

Autophagy and the Integrated Stress Response

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Autophagy is a tightly regulated pathway involving the lysosomal degradation of cytoplasmic organelles or cytosolic components. This pathway can be stimulated by multiple forms of cellular stress, including nutrient or growth factor deprivation, hypoxia, reactive oxygen species, DNA damage, protein aggregates, damaged organelles, or intracellular pathogens. Both specific, stimulus-dependent and more general, stimulus-independent signaling pathways are activated to coordinate different phases of autophagy. Autophagy can be integrated with other cellular stress responses through parallel stimulation of autophagy and other stress responses by specific stress stimuli, through dual regulation of autophagy and other stress responses by multifunctional stress signaling molecules, and/or through mutual control of autophagy and other stress responses. Thus, autophagy is a cell biological process that is a central component of the integrated stress response.

Introduction

Eukaryotic cells must adapt continuously to fluctuations in external conditions, including physical parameters such as temperature and ultraviolet light; chemical cues such as ion concentrations, pH, oxygen tension, redox potentials, and metabolite concentrations; extracellular signals such as contact-dependent signals, hormones, cytokines, and neurotransmitters; and microbial pathogens. Beyond a certain threshold, such fluctuations are considered “stresses,” meaning that the cell’s response to this stress determines whether it can function properly and survive.

During the response to sublethal stress, cells undergo rapid changes to adapt their metabolism and protect themselves against potential damage. This is orchestrated through a multifaceted cellular program, which involves the concerted action of diverse stress response pathways. One of the key pathways that mediates stress-induced metabolic adaptation and damage control is macroautophagy, referred to simply as “autophagy.” During autophagy, cells form double-membraned vesicles, autophagosomes, that sequester organelles, proteins, or portions of the cytoplasm for delivery to the lysosome (He and Klionsky, 2009). The sequestered contents are degraded in the lysosome, allowing cells to eliminate damaged or harmful components through catabolism and recycling to maintain nutrient and energy homeostasis. Autophagy constitutes a major protective mechanism that allows cells to survive in response to multiple stressors and that helps defend organisms against degenerative, inflammatory, infectious, and neoplastic diseases (Levine and Kroemer, 2008; Mizushima et al., 2008).

Besides autophagy, the cellular response to stress involves numerous other pathways including those that regulate nutrient

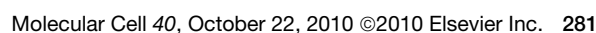
uptake, intermediary metabolism, cell cycle and growth control, cell fate and lineage decisions, and cellular survival/death programs. Therefore, it is not surprising that there is a close integration between signals that regulate these cellular processes and those that regulate autophagy. In this review, we will summarize recent advances in understanding how different cellular stress signals and stress stimuli regulate autophagy.

The Core Pathway of Mammalian Autophagy

The core pathway of mammalian autophagy (Figure 1) begins with the formation of an isolation membrane (also called a phagophore) and involves at least five molecular components, including (1) the Atg1/unc-51-like kinase (ULK) complex; (2) the Beclin 1/class III phosphatidylinositol 3-kinase (PI3K) complex; (3) two transmembrane proteins, Atg9 and vacuole membrane protein 1 (VMP1); (4) two ubiquitin-like protein (Atg12 and Atg8/LC3) conjugation systems; and (5) proteins that mediate fusion between autophagosomes and lysosomes (Yang and Klionsky, 2010). Some of these core autophagy pathway components are directly controlled by cellular stress signals (Figure 1).

Origin of the Isolation Membrane

The isolation membrane can be generated from multiple sources that include the ER (Axe et al., 2008; Hayashi-Nishino et al., 2009; Yla-Anttila et al., 2009), the outer mitochondrial membrane (Hailey et al., 2010), and the plasma membrane (Ravikumar et al., 2010). PI3P is required for the formation of “omega-somes,” the Ω-shaped structures that bud from the ER during the initial steps of vesicle nucleation/autophagosome formation (Axe et al., 2008). ATG16L1 directly interacts with clathrin, which connects the endocytic pathway to autophagy (Ravikumar et al., 2010). While it appears that general autophagy inducers such as



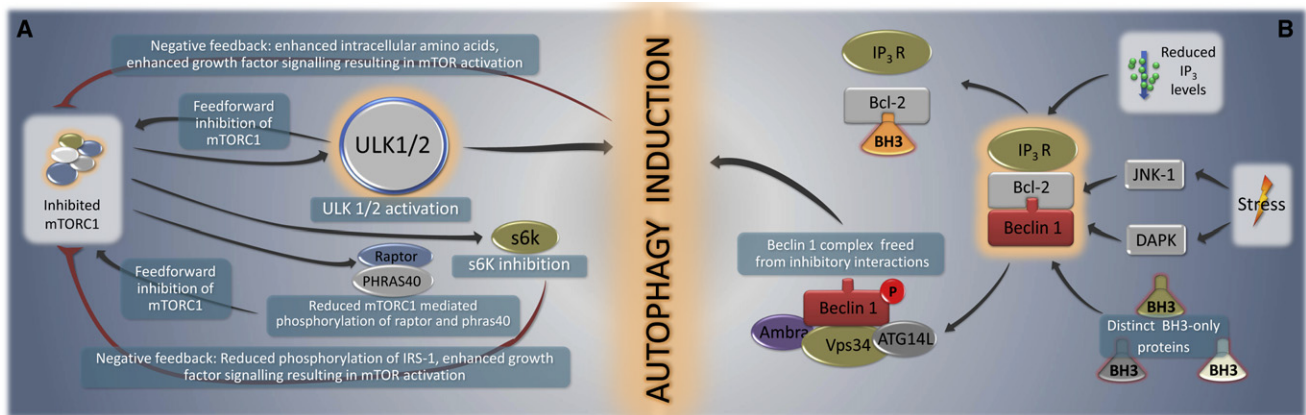


Figure 2. Overview of Selected Signal Transduction Pathways that Regulate Autophagy Components that Function in Vesicle Nucleation/Phagophore Formation

Selected signals that converge on ULK1/2 (A) and the Beclin 1 complex (B) are depicted. Note the multiple positive and negative feedback loops depicted in (A). For details, see text.

entirely known how ULK1/2 activates downstream components of the autophagic machinery. However, ULK1 can phosphorylate Ambra1 (Di Bartolomeo et al., 2010), a component of the Beclin 1/Class III PI3K complex (He and Levine, 2010) (Figure 1A).

There are multiple circuits of positive and negative feedback in mTORC1-mediated autophagy regulation (Neufeld, 2010) (Figure 2A). Feed-forward mechanisms involve Deptor, PRAS40, and ULK1/2, which are each inhibited by mTORC1-mediated phosphorylation and, in turn, inhibit mTOR activity. This may lead to amplification of initially modest changes in TOR activity. Negative feedback control is achieved via the products of autophagy including amino acids as well as via inhibition of S6 kinase, a protein that has mTORC1-dependent activity and which may be necessary for sustained autophagy (Neufeld, 2010). Thus, mTORC1 is part of a rheostat that is either switched off (to inhibit autophagy at the level of ULK1/2) or on (to induce autophagy by ULK1/2 activation, as a result of a positive amplification loop). When on, these effects are self-limited due to the presence of negative feedback loops (Figure 2A).

