# Re-Exam in INF-2700 - Solutions

Database Systems

2012-02-20

dummy page

# 1 (40%)

Below are some database tables for a task management application. The example data show that task wash dishess is of category home and is assigned to Ole and Anna as two sub-tasks, and that Anna finished her part of the wash dishes task on 2012-02-20.

#### • People

$\operatorname{Pid}$	Name
2	Karin
6	Tom
7	Ole
9	Anna

#### • Tasks

$\mathbf{Tid}$	Title	Category	Size
101	change light bulb	home	1
102	wash dishes	home	8
103	clean desk	job	3
105	bake cake	home	10
108	dance	$\operatorname{fun}$	12
110	walk dog	home	5

#### • DoTask

$\mathbf{Pid}$	$\operatorname{Tid}$	${\bf SubSize}$	Status	${\bf UpdatedOn}$
6	101	1	done	2012-02-18
7	102	3	todo	2012-02-19
9	102	5	done	2012-02-20
7	103	3	todo	2012-02-20
9	105	8	done	2012-02-20
2	108	12	done	2012-02-20

The *primary keys* of the tables are in **bold** font.

Foreign keys:

#### • DoTask

- Pid: references Pid of People

- Tid: references Tid of Tasks

The person with pid has the responsibility for (maybe part of) the task with tid.

Write queries to find the required information.

Queries 1–5 must be formulated in both relational algebra and SQL.

Queries 6–10 need only be formulated in SQL.

#### Suggested solution

 $\sim$  SQL scripts tested with SQLite are listed at the end of this document.

1. Names of all people.

The result for the example database is:

Name
Karin
Tom
Ole
Anna

 $\Pi_{Name}(People)$ 

2. Tasks (titles and sub-sizes) assigned to Ole.

The result for the example database is:

Title	SubSize
wash dishes	3
clean desk	3

 $\Pi_{Title,SubSize}(\sigma_{Name='Ole'}People \bowtie DoTask \bowtie Tasks)$ 

3. Names of people who share the wash dishes task.

The result for the example database is:

 $\Pi_{Name}(People \bowtie DoTask \bowtie \sigma_{Title='wash\ dishes'}Tasks)$ 

4. Titles of tasks that have not been assigned to anybody.

The result for the example database is:

 $\Pi_{Title}(Tasks \bowtie (\Pi_{Tid}Tasks - \Pi_{Tid}DoTask))$ 

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5. Names of people who do not share a category of tasks with any other people.

The result for the example database is:

$$pc_{1} \leftarrow \Pi_{Pid,Category}(People \bowtie DoTask \bowtie Tasks)$$

$$pc_{2} \leftarrow \Pi_{Pid,Category}(People \bowtie DoTask \bowtie Tasks)$$

$$p \leftarrow \Pi_{pc_{1}.Pid}(pc_{1} \bowtie_{pc_{1}.Pid \neq pc_{2}.Pid \land pc_{1}.Category = pc_{2}.Category} pc_{2})$$

$$\Pi_{Name}(Peopel \bowtie (\Pi_{Pid}People - p))$$

6. List of Ole's todo tasks (together with sub-sizes and update date) in ascending order of updated date.

The result for the example database is:

Title	SubSize	UpdatedOn
wash dishes	3	2012-02-12
clean desk	3	2012-02-20

7. Total sub-sizes finished on 2012-02-20.

The result for the example database is:

8. Tasks not completely done (sum of finished sub-sizes, if any, is less than the size of the task).

The result for the example database is:

Title
wash dishes
clean desk
bake cake
walk dog

9. Tasks assigned to multiple people.

The result for the example database is:

10. Date(s) with the most amount of finished work.

The result for the example database is:

date	FinishedSize
2012-02-20	25

## 2 (20%)

We now consider the physical design of the table files. Assume that the files are organized as the following:

- People file uses hash file organization with hashing on attribute Pid.
- Tasks file uses hash file organization with hashing on attribute Tid.
- DoTask file uses hash file organization with hashing on attribute Pid and has a hash index on attribute Tid.

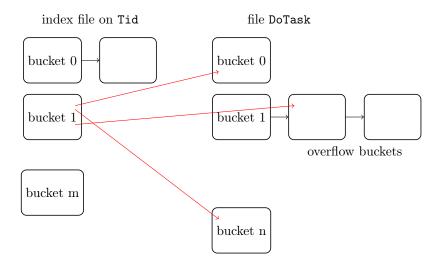
Assume the following about the data sizes:

- The sizes of both disk blocks and buffer pages are 4 kilo bytes.
- There are 100 buffer pages allocated to your algorithms.
- The sizes of data records of all three tables are 100 bytes.
- $\bullet$  There 10000 people, each being assigned 400 sub-tasks.
- Every task is assigned to 4 people as 4 sub-tasks.
- You may make further assumptions.

