

Math 202A — UCB, Fall 2016 — William Guss
Problem Set 14, duoo

(14.1) (Folland problem 4.65) Let U be an open subset of \mathbb{C} , and let $\{f_n\}$ be a sequence of holomorphic functions on U . If $\{f_n\}$ is uniformly bounded on compact subset of U , there is a subsequence that converges uniformly to a holomorphic function on compact subset of U .

Proof. If U is an open subset of \mathbb{C} and $\{f_n\}$ is uniformly bounded on compact subset of U , then for any compact of U , say K , $f_n|_K$ is holomorphic. Additionally, since \mathbb{C} is a second countable LCH space with the open ball topology, $f_n|_K$ is holomorphic on countably many fully connected precompacts, and therefore is analytic there. To lastly characterize f_n , uniform boundeness on K is equivalent to the existence of a real number M so that for all n , $|f_n(k)| \leq M$ when $k \in K$. Therefore $f_n|_K \not\rightarrow g$ where g is singular on any K .

Now for any compact $K \subset U$ that is without loss of generality fully connected, and for any $x \in K$. The analyticity of f_n for all n lets us consider

$$f_n(x) - f_m(x) = \frac{1}{2\pi i} \int_{C_R(x)} \frac{f_n(y) - f_m(y)}{y - x} dy$$

$$|f_n(x) - f_m(x)| \leq \frac{1}{2\pi} \int_{C_R(x)} \left| \frac{f_n(y) - f_m(y)}{y - x} \right| dy \leq \frac{M4\pi R^2}{2\pi R}$$

By the uniform boundeness of the sequence and the moduli bound of the function $|y - x|$. Therefore given $\epsilon > 0$ There is a $R = \epsilon/6M$ so that for all n, m , $|f_n(x) - f_m(x)| \leq \epsilon/3$. Now by f_1 continuous on K take $\delta = \min(R, \delta_1)$ so that δ_1 is the radius of the domain $C_{\delta_1}(x)$ on which f_1 takes values near x of moduli difference less than $\epsilon/3$. Then when $y \in C_\delta(x)$ for any m

$$\begin{aligned} |f_m(x) - f_m(y)| &\leq |f_m(x) - f_1(x) + f_1(x) - f_1(y) + f_1(y) - f_m(y)| \\ &\leq |f_m(x) - f_1(x)| + |f_1(x) - f_1(y)| + |f_1(y) - f_m(y)| \\ &< \epsilon/3 + \epsilon/3 + \epsilon/3 = \epsilon \quad \therefore \end{aligned}$$

Therefore f_n is equicontinuous on any compact. Returning to a more general setting, we can place $x, y \in U$ arbitrarily because \mathbb{C} is an LCH space, we can find a compact ball around x anywhere in U and then proceed with the proof. Therefore f_n is continuous on U .

Then by the Arzela-Ascoli Theorem II, there is an $f \in C(U)$ so that some subsequence of $\{f_n\}$ converges to f uniformly on compact sets. Then from complex analysis, uniform convergence of analytic functions preserves analyticity and so f is analytic. \square

(14.2) (Folland problem 4.63) Let $K \in C([0, 1] \times [0, 1])$. For $f \in C([0, 1])$, let $Tf(x) = \int_0^1 K(x, y)f(y) dy$. Then $Tf \in C([0, 1])$, and $\{Tf : \|f\|_u \leq 1\}$ is precompact on $C([0, 1])$.

Proof. We will use A-A Theorem 1 to show that $\mathcal{F} = \{Tf : \|f\|_u \leq 1\}$ is precompact. In order to do, we must first show that $Tf \in C([0, 1])$ for any $f \in C([0, 1])$ and furthermore that \mathcal{F} is pointwise bounded, and equicontinuous.

