

## DEGREES OF SYMMETRIC GROTHENDIECK POLYNOMIALS AND CASTELNUOVO-MUMFORD REGULARITY

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**ABSTRACT.** We give an explicit formula for the degree of the Grothendieck polynomial of a Grassmannian permutation and a closely related formula for the Castelnuovo-Mumford regularity of the Schubert determinantal ideal of a Grassmannian permutation. We then provide a counterexample to a conjecture of Kummini-Lakshmibai-Sastry-Seshadri on a formula for regularities of standard open patches of particular Grassmannian Schubert varieties and show that our work gives rise to an alternate explicit formula in these cases. We end with a new conjecture on the regularities of standard open patches of *arbitrary* Grassmannian Schubert varieties.

### 1. INTRODUCTION

Lascoux and Schützenberger [11] introduced *Grothendieck polynomials* to study the K-theory of flag varieties. Grothendieck polynomials have a recursive definition, using divided difference operators. The symmetric group  $S_n$  acts on the polynomial ring  $\mathbb{Z}[x_1, x_2, \dots, x_n]$  by permuting indices. Let  $s_i$  be the simple transposition in  $S_n$  exchanging  $i$  and  $i + 1$ . Then define operators on  $\mathbb{Z}[x_1, x_2, \dots, x_n]$

$$\partial_i = \frac{1 - s_i}{x_i - x_{i+1}} \text{ and } \pi_i = \partial_i(1 - x_{i+1}).$$

Write  $w_0 = n \, n - 1 \, \dots \, 1$  for the **longest permutation** in  $S_n$  (in one-line notation) and take

$$\mathfrak{G}_{w_0}(x_1, x_2, \dots, x_n) = x_1^{n-1} x_2^{n-2} \cdots x_{n-1}.$$

Let  $w_i := w(i)$  for  $i \in [n]$ . Then if  $w_i > w_{i+1}$ , we define  $\mathfrak{G}_{s_i w} = \pi_i(\mathfrak{G}_w)$ . We call  $\{\mathfrak{G}_w : w \in S_n\}$  the set of **Grothendieck polynomials**. Since the  $\pi_i$ 's satisfy the same braid and commutation relations as the simple transpositions, each  $\mathfrak{G}_w$  is well defined.

Grothendieck polynomials are generally inhomogeneous. The lowest degree of the terms in  $\mathfrak{G}_w$  is given by the *Coxeter length* of  $w$ . The degree (i.e. highest degree of the terms) of  $\mathfrak{G}_w$  can be described combinatorially in terms of pipe dreams

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(see [3, 8]), but this description is not readily computable. We seek an explicit combinatorial formula. In this paper, we give such an expression in the Grassmannian case. Our proof relies on a formula of Lenart [12].

One motivation for wanting easily-computable formulas for degrees of Grothendieck polynomials (for large classes of  $w \in S_n$ ) comes from commutative algebra: formulas for degrees of Grothendieck polynomials give rise to closely related formulas for *Castelnuovo-Mumford regularity* of associated Schubert determinantal ideals. Recall that Castelnuovo-Mumford regularity is an invariant of a homogeneous ideal related to its minimal free resolution (see Section 4 for definitions). Formulas for regularities of Schubert determinantal ideals yield formulas for regularities of certain well-known classes of generalized determinantal ideals in commutative algebra. For example, among the Schubert determinantal ideals are ideals of  $r \times r$  minors of an  $n \times m$  matrix of indeterminates and one sided ladder determinantal ideals. Furthermore, many other well-known classes of generalized determinantal ideals can be viewed as defining ideals of Schubert varieties intersected with opposite Schubert cells, so degrees of specializations of *double Grothendieck polynomials* govern Castelnuovo-Mumford regularities in these cases. Thus, one purpose of this paper is to suggest a purely combinatorial approach to studying regularities of certain classes of generalized determinantal ideals.

## 2. BACKGROUND ON PERMUTATIONS

We start by recalling some background on the symmetric group. We follow [13] as a reference. Let  $S_n$  denote the **symmetric group** on  $n$  letters, i.e. the set of bijections from the set  $[n] := \{1, 2, \dots, n\}$  to itself. We typically represent permutations in one-line notation. The **permutation matrix** of  $w$ , also denoted by  $w$ , is the matrix which has a 1 at  $(i, w_i)$  for all  $i \in [n]$ , and zeros elsewhere.

The **Rothe diagram** of  $w$  is the subset of cells in the  $n \times n$  grid

$$D(w) = \{(i, j) \mid 1 \leq i, j \leq n, w_i > j, \text{ and } w_j^{-1} > i\}.$$

Graphically,  $D(w)$  is the set of cells in the grid which remain after plotting the points  $(i, w_i)$  for each  $i \in [n]$  and striking out any boxes which appear weakly below or weakly to the right of these points. The **essential set** of  $w$  is the subset of the diagram

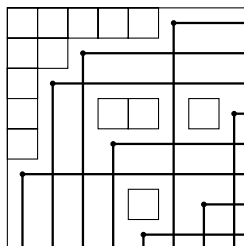
$$\mathcal{Ess}(w) = \{(i, j) \in D(w) \mid (i+1, j), (i, j+1) \notin D(w)\}.$$

Each permutation has an associated **rank function** defined by

$$r_w(i, j) = |\{(i', w_{i'}) \mid i' \leq i, w_{i'} \leq j\}|.$$

We write  $\ell(w) := |D(w)|$  for the **Coxeter length** of  $w$ .

