# Computing the determinant Group 12

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#### Overview

- Why calculate determinants?
  - Invertibility of matrices
  - Cramer's Rule
  - Eigenvalues and Eigenvectors
  - Jacobian determinant
- Algorithms for computing determinants
  - Leibniz formula
  - Laplace expansion
  - LU decomposition
  - Gaussian elimination
  - Bareiss algorithm
  - Bird's algorithm
- 3 Epilogue
  - Summary of determinant algorithms
  - How fast is Maple's implementation?



### Invertibility of matrices

#### Theorem

An  $n \times n$  square matrix A is invertible if and only if

$$det(A) \neq 0$$
.

#### Cramer's Rule

#### **Theorem**

Given an equation  $A\mathbf{x} = \mathbf{b}$  The solutions for  $\mathbf{x}$  are given by

$$x_i = \frac{\det(A_i)}{\det(A)}$$

with  $A_i$  being the matrix formed by replacing the *i*th column of A by **b**.

It turns out this method has the same runtime complexity as Gaussian elimination for solving systems of linear equations.

#### Eigenvalues and Eigenvectors

#### Definition

The Eigenvalues  $\lambda$  of a matrix  $\boldsymbol{A}$  are the roots of the characteristic polynomial as defined

$$\chi_A = \det(A - \lambda I) = \mathbf{0}.$$

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The Eigenvectors of A are the vectors  $\mathbf{v}$  such that

$$A\mathbf{v} = \lambda \mathbf{v}$$
.

### Eigenvalues and Eigenvectors (cont.)

The absolute value of the determinant of real vectors is equal to the volume of the parallelepiped spanned by those vectors.  $f: \mathbb{R}^n \to \mathbb{R}^n$ : the linear map represented by the A. S: any measurable subset of  $\mathbb{R}^n$ .

$$volume(f(S)) = |\det(A^T A)| \times volume(S).$$

#### Jacobian determinant

For  $f : \mathbb{R}^n \to \mathbb{R}^n$ , the Jacobian matrix is the  $n \times n$  matrix whose entries are defined as

$$D(f) = \left(\frac{\partial f_i}{\partial x_j}\right)_{1 \le i, j \le n.}$$

Its determinant is known as the Jacobian determinant.

If the determinant of a continuously differentiable function f at a point p is. . .

- Non-zero, f is invertible near a point p in  $\mathbb{R}^n$ .
- Positive, then *f* preserves orientation near *p*.
- Negative, then f reverses orientation near p.

# Big-O notation

We'll be looking at the runtime of algorithms, so this is useful.

#### Definition

A function f is said to be  $\mathcal{O}(g)$ , with g a function iff

$$\exists k \in \mathbb{R} \ni f(n) < k \cdot g(n)$$

for sufficiently large n.

#### Leibniz formula

#### **Definition**

The Leibniz formula defines the determinant of  $A \in \mathbb{M}(n)$  as

$$\det(A) = \sum_{\sigma \in \mathfrak{S}_n} \left( \operatorname{sgn}(\sigma) \cdot \prod_{i=1}^n a_{i,\sigma(i)} \right)$$

where  $\mathfrak{S}_n$  is the set of permutations length n.

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where  $\mathfrak{S}_n$  is the set of permutations length n.

Computing the determinant using this method is slow with runtime  $\mathcal{O}(n(n)!)$ .

# Laplace expansion

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#### $\mathsf{Theorem}$

The formula for the (1st row) Laplace expansion of  $A \in \mathbb{M}(n)$  is given as:

$$\det(A) = \sum_{j=1}^n a_{1,j} C_{1,j}$$

where  $C_{i,j} = (-1)^{i+j} \det (A\langle i,j \rangle)$  is the (i,j) cofactor of A.

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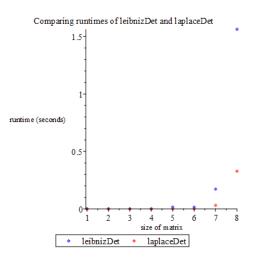
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Its runtime complexity of  $\mathcal{O}(n!)$  is poor.

### Laplace expansion vs Leibniz formula



Runtimes are similar — both run in exponential time.



#### What is LU decomposition?

#### Definition

An LU decomposition of an invertible matrix  $\boldsymbol{A}$  is a factorization

$$A = LU$$

where L and U are lower and upper triangular matrices, respectively.

# Is there always an LU decomposition?

#### No.

An LU decomposition of A exists if and only if each of its *leading* principle minors (contiguous square submatrices in the top-left corner of A), are also invertible.

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#### Example

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This matrix is invertible but has no LU decomposition.

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#### Example

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This matrix is invertible but has no LU decomposition.

What can we do?

# PLU decomposition

Partial pivoting.

