

(C₁₀) (AG) - 16.Transformări ortogonale. Endomorfisme simetrice (E, g) sp. vect. euclidian real.
 $g: E \times E \rightarrow \mathbb{R}$ $\left. \begin{array}{l} g \text{ biliniară} \\ g \text{ simetrică} \\ g \text{ poz def} \end{array} \right\} \Leftrightarrow g \text{ produs scalar.}$ Def $(E_i, g_i) \ i=1,2$ s.v.e.r.Aplicatie liniară
 $f: E_1 \rightarrow E_2$ s.n. aplicatie ortogonală \Leftrightarrow

$$\langle f(x), f(y) \rangle_2 = \langle x, y \rangle_1, \forall x, y \in E_1$$
$$g_2(f(x), f(y)) = g_1(x, y)$$

Def (E, g) s.v.e.r., $f \in \text{End}(E)$ $f: E \rightarrow E$ s.n. transformare ortogonală \Leftrightarrow

$$\langle f(x), f(y) \rangle = \langle x, y \rangle, \forall x, y \in E$$

Not. $O(E)$ mult. transf. ortogonaleProp $f: E_1 \rightarrow E_2$ aplicatie ortogonală

$$a) \|f(x)\|_2 = \|x\|_1, \forall x \in E_1$$

$$\|x\| = \sqrt{g(x, x)}$$

 $b) f$ injectivăLem

$$a) \langle f(x), f(y) \rangle_2 = \langle x, y \rangle_1, \forall x, y \in E_1$$

$$\text{Fie } y = x \quad \langle f(x), f(x) \rangle_2 = \langle x, x \rangle_1 \Rightarrow \|f(x)\|_2 = \|x\|_1$$
$$\|f(x)\|_2^2 = \|x\|_1^2$$

 $b) f$ liniară f injectivă $\Leftrightarrow \text{Ker } f = \{0_{E_1}\}$

$$\left[\begin{array}{l} x \in \text{Ker } f \Rightarrow f(x) = 0_{E_2} \\ \|f(x)\|_2 = 0 \Rightarrow x = 0_{E_1} \\ \|x\|_1 \quad (g_1 \text{ poz def}) \end{array} \right.$$

Teorema (E, g) s.v.e.r. și $f \in \text{End}(E)$

$$f \in O(E) \Leftrightarrow \|f(x)\| = \|x\|, \forall x \in E.$$

\Rightarrow " cf. prop. preced.

" " $f: E \rightarrow E$ liniară și $\|f(x)\| = \|x\|, \forall x \in E$

" \Leftarrow " Dem $\langle f(x), f(y) \rangle = \langle x, y \rangle, \forall x, y \in E.$

$$\|f(x+y)\|^2 = \|x+y\|^2$$

$$\langle f(x+y), f(x+y) \rangle = \langle x+y, x+y \rangle.$$

$$\langle f(x), f(x) \rangle + \langle f(y), f(y) \rangle + 2\langle f(x), f(y) \rangle = \langle x, x \rangle + \langle y, y \rangle + 2\langle x, y \rangle$$

$$\|f(x)\|^2 + \|f(y)\|^2 + 2\langle f(x), f(y) \rangle = \|x\|^2 + \|y\|^2 + 2\langle x, y \rangle$$

$$\langle f(x), f(y) \rangle = \langle x, y \rangle, \forall x, y \in E.$$

Obs Matricea asociată unei transf. ortogonale.

$R = \{e_1, \dots, e_n\}$ reper ortonormat în $E. \Leftrightarrow g(e_i, e_j) = \delta_{ij}$
 $\forall i, j = \overline{1, n}.$

$$[f]_{R,R} = A = (a_{ij})_{i,j=\overline{1,n}}$$

$$f(e_i) = \sum_{j=1}^n a_{ji} e_j, \forall i = \overline{1, n}$$

$$f \in O(E) \Rightarrow \langle f(e_i), f(e_j) \rangle = \langle e_i, e_j \rangle = \delta_{ij}$$