Answer the following questions. To keep your answers focused, consider only static hashing.

#### Suggested solution

1. How are DoTask data organized on disk? Please draw a figure to illustrate the data organization.



The DoTask file consists of a number of *buckets*. In the same bucket, all records have the same hash value of the Pid field.

A bucket is typically a disk block, but can also be smaller or larger than a block

If a bucket is not large enough for all records with the same hash value on the Pid field, there is a chain of *overflow buckets* for these records.

The index on Tid is a secondary index and the index entries are stored in a hash organized file, which consists of buckets similar to the organization of the DoTask file. The records of the file are index entries (for all DoTask records) of the form < Tid value, address > where address is the address of the disk block containing the record as well as the offset of the record in the block.

2. Sketch an algorithm to make a natural join of the People and DoTask tables.

The join is a loop that terminates when there is no more bucket from the files and no more record in input buffer pages:

- read a number of People and DoTask buckets with the same Pid hash values in input buffer pages
- compare the records from the buckets of the two files and write the join records into the output buffet pages
- when an output page is full, write to disk

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3. What is the performance overhead of your algorithm for the above question?

The performance overhead is measured as the *number of disk seeks* and the *number of disk block transfers*.

The calculations are only used to verify that students understand what performance means and how their algorithms work. They are not meant to be precise.

Assume a bucket consists of one disk block, all buckets are half full and there is no overflow bucket. People file takes 500 buckets. DoTask file takes 200,000 buckets. The join result takes  $(500/2) \times (200000/2) = 25,000,000$  (full) disk blocks.

The files are read in once and the resulte written out once. Totally there are **25,200,500** disk block transfers.

Assume we allocate 33 buffer pages for each input file and the output, there are around 25,200,500 / 33 = 760,000 disk seeks.

- 4. Sketch an algorithm to make a natural join of the Tasks and DoTask tables.
  - read the Tasks records in input buffer pages
  - for each Tasks record, use the hash index to read the DoTask records with the same Tid value
  - write the join records into the output buffet pages
  - when an output page is full, write to disk
- 5. What is the performance overhead of your algorithm for the above question?

Assume we allocate 50 buffer pages for reading the Tasks buckets and 50 pages for the join results.

There are 1,000,000 Tasks records in 50,000 buckets. That is, 50,000 block transfers and 1000 seeks.

Assume every DoTask is obtained with 2 disk reads (one for the index entry and one for the record). There are 4,000,000 records. Therefore 8,000,000 block transfers and seeks.

The join result takes 2,500,000,000 (full) disk blocks. That is, 2,500,000,000 block transfers and 50,000,000 seeks.

Summing these up: 2,508,050,000 block transfers and 58,001,000 seeks.

# 3 (20%)

Answer the following questions. Try to give formal definitions of the corresponding concepts.

1. What is functional dependency  $P \to Q$  of a relation instance r?

$$\begin{array}{c|cccc} A & B & C \\ \hline 1 & x & t \\ 1 & y & t \\ 2 & z & u \\ \end{array}$$

Given the above relation instance, check if the following functional dependencies are satisfied:

- ullet A o B
- ullet B ightarrow C
- ullet A o C
- ullet AC ightarrow B
- ullet AB o C

#### Suggested solution

**Functional dependency** For any pair of tuples in r, if they have the same value in P, they also have the same value in Q.

$$\label{eq:NO} \begin{array}{l} \mathbf{NO} \ \ A \to B, \ AC \to B, \\ \mathbf{YES} \ \ B \to C, \ A \to C, \ AB \to C \end{array}$$

2. What is a *schema decomposition*? What is the purpose of making a schema decomposition?

#### Suggested solution

For relation schema (R, F), the set of schemas  $(R_1, F_1)(R_2, F_2), \ldots, (R_n, F_n)$  is a decomposition if,  $R = R_1 \cup R_2 \cup \ldots \cup R_n$  (no new attributes) and F logically implies  $F_i$  (no new dependencies).

Redundancies can be removed when a schema is decomposed into some normal form.

3. What is a *lossless* schema decomposition?

#### Suggested solution

A decomposition of R into  $R_1, R_2, \ldots, R_n$  is lossless if for every instance  $r, r = \prod_{R_1}(r) \bowtie \prod_{R_2}(r) \bowtie \ldots \bowtie \prod_{R_n}(r)$ 

 ${\it 4. \ What is a schema decomposition that } {\it preserves functional dependencies?}$ 

#### Suggested solution

A decomposition is dependency preserving if  $F_1 \cup F_2 \cup ... \cup F_n$  also logically implies F.