Beclin 1 and Its Interactome

The “Beclin 1 core complex” involves Beclin 1, Vps15, Vps34, and likely Ambra1 (He and Levine, 2010). This multiprotein complex must be formed for the allosteric activation of the class III PI3K Vps34 to generate phosphatidylinositol-3-phosphate (PI3P), which recruits effectors such as the double FYVE domain-containing protein 1 (DFCP1) (Axe et al., 2008) and WD-repeat protein interacting with phosphoinositides (WIPI) family proteins (Polson et al., 2010) to mediate the initial stages of vesicle nucleation/autophagosome formation. Numerous proteins that interact with Beclin 1 induce or inhibit autophagy (Figure 1B). Atg14 (also called Atg14L or Barkor, for Beclin 1-associated autophagy-related key regulator) is essential for PI3K activity and autophagy induction. UVRAG (UV radiation resistance-associated gene) competes with Atg14 for binding to Beclin 1 and may promote PI3K activity in a cell-type-specific fashion and, through interactions with class C Vps/HOPS complexes, promotes autophagosome fusion with the late endo-

some/lysosome. Bif-1/endophilin B1 interacts with Beclin 1 via UVRAG to function as a positive regulator of the PI3K complex, and has an N-BAR domain that may promote membrane curvature (Figure 1B). Rubicon (RUN domain protein as Beclin 1 interacting and cysteine-rich containing) negatively regulates autophagy (as well as endocytic trafficking) through its interaction with Beclin 1/PI3K complexes (Figure 1B).

Antiapoptotic family members (such as Bcl-2, Bcl-X_L, and Mcl-1) are also important negative regulators of autophagy through an inhibitory interaction of their BH3-binding groove with the BH3 domain of Beclin 1 (Maiuri et al., 2007; Pattingre et al., 2005) (Figure 2B). There are several distinct mechanisms through which autophagy-inducing signals can disrupt this inhibitory interaction, including competition by proapoptotic BH3-only proteins (such as BNIP3, Bad, Bik, Noxa, Puma, and BimEL) (Maiuri et al., 2007), phosphorylation of the BH3 domain of Beclin 1 by death-associated protein kinase (DAPK) (Zalcvar et al., 2009), or phosphorylation of Bcl-2 by c-Jun N-terminal kinase-1 (JNK1) (Pattingre et al., 2009; Wei et al., 2008). Accordingly, BH3-only proteins (such as BAD in starvation or BNIP3 in hypoxia) (Bellot et al., 2009; Maiuri et al., 2007) as well as Beclin 1/Bcl-2 dissociating kinases (such as JNK1 in starvation conditions) (Wei et al., 2008) are required for autophagy induction in response to specific forms of stress.

The inositol-1,4,5 trisphosphate (IP₃) receptor, which is an IP₃-activated calcium channel at the endoplasmic reticulum (ER), interacts with Beclin 1 indirectly, via Bcl-2 (Figure 2B). Upon cellular reduction of IP₃ levels (or antagonist binding) and starvation, this interaction is disrupted (Vicencio et al., 2009). This mechanism may explain how agents that cause a reduction of IP₃ levels cause autophagy in an mTOR-independent fashion (Sarkar et al., 2007). The toll-like receptor (TLR) adaptor molecules MyD88 and TRIF also may interact with Beclin 1, thereby reducing the binding of Beclin 1 to Bcl-2 and promoting autophagy (Shi and Kehrl, 2008). During TLR4-induced autophagy, tumor necrosis factor receptor (TNFR)-associated factor 6, TRAF6, interacts with Beclin 1 and mediates K63-linked

ubiquitination of Beclin 1, which enhances Class III PI3K activity (Shi and Kehrl, 2010). The protein PINK1, which has been studied as a serine-threonine kinase that interacts with Parkin to stimulate mitophagy, also interacts with Beclin 1 (Michiorri et al., 2010). Although it is not clear how all these proteins affect the overall composition and function of the multiprotein Beclin 1-containing complex, an attractive model is that multiple proteins directly or indirectly interact with Beclin 1 to relay extracellular and intracellular stress signals to the autophagic machinery.

Atg9 and VMP1

Atg9 may provide lipids to the isolation membrane by cycling between distinct subcellular compartments (Figure 1C). The cycling of Atg9 requires Atg1/ULK1 and the kinase activity of Vps34. Another possibility is that Atg9 cycling involves the UVRAG/Bif-1-containing Beclin 1 complex since Bif-1 transiently associates with Atg9 after starvation (Orsi et al., 2010). VMP1, which interacts with Beclin 1, may function as a transmembrane protein that recruits Beclin 1 (and other components of the Beclin 1 complex) to the phagophore (Figure 1C). It also interacts with TP53INP2 (tumor protein 53-induced nuclear protein 2), which like VMP1 is essential for autophagy and the translocation of Beclin 1 and LC3 to autophagosomes (Yang and Klionsky, 2010).

Conjugation Systems

Two ubiquitin-like conjugation systems are part of the vesicle elongation process (Figure 1C). The first pathway involves the covalent conjugation of Atg12 to Atg5, with the help of the E1-like enzyme Atg7 and the E2-like enzyme Atg10. This conjugate is organized into a complex by associating with Atg16 in a noncovalent fashion to form the multimeric Atg12-Atg5-Atg16 complex, which functions as the E3 ligase of LC3 (Yang and Klionsky, 2010). The abundance of Atg5 may be regulated by calcium-dependent activation of the cysteine protease, calpain, which cleaves and inactivates Atg5, at least in cultured cells. Thus, the reduction of cytosolic Ca^{2+} (or calpain inactivation) may prevent Atg5 cleavage (Figure 1C), resulting in increased cellular levels of full-length Atg5 and the proautophagic Atg12-Atg5 conjugate (Xia et al., 2010).

The second pathway involves the conjugation of phosphatidylethanolamine (PE) to a glycine (Gly) residue of yeast Atg8/ mammalian LC3 by the sequential action of the protease Atg4, the E1-like enzyme Atg7, and the E2-like enzyme Atg3 (Figure 1B). This lipid conjugation leads to the conversion of the soluble form of LC3 (named LC3-I) to the autophagic vesicle-associated form, LC3-II (Yang and Klionsky, 2010). The lipidated form of LC3 is stably associated with the autophagosome membrane, and its biochemical and microscopic detection is widely used to measure cellular autophagy (Mizushima et al., 2010) (Figure 1C). In mammals, four Atg4 (Atg4A-D) and at least six orthologs of Atg8 exist, among which LC3B (hereafter referred to simply as LC3), GABARAP, and GATE16 have been most studied (Weidberg et al., 2010).

Several stress signals regulate autophagy at the level of the Atg8/LC3 conjugation system. For example, the death-associated protein kinase, DAPK, positively regulates autophagy by associating with the LC3-interacting cytoskeleton molecule MAP1B (Harrison et al., 2008) (in addition to phosphorylating Beclin 1, discussed above [Zalckvar et al., 2009]). The cellular

FLICE-like inhibitor protein, c-FLIP, negatively regulates autophagy by preventing Atg3 from binding and activating LC3 (Lee et al., 2009). Moreover, reactive oxygen species may regulate the active cysteine site of Atg4 (Figure 1C) (Scherz-Shouval et al., 2007). The enrichment of proteins with lipid kinase, WD40, and GTPase regulatory domains in the mammalian Atg8-interaction network (Behrends et al., 2010) may provide additional clues as to how stress signals interface with autophagy control at the level of Atg8/LC3 regulation.

Several proteins that possess an LC3-interacting region (LIR) and interact with LC3 (and its paralogs) serve as adaptors to target defined structures such as ubiquitinated proteins or mitochondria to the autophagic machinery (Figure 1D). The best-characterized examples are p62 (also known as sequestosome1, SQSTM1) and NBR1 (Neighbor of BRCA1), which both recognize ubiquitinated proteins (Kirkin et al., 2009) as well as BNIP3L (also known as NIX), which binds to mitochondrial membranes (Novak et al., 2010). The regulation of these autophagy adaptor proteins is not yet well understood but will likely be key to understanding how specific stress stimuli trigger selective autophagy.

Autophagy, Stress Stimuli, and Stress Signals

Autophagy is induced by a variety of stress stimuli, including nutrient and energy stress, ER stress, pathogen-associated molecular patterns (PAMPs) and danger-associated molecular patterns (DAMPs), hypoxia, redox stress, and mitochondrial damage. The stimulation of autophagy by these stimuli involves diverse signals that have overlapping functions in autophagy and the control of other cellular stress responses.

