# The new version of $DuMu^x$ including the modules "CRootBox" and "dumux-rosi"

**Documentation** 

Manual written by A. Schnepf

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#### Installation

This installation guidelines are for the new version of  $DuMu^x$ , version 3, coupled with CRootBox, in Linux systems (e.g. Ubuntu).

#### Required compilers and tools

If on a recent Ubuntu system, the c++ compiler and python that come with the distribution are recent enough. Otherwise, please make sure you have a recent c++ compiler (e.g. sudo apt-get install clang) and python3 (e.g. sudo apt-get install python3.6).

- Install git:

sudo apt-get install git

- Install cmake:

sudo apt-get install cmake

- Install libboost:

 ${\bf sudo}\ {\bf apt-get}\ {\bf install}\ {\bf libboost-all-dev}$ 

- Install pip:

sudo apt-get install python3-pip

- Install the python package numpy:

pip3 install numpy

- Install the python package scipy:

pip3 install scipy

- Install the python package matplotlib:

pip3 install matplotlib

- Install the java runtime environment:

sudo apt-get install default-jre

- Install Paraview

sudo apt-get install paraview

#### DuMu<sup>x</sup> installation

In all dune modules we stay in version 2.6, the latest stable release version.

```
- Create a DUMUX folder
mkdir DUMUX
cd DUMUX
- Download DUNE core modules:
git clone https://gitlab.dune-project.org/core/dune-common.git
cd dune-common
git checkout releases/2.6
git clone https://gitlab.dune-project.org/core/dune-geometry.git
cd dune-geometry
git checkout releases/2.6
git clone https://gitlab.dune-project.org/core/dune-grid.git
cd dune-grid
git checkout releases/2.6
git clone https://gitlab.dune-project.org/core/dune-istl.git
cd dune-istl
git checkout releases/2.6
cd ..
git clone https://gitlab.dune-project.org/core/dune-localfunctions.git
cd dune-localfunctions
git checkout releases/2.6
cd ..
- Download DUNE external modules:
git clone https://gitlab.dune-project.org/extensions/dune-foamgrid.git
cd dune-foamgrid
git checkout releases/2.6
cd ..
git clone https://gitlab.dune-project.org/extensions/dune-grid-glue.git
cd dune-grid-glue
git checkout releases/2.6
cd ..
-Download dumux and dumux-rosi and alugrid (used for unstructured grids):
git clone https://git.iws.uni-stuttgart.de/dumux-repositories/dumux.git
cd dumux
git checkout releases/3.0
git clone https://github.com/Plant-Root-Soil-Interactions-Modelling/dumux-rosi.git
cd dumux-rosi
git checkout master
```

```
cd ..
git clone https://gitlab.dune-project.org/extensions/dune-alugrid.git

-Download CRootBox (only needed if root growth is used):
git clone https://github.com/Plant-Root-Soil-Interactions-Modelling/CRootBox.git
cd CRootBox
git checkout master
cd ..
To build CRootBox and its python shared library, move again into the CRootBox folder
and type into the console:
cmake .
make
(If building CRootBox on the cluster, two lines in the file CRootBox/CMakeLists.txt need
to be outcommented before:
set(CMAKE_CCOMPILER "/usr/bin/gcc")
set(CMAKE_CXX_COMPILER "/usr/bin/g++"))
```

Now build DuMu<sup>x</sup> with the CRootBox module:

- -The configuration file optim.opts is stored in the dumux folder. Move a copy of this file to your  $DuMu^x$  working folder (one level up)
- To build all downloaded modules and check whether all dependencies and prerequisites are met, run dunecontrol:

```
./dune-common/bin/dunecontrol --opts=optim.opts all
```

Installation done! Good luck!

