IMPACT OF CLIMATE CHANGE ON MOUNTAINOUS ECOSYSTEMS

Evaluation of the Ecological Effects of Climate Change in the Alta Valtellina Area

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The research question posed to this analysis is:

How much is the vegetation of the mountainous ecosystems affected by the ongoing rise in temperature and drought stress?

2. Scope of the work

- To analyse the impact of drought on vegetation using NDVI (Sentinel-2) aggregated by plants seasonal phase.
- The period we will focus on is from 2022 to 2023, during this period, Italy experienced extreme events, including droughts and floods.

Year	Temperature Anomaly	Precipitation	Notes
2022	+1.23 °C	-22% below average	Hottest and driest year on record in Italy
2023	+1.12 °C	Near average	Second warmest year; record-high minimum temps

https://www.isprambiente.gov.it/en/archive/news-and-other-events/ispra-news/2023/07/record-heat-and-drought-in-2022 https://www.eea.europa.eu/en/analysis/indicators/global-and-european-temperatures

The objective is to assess the impact of the changing conditions on mountainous ecosystems.

3. Plant seasonal phases considerations

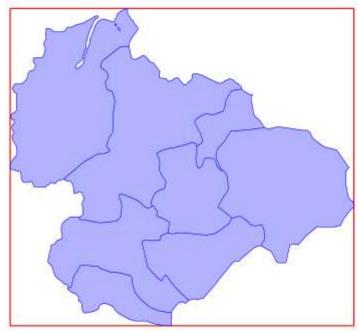
- To avoid distortions caused by dormancy (very low NDVI), the analysis focuses on four key plant developmental phases, each lasting ~30 days.
- These intervals help track seasonal NDVI dynamics and detect drought-related anomalies in Italy between 2022 and 2023.

Phase	Time Period	Description
Vegetative Awakening	May 10 – June 9	First leaves appear; early flowering begins. NDVI is low but rapidly increasing.
Maximum Activity	June 25 – July 24	Peak photosynthesis and full leaf coverage. NDVI reaches its maximum.
Summer Stress	August 9 – September 9	Possible heat or drought stress. NDVI stabilizes or slightly decreases.
Early Senescence	September 25 – October 25	Leaves begin to yellow, photosynthetic activity declines. NDVI shows a clear drop.

4. Administrative boundaries and Polygon

- The bounding box used to extract Sentinel-2 data was generated by selecting **eight key mountain municipalities** in the Province of Sondrio using **GADM level-3 administrative boundaries (geodata library)**.
- After filtering and validating the spatial geometries, a rectangular bounding box was computed to enclose the selected municipalities.

 This polygon was then converted into a spatial feature (sf) and exported. Bounding Box + Selected Municipalities (GADM)



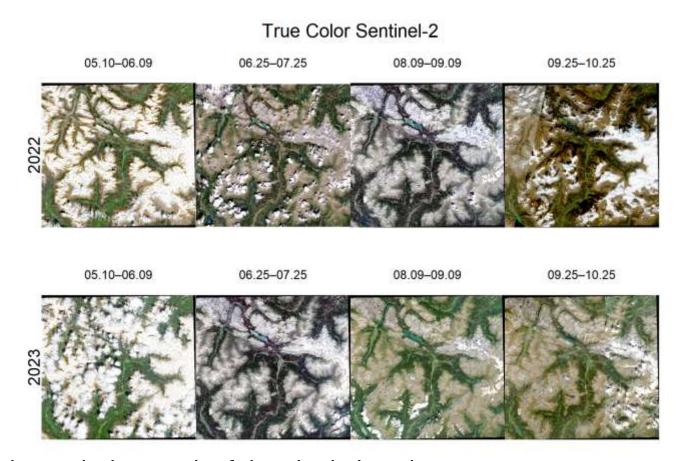
"POLYGON ((10.03806 46.26057, 10.63152 46.26057, 10.63152 46.63806, 10.03806 46.63806, 10.03806 46.26057))"