**Example 2.1.** If  $w = 63284175 \in S_8$  (in one-line notation) then  $D(w)$  is the following:



Here  $\mathcal{E}_{ss}(w) = \{(1, 5), (2, 2), (4, 5), (4, 7), (5, 1), (7, 5)\}$ .

### 3. GRASSMANNIAN GROTHENDIECK POLYNOMIALS

A **partition** is a weakly decreasing sequence of nonnegative integers  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$ . We define the **length** of  $\lambda$  to be  $\ell(\lambda) = |\{h \in [k] \mid \lambda_h \neq 0\}|$  and the **size** of  $\lambda$ , denoted  $|\lambda|$ , to be  $\sum_{i=1}^k \lambda_i$ . Write  $\mathcal{P}_k$  for the set of partitions of length at most  $k$ . Here, we conflate partitions with their Young diagrams, i.e. the notation  $(i, j) \in \lambda$  indicates choosing the  $j$ th box in the  $i$ th row of the Young diagram of  $\lambda$ .

We say  $w \in S_n$  has a **descent** at position  $k$  if  $w_k > w_{k+1}$ . A permutation  $w \in S_n$  is **Grassmannian** if  $w$  has a unique descent. To each Grassmannian permutation  $w$ , we can uniquely associate a partition  $\lambda \in \mathcal{P}_k$ :

$$\lambda = (w_k - k, \dots, w_1 - 1),$$

where  $k$  is the position of the descent of  $w$ .

Let  $w_\lambda$  denote the Grassmannian permutation associated to  $\lambda$ . It is easy to check that

$$(1) \quad |\lambda| = \ell(w_\lambda) = |D(w_\lambda)|.$$

Define  $\text{YTab}(\lambda)$  to be the set of fillings of  $\lambda$  with entries in  $[k]$  so that

- entries weakly increase from left-to-right along rows and
- entries strictly increase from top-to-bottom along columns.

For a partition  $\lambda$ , the **Schur polynomial** in  $k$  variables is

$$s_\lambda(x_1, x_2, \dots, x_k) = \sum_{T \in \text{YTab}(\lambda)} \prod_{i=1}^k x_i^{\#i\text{'s in } T}.$$

**Definition 3.1.** Let  $\lambda, \mu \in \mathcal{P}_k$  so that  $\lambda \subseteq \mu$ . Denote by  $\text{Tab}(\mu/\lambda)$  the set of fillings of the skew shape  $\mu/\lambda$  with entries in  $[k]$  such that

- entries strictly increase left-to-right in each row,
- entries strictly increase top-to-bottom in each column, and
- entries in row  $i$  are at most  $i - 1$  for each  $i \in [k]$ .

For ease of notation, let  $\mathfrak{S}_\lambda := \mathfrak{S}_{w_\lambda}$ .

**Theorem 3.2** ([12, Theorem 2.2]). For a Grassmannian permutation  $w_\lambda \in S_n$ ,

$$\mathfrak{S}_\lambda(x_1, x_2, \dots, x_k) = \sum_{\substack{\mu \in \mathcal{P}_k \\ \lambda \subseteq \mu}} a_{\lambda\mu} s_\mu(x_1, x_2, \dots, x_k)$$

where  $(-1)^{|\mu| - |\lambda|} a_{\lambda\mu} = |\text{Tab}(\mu/\lambda)|$  and  $k$  is the unique descent of  $w_\lambda$ .

**Example 3.3.** The Grassmannian permutation  $w = 24813567$  corresponds to  $\lambda = (5, 2, 1)$ . By Theorem 3.2,

$$\mathfrak{S}_{(5,2,1)}(x_1, x_2, x_3) = s_{(5,2,1)} - 2s_{(5,2,2)} - s_{(5,3,1)} + 2s_{(5,3,2)} - s_{(5,3,3)}.$$

This corresponds to the tableaux:


**Definition 3.4.** We say a partition  $\mu$  is **maximal** for  $\lambda$  if  $\text{Tab}(\mu/\lambda) \neq \emptyset$  and  $\text{Tab}(\nu/\lambda) = \emptyset$  whenever  $|\nu| > |\mu|$ .

The following lemma can be obtained from the proof of [12, Theorem 2.2], but we include it for completeness.

**Lemma 3.5.** *Fix a partition  $\lambda \in \mathcal{P}_k$ . Define  $\mu$  by setting  $\mu_1 = \lambda_1$ , and  $\mu_i = \min\{\mu_{i-1}, \lambda_i + (i-1)\}$  for each  $1 < i \leq k$ . Then  $\mu$  is the unique partition that is maximal for  $\lambda$ .*

*Proof.* Let  $\rho$  be any partition with  $\text{Tab}(\rho/\lambda) \neq \emptyset$ . Since elements of  $\text{Tab}(\rho/\lambda)$  have strictly increasing rows,  $\rho/\lambda$  has at most  $i-1$  boxes in row  $i$  for each  $i$ . That is,  $\rho_i \leq \lambda_i + (i-1)$  for each  $i$ . It follows that  $\rho_i \leq \mu_i$  for each  $i$ . Thus, uniqueness of  $\mu$  will follow once we show that  $\mu$  is maximal for  $\lambda$ . It suffices to produce an element  $T \in \text{Tab}(\mu/\lambda)$ .