#### Running an example

```
cd dumux-rosi/build-cmake/rosi_benchmarking/soil
make richards1d  # outcomment if executable is already available
./richards1d benchmarks_1d/b1a.input  # run executable with specific
input parameter file
```

#### Installing and running an example on the agrocluster

- Before installing or running  $DuMu^x$  on the agrocluster, it is required to type the command module load dumux into the console. This sets the compiler versions and other tools to more recent versions than the standard versions of the agrocluster.
- On the cluster, another onfiguration file optim\_cluster.opts is used. Copy this file to the file to your DuMu<sup>x</sup> working folder (one level up).

- To build or run an example on the agrocluster, create a pbs file in your working folder that will put your job in the cluster queue

For example queue\_my\_job.pbs

```
#!/bin/sh
##!/bin/sh
##These commands set up the Grid Environment for your job:
#PBS -N DUMUX
#PBS -I nodes=1:ppn=1, walltime=200:00:00, pvmem=200gb
#PBS -q batch\\
#PBS -M a.schnepf@fz-juelich.de
#PBS -m abe

module load dumux
cd \$HOME/DUMUX/dumux-rosi/build-cmake/rosi_benchmarking/soil
make richards
./richards benchmarks_1d/b1a.input
```

To start the job, run this file in your working folder with the command qsub queue\_my\_job.pbs

Use Filezilla to move the results to your local machine and use Paraview to visualize them.

If you need to install additional python packages (e.g. scipy) on the cluster (without root access), you may do so by using the --user command:

pip3 install —user scipy

## **Numerical grids**

We distinguish two types of numerical grids: the 3D soil grid and the 1D, branched, root system grid (see Fig. 1).

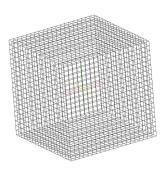




Figure 1: The 3D soil grid and the 1D, branched, grid representing the root architecture

In the example of the coupled problems, both are used simultaneously. In that case, the two grids are merged via source/sink terms in positions where root and soil grids share the same spatial coordinates. This is illustrated in Fig. 2; detailed descriptions can be found in the individual examples.

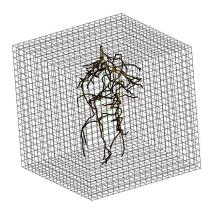


Figure 2: 3D soil grid merged with the 1D, branched, grid representing the root architecture

Grids can be created using different DUNE internal or external grid managers (see documentation of dune-grid). In the input file, the details about the numerical grids are specified in the groups [RootSystem.Grid] or [SoilGrid]. Each folder contains a

folder named "grids" where grids can be provided in dgf format. In the dumux-rosi examples, the soil grid is usually a structured grid created by the default "GridCreator", where corner points of the domain, spatial resolution and cell type are specified such as in the following example:

```
[ Grid ]
LowerLeft = 0 0 0
UpperRight = 1 1 1
Cells = 10 10 20
CellType = Cube # or Simplex
```

There are two options to specify the root system grid. The first option is to specify it as a file in dgf-format that specifies the coordinates and connection of nodes (verteces).

```
DGF
  Vertex
 0.050000 \ 0.050000 \ -0.000000
  0.050000 \ 0.050000 \ -0.0250000
  0.050000 \ 0.050000 \ -0.05000
  0.050000 \ 0.050000 \ -0.075000
 SIMPLEX
  parameters 10
 0\ 1\ 1\ 0\ 3.14159e-05\ 0.01\ 0.0005\ 0.00\ 0.0001\ 0.00001\ 21922.1
12 \begin{vmatrix} 2 & 3 & 1 & 0 & 2.51327e - 05 & 0.008 & 0.0005 & 0.00 & 0.0001 & 0.00001 & 58409.5 \end{vmatrix}
4\ 5\ 1\ 0\ 2.51327e-05\ 0.008\ 0.0005\ 0.00\ 0.0001\ 0.00001\ 96793.2
 5 \ 6 \ 1 \ 0 \ 2.51327 e{-05} \ 0.008 \ 0.0005 \ 0.00 \ 0.0001 \ 0.00001 \ 116760
17 BOUNDARYDOMAIN
18
 default 1
19 #
```

The paragraph "SIMPLEX" specifies 10 parameters for each root segment: node1ID, node2ID, type, branchID, surfaceIdx, length, radiusIdx, massIdx, axialPermIdx, radialPermIdx, creationTimeId in SI units.