$$\sum_{r=1}^n a_{ri} e_r \quad \sum_{s=1}^n a_{sj} e_s$$

$$\sum_{r,s=1}^n a_{ri} a_{sj} \underbrace{\langle e_r, e_s \rangle}_{\delta_{rs}} = \delta_{ij} \Rightarrow \sum_{r=1}^n a_{ri} a_{rj} = \delta_{ij}$$

$$A^T \cdot A = I_n \Rightarrow A \in O(n)$$

Prop. $f \in O(E)$, $U \subseteq E$ subspatiu invariant (i.e. $f(U) \subseteq U$)

a) $f(U) = U$

b) $U^\perp \subseteq E$ subsp. invariant

c) $f|_{U^\perp}: U^\perp \rightarrow U^\perp$ transf. ortogonală

Dem. a) $f|_U: U \rightarrow f(U)$ } $\Rightarrow f|_U$ izom. de sp. vect.

f inj și liniară
 $\Rightarrow \dim U = \dim f(U)$ } $\Rightarrow f(U) = U$

dar $f(U) \subseteq U$

b) $U^\perp = \{x \in E \mid g(x, y) = 0, \forall y \in U\}$, $E = U \oplus U^\perp$

Dem că $f(U^\perp) \subseteq U^\perp$ i.e. $\forall x \in U^\perp \Rightarrow f(x) \in U^\perp$

Fie $y \in U = f(U) \Rightarrow \exists z \in U$ aî $y = f(z)$

$\langle f(x), y \rangle = \langle f(x), f(z) \rangle \underset{f \in O(E)}{=} \langle \underset{U^\perp}{x}, \underset{U}{z} \rangle = 0 \Rightarrow f(x) \in U^\perp$

$\Rightarrow U^\perp$ subsp. invariant $\xRightarrow{a)} f(U^\perp) = U^\perp$

c) Consecință a subpunctelor a), b)
 $f|_{U^\perp}: U^\perp \rightarrow U^\perp$ transf. ortogonală.

Clasificarea transformărilor ortogonale

① $\dim E = 1$

$O(E) = \{id_E, -id_E\}$

② $\dim E = 2$

$R = \{e_1, e_2\}$ reper ortonormat, $A = [f]_{R,R} \in O(2)$

OBS

$$R \xrightarrow{C} R'$$

R, R' repere ortonormate.
 $C \in O(n)$; $C \cdot C^T = C^T C = I_n$

$$A \in O(n)$$

$$A = [f]_{R,R}, \quad A' = [f]_{R',R'}$$

$$A' = C^{-1} A C = C^T A C$$

$$A'^T \cdot A' = (C^T A C)^T \cdot (C^T A C) = C^T \cdot A^T \cdot \underbrace{(C^T)^T}_C \cdot C^T A C = C^T \underbrace{A^T A}_{I_n} C = C^T \cdot C = I_n \Rightarrow A' \in O(n)$$

Prop. $f \in O(E) \Leftrightarrow A \in O(n)$, $\forall R$ reper ortonormat în E
 $[f]_{R,R}$

Prop. $f \in O(E) \Leftrightarrow$ o schimbare de repere ortonormate.

\Rightarrow " $f \in O(E)$, $R =$ reper ortonormat

$$A = [f]_{R,R} \in O(n)$$

$$R \xrightarrow{A} R'$$

R' reper ortonormat

\Leftarrow " $R \xrightarrow{A} R'$ R, R' repere ortonormate
 $\{e_1, \dots, e_n\}$ $\{e'_1, \dots, e'_n\}$

$$f \in \text{End}(E)$$

$$f(e_i) = e'_i = \sum_{j=1}^n a_{ji} e_j$$

$$f(x) = f\left(\sum_{i=1}^n x_i e_i\right) = \sum_{i=1}^n x_i \underbrace{f(e_i)}_{e'_i} = \sum_{i=1}^n x_i e'_i = x'$$

$$f \in O(E)$$

Prop $f \in O(E) \Rightarrow$ valorile proprii $\in \{\pm 1\}$.

