

# MATH 202A: Homework 1

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1.

**Theorem 1.** *Let  $X$  be a nonempty set and let  $f, g : X \rightarrow \mathbb{R}$  be bounded functions. Show that*

$$\sup(f(x) + g(x)) \leq \sup f(x) + \sup g(x)$$

*Proof.* Since  $X$  is non empty and the functions  $f, g$  are bounded then the function  $(f + g)(x)$  is bounded. Let  $u_f, u_g$  be the least upper bound of  $f(X)$  and  $G(X)$  respectively. These exist since  $f, g$  are bounded. Furthermore let  $u_{f+g}$  be the upperbound for  $(f + g)$ .

Suppose  $u_f + u_g < u_{f+g}$ , let  $x_n^f$  and  $x_n^g$  be sequences in  $X$  which achieve  $f(x_n^f) \rightarrow u_f$  and  $g(x_n^g) \rightarrow u_g$  respectively, finally let  $y_n$  be the sequence which achieves  $(f+g)(y_n) = u_{f+g}$ . If  $u_{f+g} > u_f + u_g$  then there is an  $N$  such that for all  $n > N$   $(f + g)(y_n) = f(y_n) + g(y_n) > u_f + u_g$  which is a contraction to  $u_f$  and  $u_g$  being an upperbound.  $\square$

2. Lim supply goodness.

**Theorem 2.** *Let  $(a_n)$  and  $(b_n)$  be sequences in  $\mathbb{R}$  suppose neither  $\limsup_{n \rightarrow \infty} a_n$  nor  $\limsup_{n \rightarrow \infty} b_n$  equals  $-\infty$ . Show that*

$$\limsup_{n \rightarrow \infty} a_n + b_n \leq \limsup_{n \rightarrow \infty} a_n + \limsup_{n \rightarrow \infty} b_n.$$

*Proof.* Observe that  $a_n, b_n$  are isomorphically equivalent to  $A : \mathbb{N} \rightarrow \mathbb{R}$  and  $B : \mathbb{N} \rightarrow \mathbb{R}$ .

Then if  $a_n$  and  $b_n$  are bounded above, then by the previous problem

$$\sup_{E \subset \mathbb{N}} A(n) + B(N) \leq \sup_{E \subset \mathbb{N}} A(n) + \sup_{E \subset \mathbb{N}} B(N). \quad (1)$$

since  $A, B$  bounded below and above by the assumption of the problem. And for the the family of sets  $E := E_n = \{n, n + 1, \dots\}$  the inequality holds so therefore

$$\limsup_{n \rightarrow \infty} a_n + b_n \leq \limsup_{n \rightarrow \infty} a_n + \limsup_{n \rightarrow \infty} b_n.$$

Without loss of generality we check the other case by assuming that  $a_n$  is unbounded above. Then  $a_n \rightarrow \infty$  and corespondingly  $\limsup a_n = \infty$ . We then have that  $\limsup a_n + \limsup b_n = \infty$  and for every  $x \in \mathbb{R}$ ,  $x \leq \infty$  and the inequality holds since  $\limsup_{n \rightarrow \infty} a_n + b_n \in \mathbb{R}$ .  $\square$

Consider the following example  $a_n = (1, -1, 1, -1, 1, -1, \dots)$  and  $b_n = (-1, 1, -1, 1, -1, 1, \dots)$ .

*Proof.* By construction  $\limsup b_n = 1$ ,  $\limsup a_n = 1$ . However  $a_n + b_n = 0$  for all  $n$  by construction. Therefore  $\limsup 0 = 0 \leq \limsup b_n + \limsup a_n$ .  $\square$

3. We show that the matching metric is a metric.

*Proof.* First  $d : X \times X \rightarrow [0, \infty)$  since it is not possible for there to be a negative number of indices for which  $x_n \neq y_n$  (doesn't make sense). Furthermore  $S^n$  is finite so there can be at most  $n$  indices for which  $x, y$  could disagree.

Second,  $d$  is semetric since the number of elements for which  $x_j \neq y_j$  is equivalent to the number of elements for which  $y_j \neq x_j$  by the symmetry of the equality relation on  $S$ .

Third,  $x = y$  if and only if for every  $j \in \{1, \dots, n\}$ ,  $x_j = y_j$  if and only if the number of indices on which  $x$  and  $y$  agree is 0 if and only if  $d(x, y) = 0$  if and only if  $x = y$ .