We will denote by  $T(i, j)$  the filling by  $T$  of the box in row  $i$  and column  $j$  of  $\mu$ . For each  $i$  and  $j$  with  $\lambda_i < j \leq \mu_i$ , set

$$T(i, j) = i + j - \mu_i - 1.$$

It is easily seen that  $T$  strictly increases along rows with  $T(i, j) \in [i-1]$  for each  $i$ . To see that  $T \in \text{Tab}(\mu/\lambda)$ , it remains to note that  $T$  strictly increases down columns. Observe

$$T(i, j) - T(i-1, j) = \mu_{i-1} - \mu_i + 1 > 0.$$

□

**Example 3.6.** If  $\lambda = (10, 10, 9, 7, 7, 2, 1)$ , the unique partition  $\mu$  maximal for  $\lambda$  is  $\mu = (10, 10, 10, 10, 10, 7, 7)$ . Below is the tableau  $T \in \text{Tab}(\mu/\lambda)$  constructed in the proof of Lemma 3.5.

									2
							1	2	3
							2	3	4
		1	2	3	4	5			
	1	2	3	4	5	6			

**Definition 3.7.** Given a partition  $\lambda = (\lambda_1, \dots, \lambda_k)$ , let  $P(\lambda) = (P_1, P_2, \dots, P_r)$  be the set partition of  $[k]$  such that  $i, j \in P_h$  if and only if  $\lambda_i = \lambda_j$ , and  $\lambda_i > \lambda_j$  whenever  $i \in P_h$  and  $j \in P_l$  with  $h < l$ .

Note that if  $\lambda = (\lambda_1, \dots, \lambda_k) = (\lambda_{i_1}^{p_1}, \dots, \lambda_{i_r}^{p_r})$  in exponential notation, then  $p_h = |P_h|$  for each  $h \in [r]$ . In the following definition, we describe a decomposition of  $\lambda$  into rectangles.

**Definition 3.8.** Let  $\lambda = (\lambda_1, \dots, \lambda_k)$  be a partition and  $P(\lambda) = (P_1, P_2, \dots, P_r)$ . Set  $m_h = \min P_h$  for each  $h$ . Define  $R(\lambda) = (R_1, R_2, \dots, R_r)$  by setting

$$R_h := \left\{ (i, j) \in \lambda \mid i \in \bigcup_{l=1}^h P_l \text{ and } \lambda_{m_{h+1}} < j \leq \lambda_{m_h} \right\},$$

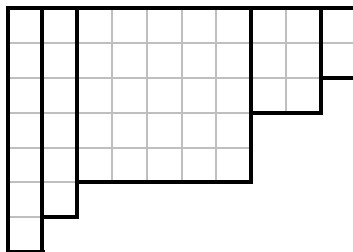
where we take  $\lambda_{m_{r+1}} := 0$ .

Set  $\lambda^{(h)}$  to be the partition

$$\lambda^{(h)} = \bigcup_{j=1}^h R_j$$

for  $h \in [r]$ . Equivalently, for  $h \in [r-1]$ ,  $\lambda^{(h)} = (\lambda_1 - \lambda_i, \lambda_2 - \lambda_i, \dots, \lambda_{i-1} - \lambda_i)$  where  $i = \min P_{h+1}$ , and  $\lambda^{(r)} = \lambda$ . Set  $\lambda^{(0)} := \emptyset$ .

**Example 3.9.** For  $\lambda$  as in Example 3.6, one has  $P_1 = \{1, 2\}$ ,  $P_2 = \{3\}$ ,  $P_3 = \{4, 5\}$ ,  $P_4 = \{6\}$ , and  $P_5 = \{7\}$ . The sets in  $R(\lambda)$  are outlined below, with  $R_1$  the rightmost rectangle and  $R_5$  the leftmost. Considering  $h = 2$ ,  $\lambda^{(h)} = R_1 \cup R_2 = (10 - 7, 10 - 7, 9 - 7) = (3, 3, 2)$ .



**Definition 3.10.** For any  $n \geq 1$ , let  $\delta^n$  denote the **staircase shape**  $\delta^n = (n, n-1, \dots, 1)$ . Given a partition  $\mu$ , let

$$\mathbf{sv}(\mu) = \max \{k \mid \delta^k \subseteq \mu\}.$$

The partition  $\delta^{\mathbf{sv}(\mu)}$  is called the **Sylvester triangle** of  $\mu$ .

**Proposition 3.11.** Suppose  $\mu$  is maximal for  $\lambda$  and  $P(\lambda) = (P_1, \dots, P_r)$ . If  $i \in P_{h+1}$  for some  $0 \leq h < r$ , then

$$\mu_i = \lambda_i + \mathbf{sv}(\lambda^{(h)}).$$

*Proof.* By Lemma 3.5,  $\mu_1 = \lambda_1$  and  $\mu_i = \min\{\mu_{i-1}, \lambda_i + (i-1)\}$  for  $1 < i \leq k$ . Clearly  $P(\lambda)$  refines  $P(\mu)$ : if  $\lambda_i = \lambda_j$ , then  $\mu_i = \mu_j$ . Example 3.6 shows this refinement can be strict. Hence, it suffices to prove the statement when  $i = \min P_{h+1}$ . We work by induction on  $h$ .