Root systems in dgf format can be computed from measured root systems as well as with the root architecture model CRootBox.

The second option is to provide the root architectural parameters in the input file such that the root architecture and related grid is computed by CRootBox while used as a  $DuMu^x$  module.

```
[RootSystem.Grid]
File = Triticum_aestivum_a_Bingham_2011
InitialT = 10 # days
```

Important to know: It is currently necessary to build the code either for option 1 or for option 2 (i.e., two executables can be built that need to be provided with the correct input at runtime).

## Python for pre- and postprocessing

We created a python layer around CRootBox and dumux-rosi for pre- and postprocessing such that the model can be run without handling the C++ code once an executable is available.

For that, each example folder contains a folder named "python" that includes several examples as well a folder that includes the corresponding input files.

#### The pre-processing

Here, the path to the executable and corresponding input files is provided and the simulation is started, like in this example:

```
# go to the right place
path = os.path.dirname(os.path.realpath(__file__))
os.chdir(path)
os.chdir("../../build-cmake/rosi_benchmarking/soil")

# run dumux
os.system("./richards1d benchmarks_1d/b1a.input")
```

#### The input file

Here is an example of an input file, /dumux-rosi/rosi\_benchmarking/soil/benchmarks\_1d/b1a.input:

```
[Problem]
Name = benchmark1d_1a

[TimeLoop]
TEnd = 3153600 # 0 is steady state
DtInitial = 1 # [s]
MaxTimeStepSize = 864000 # 10 days [s]

[Soil.Grid]
UpperRight = 0
LowerLeft = -2
Cells = 199

[Soil.BC.Top]
Type = 2 # constant flux
```

```
Value = 0.5 \# [\text{cm day} - 1]
  [Soil.BC.Bot]
18
  Type = 5 \# free drainage
19
  [Soil.IC]
  P = -200 \# cm pressure head (initial guess)
22
  [Soil.VanGenuchten]
  # Loam over sand
  Qr = 0.08 \quad 0.045
Qs = 0.43 \quad 0.43
  Alpha = 0.04 - 0.15 \# [1/cm]
_{29} N = 1.6 3
  Ks = 5.7870 e - 06 \ 1.1574 e - 04 \# [m/s]
  [Soil.Layer]
|Z| = -2 -0.5 -0.5 0
 Number = 2 2 1 1
```

Listing 1: Example input file

Todo: periodic boundary conditions

#### The post-processing

3D simulation results are stored in form of vtk files. If not specified otherwise, vtk files are stored for the initial and the final time point of the simulation. Using the key word "CheckTimes" under the category "Time loop" in the input file, additional output times can be specified. Time series, such as transpiration flux or pressure at the root collar over time, are stored as txt files. At the moment, this is specified within the problem file of the C++ code, see for example

/dumux-rosi/rosi\_benchmarking/rootsystem/rootsproblem.hh:

```
file_at_.open(this->name() + "_actual_transpiration.txt");

Listing 2: Transpiration output

and
```

Listing 3: Transpiration output

Here, results are read and plotted or further analysed, like in the following example. Using the vtk\_tools is particularly helpful for creating 1D plots such as depth profiles or time series in Python rather than using Paraview (Paraview of course is helpful for 3D visualisation).

```
# Figure 2a

s_, p_, z1_ = read1D_vtp_data("benchmark1d_1a-00001.vtp", False)

h1_ = vg.pa2head(p_)

ax1.plot(h1_, z1_ * 100, "r+")

np.savetxt("dumux1d_b1", np.vstack((z1_, h1_, z2_, h2_, z3_, h3_)),

delimiter = ",")
```

# Benchmarking example 1: Water flow in soil

Currently, benchmarks are developed to test dumux-rosi against analytical solutions and results of other numerical models. They are all described in Jupyter Notebooks at https://github.com/RSA-benchmarks/collaborative-comparison. Here, we describe the DuMu<sup>x</sup>-implementation of the 1D benchmarks of Vanderborght et al. (2005) for water flow in soil.