Fourth and finally, if  $x, y, z \in X$  then suppose that  $d(x, z) + d(z, y) < d(x, y)$ . Then there is a  $j$  such that  $x_j \neq y_j$  but that  $x_j = z_j = y_j$ . If there not were such a  $j$  in this situation then  $x_j$  disagrees with  $z_j$  or  $y_j$  disagrees with  $z_j$  for every  $j$  and so the LHS is  $2n > n \geq d(x, y)$ . So such a  $j$  must exist and that is a contradiction to  $d(x, z) + d(z, y) < d(x, y)$ . Therefore the triangle equality holds for this metric.  $\square$

4. Consider  $\mathbb{N} \subset \mathbb{R}$ .

*Proof.* We claim that  $\lim_{\mathbb{R}} \mathbb{N} = \mathbb{N}$ . Suppose there were  $x \notin \mathbb{N}$  that was a limit point, then for every  $r > 0$ , there exists an  $n \in B(r, x)$  with  $n \in \mathbb{N}$ . Take  $r = \frac{\min\{x - \lfloor x \rfloor, \lceil x \rceil - x\}}{2}$ . Then  $B(r, x)$  cannot contain any  $n$  since it is a strict subset of  $(\lfloor x \rfloor, \lceil x \rceil)$ . So  $x$  is not a limit point of  $\mathbb{N}$  and all of the limit points of  $\mathbb{N}$  are in  $\mathbb{N}$ .

Every point and only every point of  $\mathbb{N}$  is a limit point and  $\mathbb{N}$  is countable.  $\square$

The set  $[0, 1]$  is a closed set and so every point is a limit point and  $[0, 1]$  is uncountable.

5. The set  $E = \{x | x^2 < 2, \} \subset \mathbb{Q}$  is clopen.

*Proof.* If  $E = [-\sqrt{2}, \sqrt{2}] \cap \mathbb{Q}$  then it is open since any rational  $r \in E$  has the property that  $\epsilon = \min\{d(r, -\sqrt{2}), d(r, \sqrt{2})\}$  gives a ball  $B(\epsilon/2, r) \cap \mathbb{Q}$  which contains every element in  $E$  since it could not possibly contain  $l > \sqrt{2}$  or  $l < -\sqrt{2}$  by definition of  $\epsilon$ .

Now take a sequence of convergent rational numbers in  $E$  (which may converge outside of  $E$ ). Suppose that it does converge outside of  $E$ . It must be the case that there is a  $r > \sqrt{2}$  or  $r < -\sqrt{2}$  to which the sequence converges. Without loss of

generality assume that  $r > \sqrt{2}$ . Then take  $\epsilon = r/2 + \sqrt{2}/2$ . There must be an  $N$  so that all elements of the sequence with index greater than  $n$  are more than  $\sqrt{2}$  since there exists rationals within  $\epsilon$  of  $r > \sqrt{2}$ , but this contradicts the sequence being in  $E$ . Therefore  $E$  is closed.  $\square$

6. The set  $E = \{(x, y) \in \mathbb{R}^2 : x > 0, y > 0, xy > 1\}$  is open.

*Proof.* We show that  $f(x, y) = xy$  is continuous. It is obvious that the identity map  $id(x) = x, id(y) = y$  is continuous (take  $\delta = \epsilon$ ). Furthermore it is obvious that  $f(x, y) = x, y$  is continuous (take  $\delta = \epsilon$ ) by effectively the same argument. Then the product of  $f(x, y) = x, f(x, y) = y$  is continuous.

Then the set  $f(E) = (1, \infty)$  is open in  $\mathbb{R}$  and by continuity of  $f$  the preimage is open. That is  $E$  is open.  $\square$

7. Graph goodness.

**Theorem 3.** If  $f : [0, 1] \rightarrow \mathbb{R}$  and  $f$  continuous then  $G(f) = \{(x, y) \in [0, 1] \times \mathbb{R} : y = f(x)\}$  is closed.

*Proof.* Since  $f$  is continuous, for any sequence  $(x_n)$  in  $[0, 1]$ ,  $x_n \rightarrow x$  implies  $f(x_n) \rightarrow f(x)$ . Let  $(x_n, y_n)$  be any convergent sequence from  $G(f)$ . We wish to show that  $(x_n, y_n) \rightarrow (x, y) \in G(f)$ .