Autophagy Induced by Nutrient Stress

Nutrient depletion is the most potent known physiological inducer of autophagy. In the majority of cultured mammalian cells, nutrient depletion induces autophagy within minutes, with maximal levels observed when cells are cultured in the simultaneous absence of nutrients (such as amino acids and glucose) and growth factors (such as those contained in serum) (Boya et al., 2005). In mice, following starvation for 24–48 hr, most cells in most tissues display increased numbers of autophagosomes (Mizushima, 2010). Several critical molecules regulate starvation-induced autophagy (Figure 3A); of these, mTOR and AMPK have been best characterized, and recent studies also suggest a crucial role for sirtuins.

Sirtuins and Protein (De)Acetylation. Sirtuins are a family of NAD-dependent deacetylases that sense environmental stress (Haigis and Sinclair, 2010). The induction of autophagy by starvation (but not by mTORC1 inhibition or ER stress) requires Sirt1 (Lee et al., 2008; Morselli et al., 2010). Accordingly, *Sirt1*^{-/-} mice display a phenotype consistent with impaired autophagy, including increased levels of the autophagy substrate p62, accumulation of damaged organelles, disruption of energy homeostasis, and early perinatal lethality (Lee et al., 2008). The transfection of cells with sirtuin 1 (Sirt1) with intact deacetylase activity is sufficient to stimulate autophagy in cultured mammalian cells (Lee et al., 2008). In this context, it is intriguing that p300 acetyltransferase knockdown (Lee and Finkel, 2009), as well as histone acetylase inhibition by spermidine, a natural polyamine, potentially induces autophagy (Eisenberg

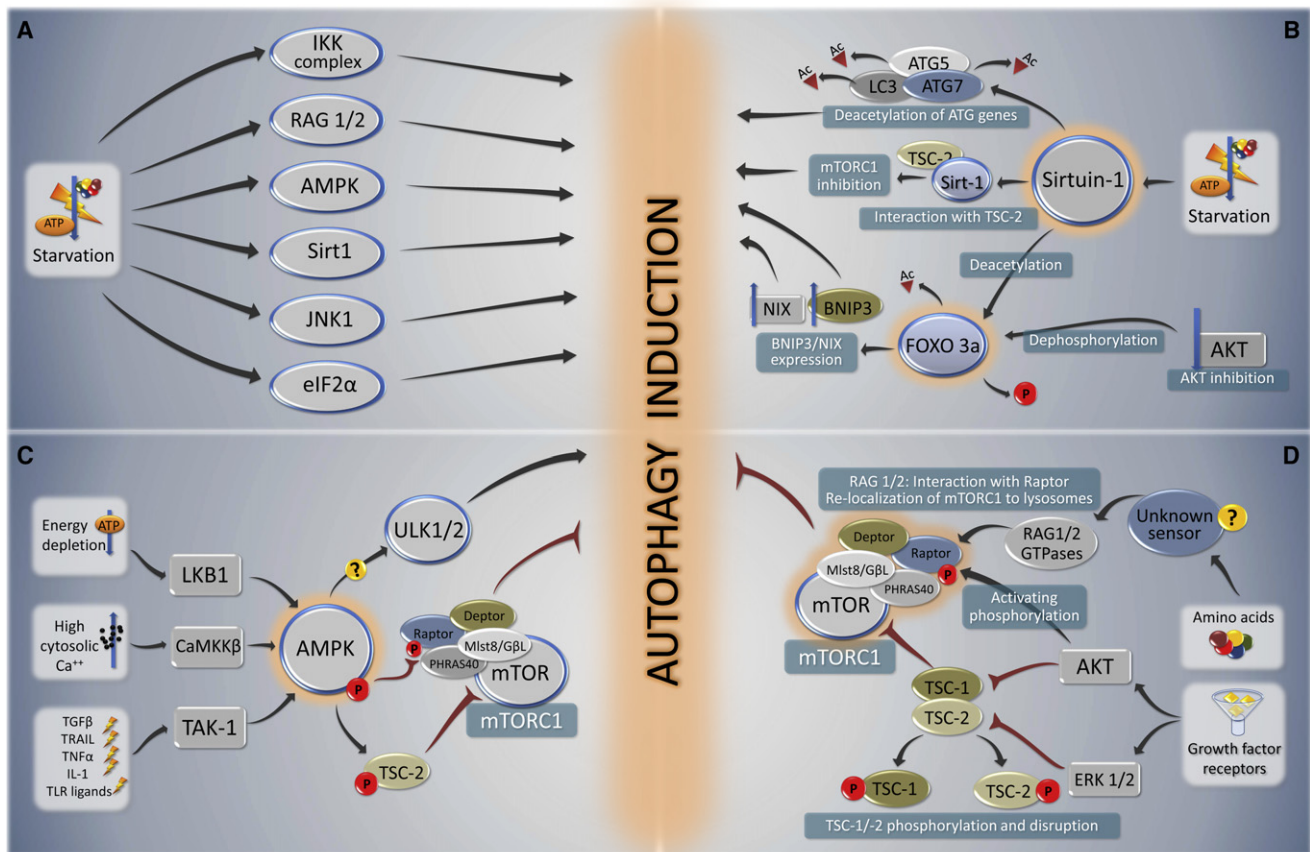


Figure 3. Overview of the Major Signal Transduction Pathways that Regulate Autophagy in Response to Starvation

A summary of starvation-induced proautophagic signaling (A) is followed by a schematic overview of the signaling cascades involving sirtuin-1 and Foxo 3a (B), AMPK (C), and mTORC1 (D).

et al., 2009), suggesting that protein acetylation may play a general role in autophagy regulation.

Sirt1 can deacetylate Atg5, Atg7, and LC3 (Lee et al., 2008) (Figure 3A), while p300 can acetylate Atg5, Atg7, LC3, and Atg12 (Lee and Finkel, 2009). Furthermore, Sirt1 deacetylates the transcription factor forkhead box O3a, FOXO3a, yet another hub of autophagy regulation, leading to enhanced expression of proautophagic Bnip3 (Kume et al., 2010). Akt inhibition resulting from growth factor depletion also causes FOXO3 activation, although via a different mechanism. Following its dephosphorylation, FOXO3 translocates into the nucleus (Figure 3A) and upregulates multiple autophagy-related genes such as *ULK2*, *Beclin 1*, *VPS34*, *BNIP3* and *BNIP3L*, *ATG12*, *ATG4B*, *LC3*, and *GABARAPL1* (Mammucari et al., 2007). Another sirtuin, Sirt2, dissociates from FOXO1 upon serum starvation, thus causing the acetylation of FOXO1, favoring its interaction with Atg7 in the cytoplasm and stimulating autophagy (Zhao et al., 2010). Thus, protein (de)acetylation reactions influenced by sirtuins and other enzymes may control autophagy at multiple levels.

AMPK in Starvation, Energy Depletion, and Beyond. AMPK acts as a central node that integrates several stress stimuli

with autophagy initiation (Figure 3C). AMPK monitors the energy status of the cell by sensing its AMP:ATP ratio. Several upstream kinases, including liver kinase B1 (LKB1, which is activated by energy depletion), calcium/calmodulin kinase kinase-β (CaMKKβ, which is activated by cytosolic Ca²⁺), and TGFβ-activated kinase-1 (TAK-1, which is also involved in IKK activation), can activate AMPK by phosphorylating a threonine residue on its catalytic α subunit (Ruderman et al., 2010). In addition, Sirt1 and AMPK engage in a coordinated positive amplification loop (the “Sirt1/AMPK cycle”) (Ruderman et al., 2010) that acts to initiate autophagy in nutrient deprivation conditions. Sirt1-mediated deacetylation of LKB1 increases its serine-threonine kinase activity and stimulates its translocation from the nucleus to the cytoplasm where it activates AMPK. AMPK can also indirectly stimulate Sirt1 activation by the reduction of nicotinamide (NAM) and an increase in NAD⁺ that may be catalyzed by NAM phosphoribosyltransferase upregulation, thus completing the feed-forward circuitry (Lan et al., 2008; Ruderman et al., 2010).

