{Spec}(R/J)$ is Cohen–Macaulay and that there is a $z_{a,b}$ -compatible term order σ for which $\text{in}_\sigma(J)$ is radical. By [CV20, Corollary 2.11(iii)], we know $\text{Spec}(R/\text{in}_\sigma(J))$ is Cohen–Macaulay. Then, because $\text{Spec}(R/\text{in}_\sigma(J))$ is a Cohen–Macaulay initial scheme of $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$, $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ must be Cohen–Macaulay, as well. \square

With notation and assumptions as in Corollary 5.21, note that Proposition 4.10 allows us to express $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ as a union of matrix Schubert varieties (up to affine factors). Indeed, working with their defining ideals, we have

$$\begin{aligned} \text{in}_{z_{a,b}}(J) &= \text{in}_{z_{a,b}}(I_{w_1}) \cap \cdots \cap \text{in}_{z_{a,b}}(I_{w_r}) \\ &= C_{z_{a,b}, I_{w_1}} \cap (N_{z_{a,b}, I_{w_1}} + (z_{a,b})) \cap \cdots \cap C_{z_{a,b}, I_{w_r}} \cap (N_{z_{a,b}, I_{w_r}} + (z_{a,b})) \\ &= \bigcap_{i \in [r]} \left(\left(\bigcap_{u \in \Phi(w_i, z_{a,b})} I_u \right) \cap (N_{z_{a,b}, I_{w_i}} + (z_{a,b})) \right). \end{aligned}$$

If $\text{Spec}(R/\text{in}_\sigma(J))$ is not reduced but $\text{Spec}(R/N_{z_{a,b}, J})$ is known to be Cohen–Macaulay, we will also be able to infer the Cohen–Macaulayness of $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$.

Corollary 5.22. *Let $w_1, \dots, w_r \in S_n$ be permutations of the same length, and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. If $\text{Spec}(R/J)$ and $\text{Spec}(R/N_{z_{a,b}, J})$ are Cohen–Macaulay, then $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ is Cohen–Macaulay. If additionally $C_{z_{a,b}, J} \neq R$, then $\text{Spec}(R/C_{z_{a,b}, J})$ is Cohen–Macaulay as well. In particular, both $\text{Spec}(R/\text{in}_{z_{a,b}}(I_w))$ and $\text{Spec}(R/C_{z_{a,b}, I_w})$ are Cohen–Macaulay for all $w \in S_n$ and all lower outside corners (a, b) of $D(w)$ satisfying $\text{rk}_w(a, b) \geq 1$.*

Proof. Whenever $\text{rk}_{w_i}(a, b) = 0$ for all $i \in [r]$, then $C_{z_{a,b}, J} = R$ and $\text{in}_{z_{a,b}}(J) = N_{z_{a,b}, J} + (z_{a,b})$, and so the result is obvious. If $\text{rk}_{w_i}(a, b) \geq 1$ for some $i \in [r]$, then $C_{z_{a,b}, J} \neq R$. Without loss of generality, suppose $\text{rk}_{w_1}(a, b) \geq 1$. In that case, the reduced Gröbner basis for J with respect to any $z_{a,b}$ -compatible term order involves $z_{a,b}$. Otherwise, we would have $J = N_{z_{a,b}, J} = \bigcap \{N_{z_{a,b}, I_{w_i}} : i \in [r]\}$ by Lemma 5.4, and so $\text{ht } J \leq \text{ht } N_{z_{a,b}, w_1}$ while $\text{ht } J = \text{ht } I_{w_1} = \text{ht } N_{z_{a,b}, w_1} + 1$ by Lemma 4.2. Therefore, $\sqrt{C_{z_{a,b}, J}} \neq \sqrt{N_{z_{a,b}, J}}$ by [KR21, Proposition 2.4]. By Lemma 5.3, we know that $\text{in}_{z_{a,b}}(J) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J} + (z_{a,b}))$, and so the Cohen–Macaulayness of $\text{Spec}(R/\text{in}_{z_{a,b}}(I_w))$ and $\text{Spec}(R/C_{z_{a,b}, I_w})$ follow directly from [KR21, Corollary 4.11].

In all cases, the final statement follows from the others together with Lemma 4.2 and [Ful92, Proposition 3.3(d)]. \square

Fix $w \in S_n$ and a lower outside corner (a, b) of $D(w)$. By Proposition 4.10, $C_{z_{a,b}, I_w} = \bigcap \{I_u : u \in \Phi(w, z_{a,b})\}$ defines a union of matrix Schubert varieties that is, in particular, an ASM variety, and so Corollary 5.22 gives a source of Cohen–Macaulay ASM varieties.

We can also use this approach to study ASM varieties that are not equidimensional, and hence fail to be Cohen–Macaulay. In the study of nonpure simplicial complexes, Stanley introduced *sequentially Cohen–Macaulay* varieties. For background on the sequentially Cohen–Macaulay property, we refer the reader to [Sta96, Section III.2]. An equidimensional variety is Cohen–Macaulay if and only if it is sequentially Cohen–Macaulay, just as a pure simplicial complex is shellable in the traditional sense if and only if it is shellable in the nonpure sense of [BW96].