#### The model

We solve the Richards equation for water flow in soil. Since  $DuMu^x$  is developed for multi-phase flow in porous media, it uses units of absolute pressure of wetting and non-wetting phases. In the Richards equation, we assume that the non-wetting phase (air) does not change over time and has a constant pressure of  $1.0 \times 10^5$  Pa. Thus, we need to solve only the equation for the wetting phase (water). We stick to the standard  $DuMu^x$  units for pressure, although in soil physics, head units are more common, in order to avoid mistakes of e.g. unconsidered hard coded constants, etc. The Richards equation thus can be written as

$$\frac{\partial}{\partial t} \left( \rho_w \Phi S \right) - \nabla \cdot \left[ \rho_w \frac{\kappa}{\mu} K \left( \nabla p_w - \rho_w \mathbf{g} \right) \right] = 0, \tag{1}$$

with t time,  $\theta$  water content, S saturation,  $\Phi$  porosity,  $S\phi = \theta$ ,  $\rho_w$  water density, K intrinsic permeability,  $\mu$  dynamic viscosity,  $\kappa$  relative permeability,  $\mathbf{g}$  gravitational acceleration,  $p_w$  absolute pressure of wetting phase (water)<sup>1</sup>.  $\theta$  and  $h_m$  are related by the water retention curve:  $\theta := \theta(h)$  (e.g. van Genuchten model).

Different initial and boundary conditions can be prescribed via the input file. Boundary conditions have number codes following (previous versions of) Hydrus:

constantPressure = 1,

constantFlux = 2,

atmospheric = 4,

free Drainage = 5.

 $<sup>^1</sup>p_w$  is the absolute pressure. The matric pressure  $p_m$  is defined as  $p_m = p_w - p_a$ , where  $p_a$  is the air pressure, assumed to be constant and equal to  $1.0 \times 10^5$  Pa in this Richards equation model. In order to have head units, we need to convert the water potential from energy per unit volume of water (pressure) to energy per unit weight, i.e.,  $h_m = \frac{p_m}{\rho_{w,\mathbf{g}}}$ 

#### The input files

Model parameters, initial and boundary conditions can be specified via the input file such that no re-building of the code is required. Here is the listing of the input file dumux-rosi/rosi\_benchmarking/soil/benchmarks\_1d/b1a.input:

```
[Problem]
  Name = benchmark1d_{-}1a
  [TimeLoop]
  TEnd = 3153600 \# 0 \text{ is steady state}
  DtInitial = 1 \# [s]
  MaxTimeStepSize = 864000 \# 10 days [s]
  [Soil.Grid]
  UpperRight = 0
11 LowerLeft = -2
  Cells = 199
13
  [Soil.BC.Top]
15 Type = 2 \# constant flux
  Value = 0.5 \# [cm day -1]
17
  [Soil.BC.Bot]
18
  Type = 5 # free drainage
19
21
  [Soil.IC]
_{22}|P = -200 \# cm pressure head (initial guess)
24 Soil . VanGenuchten
  # Loam over sand
Qr = 0.08 \quad 0.045
|Qs| = 0.43 \quad 0.43
28 Alpha = 0.04 - 0.15 \# [1/cm]
_{29} | N = 1.6
30
  Ks = 5.7870 e - 06 \ 1.1574 e - 04 \# [m/s]
32 [Soil.Layer]
|Z| = -2 -0.5 -0.5 0
_{34} Number = 2 2 1 1
```

Listing 4: input file

#### The DuMu<sup>x</sup> code representation of model equations

In this section, we explain where the different terms of the model equations can be found in the  $DuMu^x$  code, i.e., the storage, flux and sink terms. The storage term is defined in the file

/dumux/dumux/porousmediumflow/Richards/localresidual.hh, and is computed as

```
storage[conti0EqIdx] = volVars.porosity()

* volVars.density(liquidPhaseIdx)

* volVars.saturation(liquidPhaseIdx);
```

Listing 5: Storage term

In this example, there is no source or sink term.