The best-studied mechanism by which AMPK induces autophagy is through mTORC1 inhibition (see below), via phosphorylation of the tuberous sclerosis complex 2 (TSC2) and the regulatory associated protein of mTOR, Raptor (Figure 3D).

The recent identification of an interaction between AMPK and ULK1 (Behrends et al., 2010) raises the speculative possibility that AMPK may also act more directly on core components of the autophagy machinery to initiate autophagy.

mTORC1 Inhibition in Starvation-Induced Autophagy. Besides inhibition by AMPK, mTORC1 is also inhibited upon withdrawal of growth factors such as insulin or insulin-like growth factor (Figures 3C and 3D). In response to growth factors, Akt becomes catalytically active (due to the sequential stimulation of growth factor-activated class I PI3K and PI3K-dependent protein kinase 1, PDK1, which phosphorylates Akt). Moreover, growth factors activate Ras, which stimulates a cascade involving Raf-1, MEK1/2, and ERK1/2. Both Akt and ERK1/2 can phosphorylate one of two subunits of the tuberous sclerosis complex 1/2 (TSC1/TSC2), and Akt can phosphorylate Raptor, thus causing the activation of mTOR (Figure 3D) (Neufeld, 2010). Moreover, amino acids activate mTORC1 independently of the Akt-TSC1/TSC2 axis, through the Rag family of GTPases, which directly interact with Raptor and recruit mTORC1 to the lysosomal surface (Efeyan and Sabatini, 2010) (Figure 3D). Thus, mTOR is inhibited through multiple mechanisms during starvation conditions (Sengupta et al., 2010).

Additional Kinases Involved in Starvation-Induced Autophagy. Several kinases besides AMPK function in starvation-induced upregulation of autophagy. This includes JNK1, which both phosphorylates Bcl-2, reducing its affinity for the BH3 domain of Beclin 1 (Wei et al., 2008), and phosphorylates Sirt1, promoting its enzymatic activity (Nasrin et al., 2009) (Figure 3A). Moreover, as noted below, the phosphorylation of eIF2 α (Kourou et al., 2007; Talloczy et al., 2002) and the activation of IKK (Criollo et al., 2010) are essential for starvation-induced autophagy. Other kinases such as p38 α mitogen-activated protein kinase (p38 α MAPK, also known as MAPK14) potentially inhibit starvation-induced autophagy. p38 α MAPK acts on p38IP, which is required for starvation-induced mAtg9 trafficking and autophagosome formation (Webber and Tooze, 2010). Thus, the coordinated activation (and inhibition) of several kinases is essential for the induction of autophagy by nutrient depletion. The precise details of such coordination, however, remain poorly understood.

ER Stress and Autophagy

The ER is not only involved in protein synthesis and maturation (including correct folding) but may also constitute a major source/scaffold of the autophagic isolation membrane (Hayaishi-Nishino et al., 2009; Yla-Anttila et al., 2009). The unfolded protein response (UPR), the major ER stress pathway (Buchberger et al., 2010), is a potent stimulus of autophagy. The three canonical branches of the UPR are mediated by three ER membrane-associated proteins, PERK (PKR-like eIF2 α kinase, also known as EIF2AK3), ATF6 (activating transcription factor-6), and IRE1 (inositol requiring enzyme 1) (Figure 4A), all of which are normally bound to and inactivated by the chaperone BiP/GRP78. The occupancy of BiP/GRP78 by misfolded proteins releases PERK, IRE1, and ATF6 from their inhibition. Among these, PERK and ATF6 act as autophagy inducers, while IRE1 acts a negative regulator of autophagy.

PERK and eIF2 α Phosphorylation. PERK mediates the transcriptional activation of the proteins LC3 and Atg5 in hypoxic

responses through the action of the transcription factors ATF4 and CHOP, respectively (Rouschop et al., 2010). PERK may also reduce the translation of Ikb α (Deng et al., 2004), thereby activating NF- κ B, which also could contribute to autophagy (Figure 4B). PERK phosphorylates the eukaryotic initiation factor 2 α (eIF2 α) on residue serine 51, which then initiates a cascade of events that decreases the overload of misfolded proteins, thereby alleviating ER stress (Harding et al., 2003). eIF2 α phosphorylation initiates both a general inhibition of protein translation as well as the selective translation of some stress-responsive transcripts including that of the ATF4 transcription factor and certain autophagy genes (Hotamisligil, 2010).

Cells that carry a nonphosphorylatable mutant of eIF2 α (S51A) fail to induce autophagy in response to starvation, suggesting that eIF2 α phosphorylation on serine 51 (and by extension eIF2 α kinases) plays a major role in autophagy regulation (Kourou et al., 2007; Talloczy et al., 2002). This phosphorylation event integrates various types of environmental and endogenous stress signals beyond ER stress, such as amino acid deprivation, exposure to double-stranded viral RNA, osmotic stress, UV light exposure, heme deficiency, hypoxia, and oxidative stress (Harding et al., 2003). These divergent signals activate four different eIF2 α kinases including PERK (which is activated by ER stress, radiation, or hypoxia), general control nonderepressible-2 (GCN2, which is activated by uncharged tRNAs in amino acid-starved cells), heme-regulated inhibitor (HRI, which is activated by heme deficiency in erythroid precursor cells), and PKR (which is activated by double-stranded RNA and in some contexts, ER stress [Nakamura et al., 2010]) (Figure 4B). Yeast GCN2 and mammalian PKR and PERK have been shown to be required for autophagy induced by starvation, viral infection, and ER stress, respectively (He and Klionsky, 2009). These findings illustrate the importance of eIF2 α kinases in autophagy control, not only in the UPR but also in other conditions of stress.

How eIF2 α phosphorylation contributes to autophagy initiation is not known. One highly speculative possibility is that eIF2 α phosphorylation might somehow affect the ER in a manner that promotes the physical formation of the isolation membrane. Another possibility is that eIF2 α phosphorylation stimulates autophagy via its effects on the transactivation of autophagy genes. eIF2 α phosphorylation stimulates the selective translation of the ATF4 transcription factor (although general translation is shut off), which stimulates LC3 expression (which is necessary for sustained autophagy) (Milani et al., 2009) (Figure 4B). Moreover, there are direct interactions between eIF2 α subunits and core autophagy proteins, although it is not yet known whether these interactions are biologically significant (Behrends et al., 2010).

IRE1. IRE1 is a serine/threonine kinase (which activates JNK1) and also an endoribonuclease, which catalyzes the splicing of the mRNA-encoding form of the transcription factor XBP1, which then transactivates UPR genes involved in ERAD, protein folding, and protein quality control (Hetzel and Glimcher, 2009; Hotamisligil, 2010). Perhaps unexpectedly, the inhibition of IRE1 and its downstream effector XBP1 enhances autophagy induction. Mice lacking XBP1 in neurons exhibit increased levels of baseline autophagy, which leads to increased turnover of an autophagic substrate, mutant superoxide dismutase-1 (SOD1), and