When  $h = 0$ ,  $i = \min(P_1) = 1$ . Since  $\lambda_1 = \mu_1$ , the result follows. Suppose the claim holds for some  $h-1$ . We show the claim holds for  $h$ . Let  $i = \min P_{h+1}$ . Then it suffices to show that

$$(2) \quad \lambda_i + \mathbf{sv}(\lambda^{(h)}) = \min\{\mu_{i-1}, \lambda_i + (i-1)\}.$$

Since  $i = \min P_{h+1}$ , it follows that  $i-1 \in P_h$ . By applying the inductive assumption to  $\mu_{i-1}$ ,

$$(3) \quad \min\{\mu_{i-1}, \lambda_i + (i-1)\} = \min\{\lambda_{i-1} + \mathbf{sv}(\lambda^{(h-1)}), \lambda_i + (i-1)\}.$$

By Equations (2) and (3), the proof is complete once we show

$$(4) \quad \mathbf{sv}(\lambda^{(h)}) = \min\{(\lambda_{i-1} - \lambda_i) + \mathbf{sv}(\lambda^{(h-1)}), i-1\}.$$

Let  $\omega, \ell$  respectively denote the (horizontal) width and (vertical) length of  $R_h$ , and set  $M = \mathbf{sv}(\lambda^{(h-1)})$ . Equation (4) is equivalent to proving

$$\mathbf{sv}(\lambda^{(h)}) = \min\{\omega + M, \ell\}.$$

By definition,  $\lambda^{(h)} = R_h \cup \lambda^{(h-1)}$ , so it is straightforward to see that

$$\mathbf{sv}(\lambda^{(h)}) \leq \min\{\omega + M, \ell\}.$$

Let  $(M, c)$  be the southwest most box in the northwest most embedding of  $\delta^M \subseteq \lambda^{(h-1)}$ , with the indexing inherited from  $\lambda$ .

Suppose first that  $\ell \geq \omega + M$ . Since  $R_h$  is a rectangle,  $(\omega + M, c - \omega) \in \lambda^{(h)}$ . Then  $\delta^{\omega+M} \subseteq \lambda^{(h+1)}$  and Equation (4) follows. Otherwise, it must be that  $\ell - M < \omega$ . Since  $R_h$  is a rectangle,  $(\ell, c - \ell + M) \in \lambda^{(h)}$ . Thus,  $\delta^\ell \subseteq \lambda^{(h+1)}$  and Equation (4) follows.  $\square$

**Theorem 3.12.** *Suppose  $w_\lambda \in S_n$  is a Grassmannian permutation. Let  $P(\lambda) = (P_1, \dots, P_r)$ . Then*

$$\deg(\mathfrak{G}_\lambda) = |\lambda| + \sum_{h \in [r-1]} |P_{h+1}| \cdot \mathbf{sv}(\lambda^{(h)}).$$

*Proof.* By Theorem 3.2 and Lemma 3.5, the highest nonzero homogeneous component of  $\mathfrak{G}_\lambda$  is  $a_{\lambda\mu}s_\mu$  where  $\mu$  is maximal for  $\lambda$ . Since  $\deg(s_\mu)$  is  $|\mu|$ , Proposition 3.11 implies the theorem, using the fact that  $\mathbf{sv}(\lambda^{(0)}) = 0$ .  $\square$

**Example 3.13.** Returning to  $\lambda$  as in Example 3.6, Theorem 3.12 states that  $\deg(\mathfrak{G}_\lambda) = |\lambda| + \sum_{h=1}^4 |P_{h+1}| \cdot \mathbf{sv}(\lambda^{(h)}) = 46 + (1 \cdot 1 + 2 \cdot 3 + 1 \cdot 5 + 1 \cdot 6) = 46 + 18 = 64$ .

#### 4. CASTELNUOVO-MUMFORD REGULARITY OF GRASSMANNIAN MATRIX SCHUBERT VARIETIES

In this section, we recall some basics of Castelnuovo-Mumford regularity and then use Theorem 3.12 to produce easily-computable formulas for the regularities of matrix Schubert varieties associated to Grassmannian permutations.

**4.1. Commutative algebra preliminaries.** Let  $S = \mathbb{C}[x_1, \dots, x_n]$  be a positively  $\mathbb{Z}^d$ -graded polynomial ring so that the only elements in degree zero are the constants. The **multigraded Hilbert series** of a finitely generated graded module  $M$  over  $S$  is

$$H(M; \mathbf{t}) = \sum_{\mathbf{a} \in \mathbb{Z}^d} \dim_K(M_{\mathbf{a}}) \mathbf{t}^{\mathbf{a}} = \frac{\mathcal{K}(M; \mathbf{t})}{\prod_{i=1}^n (1 - \mathbf{t}^{\mathbf{a}_i})}, \quad \deg(x_i) = \mathbf{a}_i,$$

where if  $\mathbf{a}_i = (a_i(1), \dots, a_i(d))$ , then  $\mathbf{t}^{\mathbf{a}_i} = t_1^{a_i(1)} \dots t_d^{a_i(d)}$ . The numerator  $\mathcal{K}(M; \mathbf{t})$  in the expression above is a Laurent polynomial in the  $t_i$ 's, called the **K-polynomial** of  $M$ . For more detail on  $K$ -polynomials, see [14, Chapter 8].