To assert the first claim, we will show that T is a bounded linear operator between $T : C([0, 1]) \rightarrow C([0, 1])$ and then use a basic theorem of functional analysis to assert its continuity. First since $[0, 1]^2$ is compact in the box topology (and the product topology by Tychonov) as a subset of a Hausdorff space, it is closed and bounded. Additionally K is then bounded by M_K . Additionally every $f \in C([0, 1])$ is bounded in absolute value by $M_f \geq \|f\|_u$. Therefore $\|Tf\|_u \leq M_K \|f\|_u$ and so T is a bounded

operator. Next for any $f, g \in C([0, 1])$, $T(f+g) = \int K_x(f+g) dy = \int K_x f dy + \int K_x g dy = Tf + Tg$ so the operator is bounded. Lastly we need show continuity of Tf on $[0, 1]$.

By uniform continuity of f on x , given any ϵ , there is a δ so that $|x - y| < \delta$ implies $|T[f](x) - T[f](y)| \leq \|T\| |f(x) - f(y)| \leq \|T\| \epsilon$. Therefore let $\delta' = \min\{\delta, \epsilon/\|T\|\}$ and Tf is continuous. Therefore $T : C([0, 1]) \rightarrow C([0, 1])$ is a bounded linear operator and on the topology of uniform convergence T is continuous.

Next we need show that \mathcal{F} is equicontinuous. For any $Tf \in \mathcal{F}$ we know that $\|f\|_u \leq 1$ and therefore $\|Tf\|_u = \|T\| \|f\|_u$. Let any ϵ be given and fix $Tf \in \mathcal{F}$, by uniform continuity of Tf for all $\epsilon > 0$ there is a δ with for any $x, y \in [0, 1]$ and $|x - y| < \delta$, then $|Tf(x) - Tf(y)| < \epsilon/3$. Furthermore $\|Tf - Tg\|_u \leq \|T\| \|f - g\|_u$. In particular $|Tf(x) - Tg(x)| = |\int K(x, y)(f(y) - g(y)) dy| \leq \|g - y\|_u \int |K(x, y)| dy$.

Now by the continuity of $K(x, y)$ we have that

$$\|g - y\|_u \int_{[0,1]} |K(x, y)| dy = \|g - y\|_u F(x) \in C([0, 1])$$

Fix a particular $Tf \in \mathcal{F}$ and let δ be its continuity constant around x for $\epsilon/3$. Then take $\delta' = \min\{\delta, \gamma\}$ where γ is the continuity constant of F around x for $\epsilon/3$; that is the γ so that $|x - y| < \gamma$ implies $|F(x) - F(y)| < \epsilon/3$. Then when $|x - y| < \delta'$ we have that

$$\begin{aligned} |Tg(x) - fg(y)| &\leq |Tg(x) - Tf(x) + Tf(x) - Tf(y) + Tf(y) - Tg(y)| \\ &\leq |Tg(x) - Tf(x)| + |Tf(x) - Tg(y)| + |Tg(y) - Tf(y)| \\ &< \epsilon/3 + \epsilon/3 + \epsilon/3 = \epsilon \end{aligned}$$

Then \mathcal{F} is precompact on $C([0, 1])$. □

In hindsight, proving continuity of T was pretty useless, lol.

(14.3) (Folland problem 4.66) Show that $1 - \sum_1^\infty c_n t^n$ is the maclaurin series for $(1-t)^{1/2}$ on compacta of $(-1, 1)$.

Proof. First recall that

$$c_n = \frac{1}{n!} \prod_{m=1}^n \frac{2m-3}{2}.$$

Then if we compute the series

$$1 + \sum_{n=1}^{\infty} c_n t^n = 1 + \left(-\frac{1}{2}\right) \sum_{n=1}^{\infty} \frac{t^n}{n!} \prod_{m=1}^{n-1} \frac{2m-1}{2}.$$