Corollary 5.23. *Let $w_1, \dots, w_r \in S_n$ be permutations (not necessarily of the same length), and set $J = \bigcap \{I_{w_i} : i \in [r]\}$. Fix a maximally southeast cell (a, b) among elements of $\bigcup \{D(w_i) : i \in [r]\}$. Suppose that no minimal prime of $C_{z_{a,b}, J}$ is a minimal prime of $N_{z_{a,b}, J}$. If $\text{Spec}(R/J)$ is sequentially Cohen–Macaulay and $\text{Spec}(R/N_{z_{a,b}, J})$ is Cohen–Macaulay, then $\text{Spec}(R/\text{in}_{z_{a,b}}(J))$ is sequentially Cohen–Macaulay. If $C_{z_{a,b}, J} \neq R$, then $\text{Spec}(R/C_{z_{a,b}, J})$ is sequentially Cohen–Macaulay as well.*

Proof. The case $\text{rk}_{w_i}(a, b) = 0$ for all $i \in [r]$ is the same as in Corollary 5.22. If $\text{rk}_{w_i}(a, b) \geq 1$ for some $i \in [r]$, then $C_{z_{a,b}, J} \neq R$. The condition that no minimal prime of $C_{z_{a,b}, J}$ be a minimal prime of $N_{z_{a,b}, J}$ implies that $\sqrt{C_{z_{a,b}, J}} \neq \sqrt{N_{z_{a,b}, J}}$. Again, Lemma 5.3 implies that $\text{in}_{z_{a,b}}(J) = C_{z_{a,b}, J} \cap (N_{z_{a,b}, J} + (z_{a,b}))$. The result now follows from [KR21, Theorem 7.1] (by a direct application of the forward direction for $C_{z_{a,b}, J}$ and an application of the backward direction for $\text{in}_{z_{a,b}}(J)$ with $I = \text{in}_{z_{a,b}}(J)$). \square

6. CONSEQUENCES FOR β -DOUBLE GROTHENDIECK TRANSITION RECURRENCES

Lascoux and Schützenberger [LS85] introduced a recurrence on Schubert polynomials called *transition*. These transition equations imply that each Schubert polynomial expands as a positive sum of monomials. This fact was subsequently reproved by the introduction of combinatorial formulas for these coefficients (e.g., [BJS93, FS94]), as well as through geometric arguments (e.g., [KM00, Kog00, BS02, KM05]). Analogous transition equations were also given for double Schubert polynomials (e.g., [KV97]). Also of interest are transition equations for *Grothendieck polynomials*, which are K-theoretic analogues of Schubert polynomials. Lascoux [Las01] gave transition equations for single Grothendieck polynomials (see also [Len03]). He also described the double Grothendieck version in [Las02]. All of these formulas follow from specializations of a recurrence on β -double Grothendieck polynomials, which represent classes in connective K-theory [Hud14].

An algebraic proof of transition for β -double Grothendieck polynomials was given in [Wei21, Appendix A]. The goal of this section is to give a geometrically motivated proof of this recurrence. We do so by analyzing the multigraded Hilbert series of matrix Schubert varieties. The geometric interpretation of transition in Proposition 6.5 was previously known to Knutson and Yong in unpublished work (see their slides [Yon04]), as was an unpublished proof of Proposition 4.10 that relied on Lascoux’s transition formula.

Fix $w \in S_n$, and take a lower outside corner (a, b) of $D(w)$. As before, let $v = wt_{a, w^{-1}(b)}$. Recall

$$\phi(w, z_{a,b}) = \{i \in [a-1] : vt_{i,a} > v \text{ and } \ell(vt_{i,a}) = \ell(v) + 1\}.$$

Given $U = \{i_1, \dots, i_k\}$ with $1 \leq i_1 < i_2 < \dots < i_k < a$, let

$$w_U = v(a i_k i_{k-1} \dots i_1)$$

(where the second permutation is written in cycle notation). Note that if $U = \emptyset$, then $w_U = v$.

Lemma 6.1. *Given $w \in S_n$, let (a, b) be a lower outside corner of $D(w)$. If $U \subseteq \phi(w, z_{a,b})$ and $U \neq \emptyset$, then $w_U = \vee \{vt_{i,a} : i \in U\}$.*

Proof. Take $U \subseteq \phi(w, z_{a,b})$ so that $U \neq \emptyset$. Write $U = \{i_1, \dots, i_k\}$ where $1 \leq i_1 < i_2 < \dots < i_k < a$. Then $b > v(i_1) > v(i_2) > \dots > v(i_k)$. We obtain the permutation matrix for w_U from the permutation matrix for v by doing the following:

- (1) change the 1's in positions $(i_\ell, v(i_\ell))$ to 0's for all $\ell \in [k]$,
- (2) change the 1 in position (a, b) to a 0, and
- (3) place 1's in positions $(a, v(i_k)), (i_k, v(i_{k-1})), \dots, (i_2, v(i_1)), (i_1, b)$.