Since  $(x_n, y_n)$  a convergent sequence in  $\mathbb{R}^2$  then  $x_n$  must be a convergent sequence in  $\mathbb{R}$  (it is not hard to see this since  $|x_n - x|^2 < |x_n - x|^2 + |y_n - y|^2 < \epsilon$ ). However since  $x_n \in [0, 1]$  and  $[0, 1]$  closed  $x_n \rightarrow x \in [0, 1]$  and by the continuity of  $f$ ,  $y_n = f(x_n) \rightarrow f(x) = y$  such that  $(x, y) \in G$ .

This completes the proof.  $\square$

- 8.

**Theorem 4.** Let  $(x_n)$  be a sequence of points in a metric space  $(X, \rho)$ , and let  $z \in X$ . Suppose that any subsequence of  $(x_n)$  has a sub-subsequence which converges to  $z$ . Then  $x_n \rightarrow z$ .

*Proof.* Suppose not, then there exists an  $\epsilon > 0$  such that for all  $N$ , there exists an  $n > N$  such that  $\rho(x_n, z) > \epsilon$ . Take the subsequence  $n_j$  such that  $n_j$  is the first  $n > j$  where  $\rho(x_n, z) > \epsilon$ .

This sequence has a convergent subsequence  $j_p$  such that there exists an  $N$  for which all  $p > N$  gives  $\rho(x_{n_{j_p}}, z) < \epsilon$ . This is a contradiction, and therefore the theorem holds.  $\square$

- 9.

**Theorem 5.** Let  $(x_n)$  be a Cauchy sequence in  $(X, \rho)$ . Show that if some subsequence  $(x_{n_k})$  converges, then  $(x_n)$  also converges.

*Proof.* If  $(x_n)$  is cauchy then for all  $\epsilon > 0$  there exists an  $M$  such that for all  $p, q > M$   $\rho(x_p, x_q) < \epsilon/2$ . Take  $M$  to be large enough that  $\rho(x_{n_q}, x) < \epsilon/2$  by  $x_{n_k} \rightarrow x$ . By the triangle inequality,  $\rho(x_m, x) \leq \rho(x_m, x_{n_q}) + \rho(x_{n_q}, x) < \epsilon/2 + \epsilon/2 = \epsilon$ . Therefore  $x_n \rightarrow x$ .  $\square$

10.

**Theorem 6.** *Any cauchy sequence is bounded.*

*Proof.* Let  $(x_n)$  be a cauchy sequence. Pick any  $\epsilon$ , then take  $N$  large enough such that for all  $n, m > N$ ,  $d(x_n, x_m) < \epsilon$ . Then fix  $n$ . Let

$$R = \max\{d(x_1, x_n), \dots, d(x_{n-1}, x_n), \epsilon\}. \quad (2)$$

It is obvious that  $\{x_l\} \subset B(R, x_n)$ . This completes the proof.  $\square$

11.

**Theorem 7.** *Let  $(X, \rho)$  be a metric space and let  $Y \subset X$ . Let  $\rho'$  be the metric on  $Y$  defined by restricting  $\rho$  to  $Y$ . Show that if  $(Y, \rho')$  is complete then  $Y$  is a closed subset of  $X$ .*

*Proof.* Suppose that  $Y$  does not contain all of its limit points. Then there is a sequence such that  $y_n \rightarrow x \in X \setminus Y$ . Then for every  $\epsilon > 0$  there is an  $N$  such that for all  $n, m > N$   $\rho(y_n, x) < \epsilon/2$  and  $\rho(y_m, x) < \epsilon/2$ .

It follows that  $\rho'(y_m, y_n) < \rho(y_n, x) + \rho(x, y_m) < \epsilon$  so  $y_n$  is cauchy in  $Y$ . Therefore by  $y$  complete,  $y \rightarrow y \in Y$  which is a contradiction to  $y_n \rightarrow x \in X \setminus Y$ .  $\square$

12.

**Theorem 8.** *Let  $f : X \rightarrow Y$ . If  $G$  is the graph of  $f$  show that if  $f$  continuous then  $G$  is closed.*

*Proof.* Define  $F : X \rightarrow G$  as the function which takes  $x$  to  $(x, f(x))$ . Such a map is a bijection since every element of  $x$  is uniquely indexed in  $G$  by  $(x, \cdot)$  and the definition of  $G$  says that for every  $(x, f(x)) \in G$  there is an  $y$  in  $X$  namely  $x$  which maps to  $(x, f(x))$  under  $X$ .

