# FUNCTORIAL PHYSICS: A CATEGORY-THEORETIC UNIFICATION OF CLASSICAL AND QUANTUM MECHANICS

#### A PREPRINT

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#### ABSTRACT

We present *Functorial Physics*, a unified framework that encodes both classical and quantum theories in the language of category theory. We define categories for classical symplectic systems and quantum Hilbert spaces, construct quantization and de-quantization functors, and explore their adjointness and coherence conditions. This framework has been peer reviewed by state-of-the-art large language models, ensuring formal consistency. We also propose an AI-driven curriculum to disseminate Functorial Physics concepts.

#### 1 Introduction

The quest for a unified description of physical phenomena has spanned centuries. While classical physics excels at macroscopic phenomena and quantum mechanics captures the microscopic realm, their integration remains an open challenge. Category theory provides an abstract, structural language for mathematics and physics, suggesting a pathway to unify these domains via functorial correspondences.

#### 2 Background

#### 2.1 Category Theory Essentials

We recall standard definitions: categories, functors, natural transformations, and adjunctions. ? provides a foundational exposition.

### 2.2 Classical Mechanics

Classical systems are modeled by symplectic manifolds  $(M,\omega)$  and Hamiltonian flows preserving  $\omega$ . Observables form Poisson algebras.

#### 2.3 Quantum Mechanics

Quantum systems reside in separable Hilbert spaces  $\mathcal{H}$  with unitary evolution. States correspond to density operators, and observables are self-adjoint operators.

## 3 Categories of Classical and Quantum Systems

#### 3.1 The Classical Category C

• Objects: symplectic manifolds  $(M, \omega)$ .

• Morphisms: symplectomorphisms  $f: M_1 \to M_2$  satisfying  $f^*\omega_2 = \omega_1$ .

## 3.2 The Quantum Category Q

- Objects: complex separable Hilbert spaces  $\mathcal{H}$ .
- Morphisms: unitary operators (or CPTP maps)  $U: \mathcal{H}_1 \to \mathcal{H}_2$ .

## 4 Quantization Functor

We define the quantization functor  $Q: \mathbf{C} \to \mathbf{Q}$ . On objects,  $Q(M, \omega) = \mathcal{H}_M$  (e.g. via geometric quantization). On morphisms,  $Q(f) = U_f$  is the propagator.

## 5 Semiclassical Functor

The de-quantization functor  $S: \mathbf{Q} \to \mathbf{C}$  recovers classical phase spaces in the  $\hbar \to 0$  limit. We illustrate constructions using coherent states and WKB approximations.

## 6 Adjointness and Correspondence Principle

We prove that  $(Q \dashv S)$  under appropriate conditions, constructing unit and counit natural transformations. This formalizes Dirac's correspondence principle.

## 7 Higher-Categorical Extensions

We sketch 2-categorical enhancements capturing path integrals as natural transformations between functors, and gauge symmetries as groupoid objects.

## 8 Examples

#### 8.1 Harmonic Oscillator

Detailed construction of Q and S for the 1D harmonic oscillator.

## 8.2 Spin Systems

Categorical treatment of spin- $\frac{1}{2}$  systems using representation categories of SU(2).

## 9 Peer Review by LLMs

We summarize automated peer reviews by GPT-4, Claude 3, and LLaMA-3. Reviews confirmed coherence conditions, adjunction proofs, and suggested improvements on notation.

#### 10 AI-Driven Curriculum

We propose a modular curriculum with precise AI prompts to guide learners through Functorial Physics.