The flux term is hidden in deeper layers of the code as part of the numerical scheme. In order to see it, we have to find out the flux type of the problem in the file /dumux/dumux/porousmedium In this example, the flux type is "darcyslaw". Its implementation can then be found in the folder /dumux/dumux/flux, and is then different for the different numerical schemes (e.g. cell-centered finite volume scheme with two-point flux approximation (TPFA)). The implementation of the boundary conditions specified in the input file are implemented in the file

dumux-rosi/rosi\_benchmarking/soil/richardsproblem.hh.

```
bcTypes.setAllNeumann();
                   break;
               default:
                  DUNETHROW(Dune::InvalidStateException,"Top boundary type
      not implemented");
          } else if (onLowerBoundary_(globalPos)) { // bot bc
              switch (bcBotType_) {
               case constantPressure:
                   bcTypes.setAllDirichlet();
               case constantFlux:
                   bcTypes.setAllNeumann();
                   break;
               case freeDrainage:
                   bcTypes.setAllNeumann();
                   break;
               default:
                  DUNETHROW(Dune::InvalidStateException, "Bottom boundary
18
     type not implemented");
          } else {
20
              bcTypes.setAllNeumann(); // no top not bottom is no flux
21
22
          return bcTypes;
23
      }
24
26
         \copydoc FVProblem::dirichletAtPos
```

```
Primary Variables dirichlet At Pos (const Global Position & global Pos)
      const {
           Primary Variables values;
30
           if (onUpperBoundary_(globalPos)) { // top bc
31
               switch (bcTopType_) {
32
               case constantPressure:
33
                   values [Indices::pressureIdx] = toPa_(bcTopValue_);
34
                   break;
35
               default:
36
                   DUNETHROW(Dune::InvalidStateException,
```

Listing 6: Boundary conditions

```
case constantPressure:
                   values [Indices::pressureIdx] = toPa_(bcBotValue_);
                   break;
               default:
                  DUNETHROW(Dune::InvalidStateException,
                       "Bottom boundary type Dirichlet: unknown boundary
     type");
          values.setState(Indices::bothPhases);
          return values;
      }
12
      /*!
13
       * \copydoc FVProblem::neumann // [kg/(m *s)]
14
      NumEqVector neumann(const Element& element,
16
          const FVElementGeometry& fvGeometry ,
17
          const ElementVolumeVariables& elemVolVars,
18
          const SubControlVolumeFace& scvf) const {
19
20
          NumEqVector values;
21
          GlobalPosition pos = scvf.center();
22
          if (onUpperBoundary_(pos)) { // top bc
23
               switch (bcTopType_) {
```

