Self-Declaration Questionnaire

Full Name: MAHENDRAN S

LOCATION I, BANGALORE

HCL SAP: 51848933

| Sno | Self-Declaration Questions | Declaration (Yes/No) |
|-----|---|----------------------|
| 1 | Do you hold a directorship with a publicly owned and/or listed company? | No |
| 2 | Are you currently engaged in any other business either as a proprietor, partner, officer, director, trustee, agent or otherwise? | NO |
| 3 | Do you have any external position involving the provision of legal, compliance or audit advice | NO |
| 4 | Do you hold any position with any company (entity, enterprise or other similar organisation) that may be a potential client of, supplier to or provider of services to UBS? | NO |
| 5 | Do you have any family or personal relationships with any current UBS employees? If YES: Please update the below Complete Name of UBS Person: GPN: Designation: | NO |
| 6 | Do you have any family of personal relationships with any UBS clients or vendors? If YES: Please update the below Complete Name: GPN: Designation: | (0 |
| 7 | Do you have any family members/close associates that are public officials? If YES: Please update the below Complete Name: Department: Designation: | No |

Signature:

Date: 12/12/2019