We are mostly interested in the case where  $S$  is standard graded, that is,  $\deg(x_i) = 1$ , and the case where  $M = S/I$  where  $I$  is a homogeneous ideal with respect to the standard grading. Note that, in this case, the  $K$ -polynomial is a polynomial in a single variable  $t$ . There is a minimal free resolution

$$0 \rightarrow \bigoplus_j S(-j)^{\beta_{l,j}} \rightarrow \bigoplus_j S(-j)^{\beta_{l-1,j}} \rightarrow \dots \rightarrow \bigoplus_j S(-j)^{\beta_{0,j}} \rightarrow S/I \rightarrow 0$$

where  $l \leq n$  and  $S(-j)$  is the free  $S$ -module obtained by shifting the degrees of  $S$  by  $j$ . The **Castelnuovo-Mumford regularity** of  $S/I$ , denoted  $\text{reg}(S/I)$ , is defined as

$$\text{reg}(S/I) := \max\{j - i \mid \beta_{i,j} \neq 0\}.$$

This invariant is a measure of the complexity of  $S/I$  and has multiple homological characterizations. For example,  $\text{reg}(S/I)$  is the smallest integer  $m$  for which  $\text{Ext}^j(S/I, S)_n = 0$ , for all  $j$  and all  $n \leq -m - j - 1$  (see [2, Proposition 20.16]). We refer the reader to [2, Chapter 20.5] for more information on regularity.

Let  $\mathcal{K}(S/I; t)$  denote the  $K$ -polynomial of  $S/I$  with respect to the standard grading. Assume that  $S/I$  is Cohen-Macaulay and let  $\text{ht}_S I$  denote the height of the ideal  $I$ . Then,

$$(5) \quad \text{reg}(S/I) = \deg \mathcal{K}(S/I; t) - \text{ht}_S I.$$

See, for example, [1, Lemma 2.5] and surrounding explanation. In this paper, we will use this characterization of regularity.

**4.2. Regularity of Grassmannian matrix Schubert varieties.** Let  $X$  be the space of  $n \times n$  matrices with entries in  $\mathbb{C}$ , let  $\tilde{X} = (x_{ij})$  denote an  $n \times n$  generic matrix of variables, and let  $S = \mathbb{C}[x_{ij}]$ . Given an  $n \times n$  matrix  $M$ , let  $M_{[i,j]}$  denote the submatrix of  $M$  consisting of the top  $i$  rows and left  $j$  columns of  $M$ . Given a permutation matrix  $w \in S_n$  we have the **matrix Schubert variety**

$$X_w := \{M \in X \mid \text{rank } M_{[i,j]} \leq \text{rank } w_{[i,j]}\},$$

which is an affine subvariety of  $X$  with defining ideal

$$I_w := \langle (r_w(i, j) + 1) - \text{size minors of } \tilde{X}_{[i,j]} \mid (i, j) \in \mathcal{E}ss(w) \rangle \subseteq S.$$

The ideal  $I_w$ , called a **Schubert determinantal ideal**, is prime [4] and is homogeneous with respect to the standard grading of  $S$ .

By [7, Theorem A], we have  $\mathcal{K}(S/I_w; t) = \mathfrak{G}_w(1 - t, \dots, 1 - t)$ , which has the same degree as  $\mathfrak{G}_w(x_1, \dots, x_n)$ , since the coefficients in homogeneous components of single Grothendieck polynomials have the same sign (see, for example, [7]). Thus,

$$(6) \quad \text{reg}(S/I_w) = \deg \mathfrak{G}_w(x_1, \dots, x_n) - \text{ht}_S I_w = \deg \mathfrak{G}_w(x_1, \dots, x_n) - |D(w)|,$$

where the second equality follows because

$$\text{ht}_S I_w = \text{codim}_X X_w = |D(w)|$$

by [4]. We now turn our attention to the case where  $w$  is a Grassmannian permutation and retain the notation from the previous section.

**Corollary 4.1.** *Suppose  $w_\lambda \in S_n$  is a Grassmannian permutation. Let  $P(\lambda) = (P_1, \dots, P_r)$ . Then*

$$\text{reg}(S/I_{w_\lambda}) = \sum_{h \in [r-1]} |P_{h+1}| \cdot \text{sv}(\lambda^{(h)}).$$

*Proof.* This is immediate from Theorem 3.12, Equation (6), and Equation (1).  $\square$

**Example 4.2.** Continuing Example 3.13, Corollary 4.1 states  $\text{reg}(S/I_{w_\lambda}) = 18$ .

**Example 4.3.** The ideal of  $(r+1) \times (r+1)$  minors of a generic  $n \times m$  matrix is the Schubert determinantal ideal of a Grassmannian permutation  $w \in S_{n+m}$ . Indeed,  $w$  is the permutation of minimal length in  $S_{n+m}$  such that  $\text{rank } w_{[n,m]} = r$ .

The corresponding partition is  $\lambda = (m-r)^{(n-r)}0^r$ . We have  $\lambda^{(1)} = (m-r)^{(n-r)}$  and so  $\text{sv}(\lambda^{(1)}) = \min\{m-r, n-r\}$ . Furthermore,  $|P_2| = r$ . Therefore,

$$\text{reg}(S/I_w) = r \cdot \min\{m-r, n-r\} = r \cdot (\min\{m, n\} - r).$$

We claim no originality for the formula in Example 4.3; minimal free resolutions of ideals of  $r \times r$  minors of a generic  $n \times m$  matrix are well-understood (see [10] or [15, Chapter 6]).