### 4 (20%)

1. What is an ACID transaction?

#### Suggested solution

A transaction is a group of operations on shared (database) data.

Atomicity all or nothing

Consistency database is kept consistent and individual transactions are consistent

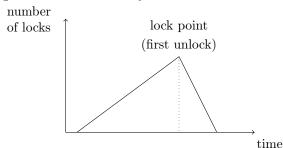
**Isolation** interleaved executions of concurrent transactions have the same effect as isolated (serial) executions.

**Durability** If a transaction commits, the result is not affected by possible subsequent events.

2. Describe the two-phase locking protocol (2PL), strict two-phase locking protocol (S2PL), and rigorous two-phase locking protocol (R2PL).

#### Suggested solution

- 2PL
  - a transaction has a lock phase (growing phase) followed by an unlock phase (shrinking phase)
  - guarantees serializability



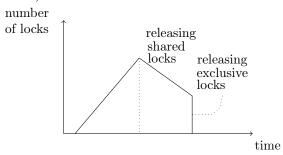
• S2PL

- exclusive locks are held until transaction commits

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 $-\,$  guarantees serializability and  $strict\,schedules$  (no dirty reads and writes)



- R2PL
  - all locks are held until transaction commits
  - guarantees serializability and  $\mathit{strict\ schedules}$
  - $-\,$  easier to implement

number of locks

releasing all locks

time

3. Discuss the advantages and shortcomings of these protocols.

#### Suggested solution

See above.

 $-\mathbf{END}-$ 

## 5 SQLite scripts

```
-- create_tasks_db.sql
-- run sqlite3 tasks.sqlite3 < create_tasks_db.sql
-- to create the database file
DROP TABLE People;
DROP TABLE Tasks;
DROP TABLE DoTask;
CREATE TABLE People (
 pid INTEGER PRIMARY KEY,
 name TEXT NOT NULL
);
CREATE TABLE Tasks (
 tid INTEGER PRIMARY KEY,
 title TEXT NOT NULL,
 category TEXT NOT NULL,
 size INTEGER NOT NULL
);
CREATE TABLE DoTask (
 pid INTEGER NOT NULL,
 tid INTEGER NOT NULL,
 subsize INTEGER NOT NULL,
 status TEXT NOT NULL,
 updatedon TEXT NOT NULL,
 PRIMARY KEY (pid, tid)
);
.separator |
.import ./datafiles/People.txt People
.import ./datafiles/Tasks.txt Tasks
.import ./datafiles/DoTask.txt DoTask
-- queries_tasks.sql
-- run sqlite3 tasks.sqlite3 < queries_tasks.sql
.mode column
.headers on
select '- 1: names of all people';
SELECT name
FROM
       people;
```

```
select '- 2: tasks titles and sub-sizes allocated to Ole';
SELECT title, subsize
FROM
      people p, tasks t, dotask d
WHERE p.name = "Ole" and p.pid = d.pid and t.tid = d.tid;
SELECT '- 3: people sharing dishes task';
SELECT name
      people NATURAL JOIN dotask NATURAL JOIN tasks
FROM
WHERE title = 'dishes';
select '- 4: tasks not allocated';
SELECT title
FROM tasks
WHERE tid NOT IN (SELECT tid FROM dotask);
select '- 5: people not sharing category with other';
SELECT p.name
FROM people p
WHERE NOT EXISTS
       (SELECT category
       FROM tasks t, dotask d
       WHERE p.pid = d.pid AND t.tid = d.tid
       INTERSECT
       SELECT category
        FROM people p2, tasks t2, dotask d2
        WHERE p2.pid <> p.pid AND p2.pid = d2.pid AND t2.tid = d2.tid);
select '- 6: Oles todo task in updated-on order';
SELECT title, subsize, updatedon
      people natural join dotask natural join tasks
WHERE name = "Ole" AND status = 'todo'
ORDER BY updatedon;
select '- 7: total sub-sizes finished on 2012-02-20';
SELECT sum(subsize) as finished_size
FROM
      dotask
WHERE updatedon = '2012-02-20' AND status = 'done';
select '- 8: tasks not completely done';
SELECT t.title
FROM tasks t
WHERE t.tid NOT IN (SELECT tid FROM dotask WHERE status = 'done')
       t.size >
       (SELECT sum(subsize)
```

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```
FROM tasks natural join dotask
WHERE tid = t.tid AND status = 'done');

SELECT '- 9: tasks with shared duties';
SELECT title
FROM dotask NATURAL JOIN tasks
GROUP BY tid
HAVING COUNT(*) > 1;

select '- 10: most productive date';
SELECT date, max(finished_size) as finished_size
FROM (SELECT updatedon as date, sum(subsize) as finished_size
FROM dotask
WHERE status = 'done'
GROUP BY updatedon);
```