We can pivot the matrix into the correct form by multiplication with an orthogonal, permutation matrix P (representing a permutation  $\sigma_P$ ) which gives us the PLU decomposition:

$$\sigma_P(A) = PA = LU$$

# PLU decomposition

#### Partial pivoting.

We can pivot the matrix into the correct form by multiplication with an orthogonal, permutation matrix P (representing a permutation  $\sigma_P$ ) which gives us the PLU decomposition:

$$\sigma_P(A) = PA = LU$$

This technique works on any matrix.

### How it helps us compute determinants

Now that we have PA = LU, it follows that

$$A = P^{-1}LU$$
$$= P^{T}LU$$

since  $P^{-1} = P^T$  by the definition of orthogonal matrices.

### How it helps us compute determinants (cont.)

Now that we have  $A = P^T L U$ , it follows that

$$det(A) = det(P^{T}LU)$$

$$= det(P^{T}) \cdot det(L) \cdot det(U)$$
 (Thm. 4.4.1)
$$= det(P) \cdot det(L) \cdot det(U)$$
 (Lem. 4.4.4)

#### Given that

- the determinant of a triangular matrix is the product of its diagonal elements
- the determinant of a permutation matrix (P) is the parity of the permutation it represents  $(\sigma_P)$

it follows that

$$\det(A) = \operatorname{sgn}(\sigma_P) \cdot \left(\prod_{i=1}^n I_{i,i}\right) \cdot \left(\prod_{i=1}^n u_{i,i}\right)$$

```
Input: \mathbf{A} \in \mathbb{R}^{n \times n}
```

Output:  $L, U, P \in \mathbb{R}^{n \times n}$ , with PA = LU, L unit lower triangular, U non-singular upper triangular, and P a permutation matrix

- 1: **U** ← **A**, **L** ← **I**, **P** ← **I**
- 2: for  $k \leftarrow 1, \ldots, n-1$  do
- 3: Choose  $i \in \{k, ..., n\}$  which maximises  $|u_{ik}|$
- 4: Exchange row  $(u_{kk}, ..., u_{kn})$  with  $(u_{ik}, ..., u_{in})$
- 4: Exchange row  $(u_{kk}, \dots, u_{kn})$  with  $(u_{ik}, \dots, u_{in})$ 5: Exchange row  $(l_{k1}, \dots, l_{k-1})$  with  $(l_{k1}, \dots, l_{k-1})$
- 5: Exchange row  $(l_{k1}, \ldots, l_{k,k-1})$  with  $(l_{i1}, \ldots, l_{ik-1})$
- 6: Exchange row  $(p_{k1}, \dots, p_{kn})$  with  $(p_{i1}, \dots, p_{in})$
- for  $j \leftarrow k + 1, ..., n$  do
- 8:  $l_{jk} \leftarrow u_{jk}/u_{kk}$
- 9:  $(u_{jk}, ..., u_{jn}) \leftarrow (u_{jk}, ..., u_{jn}) l_{jk}(u_{kk}, ..., u_{kn})$
- 10: end for
- 11: end for

 $\triangleright$  Col. 1 to k have zeros below the diagonal

 $\triangleright$  L has unit diagonal, zeros above and below the diagonal in columns k+1 to n

 $\triangleright u_{kk}$  now largest possible

▶ Loop over columns

This algorithm only works on invertible matrices (line 8 division).

$$A = \begin{pmatrix} 2 & 1 & 1 \\ 4 & 3 & 3 \\ 8 & 7 & 9 \end{pmatrix}$$
$$PA = LU$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & 1 & 1 \\ 4 & 3 & 3 \\ 8 & 7 & 9 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & 1 & 1 \\ 4 & 3 & 3 \\ 8 & 7 & 9 \end{pmatrix}$$

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So we have

$$L = \begin{pmatrix} 1 & 0 & 0 \\ \frac{1}{2} & 1 & 0 \\ \frac{1}{4} & \frac{3}{2} & 1 \end{pmatrix}, U = \begin{pmatrix} 8 & 7 & 9 \\ 0 & -\frac{1}{2} & -\frac{3}{2} \\ 0 & 0 & 1 \end{pmatrix} \text{ and } \operatorname{sgn}(\sigma_P) = -1.$$

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and  $\operatorname{sgn}(\sigma_P) = -1$ .

Thus we have

$$det(A) = det \begin{pmatrix} 2 & 1 & 1 \\ 4 & 3 & 3 \\ 8 & 7 & 9 \end{pmatrix} = sgn(\sigma_P) \cdot \left( \prod_{i=1}^n I_{i,i} \right) \cdot \left( \prod_{i=1}^n u_{i,i} \right)$$
$$= -1 \cdot 8 \cdot -\frac{1}{2} = 4.$$

### Runtime analysis

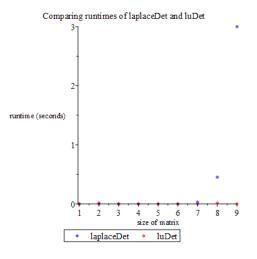
How quick is it?