## 5. ON THE REGULARITY OF COORDINATE RINGS OF GRASSMANNIAN SCHUBERT VARIETIES INTERSECTED WITH THE OPPOSITE BIG CELL

In this section, we discuss a conjecture of Kummini-Lakshmibai-Sastry-Seshadri [9] on Castelnuovo-Mumford regularity of coordinate rings of certain open patches of Grassmannian Schubert varieties. We provide a counterexample to the conjecture, and then we state and prove an alternate explicit formula for these regularities. We end with a conjecture on regularities of coordinate rings of standard open patches of *arbitrary* Schubert varieties in Grassmannians.

**5.1. Grassmannian Schubert varieties in the opposite big cell.** Fix  $k \in [n]$  and let  $Y$  denote the space of  $n \times n$  matrices of the form

$$(7) \quad \begin{bmatrix} M & I_k \\ I_{n-k} & 0 \end{bmatrix},$$

where  $M$  is a  $k \times (n-k)$  matrix with entries in  $\mathbb{C}$  and  $I_k$  is a  $k \times k$  identity matrix. Let  $P \subseteq GL_n(\mathbb{C})$  denote the maximal parabolic of block lower triangular matrices with block rows of size  $k, (n-k)$  (listed from top to bottom). Then the Grassmannian of  $k$ -planes in  $n$ -space,  $Gr(k, n)$ , is isomorphic to  $P \backslash GL_n(\mathbb{C})$ . Further, the map  $\pi : GL_n(\mathbb{C}) \rightarrow Gr(k, n)$  given by taking a matrix to its coset mod  $P$  induces an isomorphism from  $Y$  onto an affine open subvariety  $U$  of  $Gr(k, n)$  (often called the opposite big cell).

Let  $B \subseteq GL_n(\mathbb{C})$  be the Borel subgroup of upper triangular matrices. **Schubert varieties**  $X_w$  in  $P \backslash GL_n(\mathbb{C})$  are closures of orbits  $P \backslash PwB$ , where  $w \in S_n$  is a Grassmannian permutation with descent at position  $k$ . Let  $Y_w$  denote the affine subvariety of  $Y$  defined to be  $\pi|_Y^{-1}(X_w \cap U)$ .

Let  $\tilde{Y}$  denote the matrix that has the form given in (7) with variables  $m_{ij}$  as the entries of  $M$ . Then, the coordinate ring of  $Y$  is  $\mathbb{C}[Y] = \mathbb{C}[m_{ij} \mid i \in [k], j \in [n-k]]$ , and the prime defining ideal  $J_w$  of  $Y_w$  is generated by the essential minors of  $\tilde{Y}$ . That is,

$$(8) \quad J_w = \langle (r_w(i, j) + 1) - \text{size minors of } \tilde{Y}_{[i, j]} \mid (i, j) \in \mathcal{Ess}(w) \rangle.$$

**5.2. A conjecture, counterexample, and correction.** We now consider a conjecture of Kummini-Lakshmibai-Sastry-Seshadri from [9] on regularities of coordinate rings of standard open patches of certain Schubert varieties in Grassmannians. We show that this conjecture is false by providing a counterexample, and then state and prove an alternate explicit combinatorial formula for these regularities. This latter result follows immediately from our Corollary 4.1.

To state the conjecture from [9], we first translate the conventions from their paper to ours. Indeed, we use the same notation as the previous section and assume that  $w \in S_n$  is a Grassmannian permutation with unique descent at position  $k$ . Suppose that  $w = w_1 w_2 \cdots w_n$  in one-line notation. Observe that  $w$  is uniquely determined from  $n$  and  $(w_1, \dots, w_k)$ . Suppose further that for some  $r \in [k-1]$ ,

$$(9) \quad w_{k-r+i} = n - k + i \quad \text{for all } i \in [r]$$

and  $w_1 = 1$ . Let  $\tilde{w}$  be defined by  $(\tilde{w}_1, \dots, \tilde{w}_k) = (n - w_k + 1, \dots, n - w_1 + 1)$ . Then we have

$$(\tilde{w}_1, \dots, \tilde{w}_k) = (k - r + 1, k - r + 2, \dots, k, a_{r+1}, \dots, a_{n-1}, n)$$



for some  $k < a_{r+1} < \cdots < a_{n-1} < n$ . Let  $a_r = k$  and  $a_k = n$ . For  $r \leq i \leq k-1$ , define  $m_i = a_{i+1} - a_i$ .

**Conjecture 5.1** ([9, Conjecture 7.5]).

$$(10) \quad \operatorname{reg}(\mathbb{C}[Y]/J_w) = \sum_{i=r}^{k-1} (m_i - 1)i.$$

**Example 5.2.** We consider [9, Example 6.1]. Let  $J$  be the ideal generated by  $3 \times 3$  minors of a  $4 \times 3$  matrix of indeterminates. Then  $J = J_w$  for  $w = 1245367 \in S_7$ , where  $k = 4$  and  $n = 7$ . Then  $\tilde{w} = (3, 4, 6, 7)$ . Here we see that Equation (10) yields a regularity of 2. This matches the regularity we computed in Example 4.3.

We now show that Conjecture 5.1 is not always true.