5. Visualization of the study area: TRUE COLOUR

- For the true colour it was possible to download 6 images out of the 8 periods, two images were repeatedly corrupted:
 - 2022-08-09 and 2023-06-25

```
c from coder stan)
performs = 4(2, 4), mar = 6(1, 1, 3, 3), max = 6(0, 3, 3, 2)) * margins and layout

c zers
pionsa(maxters_true_color[[1]], r = 1, g = 2, h = 3, structs = "lin")
stact("2022", life = 2, line = 3, line = 1, line = 3)
plot820(maxters_true_color[[1]], r = 1, g = 2, h = 3, structs = "lin")
stact("2022", life = 2, line = 3, line = 1, line = 3)
plot820(maxters_true_color[[2]], r = 1, g = 2, h = 3, structs = "lin")
stact("2022", life = 2, line = 3, line = 1, line = 3)
plot820(maxters_true_color[[2]], r = 3, g = 2, h = 3, structs = "lin")
stact("20, 20 = 2, 25", line = 3, line = 3, line = 3, line = 3, structs = "lin")
stact("20, 25 = 0, 35", line = 2, line = 3, line = 3, structs = "lin")
stact("20, 25 = 0, 35", line = 2, line = 3, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, line = 1, line = 3)
plot820[maxter_true_color_[2]], r = 1, g = 1, h = 5, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 1, line = 3)
plot820[maxter_true_color_[1]], r = 1, g = 2, h = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, line = 3, structs = "lin")
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stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
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stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 1, line = 3, structs = "lin")
stact("20, 25 = 0, 25", line = 2, line = 1, line = 3, structs = "lin")
```



The corrupted images were then composed trough the stack of the single bands

Stack of the band for True colour

- The raster for the band for the Blue, Green and red bands (b2, b3 and b4) were loaded and then extracted the single RGB image
- The bands were then stacked and plotted with the plotRGB()
 function
- To ensure a good visualization it was also used the argument
 "maxcell = Inf" that forced the use of all the pixels from the file

```
* Instant the first layer of sect band to create a single-date Non linear red 2289 2299 * No. 2289 2299[1]]

* Stant the first layer of sect band to create a single-date Non linear red 2289 2299 * Page 2299[2]]

* Loading resters corresponding to the bands of true color bar 2289 2299 * resters files[[25]][[1]] * Noun

* Loading resters corresponding to the bands of true color bar 2289 2299 * resters files[[25]][[1]] * Noun

* Loading resters corresponding to the bands of true color bar 2289 2299 * resters files[[25]][[1]] * Noun

* Loading resters corresponding to the bands of true color bar 2289 2299 * resters files[[27]][[1]] * Noun

* Extract the first layer of sect band to create a single-date Non linear red 2289 2299 * No. 2289 2299[[1]]

* Stack of The Non loads

* Stack of The Non loads

* Flot Non

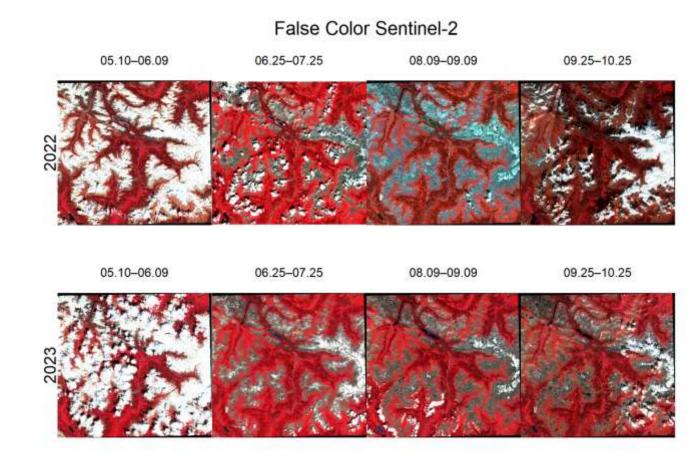
plotNoW(true color 2289 2299, F = 1, E = 1, D = 3, Noale-10000, Strotch = "Nist")

* Flot Palse color maxcell-inf is messed to force the use of all the plocals from the file plotNoW(true color 2289 2299, F = 1, E = 2, D = 3, Noale-2000, Strotch = "Nist")
```