Dem

Fie $\lambda \in \mathbb{R}$

valoare proprie

$$f(x) = \lambda x, \quad x \neq 0 \in E$$

$$f \in O(E) \Rightarrow \|f(x)\|^2 = \|x\|^2 \Rightarrow \langle \underbrace{f(x)}_{\lambda x}, \underbrace{f(x)}_{\lambda x} \rangle = \langle x, x \rangle$$

$$\lambda^2 \|x\|^2 = \|x\|^2 \Rightarrow \lambda^2 = 1 \Rightarrow \lambda = \pm 1$$

③ $\dim E = 3$. $A = [f]_{R,R}$ R reper orthonormal.

$$P(\lambda) = \det(A - \lambda I_3) = 0$$

(pol. de gradul al 3-lea cu coef. reali \Rightarrow)

\exists cel puțin o răd. reală: $\lambda \in \{-1, 1\}$

Fie e_1 versorul propriu coresp. valorii proprii reale λ .

$$f(e_1) = \lambda e_1, \lambda \in \{\pm 1\} \Rightarrow \langle \{e_1\} \rangle = \text{subsp. invariant}$$

$$\xrightarrow{\text{prop}} \langle \{e_1\}^\perp \rangle = e_1^\perp \text{ subsp. invariant}$$

$$f|_{e_1^\perp}: e_1^\perp \rightarrow e_1^\perp \text{ transf. ortogonală}; R^3 = \langle e_1 \rangle \oplus \langle e_1^\perp \rangle$$

I. $\det A = 1$ (f de petă 1)

a) $\lambda = 1 \Rightarrow f(e_1) = e_1$

$$A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \boxed{\tilde{A}} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\tilde{A} = [f|_{e_1^\perp}]_{\tilde{R}, \tilde{R}'}$$

$$\det \tilde{A} = 1 \Rightarrow f|_{e_1^\perp} = \text{rotatie}$$

$$A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix}$$

b) $\lambda = -1 \Rightarrow f(e_1) = -e_1$

$$A = \begin{pmatrix} -1 & 0 & 0 \\ 0 & \boxed{\tilde{A}} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\det \tilde{A} = -1 \Rightarrow f|_{e_1^\perp} = \text{simetrie}$$

$$A = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = [f]_{R,R}$$

$$R = \{e_1, e_2, e_3\}$$

$$\tilde{R} = \{e_3, e_1, e_2\}$$

$$[f]_{\tilde{R}, \tilde{R}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \pi & -\sin \pi \\ 0 & \sin \pi & \cos \pi \end{pmatrix}$$

Teorema $\det A = 1$ (f de petă 1) $\sqrt{\exists R \text{ reper orthonormală}}$ $A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix} = [f]_{R,R}$

$$f: \mathbb{R}^3 \rightarrow \mathbb{R}^3, f(x_1, x_2, x_3) = (x_1, x_2 \cos \varphi - x_3 \sin \varphi, x_2 \sin \varphi + x_3 \cos \varphi)$$

$$\boxed{\text{tr} A = 1 + 2 \cos \varphi} \text{ invariant la sch. de reper.}$$

a) $\det A = 1$ (f este transf. orb. de spaț. I)

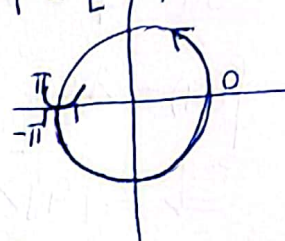
$$A_\varphi = \begin{pmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{pmatrix}$$

$$A_\varphi A_\varphi^T = I_2$$

$$f: \mathbb{R}^2 \rightarrow \mathbb{R}^2, f(x_1, x_2) = (\overbrace{x_1 \cos \varphi - x_2 \sin \varphi}^{x_1'}, \overbrace{x_1 \sin \varphi + x_2 \cos \varphi}^{x_2'})$$

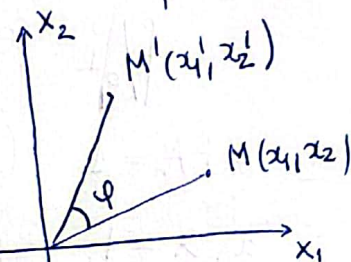
$f = R_\varphi$ rotație de unghi φ , $\varphi \in [-\pi, \pi]$

$\text{Tr } A_\varphi = 2 \cos \varphi$ invariant la sch. de reper.