Thus,

$$\mathbf{rk}_v(c, d) - \mathbf{rk}_{w_U}(c, d) = \begin{cases} 1 & \text{if } (c, d) \in \bigcup_{\ell \in [k]} [i_\ell, a-1] \times [v(i_\ell), b-1], \\ 0 & \text{otherwise.} \end{cases}$$

In particular, given $\ell \in [k]$,

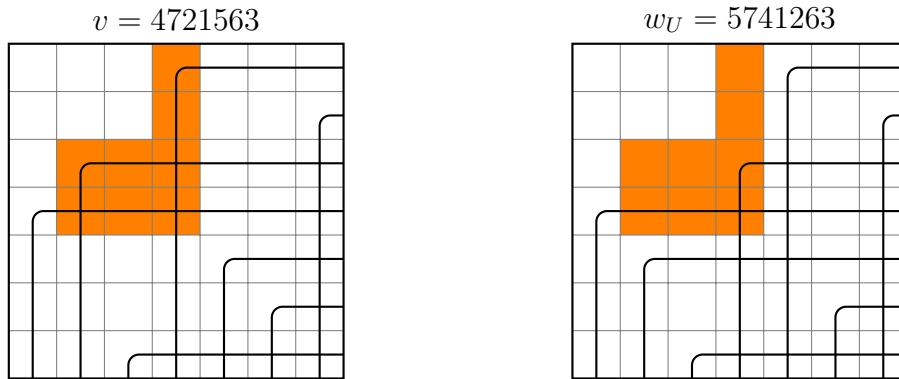
$$\mathbf{rk}_v(c, d) - \mathbf{rk}_{vt_{i_\ell, a}}(c, d) = \begin{cases} 1 & \text{if } (c, d) \in [i_\ell, a-1] \times [v(i_\ell), b-1], \\ 0 & \text{otherwise.} \end{cases}$$

Thus,

$$\mathbf{rk}_v(c, d) - \mathbf{rk}_{w_U}(c, d) = \max\{\mathbf{rk}_v(c, d) - \mathbf{rk}_{vt_{a,i}}(c, d) : i \in U\}$$

for all $c, d \in [n]$, which implies $\mathbf{rk}_{w_U}(c, d) = \min\{\mathbf{rk}_{vt_{a,i}}(c, d) : i \in U\}$ for all $c, d \in [n]$. Therefore, $w_U = \vee \{vt_{i,a} : i \in U\}$. \square

Example 6.2. We continue with $w = 4721653$ and $(a, b) = (5, 5)$ as in Example 3.7. Recall that $v = 4721563$ and $\phi(w, z_{55}) = \{1, 3, 4\}$. Consider $U = \{1, 3\} \subseteq \phi(w, z_{55})$, which corresponds to $\{u_1 = 5721463 = vt_{1,5}, u_2 = 4751263 = vt_{3,5}\} \subseteq \Phi(w, z_{55})$. Then $w_U = v(5\ 3\ 1) = 5741263 = \vee \{u_1, u_2\}$. The Rothe BPDs for v and w_U are presented below with the region $[1, 4] \times [4, 4] \cup [3, 4] \times [2, 4]$, in which \mathbf{rk}_v and \mathbf{rk}_{w_U} differ, highlighted on both BPDs in orange.



\diamond

The following lemma is a standard exercise.

Lemma 6.3. Fix a \mathbb{Z}^d grading on $S = \kappa[z_1, \dots, z_n]$ and an ideal I of S that is homogeneous with respect to the \mathbb{Z}^d grading. Fix a variable z_j . If I has a generating set that does not involve z_j , then

$$\text{Hilb}(S/(I + (z_j)); \mathbf{t}) = (1 - \mathbf{t}^{\deg(z_j)}) \cdot \text{Hilb}(S/I; \mathbf{t}).$$

We will also need the following lemma, which records an elementary relationship among Hilbert functions. We include a proof for completeness.

Lemma 6.4. Suppose that S is a finitely generated, \mathbb{Z}^d -graded κ -algebra and that I_1, \dots, I_r are homogeneous ideals of S . If $J = \bigcap \{I_i : i \in [r]\}$, then

$$\text{Hilb}(S/J; \mathbf{t}) = \sum_{\emptyset \neq U \subseteq [r]} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right).$$