6. Visualization of the study area: FALSE COLOUR

- For the false colour it was possible to download 7 images out of the 8 periods, one images were repeatedly **corrupted**:
 - 2022-08-09

```
eres - c(2, 4), ser - c(1, 2, 3, 15, cm - c(8, 5, 5, 7))
 thick(restors_false_color[[2]], r = 1, g = 2, b = 1, stratch = "lin")
 ett("01.25-07.25", wide = 1, line = 1, max = 1)
 et868(false_color_2200_2200, = - 1, g - 1, U - 3, unds - 10000, stretch - "lis", massell - 1sf)
 othin(rusture_false_color[[4]], = 1, g = 2, h = 3, smooth = "lin")
mat("00.25-10.25", this = 0, line + 1, cos + 1)
inters(ractors false_color[[5]], F = 1, H = 2, h = 8, stretch = "lie")
test("85.58-86.86", this - %, like - %, (ex - %)
test("2023", 6100 - 2, 1100 - 2, 000 - 110, 100 - 1)
stemm(ractors_Galos_molor[[n]], r = 1, g = 2, b = 0, smotth = "lin")
 ext("en 25-er 25", tide - 1, line - 1, cox - 1)
 etwos(resters_false_color[[7]], r = 1, y = 2, h = 3, stretch = "lie")
wat("es es es es es", side - 1, line - 1, coc - 1)
ot#88(rasters_false_color[[0]], - - 1, y - 2, b - 1, strench - "lin")
test("89.25-18.25", with - 1, line - 1, con - 1)
met("false Color Section1-2", noter = TRAE, nim = 1, live = 1, cor = 1.5)
```



Stack of the band for False colour

- The raster for the band for the Red, Green and NIR (b4, b3 and b8) were loaded and then extracted the single RGB image
- The stack worked but the resulting image gives off a blue tint instead of the expected white
- Caused by reflectance values are handled in R compared original Sentinel-2
- The blue hue could be resolved with further normalization

```
rasters_false_color[[3]] # Gives us information on the raster
#source: 2022-08-09-00 00 2022-09-09-23 59 Sentinel-2 LZA False color.tiff
names(rasters_files[[26]])
names(rasters_files[[27]])
names(rasters_files[[30]])
b4_2289_2299 <- rasters_files[[26]][[1]] # red
b3_2289_2299 <- rasters_files[[27]][[1]] # green
b8_2289_2299 <- rasters_files[[30]][[1]] # (NIR) (8)
# Extract the first layer of each band to create a single-date RGB image
nir_2289_2299 - b8_2289_2299[[1]]
green 2289 2299 (- b3 2289 2299[[1]]
# Stack of the bands
false color 2289 2299 (- c(nir 2289 2299, red 2289 2299, green 2289 2299)
# Plot false color maxcell-inf is needed to force the use of all the pixels from the file
plotRGB(false_color_2289_2299, r = 1, g = 2, b = 3, scale = 10000, stretch = "lin", maxcell = Inf)
* The image appears with an unusual blue tint instead of the expected white.
  This is likely due to differences in how reflectance values are handled in R
# compared to how they are visualized in the original Sentinel-2 platform.
```

7. Visualization of the study area: NDVI COMPOSITE

- For the NDVI images there was no problem with the files
- From the comparison can be seen the rough differences in NDVI

```
# NECT Simenty Company in Final

par(minor = 0|2, 0), Ser = 0|2, 2, 5, 1], Sec = 0|6, 3, 5, 2|)

# NECT Simen(saters_Max[(1)], F = 1, 0 + 2, b = 3, stratio = "lin")

# REAL("Mild" So. M", line = 1, line = 1, (se = 8.4)

# REAL("Mild" So. M", line = 2, line = 2, loc = 1, line = 3)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

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# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 1, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 2, line = 2, sec = 8.8)

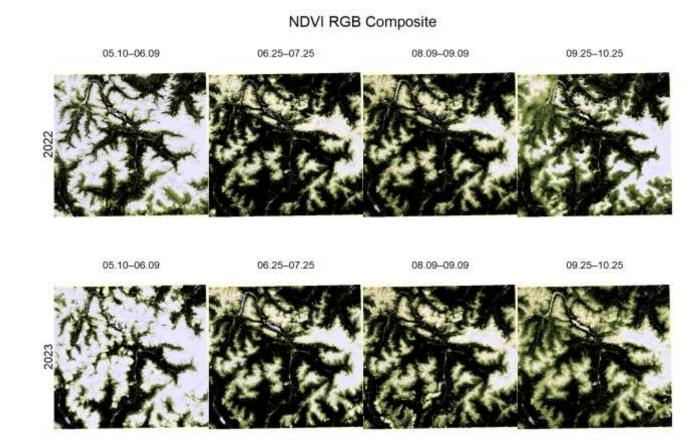
# REAL("Mild" So. M", line = 3, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 3, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 3, line = 1, sec = 8.4)

# REAL("Mild" So. M", line = 3, line = 1, sec = 8.4)

# REAL("Mild" So. Mild So.
```



