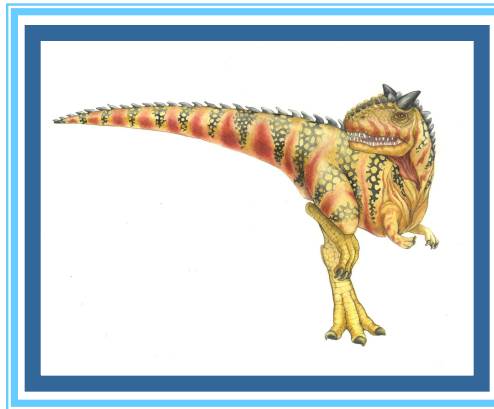


# Chapter 5: Process Synchronization

## Lecture # 13

---





# Chapter 5: Process Synchronization

---

- Peterson's Solution
- Synchronization Hardware
- Mutex Locks
- Semaphores





# Peterson's Solution

- Good algorithmic description of solving the problem
- Two process solution
- Assume that the **load** and **store** machine-language instructions are atomic; that is, cannot be interrupted
- The two processes share two variables:
  - `int turn;`
  - `Boolean flag[2]`
- The variable `turn` indicates whose turn it is to enter the critical section
- The `flag` array is used to indicate if a process is ready to enter the critical section. `flag[i] = true` implies that process  $P_i$  is ready!





# Algorithm for Process $P_0$ and $P_1$

$P_0$

```
while(1)
{
    flag[0] = true;
    turn = 1;
    while (turn==1 &&
        flag[1]==T);
    critical section
    flag[0] = false;
    remainder section
}
```

$P_1$

```
while(1)
{
    flag[1] = true;
    turn = 0;
    while (turn==0 &&
        flag[0]==T);
    critical section
    flag[1] = false;
    remainder section
}
```

Turn = 0 Or 1

$P_0$	$P_1$
Flag= F	Flag= F

1: Mutual Exclusion: let,  $P_0$  in C.S and  $P_1$  try to enter C.S.  
When  $P_0$  in C.S.  $flag[0] = T$ ,  $flag[1] = F$ ,  $turn = 1$   
the while condition of  $P_0$  code is false so  $P_0$  enters in C.S.  
Meanwhile, if  $P_1$  try to enter it will do  $flag[1] = T$ ,  $turn = 0$   
so the while condition of  $P_1$  is true hence  $P_1$  is blocked.



# Algorithm for Process $P_0$ and $P_1$

**$P_0$**

```
while(1)
{
    flag[0] = true;
    turn = 1;
    while (turn==1 &&
        flag[1]==T);
    critical section
    flag[0] = false;
    remainder section
}
```

**$P_1$**

```
while(1)
{
    flag[1] = true;
    turn = 0;
    while (turn==0 &&
        flag[0]==T);
    critical section
    flag[1] = false;
    remainder section
}
```

**Turn = 0 Or 1**

**$P_0$**

Flag= F

**$P_1$**

Flag= F

2 Progress:  $P_0$  and  $P_1$  both want or ready to enter C.S simultaneously then the last value in turn determines which process will enter C.S. let Last value in turn = 1 then  $P_1$  access the C.S but as it left C.S. it make flag[1] = F that allows  $P_0$  to enter C.S. Hence, no deadlock and stick alternations.





# Algorithm for Process $P_0$ and $P_1$

$P_0$

```
while(1)
{
    flag[0] = true;
    turn = 1;
    while (turn==1 &&
    flag[1]==T) ;
    critical section
    flag[0] = false;
    remainder section
}
```

$P_1$

```
while(1)
{
    flag[1] = true;
    turn = 0;
    while(turn==0 &&
    flag[0]==T) ;
    critical section
    flag[1] = false;
    remainder section
}
```

Turn = 0 Or 1

$P_0$

Flag= F

$P_1$

Flag= F

3: Bounded wait:  $P_0$  enters C.S. and immediately it exist it again  
Try to enter. But if  $P_1$  wants to enter mean  $flag[1] = T$  then  $P_0$  must wait  
Until  $P_1$  once enters and exit C.S.





# Peterson's Solution (Cont.)

---

- Provable that the three CS requirement are met:
  1. Mutual exclusion is preserved

$P_0$  enters CS only if:  
either `flag[1] = false` or `turn = 0`
  2. Progress requirement is satisfied
  3. Bounded-waiting requirement is met





# Synchronization Hardware

---

- Many systems provide hardware support for implementing the critical section code.
- All solutions below based on idea of **locking**
  - Protecting critical regions via locks
- Uniprocessors – could disable interrupts
  - Currently running code would execute without preemption
  - Generally too inefficient on multiprocessor systems
- 4 Operating systems using this not broadly scalable
- Modern machines provide special atomic hardware instructions







# Solution to Critical-section Problem Using Locks

---

```
do {  
    acquire lock  
        critical section  
    release lock  
        remainder section  
} while (TRUE);
```





# Mutex Locks

- Hardware solutions are complicated and generally inaccessible to application programmers
- OS designers build software tools to solve critical section problem
- Simplest is mutex lock
- Protect a critical section by first **acquire()** a lock then **release()** the lock
  - Boolean variable indicating if lock is available or not
- Calls to **acquire()** and **release()** must be atomic
  - Usually implemented via hardware atomic instructions
- But this solution requires **busy waiting**
  - This lock therefore called a **spinlock**





# acquire() and release()

- ```
acquire() {  
    while (!available)  
        ; /* busy wait */  
    available = false;;  
}
```

```
release() {  
    available = true;  
}
```

- ```
do {  
    acquire lock  
    critical section  
    release lock  
    remainder section  
} while (true);
```





# Semaphore

- Synchronization tool that provides more sophisticated ways (than Mutex locks) for process to synchronize their activities.
- Semaphore **S** – integer variable
- Can only be accessed via two indivisible (atomic) operations
  - **wait()** and **signal()**
    - 4 Originally called **P()** and **V()**
- Definition of the **wait()** operation

```
wait(S) {  
    while (S <= 0)  
        ; // busy wait  
    S--;  
}
```

- Definition of the **signal()** operation

```
signal(S) {  
    S++;  
}
```





# Semaphore

```
wait(S) {  
    while (S <= 0)  
        ; // busy wait  
    S--;  
}
```

```
signal(S) {  
    S++;  
}
```

```
do {  
    Entry section  
    //critical section  
    Exit section  
    //remainder section  
} while (true);
```

**S = 1**