Proof. We will proceed by induction on r , noting that the case $r = 1$ is simply the assertion $\text{Hilb}(S/I_1; \mathbf{t}) = \text{Hilb}(S/I_1; \mathbf{t})$. If $r \geq 2$, set $K = \bigcap \{I_i : i \in [r-1]\}$, and consider the short exact sequence of S -modules

$$0 \rightarrow S/(K \cap I_r) \rightarrow S/K \oplus S/I_r \rightarrow S/(K + I_r) \rightarrow 0,$$

where the first nontrivial map is $a \rightarrow (a, a)$ and the second is $(b, c) \mapsto b - c$. Using this short exact sequence, $\text{Hilb}(S/J; \mathbf{t}) = \text{Hilb}(S/K; \mathbf{t}) + \text{Hilb}(S/I_r; \mathbf{t}) - \text{Hilb}(S/(K + I_r); \mathbf{t})$. Set $T = S/I_r$. Then $\text{Hilb}(S/(K + I_r); \mathbf{t}) = \text{Hilb}(T/K; \mathbf{t})$. Now T is a finitely generated, \mathbb{Z}^d -graded κ -algebra, and $KT = \bigcap \{I_i T : i \in [r-1]\}$ is an intersection of $r-1$ homogeneous ideals of T . Hence, by induction,

$$\begin{aligned} \text{Hilb}(S/I_r; \mathbf{t}) - \text{Hilb}(S/(K + I_r); \mathbf{t}) &= \text{Hilb}(T; \mathbf{t}) - \text{Hilb}(T/KT; \mathbf{t}) \\ &= \text{Hilb}(T; \mathbf{t}) - \sum_{\emptyset \neq U \subseteq [r-1]} (-1)^{\#U-1} \text{Hilb}\left(T/\sum_{i \in U} I_i T; \mathbf{t}\right) \\ &= \sum_{\substack{\emptyset \neq U \subseteq [r] \\ r \in U}} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right). \end{aligned}$$

Also by induction,

$$\begin{aligned} \text{Hilb}(S/K; \mathbf{t}) &= \sum_{\emptyset \neq U \subseteq [r-1]} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right) \\ &= \sum_{\substack{\emptyset \neq U \subseteq [r] \\ r \notin U}} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right). \end{aligned}$$

Thus,

$$\begin{aligned} \text{Hilb}(S/J; \mathbf{t}) &= \sum_{\substack{\emptyset \neq U \subseteq [r] \\ r \in U}} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right) + \sum_{\substack{\emptyset \neq U \subseteq [r] \\ r \notin U}} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right) \\ &= \sum_{\emptyset \neq U \subseteq [r]} (-1)^{\#U-1} \text{Hilb}\left(S/\sum_{i \in U} I_i; \mathbf{t}\right), \end{aligned}$$

as desired. □

We now show that multigraded Hilbert series and K-polynomials of Schubert determinantal ideals satisfy a transition recurrence. Our proof follows from Proposition 4.10, which gave a recurrence on unions of matrix Schubert varieties.

Proposition 6.5. *Fix $w \in S_n$, and let (a, b) be a lower outside corner of $D(w)$. Let $\deg(z_{i,j}) = e_i + e_{n+j}$, in which case $(\mathbf{x}, \mathbf{y})^{\deg(z_{i,j})} = x_i y_j$. Then the following hold:*

(i)

$$\text{Hilb}(R/I_w; \mathbf{x}, \mathbf{y}) = (1 - x_a y_b) \text{Hilb}(R/I_v; \mathbf{x}, \mathbf{y}) + x_a y_b \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} \text{Hilb}(R/I_{w_U}; \mathbf{x}, \mathbf{y}).$$

(ii)

$$\mathcal{K}(R/I_w; \mathbf{x}, \mathbf{y}) = (1 - x_a y_b) \mathcal{K}(R/I_v; \mathbf{x}, \mathbf{y}) + x_a y_b \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} \mathcal{K}(R/I_{w_U}; \mathbf{x}, \mathbf{y}).$$

Proof. (i) First suppose $\text{rk}_w(a, b) = 0$. In this case, $z_{a,b} \in I_w$ and $I_w = I_v + (z_{a,b})$. Furthermore, $\phi(w, z_{a,b}) = \emptyset$. The natural generators of I_v do not involve $z_{a,b}$. Thus, we apply Lemma 6.3 and see

$$\text{Hilb}(R/I_w; \mathbf{x}, \mathbf{y}) = (1 - x_a y_b) \cdot \text{Hilb}(R/I_v; \mathbf{x}, \mathbf{y}),$$

as desired.

Now assume $\text{rk}_w(a, b) \geq 1$. Applying Proposition 4.10, $\text{in}_{z_{a,b}}(I_w) = C \cap (N + (z_{a,b}))$, where $C = \bigcap \{I_{vt_{a,i}} : i \in \phi(w, z_{a,b})\}$ and $N = I_v$.

Thus, by Lemma 6.4,

$$\begin{aligned} \text{Hilb}(R/I_w; \mathbf{x}, \mathbf{y}) &= \text{Hilb}(R/\text{in}_{z_{a,b}}(I_w); \mathbf{x}, \mathbf{y}) \\ &= \text{Hilb}(R/C; \mathbf{x}, \mathbf{y}) + \text{Hilb}(R/(N + (z_{a,b})); \mathbf{x}, \mathbf{y}) \\ &\quad - \text{Hilb}(R/(C + N + (z_{a,b})); \mathbf{x}, \mathbf{y}). \end{aligned}$$