The difference of any two partial of the absolute series when $j \leq k$ is just

$$\begin{aligned}
\left| 1 + \sum_{n=1}^k |c_n t^n| - 1 - \sum_{n=1}^j |c_n t^n| \right| &= \left| \left(-\frac{1}{2} \right) \sum_{n=1}^k \frac{|t^n|}{n!} \prod_{m=1}^{n-1} \frac{2m-1}{2} - \left(-\frac{1}{2} \right) \sum_{n=1}^j \frac{|t^n|}{n!} \prod_{m=1}^{n-1} \frac{2m-1}{2} \right| \\
&= \frac{1}{2} \left| \left(\sum_{n=j}^k \frac{|t^n|}{n!} \prod_{m=1}^{n-1} \frac{2m-1}{2} \right) \right| \\
&= \frac{1}{2} \left| \prod_{m=1}^{j-2} \frac{2m-1}{2} \right| \left| \sum_{n=j}^k \frac{|t^n|}{n!} \prod_{m=j-1}^{n-1} \frac{2m-1}{2} \right| \\
&\leq \frac{1}{2} \left| \prod_{m=1}^{j-2} \frac{2m-1}{2} \right| \sum_{n=j}^k \frac{1}{n!} \prod_{m=j-1}^{n-1} \frac{2m-1}{2}; \quad |t| < 1 \\
&= \frac{1}{2^{j-1}} (j-2)!! \sum_{n=j}^k \frac{(n-1)!!}{(j-1)!! \cdot n! 2^{n-j}} \quad \cdot!! \text{ is the double factorial} \\
&\leq \frac{2^{j/2} (j/2)!}{2^{j-1}} \sum_{n=j}^k \frac{2^{\frac{n-1}{2}} ((n-1)/2)!}{n! 2^{n-j}} \\
&\leq \frac{1}{2^{(j-2)/2} \prod_{j/2}^j n} \sum_{n=j}^k \frac{2^{\frac{2j-n-1}{2}} ((n-1)/2)! j!}{n!} \\
&\leq \sum_{n=1}^{k-j} \frac{(j/2)! ((j+n)-1/2)!}{2^{n/2} (j+n)!} \leq \sum_{n=j}^k \frac{((n-1)/2)!}{2^{n/2} \prod_{j/2}^n m} \\
&\leq \frac{1}{2^{j/2}} \sum_{n=j}^k \frac{((n-1)/2)!}{2^{(n-j)/2} \prod_{j/2}^n m} \rightarrow 0 \quad j, k \rightarrow \infty.
\end{aligned}$$

Therefore the series is uniformly convergent when $t \leq 1$, and so on compact subsets of $(-1, 1)$ the uniform convergence of $1 + \sum_{n=1}^{\infty} c_n t^n$ yields¹ that if $f(t) = 1 + \sum_{n=1}^{\infty} c_n t^n$ then $f'(t) = \sum_{n=1}^{\infty} n c_n t^{n-1}$.

¹Undergraduate real analysis.

Since $f'(t) = \sum_{n=1}^{\infty} nc_n t^{n-1}$ then

$$\begin{aligned}
-2(1-t)f'(t) &= 2(t-1) \sum_{n=1}^{\infty} nc_n t^{n-1} = 2 \sum_{n=1}^{\infty} nc_n t^n - 2 \sum_{n=1}^{\infty} nc_n t^{n-1} \\
&= 2 \sum_{n=1}^{\infty} nc_n (t^n - t^{n-1}) \\
&= 2(-(-1/2))t^0 + 2c_1 t - 2c_2 t + 4c_2 t^2 - 6c_3 t^2 + \dots \\
&= 1 + \sum_{n=1}^{\infty} 2(c_n - (n+1)c_{n+1})t^n \\
&= 1 + \sum_{n=1}^{\infty} t^n 2 \left(\frac{1}{n!} \prod_{m=1}^n \frac{2m-3}{2} - \frac{n+1}{(n+1)!} \prod_{m=1}^n \frac{2m-3}{2} \right) \\
&= 1 + \sum_{n=1}^{\infty} \frac{t^n}{n!} 2 \prod_{m=1}^n \frac{2m-3}{2} \left(1 - \frac{1}{2} \right) \\
&= f(t).
\end{aligned}$$

Therefore $f'(t) = -2(1-t)f'(t)$. Now multiplying by $(1-t)^{-1/2}f(t)$ we take the derivative thereof and get $((1-t)^{-1/2}f(t))' = (1/2)(1-t)^{-3/2}f(t) + (1-t)^{-1/2}f'(t)$. Therefore $((1-t)^{-1/2}f(t))' = -(1-t)^{-3/2}(1-t)f(t) + (1-t)^{-1/2}f'(t) = 0$. So $(1-t)^{-1/2}f(t)$ is constant. Finally $f(0) = 1$ and thus $f(t) = (1-t)^{1/2}$. This completes the proof. \square

(14.4) Let X, Y be compact Hausdorff spaces. Show that the algebra generated by all products of functions $(x, y) \mapsto f(x)g(y)$, where $f \in C(X)$ and $g \in C(Y)$ is dense in $C(X \times Y)$.