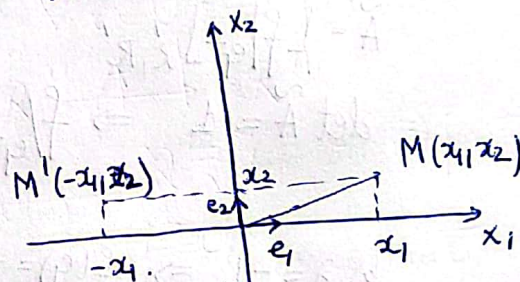
b) $\det A = -1$ $A = \begin{pmatrix} \cos \varphi & \sin \varphi \\ \sin \varphi & -\cos \varphi \end{pmatrix}$

\exists un reper orthonormat ai $A = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$



$$f: \mathbb{R}^2 \rightarrow \mathbb{R}^2, f(x_1, x_2) = (-x_1, x_2)$$

f este simetria față de e_1^\perp



$$E = \mathbb{R}^2 = \langle e_1 \rangle \oplus \langle e_2 \rangle^\perp$$

față de drepte

Teoremă $\dim E = 2$, $f \in O(E)$, $f \neq \text{id}_E$

$\Rightarrow f$ se poate scrie ca o "o" de cel mult 2 simetrii ortogonale

Dem $f \in O(E)$, $R = \{e_1, e_2\}$ reper orthonormat, $A = [f]_{R,R} \in O(2)$

1) $\det A_\varphi = 1 \Rightarrow f = \text{rotatie}$

Fie s o simetrie orb. $\Rightarrow \det(A_s) = -1$

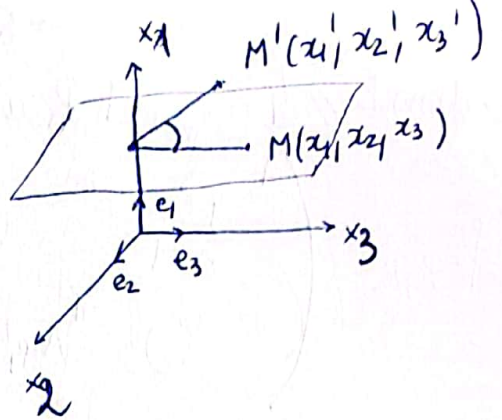
$$A_{s \circ f} = A_s \cdot A_f \Rightarrow \det(A_{s \circ f}) = -1 \Rightarrow s \circ f = s'$$

$$s \circ s \circ f = s \circ s' \Rightarrow f = s \circ s'$$

2) $\det A_f = -1 \Rightarrow f = s$

f = rotație de $\pm \varphi$ în planul e_1^\perp
cu de axă $\langle e_1 \rangle$

Axa: $f(x) = x$.



II. $\det A = -1$ (f de speță 2)

a) $\lambda = 1 \Rightarrow f(e_1) = e_1$.

$\det \tilde{A} = -1 \Rightarrow f|_{e_1^\perp} = s$

$R = \{e_1, e_2, e_3\}$

$R' = \{e_2, e_1, e_3\}$

$[f]_{R', R} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

b) $\lambda = -1 \Rightarrow f(e_1) = -e_1$

$\det \tilde{A} = 1 \Rightarrow f|_{e_1^\perp} = R_\varphi$

$A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \tilde{A} & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = [f]_{R, R}$

$A = \begin{pmatrix} -1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix}$

$\begin{pmatrix} -1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix}$

Teorema $\det A = -1$ (f de speță 2)