Since $v \leq u$ for all $u \in \phi(w, z_{a,b})$, we have $N \subseteq C$ and so $C + N + (z_{a,b}) = C + (z_{a,b})$. As C has a generating set that does not involve $z_{a,b}$, we apply Lemma 6.3 to conclude

$$\text{Hilb}(R/(C + N + (z_{a,b})); \mathbf{x}, \mathbf{y}) = \text{Hilb}(R/(C + (z_{a,b})); \mathbf{x}, \mathbf{y}) = (1 - x_a y_b) \text{Hilb}(R/C; \mathbf{x}, \mathbf{y}).$$

Thus,

$$\text{Hilb}(R/I_w; \mathbf{x}, \mathbf{y}) = (1 - x_a y_b) \text{Hilb}(R/N; \mathbf{x}, \mathbf{y}) + x_a y_b \text{Hilb}(R/C; \mathbf{x}, \mathbf{y}).$$

By Lemma 6.4,

$$\text{Hilb}(R/C; \mathbf{x}, \mathbf{y}) = \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} \text{Hilb}(R/\sum_{i \in U} I_{vt_{a,i}}; \mathbf{x}, \mathbf{y}).$$

If $U \subseteq \phi(w, z_{a,b})$ and $U \neq \emptyset$, Lemma 6.1 yields

$$\text{Hilb}(R/\sum_{i \in U} I_{vt_{a,i}}; \mathbf{x}, \mathbf{y}) = \text{Hilb}(R/w_U; \mathbf{x}, \mathbf{y}),$$

from which the result follows.

(ii) This is an immediate consequence of (i). □

We may recover transition equations for (double) Schubert polynomials and (double) Grothendieck polynomials from Proposition 6.5. We start by working with the β -double Grothendieck polynomials of [FK94], from which the other formulas follow immediately.

Write $\mathbb{Z}[\beta][\mathbf{x}, \mathbf{y}] = \mathbb{Z}[\beta][x_1, \dots, x_n, y_1, \dots, y_n]$. There is an action of the symmetric group S_n on $\mathbb{Z}[\beta][\mathbf{x}, \mathbf{y}]$ defined by $w \cdot f = f(x_{w(1)}, \dots, x_{w(n)}, y_1, \dots, y_n)$. Given $f \in \mathbb{Z}[\beta][\mathbf{x}, \mathbf{y}]$, define

$$\pi_i(f) = \frac{(1 + \beta x_{i+1})f - (1 + \beta x_i)s_i \cdot f}{x_i - x_{i+1}}.$$

Let $x_i \oplus y_j = x_i + y_j + \beta x_i y_j$. We define the β -double Grothendieck polynomial $\mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y})$ as follows: If $w_0 = n \ n - 1 \ \dots \ 1$, then

$$\mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y}) = \prod_{i+j \leq n} (x_i \oplus y_j).$$

Otherwise, given $w \in S_n$ with $w(i) > w(i+1)$, we define $\mathfrak{G}_{ws_i}^{(\beta)}(\mathbf{x}, \mathbf{y}) = \pi_i(\mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y}))$. Because the operators π_i satisfy the same braid and commutation relations as the simple reflections s_i , the polynomial $\mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y})$ is well defined. Setting $\beta = 0$ and replacing each y_i with $-y_i$ recovers $\mathfrak{S}_w(\mathbf{x}, \mathbf{y})$.

Setting $\beta = -1$, we may obtain $\mathfrak{G}_w^{(-1)}(\mathbf{x}, \mathbf{y})$ by taking $\mathcal{K}(R/I_w; \mathbf{x}, \mathbf{y})$ and substituting $x_i \mapsto (1 - x_i)$ and $y_i \mapsto (1 - y_i)$ for all $i \in [n]$ (see [Buc02, Theorem 2.1] and [KM05, Theorem A]). From Proposition 6.5, we recover [Wei21, Theorem 2.3].

Corollary 6.6. Fix $w \in S_n$, and let (a, b) be a lower outside corner of $D(w)$. Then

$$\mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y}) = (x_a \oplus y_b) \mathfrak{G}_v^{(\beta)}(\mathbf{x}, \mathbf{y}) + (1 + \beta(x_a \oplus y_b)) \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} \beta^{\#U-1} \mathfrak{G}_{w_U}^{(\beta)}(\mathbf{x}, \mathbf{y}).$$

Proof. Making the substitutions $x_i \mapsto (1 - x_i)$ and $y_i \mapsto (1 - y_i)$ into Proposition 6.5(ii) yields

$$\begin{aligned} \mathfrak{G}_w^{(-1)}(\mathbf{x}, \mathbf{y}) &= (x_a + y_b - x_a y_b) \mathfrak{G}_v^{(-1)}(\mathbf{x}, \mathbf{y}) \\ &\quad + (1 - (x_a + y_b - x_a y_b)) \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} \mathfrak{G}_{w_U}^{(-1)}(\mathbf{x}, \mathbf{y}). \end{aligned}$$

Thus, the $\beta = -1$ case follows immediately from Proposition 6.5(ii).