8. Preliminary assessment condition of the forests

- Trough the classification with the
 "im.classify()" function the areas with
 denser vegetation were highlighted in the
 true colour images.
- The percentage were then used to create
 a data frame that was then converted in
 the long format to make it work on with
 the ggplot function.
- The conversion was carried trough the function "pivot_longer" from the "tidyr" package.

```
par(mfrow = c(1,2))
plottGB(resters_true_color[[1]], r = 1, g = 2, b = 3, stretch = "lin")
Bands_TC_051022_060022_class <- le.classify(resters_true_color[[1]], num_clusters = 3)

freq_TC_051022_060022_class <- freq(Bands_TC_051022_060022_class)

Freq_TC_051022_060022_class <- ncell(Bands_TC_051022_060022_class)

D_TC_051022_060022_class <- ncell(Bands_TC_051022_060022_class)

D_TC_051022_060022_class - Freq_TC_051022_060022_class[3]*100/Tot_TC_051022_060022_class atthe third column is the count for each pixel

D_TC_051022_060022_class

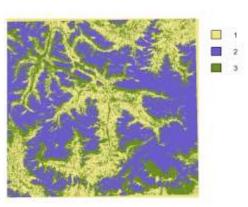
41 43.43883 These are mountains' peak

42 38.44901 These are demonstrates

43 29.74136 These are demonstrates

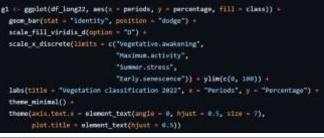
43 29.74136 These are bigh-altitude pastures and villages that still have a percentage of cement
```



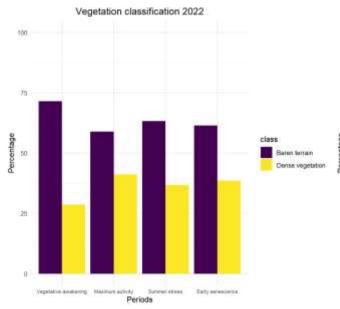


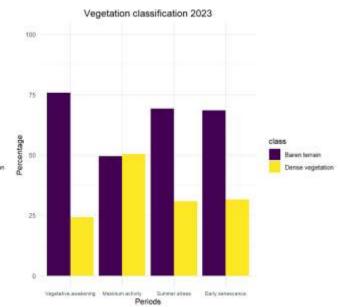
View of denser vegetation percentage

- The histogram given from the analysis classification highlight in a similar way the succession of the denser vegetation.
- The maximum activity period heighten the amount of denser vegetation while the stress of the month august decreases it
- As a preliminary analysis it can give a rough idea on the amount of denser vegetation present but nonetheless useful to direct further analysis.



```
e inification of the two plots g1 + g2
```





9. NDVI and NDWI - Analysis Setup

This section computes two key indices (NDVI and NDWI) using Sentinel-2 imagery collected over four plant development stage between 2022 and 2023.