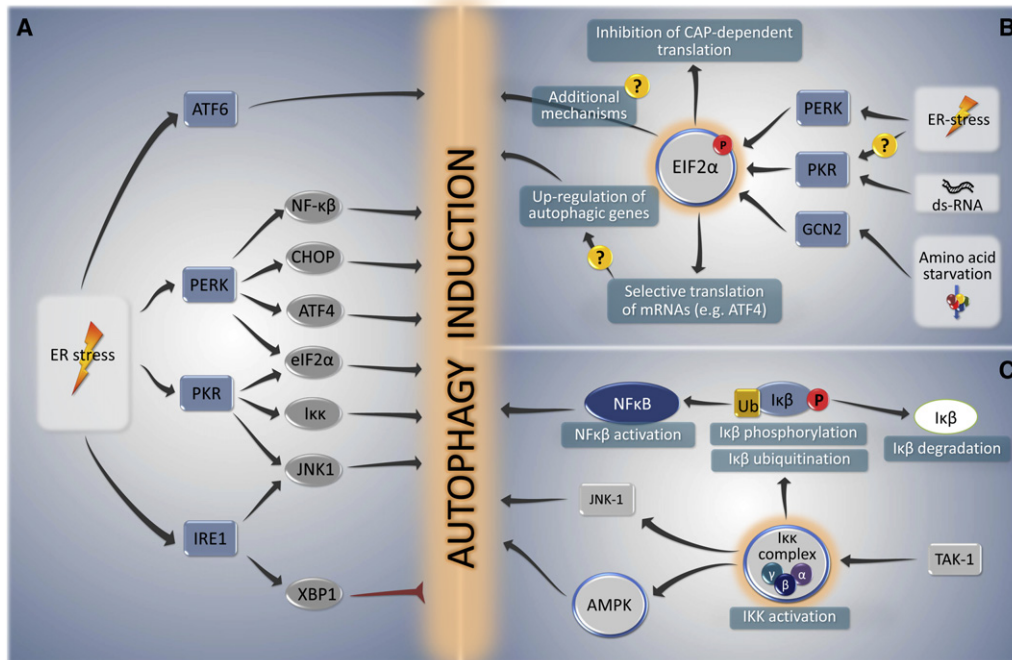


Figure 4. Summary of Signal Transduction Pathways that Connect Autophagy and Selected Stress Signals

Depicted are the connections between autophagy and ER stress (A), eIF2 α phosphorylation (B), and IKK activation (C). For details, see text.

protection against mutant SOD1-induced amyotrophic lateral sclerosis (Hetzel et al., 2009). Since the predominant outcome of ER stress is the induction (rather than inhibition) of autophagy, it is possible that IRE1/XBP1-dependent signals have the function to dampen excessive autophagy triggered via the PERK/eIF2 α pathway (Figure 4A).

Immune Signals

Infection (or exposure of cells to microbial products) constitutes a specialized form of cellular stress that, in many cases, results in autophagy induction (Sumpter and Levine, 2010). Autophagy induction during infection is regulated by cytokines such as IFN γ (and downstream immunity-related GTPases) as well as pathogen recognition receptors (PRRs) that recognize conserved components of pathogens or products of their replication (PAMPs). The PRRs include families of TLRs that recognize PAMPs to activate proinflammatory cytokines and type I interferon production via NF- κ B, MAPK-, and interferon-regulatory pathways; NOD-like receptors (NLRs) that primarily activate signaling via NF- κ B and MAPK and components of the inflammasome; RIG-I like receptors (RLRs) that recognize cytoplasmic viral RNA and dA:dT rich dsDNA; C-type lectins; and the double-stranded RNA-binding protein kinase PKR (Sumpter and Levine, 2010). Since these PRRs recognize not only PAMPs but also DAMPs (which include products of necrotic cells, hypoxia, abnormal intracellular ion gradients, reactive oxygen species, and accumulation of misfolded proteins), this family of “immune signals” that regulates autophagy may represent a more generalized system that cells use to elicit autophagy in response to different forms of stress.

TAK1, IKK, and NF- κ B. The transcription factor NF- κ B and some of its upstream regulators function to integrate diverse stress signals including immune signals with the autophagy pathway. NF- κ B is activated when the inhibitor of NF- κ B (I κ B) is phosphorylated by the I κ B kinase (IKK), a kinase that is composed of one regulatory subunit (IKK γ , also known as NEMO) and two catalytic subunits (IKK α , IKK β). The IKK complex is activated in response to multiple different stressors such as reactive oxygen species, DNA damage, and ligation of death receptors and PRRs. Frequently, this IKK activation is mediated by another upstream kinase, TAK1 (Figure 4C) (Baud and Karin, 2009). In murine and human cells, knockout and/or knockdown of *Tak1* or any of the *Ikk* subunits (but not that of the *Nf- κ B* subunit p65) prevents the induction of autophagy in response to diverse stimuli including starvation, rapamycin, p53 inhibition, or ER stress (Criollo et al., 2010; Herrero-Martin et al., 2009). Conversely, expression of constitutively active IKK subunits stimulates autophagy through an NF- κ B-independent pathway that relies on the activation of AMPK and JNK1 (Criollo et al., 2010). However, the NF- κ B family member p65/RelA can upregulate *beclin 1* expression and autophagy during T cell activation (Copetti et al., 2009), and NF- κ B-incompetent cells are deficient in heat shock-induced autophagy (Nivon et al., 2009). Thus, there may be both IKK-dependent and NF- κ B-independent as well as NF- κ B-dependent mechanisms for autophagy induction. In addition, the p105 subunit of NF- κ B can interact with certain autophagy proteins, including Beclin 1 (Behrends et al., 2010), but the significance of these interactions has yet to be explored.

Aside from the regulation of autophagy by NF- κ B, autophagy may, in turn, regulate NF- κ B signaling. For example, NF- κ B signaling may be negatively regulated by targeting IKK and the NF- κ B inducing kinase, NIK, for autophagic degradation (Qing et al., 2007). Furthermore, when autophagy is inhibited, p62 accumulates and alters the NF- κ B signaling pathway (Mathew et al., 2009).

PKR and the “Meta-Inflammasome”. An interesting recent study uncovers a potential relationship between an autophagy-regulatory eIF2 α kinase, PKR, previously believed to respond primarily to virus infection (Talloczy et al., 2002, 2006), and the coordinate regulation of JNK1 and insulin signaling with ER stress and fatty acid exposure. PKR was found to respond to ER stress and fatty acid exposure, and to be required for the JNK1 phosphorylation, serine phosphorylation of the insulin receptor substrate 1 (IRS1), and consequent insulin resistance that occurs in response to these stimuli (Nakamura et al., 2010). Based on these findings and a previous report that PKR activates the IKK β -NF- κ B pathway (Bonnet et al., 2000), Hotamisligil et al. (Hotamisligil, 2010) proposed the existence of a “meta-inflammasome” composed of PKR, eIF2 α , JNK, IRS, IKK, and other components that link ER stress to more global stress responses, including inflammatory signaling and metabolic dysfunction. In the postulated “meta-inflammasome,” PKR phosphorylates eIF2 α (which induces autophagy), activates the “inflammatory kinases” IKK β and JNK1 (which both induce autophagy), and phosphorylates (and inhibits) IRS1 (which also would induce autophagy) (Nakamura et al., 2010). Thus, this hypothetical molecular platform might explain the functional overlap and crosstalk between the JNK1, IKK, and eIF2 α pathways in the induction of autophagy.

It is, however, unclear whether this autophagy-promoting activity, rather than other downstream effects of the signaling molecules, would explain the proinflammatory and adverse metabolic effects of the “meta-inflammasome,” since genetic deletions of autophagy genes generally activates inflammatory signaling (Sumpter and Levine, 2010; Virgin and Levine, 2009) and a recent study found that hepatic suppression of the *Atg7* autophagy gene results in increased ER stress and insulin resistance (Yang et al., 2010). Perhaps the activation of autophagy by the meta-inflammasome serves as a negative feedback mechanism to limit ER stress; this hypothesis would reconcile the speculated role of the meta-inflammasome in autophagy activation with the cytoprotective roles of autophagy.