We now derive the general equation from the $\beta = -1$ case. As a shorthand, write

$$\mathfrak{G}_u^{(-1)}(-\beta \mathbf{x}, -\beta \mathbf{y}) = \mathfrak{G}_u^{(-1)}(-\beta x_1, \dots, -\beta x_n, -\beta y_1, \dots, -\beta y_n).$$

Substituting $x_i \mapsto -\beta x_i$ and $y_i \mapsto -\beta y_i$ for all i yields

$$\begin{aligned} \mathfrak{G}_w^{(-1)}(-\beta \mathbf{x}, -\beta \mathbf{y}) &= -\beta(x_a \oplus y_b) \mathfrak{G}_v^{(-1)}(-\beta \mathbf{x}, -\beta \mathbf{y}) \\ &\quad + (1 + \beta(x_a \oplus y_b)) \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} \mathfrak{G}_{w_U}^{(-1)}(-\beta \mathbf{x}, -\beta \mathbf{y}). \end{aligned}$$

We have $\mathfrak{G}_u^{(-1)}(-\beta \mathbf{x}, -\beta \mathbf{y}) = (-\beta)^{\ell(u)} \mathfrak{G}_u^{(\beta)}(\mathbf{x}, \mathbf{y})$ for all $u \in S_n$. Thus,

$$\begin{aligned} (-\beta)^{\ell(w)} \mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y}) &= -\beta(x_a \oplus y_b) (-\beta)^{\ell(v)} \mathfrak{G}_v^{(\beta)}(\mathbf{x}, \mathbf{y}) \\ &\quad + (1 + \beta(x_a \oplus y_b)) \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} (-1)^{\#U-1} (-\beta)^{\ell(w_U)} \mathfrak{G}_{w_U}^{(\beta)}(\mathbf{x}, \mathbf{y}). \end{aligned}$$

If $U \subseteq \phi(w, z_{a,b})$, then $\ell(w_U) = \ell(w) + \#U - 1$. Furthermore, $\ell(v) + 1 = \ell(w)$. Thus,

$$\begin{aligned} (-\beta)^{\ell(w)} \mathfrak{G}_w^{(\beta)}(\mathbf{x}, \mathbf{y}) &= (-\beta)^{\ell(w)} (x_a \oplus y_b) \mathfrak{G}_v^{(\beta)}(\mathbf{x}, \mathbf{y}) \\ &\quad + (-\beta)^{\ell(w)} (1 + \beta(x_a \oplus y_b)) \sum_{\emptyset \neq U \subseteq \phi(w, z_{a,b})} \beta^{\#U-1} \mathfrak{G}_{w_U}^{(\beta)}(\mathbf{x}, \mathbf{y}), \end{aligned}$$

from which the claim follows. \square

By making appropriate specializations of the equation in Corollary 6.6, we may recover transition equations for (double) Schubert and (double) Grothendieck polynomials. In particular, we recover Theorem 3.5.

Proof of Theorem 3.5. As a consequence of Corollary 6.6, by setting $\beta = 0$ we obtain

$$\mathfrak{G}_w^{(0)}(\mathbf{x}, \mathbf{y}) = (x_a + y_b) \mathfrak{G}_v^{(0)}(\mathbf{x}, \mathbf{y}) + \sum_{i \in \phi(w, z_{a,b})} \mathfrak{G}_{w_{\{i\}}}^{(0)}(\mathbf{x}, \mathbf{y}).$$

We have $w_{\{i\}} = vt_{i,a}$ for all $i \in \phi(w, z_{a,b})$. Furthermore, $\mathfrak{G}_u(\mathbf{x}, \mathbf{y}) = \mathfrak{G}_u^{(0)}(\mathbf{x}, -\mathbf{y})$. Thus, the result follows immediately by substituting $y_i \mapsto -y_i$ for all $i \in [n]$. \square

7. FURTHER INQUIRY

Computations in this section were assisted by Macaulay2 [GS] and Sage [The21]. All computations were performed over the field $\kappa = \mathbb{Q}$. As usual, let $R = \kappa[z_{1,1}, \dots, z_{n,n}]$.

Closely related to the study of Gröbner degenerations is, of course, the study of Gröbner bases themselves. The following problem remains open:

Problem 7.1. *Describe the generating sets of the Schubert determinantal ideal I_w that can occur as a Gröbner basis under some diagonal term order σ .*

For $w \in S_n$ and a diagonal term order σ , even when $\text{in}_\sigma(I_w)$ is radical, explicit Gröbner bases are only known in a special case governed by pattern avoidance [Kle23]. One of the two patterns in S_5 that does not fall under that result is 21543. When σ is a diagonal, lexicographic from southeast term order with respect to I_{21543} , already there are elements of the reduced Gröbner basis of higher degree than any Fulton generator of I_{21543} . By Corollary 5.20, there is a unique lexicographic from southeast initial ideal. The ideal $\text{in}_\sigma(I_{21543})$ has a reduced Gröbner basis containing nine elements, including one of degree five, though I_{21543} has only eight Fulton generators, one of degree one, and seven of degree three.