Proof. Let \mathcal{A} be the set of functions mentioned in the problem. Clearly \mathcal{A} is a subalgebra since it is by definition the minimal family closed under multiplication and addition containing all $p \in C(X \times Y)$ such that $p = (x, y) \mapsto f(x)g(y)$ for some $g \in C(Y), f \in C(X)$. We need to show that this algebra separates points. Take any distinct $(x, y), (w, z) \in X \times Y$. Since X, Y are compact Hausdorff spaces, they are normal and so by Urysohn's lemma the following functions exist. Let $f \in C(X)$ so that $f(x) = 1, f(w) = 0$. Let $g \in C(Y)$ so that $g(y) = 1$ and $g(z) = 0$. Additionally let $h \in C(X)$ so that $h(x) = 0, h(w) = 1$ and $k \in C(Y)$ so that $k(y) = 0$ and $k(z) = 1$. Then there are clearly $p : (a, b) \mapsto f(a)g(b)$ and $q : (a, b) \mapsto h(a)k(b)$ so that $p, q \in \mathcal{A}$ and additionally $p(x, y) = 1 \neq q(x, y) = 0$ and $p(w, z) = 0 \neq q(w, z) = 1$. Therefore \mathcal{A} separates points.

Since multiplication is a continuous operator on \mathbb{C}^2 it follows that all $p \in G$ where G is the generating family of \mathcal{A} are continuous, and continuous functions on $X \times Y$ are closed under algebraic operations (pointwise multiplication and addition). Additionally \mathcal{A} is closed under conjugation since the generating family is closed under c.c. $\overline{fg} = \overline{f}\overline{g}$ where $\overline{f} \in C(X), \overline{g} \in C(Y)$. Lastly the non-zero constant map is in both $C(X)$ and $C(Y)$ so it is also in \mathcal{A} so by the Complex Stone-Weierstrass Theorem we have $\overline{cl(\mathcal{A})} = C(X \times Y)$. \square

(14.5) let $X = [0, 1]^A$ where $A \neq \emptyset$. Show that algebra generated by all coordinate maps $\pi_\alpha : X \rightarrow [0, 1]$ together with the constant function 1 is dense in $C(X)$.

²This is a proof that \mathcal{A} satisfies the second condition of the theorem.

Proof. Let \mathcal{A} be the subalgebra described in the statement of the problem. The generating set of coordinate maps are continuous. We need show that \mathcal{A} separates points. Take any $x, y \in X$, distinct. Then $x = \prod_{\alpha \in A} x_\alpha \neq y = \prod_{\alpha \in A} y_\alpha$. Therefore there must be a $\alpha \in A$ so that $\pi_\alpha(x) = x_\alpha \neq y_\alpha = \pi_\alpha(y)$. So for every pair of distinct points $x, y \in X$ there is an α such that π_α separates x, y in $[0, 1] \subset \mathbb{C}$. Therefore \mathcal{A} separates points. Next if $\overline{\pi_\alpha} = Re(\pi_\alpha) - iIm(\pi_\alpha) = Re(\pi_\alpha) = \pi_\alpha$. Therefore \mathcal{A} is generated by a family which is closed under complex conjugation and so \mathcal{A} is closed under complex conjugation. Lastly since the constant map 1 is in \mathcal{A} it cannot be a subset of $\{f \in C(X) : f(x_0) = 0\}$. Therefore by the Stone-Weierstrass theorem $cl(\mathcal{A}) = C(X)$. This completes the proof. \square