Bands combined using standard formulas:

```
NDVI = (NIR - Red) / (NIR + Red)
NDWI = (NIR - SWIR) / (NIR + SWIR)
```

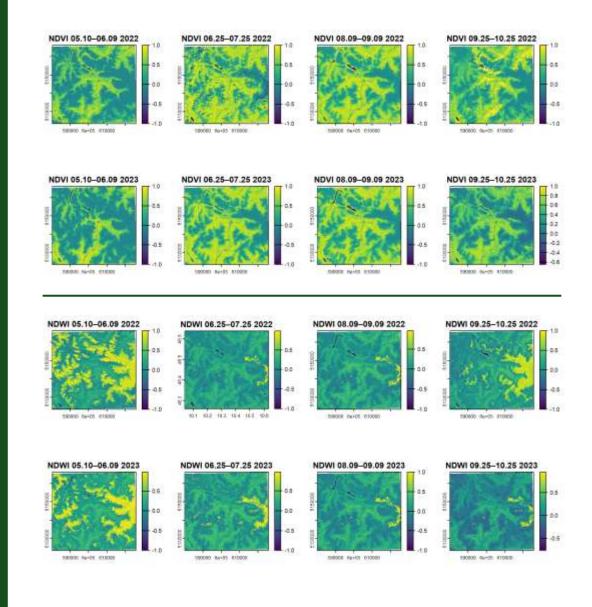
The spectral bands used are:

B04 (Red) and B08 (NIR) for NDVI → indicates vegetation health B08 (NIR) and B11 (SWIR) for NDWI → highlights water stress and dryness

```
row previous errors, some files needed to be reprojected on the CRS
  Mainly the NIR in degrees reprogested on the RED band in meters
ir projected <- terra::project{nirband00 062522 072522, redband04 062522 072522
MONI 892522 182522 (- (nirband88 892522 182522 - swirband11 892522 182522) / (nirband88 892522 182522 - swirband11 892522 182522)
NDMI 892523 182523 4- (nirband88 892523 182523 - swirband11 892523 182523) / (nirband88 892523 182523 + swirband11 892523 182523)
```

NDVI and **NDWI**

- Low values (<0.1) **indicate barren areas** (rock, sand, snow)
- Moderate values (0.2–0.5) reflect **sparse** or senescing vegetation.
- High values (0.6–0.9) correspond to dense, healthy vegetation.
- Results are plotted in a comparative layout, allowing for a visual assessment of seasonal and interannual changes in vegetation activity and water stress.
- For each period and year, the relevant bands are loaded, reprojected if needed (to align CRS), and combined.



10. Plotting of Δ NDVI and Δ NDWI

- The result of the plotting were analysed by calculating the Δ NDVI and Δ NDWI. This enabled to highlight the number of **values that changed over the year**.
- NDVI and NDWI values range from -1.0 to +1.0. By calculating the difference (ΔNDVI) between the same phenological periods across two years, we can assess changes in vegetation activity over time (± 2.0).

```
# === NDVI ===

difNDVI_05 <- NDVI_051023_060923 - NDVI_051022_060922

difNDVI_06 <- NDVI_062523_072523 - NDVI_062522_072522

difNDVI_08 <- NDVI_080923_090923 - NDVI_080922_090922

difNDVI_09 <- NDVI_092523_102523 - NDVI_092522_102522
```

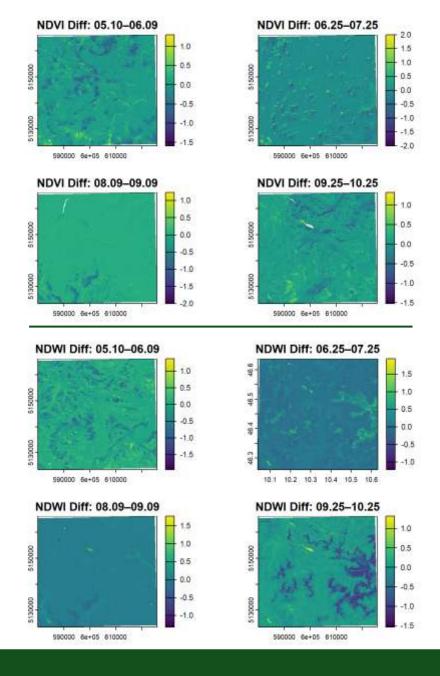
```
# === NDWI ===

difNDWI_05 <- NDWI_051023_060923 - NDWI_051022_060922

difNDWI_06 <- NDWI_062523_072523_resampled - NDWI_062522_072522

difNDWI_08 <- NDWI_080923_090923 - NDWI_080922_090922

difNDWI_09 <- NDWI_092523_102523 - NDWI_092522_102522
```