Hypoxia and Anoxia

Hypoxia and anoxia (with oxygen concentrations <3% and <0.1%, respectively) both cause autophagy through a variety of different mechanisms. Hypoxia-induced autophagy depends on hypoxia-inducible factor, HIF, while anoxia-induced autophagy is HIF independent (Majmundar et al., 2010; Mazure and Pouyssegur, 2010). HIF is a heterodimer of a constitutive β subunit and an oxygen-regulated α subunit that only becomes stabilized (and hence expressed) when oxygen concentration declines below a threshold of ~5%. Upon moderate hypoxia (1%–3% oxygen), HIF activates the transcription of *BNIP3* and *BNIP3L* (NIX), two BH3-only proteins that can disrupt the inhibitory interaction between Beclin 1 and Bcl-2 (Bellot et al., 2009) (Figure 5A). Moreover, BNIP3L, which often is present at the

outer surface of mitochondria, possesses a WXXL motif that binds to LC3 and its homolog GABARAP (Novak et al., 2010), thereby targeting mitochondria for autophagic destruction. The transcription of *BNIP3* is also upregulated by the transcription factor FOXO3, provided that it is deacetylated by Sirt1 (Kume et al., 2010).

Additional pathways have been implicated in hypoxia-induced autophagy, especially in cases of severe hypoxia or anoxia (Figure 5A). These include the protein DJ-1 (also called CAP1/RS/PARK7, which regulates autophagy by an unknown mechanism), the autocrine stimulation of a PDGFR-dependent pathway, the stimulation of AMPK through metabolic stress, and the UPR of the ER (Mazure and Pouyssegur, 2010). Maximal induction of autophagy by hypoxia also requires PERK-mediated phosphorylation of eIF2 α (Rouschop et al., 2010), further underscoring the importance of eIF2 α phosphorylation as a general autophagy regulator. Hypoxia increases the transcription of the essential autophagy genes *LC3* and *Atg5* through the transcription factors ATF4 and CHOP, respectively, which are both regulated by PERK (Rouschop et al., 2010).

Redox Stress and p53

Oxidative stress induces autophagy through multiple mechanisms (Figure 5B). Exogenous hydrogen peroxide reportedly can activate PERK (and thereby stimulate eIF2 α phosphorylation) (Liu et al., 2008), directly oxidize and activate Atg4 proteases (and thereby accelerate the production of proteolytically mature LC3) (Scherz-Shouval et al., 2007), and inhibit mTOR either directly (Liu et al., 2008) or indirectly, via activation of PARP1 (as a result of DNA damage) and/or via activation of a cytoplasmic pool of ATM and the subsequent activation of LKB1 and AMPK1 (Alexander et al., 2010; Huang et al., 2009). The cellular response to an increase in ROS often involves the activation of mitogen-activated protein kinases (MAPKs), including JNK1, which can activate autophagy (Wong et al., 2010). Finally, DNA damage also stimulates the expression of proautophagic p53-induced target genes.

The tumor suppressor protein p53, which can be activated by different types of stress including redox stress, has a dual effect on autophagy (Figure 5C), acting as a positive regulator of autophagy via its transcriptional activity and as a negative regulator of autophagy via its cytoplasmic functions (Green and Kroemer, 2009). As a transcription factor, p53 transactivates several autophagy inducers including DRAM1 (which may operate through JNK1 activation) and Sestrin2 (which binds to the ternary complex TSC1/TSC2-AMPK, inducing phosphorylation and activation of TSC2 by AMPK). One of the few p53-induced genes that inhibits autophagy is TIGAR, a fructose-2,6-bisphosphatase that stimulates the pentose phosphate shunt and helps to lower intracellular ROS (Bensaad et al., 2009). When present in the cytoplasm, p53 acts as a tonic inhibitor of autophagy. In several contexts of autophagy induction, p53 must be depleted from the cytoplasm for optimal autophagy induction to ensue. This may involve the activation of the p53-specific ubiquitin ligase, HDM2, which targets p53 to proteasome-mediated destruction (Green and Kroemer, 2009). However, it is not yet fully clear how cytoplasmic p53 inhibits autophagy. The only essential autophagy protein that is known to interact with p53 is FIP200, which is a multifunctional

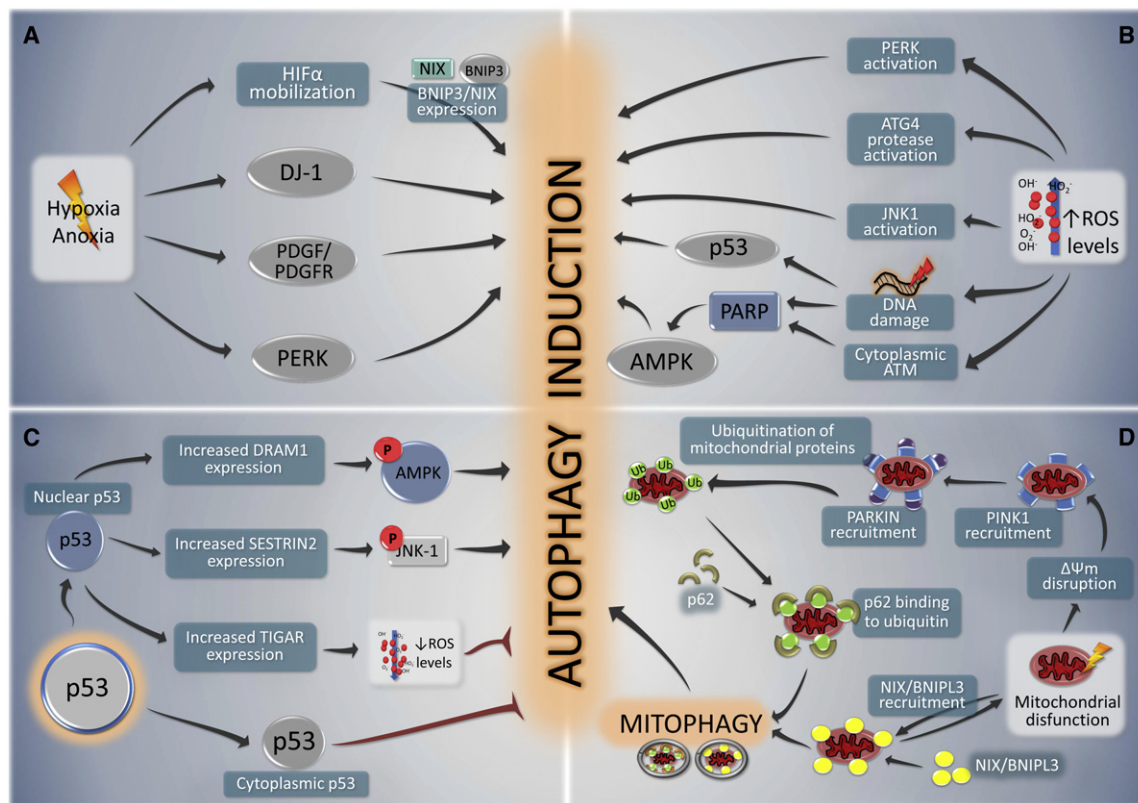


Figure 5. Overview of Selected Stress Pathways that Induce Autophagy

The mechanisms involved in autophagy induction by hypoxia or anoxia (A), increased oxidative damage (reactive oxygen species, ROS) (B), perturbation of the p53 system (C), or mitochondrial dysfunction (D) are represented schematically.

protein that is present in the ULK1 complex, yet also binds to Pyk2, FAK, TSC2, ASK1, and TRAF2 and plays a role in the control of cell adhesion, migration, proliferation, and cell death (Gan and Guan, 2008). Thus, an interesting question is whether p53 somehow negatively regulates the ULK1 complex through its interaction with FIP200.

Mitochondrial Damage

Cells must remove damaged mitochondria to prevent the accumulation of ROS. This process of mitochondrial quality control is mediated by mitophagy, the selective autophagic removal of mitochondria. Considerable advances have been made in understanding the mechanisms by which damaged mitochondria are targeted for autophagy, as well as the functional significance of mitochondrial quality control in preventing aging, neurodegenerative diseases, and other pathologies.