**Example 5.3.** Let  $k = 4$ ,  $n = 10$ ,  $w = 145723689(10)$  so that  $\tilde{w} = (4, 6, 7, 10)$ . Then  $\tilde{w}$  has the desired form. Furthermore, we have that  $m_1 = 2, m_2 = 1, m_3 = 3$ . Thus, by Conjecture 5.1, the regularity should be  $(2-1)1 + (1-1)2 + (3-1)3 = 1 + 6 = 7$ . However, a check in Macaulay2 [5] yields a regularity of 5. In fact,  $J_w$ , once induced to a larger polynomial ring, is a Schubert determinantal ideal for  $w$ , so we can use our formula from Corollary 4.1. Notice  $w$  has associated partition  $\lambda = (3, 2, 2, 0)$ . Then  $\lambda^{(1)} = (1)$  and  $\lambda^{(2)} = (3, 2, 2)$ , giving  $\operatorname{reg}(\mathbb{C}[Y]/J_w) = 2 \cdot \operatorname{sv}(\lambda^{(1)}) + 1 \cdot \operatorname{sv}(\lambda^{(2)}) = 2 \cdot 1 + 1 \cdot 3 = 5$ .

As illustrated in Example 5.3, our formula for the regularity of a Grassmannian matrix Schubert variety given in Corollary 4.1 corrects Conjecture 5.1 whenever the ideal  $J_w$  is equal (up to inducing the ideal to a larger ring) to the Schubert determinantal ideal  $I_w$ . In fact, each Grassmannian permutation considered in [9, Conjecture 7.5] is of this form. This follows because all the essential set of such  $w$  is contained in  $w_{[k, n-k]}$  by Equation (9).

**Corollary 5.4.** Let  $w_\lambda \in S_n$  be a Grassmannian permutation with descent at position  $k$  such that  $w_1 = 1$  and for some  $r \in [k-1]$ ,  $w_{k-r+i} = n-k+i$  for  $i \in [r]$ . Let  $P(\lambda) = (P_1, \dots, P_r)$ . Then

$$\operatorname{reg}(\mathbb{C}[Y]/J_{w_\lambda}) = \sum_{h \in [r-1]} |P_{h+1}| \cdot \operatorname{sv}(\lambda^{(h)}).$$

**5.3. A conjecture for the general case.** We end the paper with a conjecture for the regularity of  $\mathbb{C}[Y]/J_w$  where  $w$  is an arbitrary Grassmannian permutation with descent at position  $k$ . We begin with some preliminaries.

First note that  $\mathbb{C}[Y]/J_w$  is a standard graded ring. Indeed, the torus  $T \subseteq GL_n(\mathbb{C})$  of diagonal matrices acts on  $U$  and on  $X_w \cap U$  by right multiplication. This action induces a  $\mathbb{Z}^n$ -grading on  $\mathbb{C}[Y]$  such that  $m_{ij}$  has degree  $\vec{e}_i - \vec{e}_j$  and  $J_w$  is homogeneous. This  $\mathbb{Z}^n$ -grading can be coarsened to the standard  $\mathbb{Z}$ -grading because the  $T$ -action contains the dilation action:<sup>1</sup> embed  $\mathbb{C}^\times \hookrightarrow T$  by sending  $z \in \mathbb{C}^\times$  to the diagonal matrix that has its  $(i, i)$ -entry equal to 1 when  $1 \leq i \leq n-k$  and equal to  $z$  when  $n-k+1 \leq i \leq n$ .

The codimension of  $Y_w$  in  $Y$  is equal to the number of boxes in the diagram  $D(w)$ . So, to compute the regularity  $\operatorname{reg}(\mathbb{C}[Y]/J_w)$ , it remains to find the degree

<sup>1</sup>More generally, coordinate rings of Kazhdan-Lusztig varieties  $X_w \cap X_v^\circ \subseteq B_- \backslash GL_n(\mathbb{C})$  are standard graded when  $v$ , the permutation defining the opposite Schubert cell  $X_v^\circ = B_- \backslash B_- v B_-$ , is 321-avoiding. See [6, pg. 25] or [16, Section 4.1] for further explanation.

of the  $K$ -polynomial of  $\mathbb{C}[Y]/J_w$ . By [16, Theorem 4.5], this  $K$ -polynomial can be expressed in terms of a **double Grothendieck polynomial**,  $\mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ , which is defined as follows:

$$\mathfrak{G}_{w_0}(\mathbf{x}; \mathbf{y}) = \prod_{i+j \leq n} (x_i + y_j - x_i y_j).$$

The rest are defined recursively, using the same operator  $\pi_i$  and recurrence defined in Section 1. Note that if  $G_w(\mathbf{x}; \mathbf{y})$  denotes the double Grothendieck polynomials in [7], we have  $G_w(\mathbf{x}; \mathbf{y}) = \mathfrak{G}_w(\mathbf{1} - \mathbf{x}; \mathbf{1} - \frac{1}{\mathbf{y}})$ .

Let  $\mathbf{c} = ((1-t), (1-t), \dots, (1-t), 0, 0, \dots, 0)$  be the list consisting of  $k$  copies of  $1-t$  followed by  $n-k$  copies of 0, and let  $\tilde{\mathbf{c}} = (0, 0, \dots, 0, 1 - \frac{1}{t}, 1 - \frac{1}{t}, \dots, 1 - \frac{1}{t})$  be the list consisting of  $n-k$  copies of 0 followed by  $k$  copies of  $1 - \frac{1}{t}$ . By [16, Theorem 4.5], the  $K$ -polynomial of  $S/J_w$  is the specialized double Grothendieck polynomial  $\mathfrak{G}_w(\mathbf{c}; \tilde{\mathbf{c}})$ .<sup>2</sup> Consequently, we are reduced to computing the degree of this polynomial.