The situation looks especially complicated for $w \in S_n$ for which there is some diagram $D \subseteq [n] \times [n]$ so that $\#\{\mathcal{B} \in \text{BPD}(w) : D(\mathcal{B}) = D\} > 1$, in which case the authors expect there will always exist distinct reduced Gröbner bases arising from different diagonal term orders. For example, consider $214365 \in S_6$, and take σ to be the lexicographic order on the variables ordered starting from $z_{n,n}$, progressing up column n then up column $n-1$ and so on. Similarly, let σ' be the lexicographic order on the variables ordered starting from $z_{n,n}$, progressing left along row n , then row $n-1$ and so on. Then

$$\text{in}_\sigma(I_{214365}) = (z_{11}, z_{12}z_{21}z_{33}, z_{12}z_{21}z_{34}z_{43}z_{55}, z_{12}z_{23}z_{31}z_{34}z_{43}z_{55}, z_{13}z_{21}^2z_{32}z_{34}z_{43}z_{55})$$

while

$$\text{in}_{\sigma'}(I_{214365}) = (z_{11}, z_{12}z_{21}z_{33}, z_{12}z_{21}z_{34}z_{43}z_{55}, z_{13}z_{21}z_{32}z_{34}z_{43}z_{55}, z_{12}^2z_{23}z_{31}z_{34}z_{43}z_{55}).$$

Both $(z_{11}, z_{12}, z_{21}^2)$ and $(z_{11}, z_{12}^2, z_{21})$ are primary ideals giving multiplicity two at the prime ideal (z_{11}, z_{12}, z_{21}) (as predicted by Theorem 5.16), but, as we see above, they contribute to distinct initial ideals. We see from the initial ideals that the reduced Gröbner bases under σ and under σ' both have five elements, one each of degrees one, three, five, six, and seven; however, the Gröbner bases themselves are distinct. The complexity of this example may be surprising since I_{214365} has only three Fulton generators, one each of degrees one, three, and five.

Moreover, not only can diagonal initial ideals fail to be radical, they can even have embedded associated primes. For example, with σ and σ' as above, $\text{in}_\sigma(I_{2143675})$ has 49 associated primes, 43 of which are of height four and six of which are of height five, and $\text{in}_{\sigma'}(I_{2143675})$ has 46 associated primes, 43 of which are height four and three of which are height five. Because $\text{Spec}(R/\text{in}_\sigma(I_{2143675}))$ and $\text{Spec}(R/\text{in}_{\sigma'}(I_{2143675}))$ are equidimensional, all height five associated primes are embedded. The authors do not know if the condition $\#\{\mathcal{B} \in \text{BPD}(w) : D(\mathcal{B}) = D\} \leq 1$ for all $D \subseteq [n] \times [n]$ precludes embedded primes, nor even if it is possible for some diagonal initial ideal of some I_w to have embedded primes while another does not.

Problem 7.2. *Characterize the permutations $w \in S_n$ for which there is some diagonal term order σ so that $\text{in}_\sigma(I_w)$ has embedded primes. Relatedly, characterize the permutations $w \in S_n$ so that $\text{in}_\sigma(I_w)$ has embedded primes for all diagonal term orders σ .*

We state below two problems related to Subsection 5.2.

Problem 7.3. *Characterize the Cohen–Macaulay (or sequentially Cohen–Macaulay) ASM varieties.*

Problem 7.4. *Characterize the sets of permutation $w_1, \dots, w_r \in S_n$ with $J = \bigcap \{I_{w_i} : i \in [r]\}$ for which $\text{Spec}(R/J)$ is Cohen–Macaulay (or sequentially Cohen–Macaulay).*

In light of Lemma 2.6, a complete solution to Problem 7.4 would imply a complete solution to Problem 7.3. Equidimensional ASMs that are not Cohen–Macaulay (hence also not sequentially Cohen–Macaulay) appear as soon as ASM(5) and even as a union of just two matrix Schubert varieties: If $w_1 = 34512$ and $w_2 = w_1^{-1} = 45123$, then

$$I_{w_1} \cap I_{w_2} = (z_{11}, z_{12}, z_{21}, z_{22}) + ((z_{13}, z_{23}) \cap (z_{31}, z_{32})),$$

which defines (up to affine factors) a standard first example of a scheme that is equidimensional but not Cohen–Macaulay. One easily checks the equality $I_{w_1} \cap I_{w_2} = I_A$ for

$$A = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & -1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

An example of an ASM that is not even equidimensional is $A = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 1 & 0 & -1 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$, which

satisfies $I_A = I_{4123} \cap I_{3412} = (z_{11}, z_{12}) + ((z_{13}) \cap (z_{21}, z_{22}))$. Because $\text{Spec}(R/I_A)$ is not equidimensional, it is not Cohen–Macaulay. However, the Stanley–Reisner complex $\Delta(I_A)$ is

shellable in the nonpure sense of [BW96, Definition 2.1]; therefore, $\text{Spec}(R/I_A)$ is sequentially Cohen–Macaulay.