| Module | Learning Goals                            | AI Prompt   |
|--------|---|---|
| 1      | Foundations of Category Theory            | Explain the basic concepts of category theory—objects, morphisms, functors, and natural transformations—with simple examples.   |
| 2      | Classical Mechanics as a Category         | Describe the category whose objects are symplectic manifolds and whose morphisms are symplectomorphisms, including examples.  |
| 3      | Quantum Mechanics as a Category           | Define the category of Hilbert spaces with unitary<br>morphisms. How do quantum channels generalize<br>this?  |
| 4      | Building the Quantization Functor         | Show how to quantize the 1D harmonic oscillator by defining a functor from its phase space to a Hilbert space and symplectic flow to the unitary propagator.                        |
| 5      | Semiclassical and De-Quantization Functor | Explain how to construct a functor from quantum systems back to classical phase spaces via WKB approximation, illustrating with coherent states.                                    |
| 6      | Adjointness and Natural Transformations   | Demonstrate the unit and counit natural transformations that witness an adjunction between quantization and semiclassical functors.   |
| 7      | Applications and Extensions               | Propose how higher-category or 2-functor structures can encode path integrals or gauge symmetry in Functorial Physics.  |
| 8      | Research Project                          | Design a small research question: Use Functorial Physics to functorially quantize a simple gauge theory (e.g., U(1) electromagnetism) and compare classical and quantum structures. |

Table 1: AI-Prompt-Driven Curriculum for Functorial Physics

## **Appendix A: Proofs of Natural Transformation Coherence**

[Naturality of  $\eta$ ] For any morphism  $f:(M_1,\omega_1)\to (M_2,\omega_2)$  in C, the following square commutes:

$$\begin{array}{ccc} (M_1, \omega_1) & \stackrel{f}{\longrightarrow} (M_2, \omega_2) \\ & & & \downarrow \eta_{M_1} \\ & & & \downarrow \eta_{M_2} \\ & \mathcal{SQ}(M_1, \omega_1) & & & \mathcal{SQ}(f) \end{array}$$

*Proof.* By definition of  $\eta$ , both paths map an element  $x \in M_1$  to its corresponding classical limit in  $\mathcal{SQ}(M_2, \omega_2)$  after application of f. Coherence follows from functoriality of  $\mathcal{Q}$  and  $\mathcal{S}$ .

[Triangle Identities] The compositions

$$Q \xrightarrow{Q\eta} QSQ \xrightarrow{\epsilon Q} Q$$
 and  $S \xrightarrow{\eta S} SQS \xrightarrow{S\epsilon} S$ 

are identity transformations.

*Proof.* These follow directly from the definitions of unit and counit natural transformations and standard adjunction triangle identities (see ?, Chap. IV). One checks on arbitrary objects and verifies coherence via string diagrams.  $\Box$ 

## **Appendix B: Additional Examples**

#### **B.1 Coupled Harmonic Oscillators**

Consider two 1D oscillators with phase spaces  $(\mathbb{R}^2, \omega)$  and Hamiltonian

$$H = \frac{1}{2}(p_1^2 + q_1^2) + \frac{1}{2}(p_2^2 + q_2^2) + k q_1 q_2.$$

Under quantization,  $\mathcal{Q}$  assigns the tensor-product Hilbert space  $L^2(\mathbb{R}) \otimes L^2(\mathbb{R})$  and maps the coupled flow to the unitary evolution operator

$$U(t) = e^{-\frac{i}{\hbar}t\hat{H}},$$

where  $\hat{H}$  is the quantized Hamiltonian. The semiclassical functor  $\mathcal{S}$  recovers the classical flow by taking expectation values in coherent states.

#### **B.2** Quantization of the 2-Torus

The classical torus  $T^2=\mathbb{R}^2/\mathbb{Z}^2$  with symplectic form  $\omega=dx\wedge dy$  admits a family of geometric quantizations parameterized by an integer level N. Objects map to Hilbert space  $\mathcal{H}_N$  of theta functions of degree N, and translations on  $T^2$  correspond to finite Heisenberg group unitaries on  $\mathcal{H}_N$ . The functors  $\mathcal Q$  and  $\mathcal S$  explicitly realize Morita equivalences in noncommutative tori.

# **Appendix C: LLM Peer Review Excerpts**

Selected excerpts from automated peer reviews by GPT-4, Claude 3, and LLaMA-3. Reviews were solicited with the prompt:

"Peer-review the following text on Functorial Physics, checking coherence, proofs, and notation."

- "The adjunction proof in Section 6 is concise; adding explicit string-diagram representation would enhance clarity." GPT-4
- "Notation  $(S \circ Q)$  recommended to avoid confusion with juxtaposition." Claude 3
- "Appendix B.2 could discuss the Maslov index in torus quantization." LLaMA-3