Listing 7: Boundary conditions

and

```
case atmospheric: { // atmospheric boundary condition (with surface run-off) // TODO needs testing & improvement

Scalar Kc = this->spatialParams().hydraulicConductivity(
element); // [m/s]

Scalar mS = 0;
auto numScv = fvGeometry.numScv();
for (auto i = 0; i < numScv; i++) {
```

```
mS += (elemVolVars[i].saturation() / numScv);
                   MaterialLawParams params = this->spatialParams().
     materialLawParams (element);
                   Scalar krw = MaterialLaw::krw(params, mS);
                   Scalar p = MaterialLaw::pc(params, mS) +
     nonWettingReferencePressure();
                   Scalar h = -toHead_(p) / 100.; // from Pa -> m pressure
11
     head
                   GlobalPosition ePos = element.geometry().center();
                   Scalar dz = 2 * std :: abs(ePos[dimWorld - 1] - pos[
13
     dimWorld - 1]); // 0.01; // m // fvGeometry.geometry().volume()?; TODO
                   Scalar prec = precipitation_.f(time_);
14
15
                   if (prec < 0) { // precipitation
16
                       Scalar imax = rho_* * Kc * ((h - 0.) / dz - 1.); //
     maximal infiltration
                       Scalar v = std :: max(prec, imax);
18
                       values[conti0EqIdx] = v;
                   } else { // evaporation
20
                       Scalar emax = rho_* * krw * Kc * ((h - (-100)) / dz -
21
      1.); // maximal evaporation (-100 \text{ m} = -10.000 \text{ cm}) // TODO make a
      parameter
                       Scalar v = std :: min(prec, emax);
22
                       values [conti0EqIdx] = v;
23
24
                   // hack for benchmark 4 TODO some better concept for
25
      output
                   if (time_ > last_time_) { // once per time step
26
                       myfile_ << time_ << ", "; //
27
                       myfile_ << values [conti0EqIdx] << "\n";
28
                       last_time_- = time_-;
29
30
                   break;
               }
               default:
33
                   DUNETHROW(Dune::InvalidStateException,
34
                       "Top boundary type Neumann: unknown error");
35
36
          } else if (onLowerBoundary_(pos)) { // bot bc
               switch (bcBotType_) {
38
               case constantFlux: {
39
                   values [conti0EqIdx] = -10 * bcBotValue_ / (24. * 60. *
40
      60.); // [kg/(m *s)] = 1/10 [cm/s] *rho
                   break;
41
42
               case freeDrainage: { // TODO needs improvement
43
                   Scalar Kc = this->spatialParams().hydraulicConductivity(
44
     element);
                   Scalar mS = 0; // mean saturation
```

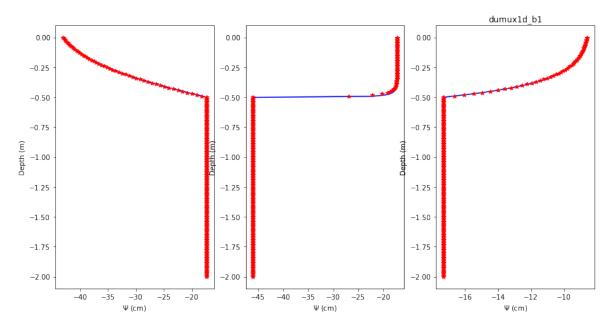
```
auto numScv = fvGeometry.numScv();
46
                    for (auto i = 0; i < numScv; i++) {
47
                        mS += (elemVolVars[i].saturation() / numScv);
48
49
                    MaterialLawParams params =
50
                    this -> spatialParams().materialLawParams(element);
51
                    Scalar krw = MaterialLaw::krw(params, mS);
                    values[conti0EqIdx] = krw * Kc * rho_; // * 1 [m]
                    break;
54
               }
                default:
56
                   DUNETHROW(Dune::InvalidStateException,
                        "Bottom boundary type Neumann: unknown error");
58
59
           } else {
60
               values [conti0EqIdx] = 0.;
61
62
           return values;
63
      }
65
      /*!
66
       * \copydoc FVProblem::initial
67
68
      template < class Entity >
69
      Primary Variables initial (const Entity& entity) const {
70
           auto eIdx = this -> fvGridGeometry().elementMapper().index(entity);
71
           Scalar z = \text{entity.geometry}().\text{center}()[\text{dimWorld} - 1];
72
           Primary Variables v(0.0);
73
           v[pressureIdx] = toPa_(initialSoil_.f(z,eIdx));
74
           v.setState(bothPhases);
75
           return v;
76
```

Listing 8: Boundary conditions

.

#### Results

The vtk output of 3D simulations may be visualised using Paraview. In this case, we only have a 1D simulation, therefore, we do the visualisation after postprocessing in Python.



Results of benchmark problem 1. Blue: analytical solution, Red: numerical solution by  $\mathrm{DuMu}^x$