$\exists R$ un reper ortonormat ai

$A = [f]_{R, R} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix}$

$\text{Tr} A = -1 + 2 \cos \varphi$

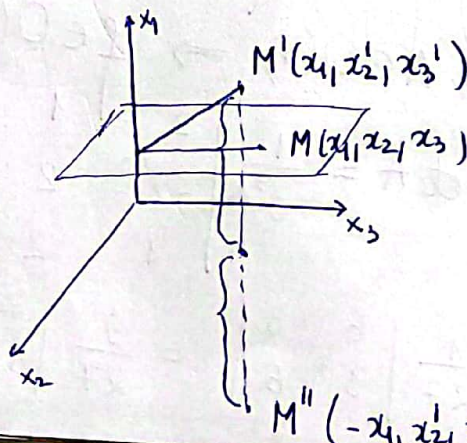
$f: \mathbb{R}^3 \rightarrow \mathbb{R}^3, f(x_1, x_2, x_3) = (-x_1, \overbrace{x_2 \cos \varphi - x_3 \sin \varphi}^{x_2'}, \overbrace{x_2 \sin \varphi + x_3 \cos \varphi}^{x_3'})$

$f = s \circ R_\varphi$

R_φ rotație de $\pm \varphi$ în e_1^\perp ,

cu axă $\langle e_1 \rangle$; Axa: $f(x) = -x$

s = simetria față de e_1^\perp
ortogonală.



④ $\dim E \geq 4$ $\exists R$ un reper ortonormat al

$$[f]_{R,R} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & 1 & \\ & & & \ddots & \\ & & & & -1 & \\ & & & & & \ddots & \\ & & & & & & -1 & \\ & & & & & & & \ddots & \\ & & & & & & & & A\varphi_1 & \\ & & & & & & & & & \ddots & \\ & & & & & & & & & & A\varphi_k \end{pmatrix}$$

$n = n + s + 2k$

Teorema Cartan (E, g) s.v.e.l.

$\Rightarrow f$ se poate scrie ca o "o" de sel mult
n simetrii ortogonale față de "hiperplane".

Exemplu (\mathbb{R}^3, g_0) $g_0: \mathbb{R}^3 \times \mathbb{R}^3 \rightarrow \mathbb{R}, g_0(x, y) = x_1 y_1 + x_2 y_2 + x_3 y_3$

$f: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ $f(x_1, x_2, x_3) = \frac{1}{3} (2x_1 + x_2 - 2x_3, -2x_1 + 2x_2 - x_3, x_1 + 2x_2 + 2x_3)$

a) $f \in O(\mathbb{R}^3)$

b) Sa se det. $R = \{e_1, e_2, e_3\}$ reper ortonormat in \mathbb{R}^3 al

$$[f]_{R,R} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix}$$

SOL $(1,0,0), (0,1,0), (0,0,1)$

$R_0 = \{e_1, e_2, e_3\}$ reperul canonic este un reper ortonormat

$$A = [f]_{R_0, R_0} = \frac{1}{3} \begin{pmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{pmatrix} \quad f(x) = y \Leftrightarrow Y = AX$$

$$A \cdot A^T = \frac{1}{9} \begin{pmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{pmatrix} \begin{pmatrix} 2 & -2 & 1 \\ 1 & 2 & 2 \\ -2 & -1 & 2 \end{pmatrix} = \frac{1}{9} \begin{pmatrix} 9 & 0 & 0 \\ 0 & 9 & 0 \\ 0 & 0 & 9 \end{pmatrix} = I_3$$

$\Rightarrow A \in O(3) \Rightarrow f \in O(\mathbb{R}^3)$ $c_1' = c_1 - 2c_2, c_3' = c_3 + 2c_2$

$$\det A = \frac{1}{3^3} \begin{vmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{vmatrix} = \frac{1}{3^3} \begin{vmatrix} 0 & 1 & 0 \\ -6 & 2 & 3 \\ -3 & 2 & 6 \end{vmatrix}$$

$$= \frac{1}{27} \begin{vmatrix} 6 & 3 \\ 3 & 6 \end{vmatrix} = \frac{9}{27} \begin{vmatrix} 2 & 1 \\ 1 & 2 \end{vmatrix} = \frac{1}{3} \cdot 3 = 1 \Rightarrow f \text{ de specia } 1$$