In response to potentially lethal stress or damage, mitochondrial membranes can be permeabilized through multiple distinct biochemical routes. Indeed, mitochondrial membrane permeabilization (MMP) constitutes one of the hallmarks of imminent apoptotic or necrotic cell death (Kroemer et al., 2007). However, if only a fraction of mitochondria are permeabilized, autophagic removal of the damaged mitochondria can rescue the cell. The autophagic recognition of depolarized mitochondria is mediated by a refined voltage sensor, involving the mitochondrial kinase, PINK1. Under normal circumstances, PINK1 is continuously

recruited to the mitochondrial outer membrane but subject to voltage-dependent proteolysis, which leads to its removal from mitochondria and to proteasome-mediated degradation (Narendra et al., 2010). Upon mitochondrial depolarization, PINK1 rapidly accumulates on the mitochondrial surface, facilitates the recruitment of the E3 ubiquitin ligase Parkin (Narendra et al., 2010), which ubiquitinates mitochondrial substrates including the outer membrane protein VDAC1, recruits the autophagy adaptor molecule, p62/SQSTM1, and thereby targets mitochondria for autophagic removal (Geisler et al., 2010) (Figure 5D). Both *PINK1* and *Parkin* genes were originally identified because loss-of-function mutations affecting either of them cause familial Parkinson's disease in humans. Disease-causing mutations in *PINK1* and *Parkin* disrupt Parkin recruitment and Parkin-induced mitophagy (Geisler et al., 2010; Narendra et al., 2010). At least in some cell types (MEFs), depolarization-induced mitophagy involves BNIP3L (also known as NIX) (Novak et al., 2010) (Figure 5D), and in mice, BNIP3L/Nix is required for the removal of mitochondria during erythroid maturation (Sandoval et al., 2008). At present, the functional relationship between NIX-dependent mitophagy and the PINK1/Parkin mitophagy pathway has not been elucidated.

Interestingly, the maturation of autophagosomes during mitophagy may be controlled differently than during starvation-induced autophagy. The ubiquitin-binding deacetylase, histone

deacetylase-6 (HDAC6), promotes autophagosomal maturation by recruiting a cortactin-dependent, actin-remodeling machinery to ubiquitinated structures, where assembly of the F-actin network facilitates autophagosome-lysosome fusion. (Lee et al., 2010). However, HDAC6 deficiency leads to a failure of autophagosomal maturation only in the context of quality control autophagy (mitophagy and protein aggregate removal), but not in starvation-induced autophagy (Lee et al., 2010). This specific requirement for a ubiquitin-binding deacetylase in quality control autophagy suggests that ubiquitin modification may be a key signal underlying distinct steps in selective autophagy.

Autophagy Regulatory Pathways in the General Stress Response

In the preceding section, we reviewed specific stress stimuli and stress signals that regulate autophagy. Certain general concepts regarding the interrelationship between autophagy regulatory pathways and the general stress response are beginning to emerge.

Integration of Autophagy and Other Cellular Stress Responses

The integration of autophagy and other cellular stress responses can be conceptualized in the framework of three broad concepts. First, a single type of stress stimulus elicits a variety of signals that trigger distinct cellular responses (one of which is autophagy) that cooperate for the sake of optimal cellular repair and adaptation. Second, distinct stress responses are often integrated through the ability of a single molecular event to stimulate multiple adaptive pathways (one of which is autophagy). Third, distinct cellular stress response pathways, including autophagy, mutually control other stress response pathways.

Some representative examples of the first concept (Figure 6A) include (1) redox stress, which induces transcriptional reprogramming through HIF, NF- κ B, and p53 activation; elicits the UPR; and stimulates both general and selective autophagy; (2) hypoxia, which induces adaptive responses including the transcriptional activation of angiogenic and cytoprotective cytokines in parallel with autophagy stimulation; and (3) DNA damage, which elicits nuclear p53 translocation, cell-cycle checkpoint activation, cell-cycle arrest, and autophagy.

Many of the signals discussed in preceding sections illustrate the second concept. For example, eIF2 α phosphorylation participates in the UPR in stress granule formation, in general translational control, and in autophagy induction (Kimball et al., 2003; Talloczy et al., 2002). Similarly, activation of TAK1 and IKK coordinates the activation of NF- κ B signaling and that of autophagy (Criollo et al., 2010; Herrero-Martin et al., 2009). Activation of LKB1-AMPK stimulates autophagy, as well as the phosphorylation and activation of p27^{kip1}, a cyclin-dependent kinase inhibitor that leads to cell-cycle arrest (Liang et al., 2007). mTOR inhibition results in autophagy induction, as well as in the inhibition of anabolic reactions including IRES-independent mRNA translation. FOXO3 activation induces the transcription of genes whose products increase both proteasomal and autophagic degradation (Mammucari et al., 2007).

The control of other stress pathways by autophagy was discussed briefly in previous sections. For example, as noted

above, deficient autophagy leads to p62 accumulation, which alters NF- κ B signaling (Mathew et al., 2009). In addition, p62 accumulation in the setting of deficient autophagy activates the stress responsive transcription factor Nrf2 (Komatsu et al., 2010). High levels of p62 disrupt the interaction between the Cul3/Rbx1 ubiquitin ligase complex, Keap1 (which normally targets Nrf2 for proteasomal degradation), and Nrf2, resulting in enhanced Nrf2 activity and an increase in expression of Nrf2-regulated stress response enzymes (Komatsu et al., 2010) (Figure 6B). In addition, several different autophagy genes regulate different aspects of inflammatory signaling, although, in some instances, it is not known whether such regulation is a consequence of autophagy or alternative functions of the autophagy genes (Sumpter and Levine, 2010; Virgin and Levine, 2009). Autophagy genes negatively regulate RLR-mediated induction of type I interferon both via conjugation of Atg12-Atg5 to CARD domains of RLR signaling molecules and through elimination of dysfunctional mitochondria. Atg16L1 and Atg7 negatively regulate inflammatory signaling, including IL-1 β and IL-18 secretion. Moreover, Atg9, but not Atg7, negatively regulates activation of STING, a recently discovered transmembrane protein that is required for efficient activation of type I IFN and proinflammatory cytokine production in response to interferon stimulatory DNA (Saitoh et al., 2009).

These examples underscore the mutual control that exists between autophagy and inflammatory signaling pathways. Another important axis of mutual control of different stress responses pathways is between autophagy and cell-cycle regulation. Autophagy is blocked during G2 phase and mitosis, and a recent study indicates that this may be mediated by cyclin-dependent kinase-mediated phosphorylation of Vps34, which negatively regulates its interaction with Beclin 1 during mitosis (Furuya et al., 2010). Moreover, there is a strong correlation between mitogenic signaling and autophagy inhibition (Levine and Kroemer, 2008). This correlation is further strengthened by a recent genome-wide screen, which found that a large percentage of genes that negatively regulate autophagy are positively involved in cell growth and proliferation (Lipinski et al., 2010). We speculate that this mutually exclusive regulation of autophagy and cell growth may constitute a fundamental mechanism that cells use to appropriately adapt their metabolism to stressful environmental conditions.