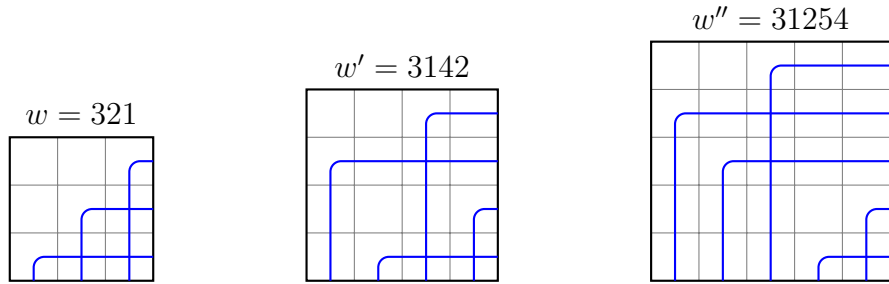
The questions of which ASMs or, more generally, which unions of matrix Schubert varieties are Cohen–Macaulay (or sequentially Cohen–Macaulay) are wide open and, in the opinion of the authors, quite interesting.

Finally, we consider a problem concerning integer partitions.

Problem 7.5. Fix $N \in \mathbb{N}$, and consider the standard grading on R and any diagonal term order σ . Characterize the partitions of N that arise as a vector of multiplicities of the minimal primes of $\text{in}_\sigma(J)$ for some $J = \bigcap \{I_{w_i} : i \in [r]\}$ for permutations $w_1, \dots, w_r \in S_n$ of the same length and satisfying $e(R/J) = N$.

If one takes σ as in the example below Problem 7.1, then, using Theorem 5.16, one can fix a monomial Schubert determinantal ideal I_w and integer $m \in \mathbb{Z}_+$ and construct an intersection J of Schubert determinantal ideals so that the multiplicity of $\text{Spec}(R/I_w)$ along $\text{Spec}(R/\text{in}_\sigma(J))$ is exactly m (provided n is sufficiently large). To give an example of this construction, it will be convenient to work in $S_\infty = \bigcup_{n \in \mathbb{Z}_+} S_n$ with the usual embeddings $S_n \hookrightarrow S_{n+1}$.

Example 7.6. If $I_w = (z_{11}, z_{12}, z_{21})$, then we may begin with $w = 321 \in S_3$ and choose the lower outside corner $(a, b) = (2, 1)$. We multiply by the 3-cycle $(234) = (a(b^{-1})(n+1))$ to obtain $w' = 3142 \in S_4$. We have constructed w' to have a blank tile at $(3, 2)$, where pipes 2 and $w^{-1}(1) = 3$ cross in the Rothe BPD of w , so that we have $C_{z_{32}, I_{w'}} = I_w$. Similarly, we may multiply w' by the 3-cycle (345) to obtain $w'' = 31254 \in S_5$, which satisfies $C_{z_{44}, I_{w''}} = I_{w'} \cap I_{41235}$. It follows from Theorem 5.16 that, if $J = I_{32145} \cap I_{31425} \cap I_{31245} \cap I_{41235}$, then $\text{mult}_{I_w}(R/\text{in}_\sigma(J)) = 3$. (At each step, if the newly created lower outside corner is (a', b') , then multiplying by the 3 cycle $(a'(b'^{-1})(n+1))$ creates a new permutation whose unique droop of pipe b' recovers the previous permutation via Lemma 3.6.) The cost of growing the multiplicity at I_w to 3 in this way is multiplicity 2 at (z_{11}, z_{12}, z_{32}) and multiplicity 1 at (z_{11}, z_{12}, z_{43}) as well as multiplicity 1 at (z_{11}, z_{12}, z_{13}) coming from the unique droop of pipe 3 in the Rothe BPD of 31254.



In this case, we have constructed the partition $7 = 3 + 2 + 1 + 1$ coming from $7 = e(R/J)$ and 3, 2, 1, and 1 the multiplicities at the distinct minimal primes of $R/\text{in}_\sigma(J)$. The intersection $J' = I_{3214} \cap I_{3142} \cap I_{2413} \cap I_{4123} \cap I_{4123} \cap I_{2341}$ gives $7 = e(R/J') = 3 + 1 + 1 + 1 + 1$. The complete list of possible partitions arising in this way, however, is not immediately obvious. \diamond

Example 7.7. If λ is a partition whose conjugate has distinct parts, it is possible to construct an ideal $J = \bigcap \{I_{w_i} : i \in [r]\}$ for which the vector of multiplicities is λ . Fix a partition $\mu = (\mu_1, \dots, \mu_r)$ with distinct parts and let w_i be the simple transposition s_{μ_i} . Then $\text{BPD}(w_i)$

has exactly μ_i elements and $\{D(\mathcal{B}) : \mathcal{B} \in \text{BPD}(w_i)\} = \{\{(i, i)\} : i \in [\mu_i]\}$. Thus, if $\lambda = (\lambda_1, \dots, \lambda_{\mu_1})$ is the conjugate of μ and σ is as above, the multiplicity of $\text{Spec}(R/(z_{i,i}))$ along $\text{Spec}(R/\text{in}_\sigma(J))$ is exactly λ_i . \diamond

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