$$b) \operatorname{Tr} A = \frac{6}{3} = 2 = 2 \cos \varphi + 1 \Rightarrow 2 \cos \varphi = 1 \Rightarrow \cos \varphi = \frac{1}{2} \Rightarrow \varphi \in \left\{ -\frac{\pi}{3}, \frac{\pi}{3} \right\}$$

dar $\varphi \in \left[-\frac{\pi}{2}, \frac{\pi}{2} \right]$

Axa de rotație: $f(x) = x \Leftrightarrow AX = X \Leftrightarrow (A - I_3)X = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$

$$\begin{cases} 2x_1 + x_2 - 2x_3 = 3x_1 \\ -2x_1 + 2x_2 - x_3 = 3x_2 \\ x_1 + 2x_2 + 2x_3 = 3x_3 \end{cases} \Rightarrow \begin{cases} -x_1 + x_2 - 2x_3 = 0 \\ -2x_1 - x_2 - x_3 = 0 \\ x_1 + 2x_2 - x_3 = 0 \end{cases} \quad B = \begin{pmatrix} -1 & 1 & -2 \\ -2 & -1 & -1 \\ 1 & 2 & -1 \end{pmatrix}$$

$$\begin{vmatrix} -1 & 1 & -2 \\ -2 & -1 & -1 \\ 1 & 2 & -1 \end{vmatrix} = 0$$

$$\begin{cases} x_1 + x_2 = 2x_3 \\ -2x_1 - x_2 = x_3 \end{cases}$$

$$-3x_1 / = 3x_3$$

$$\Delta_p = \begin{vmatrix} -1 & 1 \\ -2 & -1 \end{vmatrix} \neq 0$$

$$x_1 = -x_3$$

$$x_2 = 2x_3 - x_3 = x_3$$

$$\left\{ (-x_3, x_3, x_3) \mid x_3 \in \mathbb{R} \right\} \\ x_3 \begin{pmatrix} -1 \\ 1 \\ 1 \end{pmatrix}$$

$$e_1 = \frac{1}{\sqrt{3}}(-1, 1, 1) \text{ versorul axei}$$

$$e_1^\perp = \left\{ x \in \mathbb{R}^3 \mid g_0(x, e_1) = 0 \right\} = \left\{ x \in \mathbb{R}^3 \mid -x_1 + x_2 + x_3 = 0 \right\}$$

$$e_1^\perp = \left\{ (x_2 + x_3, x_2, x_3) = x_2(1, 1, 0) + x_3(1, 0, 1) \mid x_2, x_3 \in \mathbb{R} \right\}$$

$$\{ f_2 = (1, 1, 0), f_3 = (1, 0, 1) \} \text{ reper în } e_1^\perp$$

Aplicăm procedeul de ortogonalizare Gram-Schmidt.

$$e_2' = f_2 = (1, 1, 0), \quad e_2 = \frac{e_2'}{\|e_2'\|} = \frac{1}{\sqrt{2}}(1, 1, 0)$$

$$e_3' = f_3 - \frac{\langle f_3, e_2' \rangle}{\langle e_2', e_2' \rangle} \cdot e_2' = (1, 0, 1) - \frac{1}{2}(1, 1, 0) = \left(\frac{1}{2}, -\frac{1}{2}, 1 \right)$$

$$e_3' = \left(\frac{1}{2}, -\frac{1}{2}, 1 \right), \quad e_3 = \frac{e_3'}{\|e_3'\|} = \frac{1}{\sqrt{6}}(1, -1, 2)$$

$$\{ e_2 = \frac{1}{\sqrt{2}}(1, 1, 0), e_3 = \frac{1}{\sqrt{6}}(1, -1, 2) \} \text{ reper ortonormat în } e_1^\perp$$

$$\text{OBS } v = \alpha v', \alpha > 0 \\ \frac{v}{\|v\|} = \frac{v'}{\|v'\|}$$

$R = \{e_1, e_2, e_3\}$ referul ortonormat în rap cu care

$$[f]_{R,R} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & -\frac{\sqrt{3}}{2} \\ 0 & \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix} / \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & \frac{\sqrt{3}}{2} \\ 0 & -\frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}$$