**Example 5.5.** Let  $w = 132$  and  $k = 2$ . Then

$$\mathfrak{G}_w(\mathbf{x}; \mathbf{y}) = (x_2 + y_1 - x_2 y_1) + (x_1 + y_2 - x_1 y_2) - (x_1 + y_2 - x_1 y_2)(x_2 + y_1 - x_2 y_1).$$

Letting  $\mathbf{c} = (1-t, 1-t, 0)$  and  $\tilde{\mathbf{c}} = (0, 1 - \frac{1}{t}, 1 - \frac{1}{t})$ , one checks that  $\mathfrak{G}_w(\mathbf{c}; \tilde{\mathbf{c}}) = (1-t)$  which is the  $K$ -polynomial of  $S/J_w$  with respect to the standard grading.

For the reader familiar with pipe dreams (see, e.g. [3] and [8]), we note that the degree of  $\mathfrak{G}_w(\mathbf{c}; \tilde{\mathbf{c}})$  is the maximum number of plus tiles in a (possibly non-reduced) pipe dream for  $w$  with all of its plus tiles supported within the northwest justified  $k \times (n-k)$  subgrid of the  $n \times n$  grid. This follows from [16]. However, this is not a very explicit formula for degree.

We now turn to our conjecture. It asserts that the degree of the  $K$ -polynomial of  $\mathbb{C}[Y]/J_w$  for a Grassmannian permutation  $w \in S_n$  with descent at position  $k$  can be computed in terms of the degree of a Grothendieck polynomial of an associated *vexillary* permutation. This will be a much more easily computable answer than a pipe dream formula because the first, third, and fifth authors will give an explicit formula for degrees of vexillary Grothendieck polynomials in the sequel.

A permutation  $w \in S_n$  is **vexillary** if it contains no 2143-pattern, i.e. there are no  $i < j < k < l$  such that  $w_j < w_i < w_l < w_k$ . For example,  $w = \underline{325164}$  is not vexillary since it contains the underlined 2143 pattern.

Suppose  $w_\lambda \in S_n$  is Grassmannian with descent  $k$ . Define  $\lambda' = (\lambda_1, \dots, \lambda_{\ell(\lambda)})$  and  $\phi(\lambda) = (\phi_1, \dots, \phi_{\ell(\lambda)})$  as follows. For  $i \in [\ell(\lambda)]$ ,

$$\phi_i = \begin{cases} i + \min\{(n-k) - \lambda_i, k-i\} & \text{if } \lambda_i > \lambda_{i+1} \text{ or } i = \ell(\lambda), \\ \phi_{i+1} & \text{otherwise.} \end{cases}$$

A vexillary permutation  $v$  is determined by the statistics of a partition and a flag, computed using  $D(v)$  (see [13, Proposition 2.2.10]). Thus, the partition  $\lambda'$  and flag  $\phi$  defined above from  $w_\lambda$  define at most one vexillary permutation.

**Conjecture 5.6.** Fix  $w_\lambda \in S_n$  Grassmannian with descent  $k$ . Then  $\lambda', \phi(\lambda)$  define a vexillary permutation  $v$ , and  $\deg(\mathfrak{G}_{w_\lambda}(\mathbf{c}; \tilde{\mathbf{c}})) = \deg(\mathfrak{G}_v(\mathbf{x}))$ . In particular,  $\deg(\mathbb{C}[Y]/J_{w_\lambda}) = \deg(\mathfrak{G}_v(\mathbf{x})) - |\lambda|$ .

<sup>2</sup>The conventions used in [16] differ from ours, so the given formula is a translation of their formula to our conventions.

**Example 5.7.** Let  $k = 5$ ,  $n = 10$  and  $w_\lambda = 1489(10)23567$ . Then  $\lambda' = (5, 5, 5, 2)$  and  $\phi(w_\lambda) = (3, 3, 3, 5)$ , which corresponds to the vexillary permutation  $v = 678142359(10)$ . Thus Conjecture 5.6 states that  $\deg(\mathfrak{G}_{w_\lambda}(\mathbf{c}; \tilde{\mathbf{c}})) = \deg(\mathfrak{G}_v(\mathbf{x})) = 18$ , so  $\text{reg}(\mathbb{C}[Y]/J_{w_\lambda}) = 18 - 17 = 1$ .

To compute this regularity directly, take  $R = \mathbb{C}[Y] = \mathbb{C}[m_{ij} \mid 1 \leq i, j \leq 5]$ . Let  $G$  denote the set of  $2 \times 2$  minors of  $\begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \end{bmatrix}$ , and let  $H$  be the set of entries in the bottom three rows of the matrix of variables  $M = (m_{ij})_{1 \leq i, j \leq 5}$ . Then  $G \cup H$  is a minimal generating set of  $J_{w_\lambda}$ . The Eagon-Northcott complex is a minimal free resolution of  $R/\langle G \rangle$ :

$$0 \rightarrow R(-3)^2 \rightarrow R(-2)^3 \rightarrow R \rightarrow R/\langle G \rangle \rightarrow 0.$$

From this, one directly observes that the regularity of the  $R$ -module  $R/\langle G \rangle$  is 1. Modding out  $R/\langle G \rangle$  by the linear forms in  $H$  does not change the regularity (see, e.g. [2, Proposition 20.20]), and hence the regularity of  $R/J_{w_\lambda}$  is also 1.

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