ΔNDVI and ΔNDWI distribution

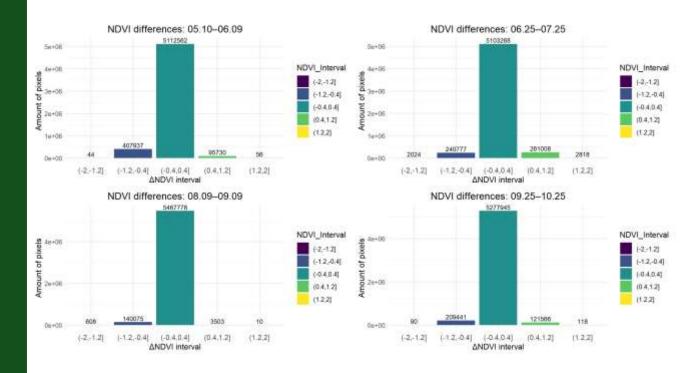
- NDWI and NDVI difference values for each period were extracted and cleaned of missing data.
- These values were then categorized into intervals using a custom classification function.
- Frequency tables were created to count how many pixels fall into each category, allowing for a quantitative assessment of variation across time.
- Factor levels were ordered to ensure correct interval display in plots.

```
val_difNDVI_05 <- values(difNDVI_05) |
  na.omit()
val_difNDVI_06 <- values(difNDVI_06) |
  na.omit()
val_difNDVI_88 <- values(difNDVI_88) |
val_difNOVT_09 <- values(difNOVT_09) |
  na.omit()
summary(val_difNOVI_05)
summary(val_difNDVI_06)
 ummary(val_difNDVI_08)
summary(val difNDVI 09)
# Function to categorize the NDVI differences based on the limits
 ategorize_ndvi_diff <- function(x) {
  cut(x, breaks = seq(-2, 2, length.out = 6), right = TRUE)
dh1 - categorize ndvi diff(val difNDVI 05)
dh2 - categorize_ndvi_diff(val_difNDVI_86)
dh3 <- categorize_ndvi_diff(val_difNDV1_08)
dh4 <- categorize_ndvi_diff(val_difNDVI_09)
df dh1 <= as.data.frame(table(dh1))</pre>
colnames(df_dh1) - c("NOVI_Interval", "Count")
df dh2 - as.data.frame(table(dh2))
colnames(df_dh2) <- c("NDVI_Interval", "Count")
df_dh3 <- as.data.frame(table(dh3))
colnames(df_dh3) < c("NOVI_Interval", "Count")
df_dh4 - as.data.frame(table(dh4))
colnames(df_dh4) <- c("NOVI_Interval", "Count")
# Order for vegetative interval
df dhl$NDVI Interval <- factor(df dhl$NDVI Interval, levels = levels(dhl), ordered = TRUE)
df_dh2$NDVI_Interval <- factor(df_dh2$NDVI_Interval, levels = levels(dh2), ordered = TRUE)
df dh3$MDVI Interval (- factor(df dh3$MDVI Interval, levels = levels(dh3), ordered = TRUE)
df_dh4$NDVI_Interval <- factor(df_dh4$NDVI_Interval, levels = levels(dh4), ordered = TRUE)
```

Values distribution of ANDVI

- Most notably the ΔNDVI for the maximum activity (25.06-25.07) could support the claim seen from increase in the denser vegetation in 2023 seen from the classification.
- While the interval for the vegetative awakening highlights the decrease of percentage in 2023 for the denser vegetation.
- The other periods seem undisturbed and in an equilibrium of loss and gain.