Then for any sequence in  $G$  there exists a  $x_n \in X$  which cooresponds through  $F$  uniquely. So let  $x_n$  which converges then  $F(x_n)$  converges in  $G$  since  $F = id \times f$  is continuous. Therefore every sequence in  $G$  converges.  $\square$

13.

**Theorem 9.** *Let  $d : (x, y) \mapsto |x - y|^{1/2}$ . Then  $d$  is a metric and other things in the assignment.*

*Proof.* The function  $d = \sqrt{\circ}\rho$  where  $\rho$  is a metric. Therefore  $d(a, b) = \sqrt{\circ}\rho(a, b) = \sqrt{\circ}\rho(b, a) = d(b, a)$ . Furthermore  $\sqrt{\circ}:\mathbb{R}^+ \cup \{0\} \rightarrow \mathbb{R}^+ \cup \{0\}$  so  $\sqrt{\circ}\rho$  is still positive definite. Finally we show the triangle inequality,

$$d(a, c) = \sqrt{\rho(a, b - b + c)} \leq \sqrt{\rho a, b + \rho(b, c)} \quad (3)$$

and so we show  $d(a, c)^2 = \rho(a, c) \leq \rho(a, b) + \rho(b, c)$  implies by monotonicity of  $\rho$  that  $d(a, c) \leq d(a, b) + d(b, c)$ .

Now we show that the metrics are not strongly equivalent. Suppose there were constants  $\alpha, \beta$  such that for every  $x, y \in X$   $\alpha d(x, y) \leq \rho(x, y) \leq \beta(x, y)$ . Then  $\alpha|\gamma| \leq |\gamma|^2 \leq \beta|\gamma|$  but clearly there exists no  $\beta$  such that  $\gamma^2$  never exceeds the line  $\gamma\beta$  so the metrics are not strongly equivalent (although they are topologically equivalent.)

Now we show that cauchy in  $\rho$  if and only if cauchy in  $d$ . Pick  $\epsilon > 0$  and  $\delta = \epsilon^2 > 0$  then  $d(x_m, x_n) < \delta$  if and only if  $\rho(x_m, x_n) = d(x_m, x_n)^2 < \delta^2 = \epsilon$ .

The set  $\mathbb{R} \setminus A$  is closed under  $d$  and contains all of its limit points if and only if it is cauchy under  $d$  if and only if it is cauchy under  $\rho$  if and only if it contains its limits under  $\rho$  if and only if it is closed under  $\rho$ . Therefore  $A$  is open under  $\rho$  if and only if it is open under  $d$ .  $\square$

14.

**Theorem 10.** *If  $f : X \rightarrow Y$  continuous and  $K \subset X$  compact then  $f(K)$  compact.*

*Proof.* If  $K$  compact then every sequence has a convergent subsequence. Take any sequence  $y_n \in f(K)$  then clearly there is a sequence in  $K$  such that  $f(x_n) = y_n$ . Then take the subsequence of  $x_n$  which converges, say  $n_j$ . Then  $f(x_{n_j}) \rightarrow f(x) \in f(K)$  (as  $x \in X$ ) by continuity of  $f$  and  $f(x_{n_j})$  is a subsequence of  $y_n$ . This completes the proof.  $\square$

15.

**Theorem 11.** *Let  $f : K \rightarrow Y$  be continuous and  $K \subset X$  compact, then  $f$  is uniformly continuous.*

*Proof.* Since  $f$  is continuous then for any  $\epsilon > 0$  for every  $x$  there is a  $\delta(x)$  such that  $\rho(x, y) < \delta(x)$  implies that  $\rho'(fx, fy) < \epsilon$ . Let  $\mathcal{V}$  be the family defined as

$$\mathcal{V} = (B(\delta(x), x))_{x \in K}. \quad (4)$$

This is clearly an open cover of  $K$  and by  $K$  compact there is a finite subcover indexed by a finite  $\mathcal{F} \subset K$ . Let

$$\delta = \min_{x \in \mathcal{F}} \delta(x). \quad (5)$$

It follows that any for every  $x, y \in K$  such that  $\rho(x, y) < \delta$ ,  $\rho(x, y) < \delta(x)$  and  $\rho(x, y) < \delta(y)$  and so  $\rho'(fx, fy) < \epsilon$ . Therefore  $f$  is uniformly continuous.  $\square$