- The PLU decomposition can be computed in  $\mathcal{O}(n^3)$  time.
- The determinants of the triangular matrices computed in  $\mathcal{O}(n)$  time.
- The parity of the permutation matrix in  $\mathcal{O}(n^2)$  time.

Therefore the total runtime for computing the determinant using the method is

$$\mathcal{O}(n^3) + \mathcal{O}(n^2) + \mathcal{O}(n) = \mathcal{O}(n^3).$$

# Laplace expansion vs LU decomposition



The difference between the exponential and polynomial-time function is clear.



#### Limitations of LU decomposition

The main problem with the LU decomposition algorithm used is that it often requires division.

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Let's try something else...

#### Gaussian elimination

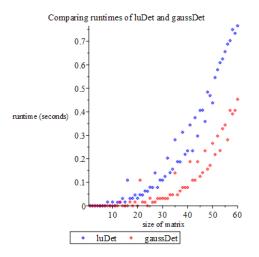
- The determinant of a triangular matrix can be computed by taking the product of its diagonal entries (which is a quick  $\mathcal{O}(n)$  operation).
- Any invertible square matrix can be transformed into echelon form by performing Gaussian elimination, which takes  $\mathcal{O}(n^3)$  time.
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- Quite similar to algorithm for LU decomposition.

So how does it compare to LU decomposition?

## Gaussian elimination vs LU decomposition



The difference in runtimes is small (a constant factor).



## Gaussian elimination (cont.)

Conventional Gaussian elimination (like LU decomposition) requires division.

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This is can be addressed by using...

#### Bareiss algorithm

- Addresses the issue of precision-loss by performing integer-preserving Gaussian elimination on integer matrices.
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- The runtime complexity is  $\mathcal{O}(n^3)$  which is the same as conventional Gaussian Elimination, whilst preserving exactness.

Unfortunately, the maths behind this is a bit hard...

### Bird's algorithm

Define  $\mu : \mathbb{M}(n) \to \mathbb{M}(n)$ :

$$\mu(X) = \begin{pmatrix} \mu_{2,2} - x_{2,2} & x_{1,2} & \cdots & x_{1,n-1} & x_{1,n} \\ 0 & \mu_{3,3} - x_{3,3} & \cdots & x_{2,n-1} & x_{2,n} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & \mu_{n,n} - x_{n,n} & x_{n-1,n} \\ 0 & 0 & \cdots & 0 & 0 \end{pmatrix}$$

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and 
$$F_A: \mathbb{M}(n) \to \mathbb{M}(n)$$
, with  $A \in \mathbb{M}(n)$ 

$$F_A(X) = \mu(X) \cdot A$$

$$F_A^2(X) = \mu(F_A(X)) \cdot A$$

$$\vdots$$

$$F_A^n(X) = \mu(F_A^{n-1}(X)) \cdot A.$$

# Bird's algorithm (cont.)

#### Bird's Theorem

$$F_A^{n-1}(A) = \begin{pmatrix} d & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 \end{pmatrix} \text{ with } d = \begin{cases} \det(A) & \text{odd } n \\ -\det(A) & \text{even } n. \end{cases}$$

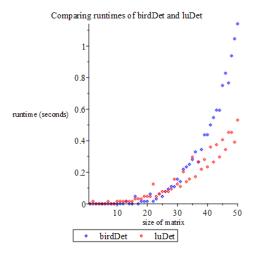
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- Enables the *division-free* computation of determinants in  $\mathcal{O}(n \cdot M(n))$  where M(n) is the runtime complexity of the matrix multiplication algorithm used.
- If the conventional  $\mathcal{O}(n^3)$  matrix multiplication algorithm is used, then Bird's algorithm will run in  $\mathcal{O}(n^4)$  time.
- But this can be reduced to  $\mathcal{O}(n^{3.8})$  by using a faster (e.g. *Strassen*) algorithm for matrix multiplication.

# Bird's algorithm vs LU decomposition

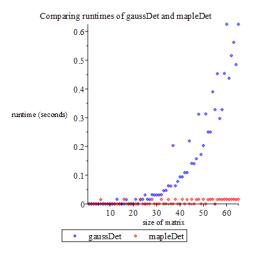


Bird's runtimes increase noticeably more rapidly than LU decomposition, but it's still polynomial.

# Summary of determinant algorithms

Algorithm	Runtime	Exact?
Leibniz formula	$\mathcal{O}((n+1)!)$	Yes
Laplace expansion	$\mathcal{O}(n!)$	Yes
LU decomposition	$\mathcal{O}(n^3)$	No
Gaussian elimination	$\mathcal{O}(n^3)$	No
Bareiss algorithm	$\mathcal{O}(n^3)$	Yes
Bird's algorithm	$\mathcal{O}(n^{3.8})$	Yes

## How fast is Maple's built-in determinant function?



Very. Maple's optimisation means a fair comparison cannot be made.

# Thanks!