ΔNDVI Value	Interpretation
≈ 0	Stable
< 0	Decrease in activity
> 0	Increase in activity
Near ±1 or ±2	Drastic change

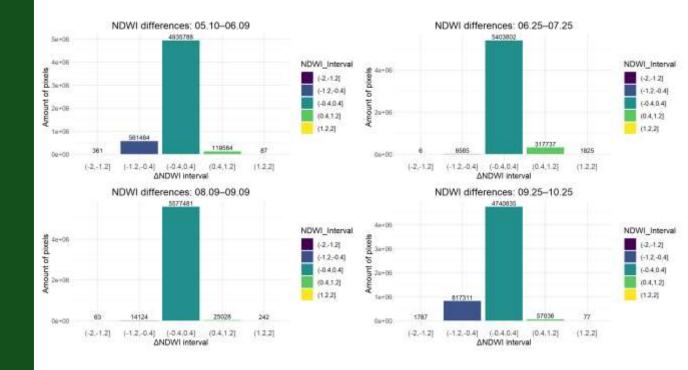


Values distribution of AN

- Most pixel changes fall within the (-0.4, 0.4] range, likewise for the ΔNDVI, indicating mild seasonal variation between the two years.
- ΔNDWI shows a **bigger loss in the moisture content** of the area for the
 vegetative awakening and for the early
 senescence for the year 2023.
- The loss in moisture index in the first and last period of 2023 could be a result of the delayed drought stress by the drought in 2022.

ΔNDW	/
------	----------

ΔNDVI Value	Interpretation
≈ 0	Stable
< 0	Decrease in activity
> 0	Increase in activity
Near ±1 or ±2	Drastic change



11. Statistical analysis through Wilcoxon Rank-Sum Test

- The Wilcoxon rank-sum test was used to compare NDVI and NDWI values between corresponding seasonal periods in 2022 and 2023.
- This **non-parametric test** is ideal for detecting shifts in distributions **without assuming normality**, making it suitable for these data.

- Data were extracted from the raster and compared between the same vegetative period.
- The values where then used as input for the Wilcoxon Rank-Sum Test

```
val_NDWI_051022_060922 <- values(NDWI_051022_060922) |>
    na.omit()

val_NDWI_051023_060923 <- values(NDWI_051023_060923) |>
    na.omit()

wilcox.test(val_NDWI_051022_060922, val_NDWI_051023_060923, paired = FALSE)
#Wilcoxon rank sum test with continuity correction
#data: val_NDWI_051022_060922 and val_NDWI_051023_060923
#W = 1.5385e+13, p-value < 2.2e-16
#alternative hypothesis: true location shift is not equal to 0</pre>
```

11. Statistical analysis through Wilcoxon Rank-Sum Test

- **NDVI**: Significant differences were found in all seasonal periods (p < 2.2e-16), indicating a clear shift in vegetation activity between the two years.
- **NDWI**: All periods show significant differences (three with p < 2.2e-16 and one, August–September, with p = 1.18e-05), indicating consistent changes in vegetation water content.

- Data were extracted from the raster and compared between the same vegetative period.
- The values where then used as input for the Wilcoxon Rank-Sum Test

```
val_NDWI_051022_060922 <- values(NDWI_051022_060922) |>
    na.omit()

val_NDWI_051023_060923 <- values(NDWI_051023_060923) |>
    na.omit()

wilcox.test(val_NDWI_051022_060922, val_NDWI_051023_060923, paired = FALSE)

#Wilcoxon rank sum test with continuity correction

#data: val_NDWI_051022_060922 and val_NDWI_051023_060923

#W = 1.5385e+13, p-value < 2.2e-16

#alternative hypothesis: true location shift is not equal to 0</pre>
```

12. Conclusions and prospects

- Variations in NDVI, NDWI, and the percentage of dense vegetation highlight significant changes in vegetation cover between 2022 and 2023.
- NDVI values indicate that vegetation was less dense during the early stages of growth in 2023 compared to 2022, possibly due to a delayed drought effect
- Vegetation density increased during the peak of the 2023 growing season, likely
 as a result of higher average precipitation compared to the previous year.

12. Conclusions and prospects

- The analysis could be improved by applying more advanced statistical methods, such as Principal Component Analysis (PCA), and incorporating detailed meteorological data.
- Extending the time span to five years would enable a more comprehensive assessment of ecosystem conditions and long-term trends.

THANK YOU FOR YOUR ATTENTION

IMPACT OF CLIMATE CHANGE ON MOUNTAINOUS ECOSYSTEMS

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