Links among General Autophagy and Quality Control Mechanisms

There is a growing consensus that autophagy is stimulated either in response to a central “command” of the cell (for example, in response to nutrient depletion), in which case it is nonselective and general, or as a result of ubiquitination reactions that specifically target “garbage,” i.e., protein aggregates or damaged mitochondria or intracellular pathogens, for autophagic degradation. Nonetheless, these two types—general versus selective—of autophagy may not be completely separable from each other. Indeed, stimulation of the general autophagic pathway, either by inhibiting mTOR or by stimulating mTOR-independent autophagy pathways, leads to reduced accumulation of mutated, aggregation-prone proteins (such as mutant α -synuclein or huntingtin protein) and damaged mitochondria (Sarkar et al., 2007; Williams et al., 2008). Similarly, starvation or mTOR inhibition

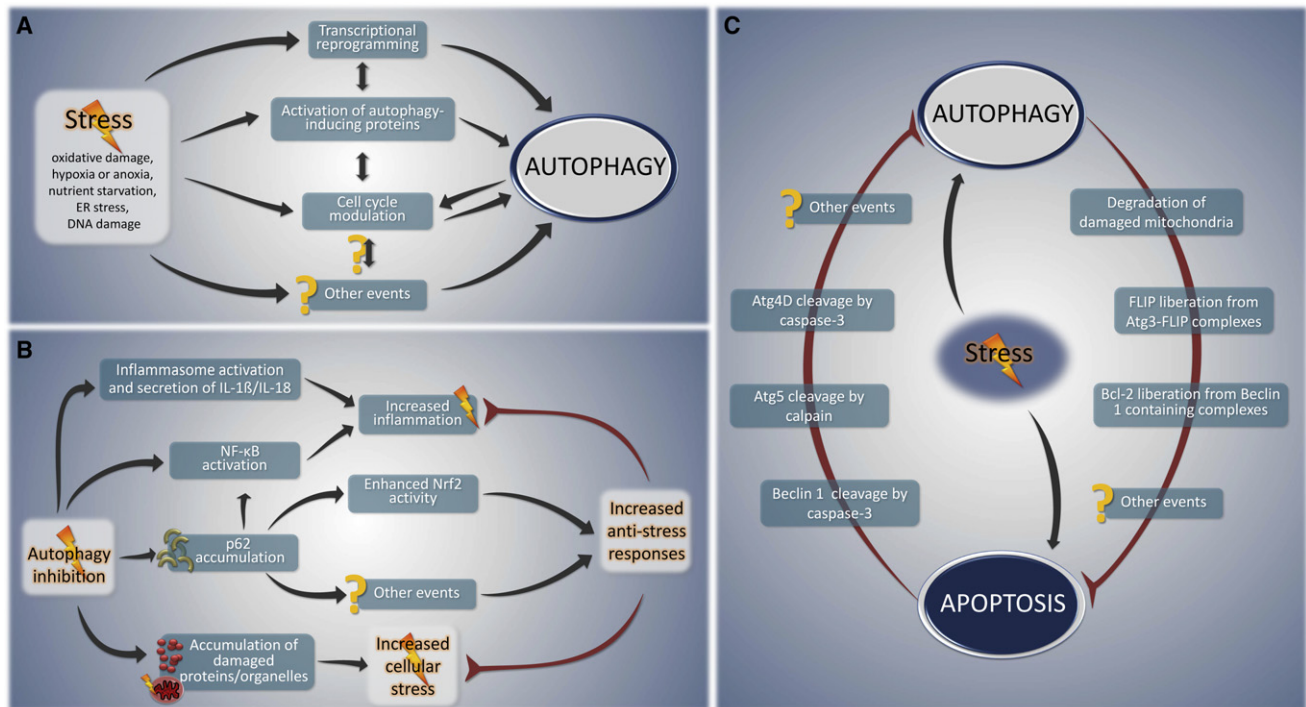


Figure 6. Hypothetical Models of Key Cellular Stress Response Networks

(A) Particular stress stimuli (e.g., oxidative damage, hypoxia or anoxia, nutrient starvation, ER stress) can elicit different responses that cooperate to achieve optimal cellular repair and adaptation. A diverse range of stressors activate interconnected cytoprotective mechanisms able to modulate autophagy at different levels, such as transcriptional reprogramming, protein modifications (phosphorylation, acetylation, etc.), or cell-cycle modulation.

(B) Autophagy inhibition and stress. Autophagy impairment leads to the accumulation of damaged proteins and organelles, which in turn can elicit cellular stress. Moreover, disabled autophagy can increase the abundance of p62, resulting in an enhanced activity of NF-κB, which leads to enhanced inflammation. By contrast, p62 accumulation leads to the activation of Nrf2 transcription factor and in a consequent increase in the expression of stress response enzymes.

(C) Mutual exclusion between autophagy and apoptosis. Autophagy, as a cytoprotective pathway, eliminates potential sources of proapoptotic stimuli such as damaged mitochondria, thereby setting a higher threshold against apoptosis induction. By contrast, the apoptosis-associated activation of proteases such as calpain and caspase-3 may destroy autophagy-specific factors (Atg4D, Beclin 1, or Atg5), thereby suppressing autophagy.

leads to increased autophagic targeting of intracellular bacteria (Gutierrez et al., 2004). One possible interpretation of these findings is that a general increase in protein and organelle turnover may be sufficient to avoid protein aggregation and organellar degeneration. Another attractive interpretation is that general autophagy is not completely nonselective and may preferentially degrade proteins and structures that are on the “verge” of aggregation or damage. This yet-unproven concept predicts that an increase in general autophagy would reset the threshold of quality control so that organelles that exhibit only minor alterations (and that normally would not be removed by autophagy) are subject to autophagic turnover. This would explain why an increase in general autophagy can accelerate bacterial clearance, delay the development of multiple neurodegenerative diseases, and mediate a general antiaging effect (Madeo et al., 2010).

Mutual Exclusion between Autophagy and Apoptosis

Autophagy is usually a self-limited process that protects cells from cell death by multiple mechanisms that include, but are not limited to, maintenance of bioenergetic homeostasis, recycling of misfolded and aggregate-prone proteins, and removal of uncoupled or permeabilized mitochondria. The intrinsic pathway of apoptosis is initiated by MMP (Kroemer et al.,

2007). If MMP is limited to a fraction of mitochondria, this will result in the selective autophagic removal of depolarized mitochondria and the avoidance of cell death. Thus, the efficacy of autophagy may set a higher threshold for the ability of MMP to constitute an irreparable, lethal event. In addition, it is plausible that the liberation of Bcl-2 and FLIP from activated autophagy protein complexes may free up these molecules to block the intrinsic and extrinsic pathways of apoptosis, respectively (Lee et al., 2009; Pattingre et al., 2005).

Given the predominantly cytoprotective role of autophagy, it seems (teleo)logical that induction of apoptosis would be coupled to the inactivation of autophagy (Figure 6C). There are some examples of molecular events that support this concept. Caspase-3 cleaves Beclin 1, thereby destroying its proautophagic activity. The C-terminal fragment of Beclin 1 that results from this cleavage acquires a new function and can amplify mitochondrion-mediated apoptosis (Wirawan et al., 2010). Caspase-3 activation also cleaves and activates Atg4D, an enzyme that catalyzes the delipidation of the LC3 paralog GABARPL1. This proteolytic activation increases Atg4D targeting to mitochondria via a putative BH3 domain and enhances its cytotoxic activity (Betin and Lane, 2009). Similarly, the proteolytic activity of calpain can destroy the proautophagic function of Atg5 (Xia

et al., 2010) while it generates an Atg5-derived proapoptotic mitochondrion-permeabilizing fragment of Atg5 (Yousefi et al., 2006). All these results underscore that concept that autophagy and apoptosis are antagonistic events that tend to inhibit each other.

Concluding Remarks

In this review, we have described stimulus-dependent as well as common regulatory and execution steps of autophagy, with a focus on the close links that exist between autophagy and different types of adaptive and repair responses to stress. These links consist of multiple and intricate mechanisms that are intertwined in complex intersecting pathways, which often function in positive and negative amplification loops, thus composing molecular switches and homeostatic devices. A more detailed molecular comprehension of these regulatory networks, as well as their biomathematical integration using systems biology approaches, may furnish testable models for more refined experimental and therapeutic manipulations of autophagy. At present, the comprehension of autophagic regulation has only just begun, and its multiple links to cell growth, proliferation, senescence, and apoptosis await further exploration. We expect that additional major circuits of autophagy regulation will emerge and perhaps supersede in importance the pathways that we currently know—or believe to know.

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