

Codebook for Medicaid Expansion and Section 1115 Waivers

Prepared by the Center for Public Health Law Research Staff

April 2023



MEDICAID EXPANSION AND SECTION 1115 WAIVERS

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Codebook

This codebook describes the variables in a dataset that examines variation in state Medicaid expansion and the states' use of Medicaid Section 1115 waivers. Section 1115 waivers allow states to experiment with new approaches in Medicaid that differ from federal statute requirements and allow for more flexibility for enrollment and benefits. This flexibility may sometimes expressly expand specific SUD services, otherwise expand Medicaid services that may result in expanded access to SUD care or enhance care by addressing social needs related to healthcare access such as housing, transportation, or nutrition supports. This dataset is cross-sectional and is valid through April 20, 2023. The jurisdictions selected for measurements are the 50 states and the District of Columbia.

There are three standard variables in every PDAPS dataset. They are:

JURISDICTION:

This is a dropdown selection in each coding form. It includes the jurisdictions coded in the dataset.

EFFECTIVE DATE:

An important note about the statistical data page: This codebook should be used in conjunction with the statistical data extract that can be downloaded from the dataset homepage by clicking on the Data button. The value labels defined below will correspond with the coded responses you will see on the Statistical data page. Coding questions that are not answered will show as a period (".") in the Excel cell. Coding questions can be intentionally not answered, such as when a state does not have a law and the coder therefore leaves the remaining coding answers as unanswered. This date (MM/DD/YYYY) is the most recent effective date of the legal text captured for this place. The effective date represents the date the policy coded was put into effect.

VALID THROUGH DATE:

This date (MM/DD/YYYY) is the last date the policy was in effect as reflected by the legal text captured to code this policy.

An important note about the statistical data page:

This codebook should be used in conjunction with the statistical data extract that can be downloaded from the dataset homepage by clicking on the Data button. The value labels defined below will correspond with the coded responses you will see on the Statistical data page. Coding questions that are not answered will show as a period (".") in the Excel cell. Coding questions can be intentionally not answered, such as when a state does not have a law and the coder therefore leaves the remaining coding answers as unanswered.

Questions

Question 1:	Has the state adopted the Affordable Care Act (ACA) Medicaid expansion?
Question Type:	Binary - mutually exclusive
Variable Name:	Medicaid-Expansion
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2:	Has the state received an approved 1115 Waiver?
Question Type:	Binary – mutually exclusive
Variable Name:	Medicaid_1115Waiver
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(A):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_SUD treatment services for short-term residents in residential and inpatient treatment settings that qualify as Institution for Mental Diseases (IMD)
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(B):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Mental health services for short-term residents in residential and inpatient treatment settings that qualify as Institution for Mental Diseases (IMD)
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(C):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Behavioral health and other community-based benefit expansions
Variable Values:	0, 1
Value Label:	0 = No
Value Label:	1 = Yes

Question 2.1(D):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Services for justice-involved individuals
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(E):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Services for postpartum individuals
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(F):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Services related to mental health diagnosis
Variable Values:	0, 1
Value Label:	0 = No
Value Label:	1 = Yes
Question 2.1(G):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_Services for people with disabilities
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes
Question 2.1(H):	What services are covered under the 1115 waiver?
Question Type:	Categorical - check all that apply
Variable Name:	Medicaid_Serv_SUD treatment services for parents who are at risk of involvement with Child Protective Services
Variable Values:	0, 1
Value Label:	$0 = N_0$
Value Label:	1 = Yes

Has the state received an approved Section 1115 Waiver to address social determinants Question 3: of health (SDOH)? Question Type: Binary – mutually exclusive Variable Name: Medicaid 1115SDOH Variable Values: 0, 1 Value Label: 0 = NoValue Label: 1 = YesQuestion What SDOH supports are covered under the 1115 waiver? 3.1(A): Question Type: Categorical - check all that apply Variable Name: Medicaid SDOHsupports Housing supports Variable Values: 0, 1 Value Label: $0 = N_0$ Value Label: 1 = YesQuestion 3.1(B): What SDOH supports are covered under the 1115 waiver? Question Type: Categorical - check all that apply Variable Name: Medicaid SDOHsupports Nutrition supports Variable Values: 0, 1 Value Label: 0 = NoValue Label: 1 = YesQuestion 3.1(C): What SDOH supports are covered under the 1115 waiver? Question Type: Categorical - mutually exclusive Variable Name: Medicaid SDOHsupports Case management Variable Values: 0, 1 Value Label: 0 = NoValue Label: 1 = YesQuestion What SDOH supports are covered under the 1115 waiver? 3.1(D): Question Type: Categorical - check all that apply Variable Name: Medicaid SDOHsupports Employment coaching Variable Values: 0, 1 Value Label: 0 = NoValue Label: 1 = Yes

Question 3.1(E): What SDOH supports are covered under the 1115 waiver?

Question Type: Categorical - check all that apply

Variable Name: Medicaid_SDOHsupports_Transportation supports

Variable Values: 0, 1
Value Label: 0 = No
Value Label: 1 = Yes

Question 3.1(F): What SDOH supports are covered under the 1115 waiver?

Question Type: Categorical - check all that apply

Variable Name: Medicaid SDOHsupports Enhanced care management

Variable Values: 0, 1
Value Label: 0 = No
Value Label: 1 = Yes