Great! Let's go through **Attention Mechanisms** and **Transformers** in **great detail**, with **examples**, **mathematics**, and **coding in PyTorch**.

Class 16: Attention Mechanisms and Transformers

# **©** Learning Objectives

- 1. Understand the **attention mechanism** (especially self-attention and multi-head attention).
- 2. Learn how Transformers work including:
  - o Input embeddings
  - Positional encodings
  - Encoder-decoder architecture
  - Output layer

### PART 1: Attention Mechanism

**What is Attention?** 

The attention mechanism allows a model to focus on relevant parts of the input when making decisions.

Suppose you're translating:

"The cat sat on the mat."

You want the model to focus on different words at different steps of translation.

## **Mathematical Intuition of Attention**

#### Given:

- Query vector Q
- Key vector K
- Value vector V

#### The Scaled Dot-Product Attention is:

 $Attention(Q,K,V) = \text{$$\operatorname{QK^T}_{\boldsymbol{Q},K,V} = \operatorname{QK^T}_{\boldsymbol{Q},K,V} = \operatorname{QK^$ 

Where:

- Q∈Rn×dkQ \in \mathbb{R}^{n \times d\_k}
- KERn×dkK \in \mathbb{R}^{n \times d\_k}
- VERn×dvV \in \mathbb{R}^{n \times d\_v}
- dkd\_k: dimension of key vectors (used for scaling)

# Example: Dot Product Attention

Let's say:

Q = [[1, 0]]

K = [[1, 0], [0, 1]]

V = [[10], [20]]

Then:

- 1.  $QKT=[1*1+0*0,1*0+0*1]=[1,0]QK^T=[1*1+0*0,1*0+0*1]=[1,0]$
- 2.  $softmax([1,0])=[0.731,0.269] \text{text} \{softmax\}([1,0])=[0.731,0.269]$
- 3. Output:  $[0.731,0.269] \cdot [[10],[20]] = [0.731*10+0.269*20] = [12.69][0.731,0.269] \cdot [[10],[20]] = [0.731*10+0.269*20] = [12.69]$

#### Self-Attention

Each word in the input attends to every other word (including itself) to generate context-aware embeddings.

# Steps:

- 1. Compute Q, K, V from input embeddings.
- 2. Use the attention formula.
- 3. Result: For each word, a new representation capturing global context.

#### Multi-Head Attention

Instead of computing attention once, we do it **h times** in **parallel heads** with different projection matrices.

self.num\_heads = num\_heads

self.W\_q = nn.Linear(d\_model, d\_model)

self.W\_k = nn.Linear(d\_model, d\_model)

self.W\_v = nn.Linear(d\_model, d\_model)

```
Coding: Self-Attention and Multi-Head Attention (PyTorch)
import torch
import torch.nn as nn
import torch.nn.functional as F
class ScaledDotProductAttention(nn.Module):
  def __init__(self, d_k):
    super().__init__()
    self.d_k = d_k
  def forward(self, Q, K, V):
    scores = torch.matmul(Q, K.transpose(-2, -1)) / torch.sqrt(torch.tensor(self.d_k,
dtype=torch.float32))
    attn = F.softmax(scores, dim=-1)
    return torch.matmul(attn, V), attn
class MultiHeadAttention(nn.Module):
  def __init__(self, d_model, num_heads):
    super().__init__()
    assert d_model % num_heads == 0
    self.d_k = d_model // num_heads
```

```
self.W_o = nn.Linear(d_model, d_model)
self.attention = ScaledDotProductAttention(self.d_k)

def forward(self, Q, K, V):
    batch_size = Q.size(0)
    Q = self.W_q(Q).view(batch_size, -1, self.num_heads, self.d_k).transpose(1, 2)
    K = self.W_k(K).view(batch_size, -1, self.num_heads, self.d_k).transpose(1, 2)
    V = self.W_v(V).view(batch_size, -1, self.num_heads, self.d_k).transpose(1, 2)
    out, attn = self.attention(Q, K, V)
    out = out.transpose(1, 2).contiguous().view(batch_size, -1, self.num_heads * self.d_k)
    return self.W_o(out)
```

### ◆ PART 2: Transformer Architecture

Proposed by Vaswani et al. in "Attention is All You Need" (2017).

#### Components of Transformer

#### 1. Input Embeddings

• Word tokens are mapped to dense vectors using embeddings.

embedding = nn.Embedding(vocab\_size, d\_model)

## 2. Positional Encodings

Since there's no recurrence, we inject position information:

```
PE(pos,2i)=sin (pos/100002i/dmodel)PE_{(pos, 2i)} = \sin(pos / 10000^{2i / d_{\text{model}}})

PE(pos,2i+1)=cos (pos/100002i/dmodel)PE_{(pos, 2i+1)} = \cos(pos / 10000^{2i / d_{\text{model}}}))

class PositionalEncoding(nn.Module):

def __init__(self, d_model, max_len=5000):

super().__init__()

pe = torch.zeros(max_len, d_model)

pos = torch.arange(0, max_len).unsqueeze(1)
```

```
div_term = torch.exp(torch.arange(0, d_model, 2) * -(torch.log(torch.tensor(10000.0)) / d_model))
pe[:, 0::2] = torch.sin(pos * div_term)
pe[:, 1::2] = torch.cos(pos * div_term)
self.pe = pe.unsqueeze(0)

def forward(self, x):
    return x + self.pe[:, :x.size(1)]
```

#### Encoder Layer

Each encoder block has:

- Multi-head self-attention
- Add & Norm
- Feed-forward layer
- Add & Norm

### Decoder Layer

Each decoder block has:

- Masked multi-head self-attention (prevent attending to future tokens)
- Multi-head attention (encoder-decoder)
- Feed-forward
- LayerNorms

## Transformer Flow

Input --> Embedding + Positional Encoding --> N Encoder Blocks --> Memory

Target --> Embedding + Positional Encoding --> N Decoder Blocks (with Masked Attention)

--> Final Linear + Softmax --> Output

# Final Output Layer

Projects decoder output to vocabulary space:

output = nn.Linear(d\_model, vocab\_size)

# Full Mini Transformer (Conceptual Code)

You can build a full transformer using:

- MultiHeadAttention
- PositionalEncoding
- FeedForward (two linear layers)
- LayerNorm

Let me know if you'd like a **complete Transformer architecture implemented in code** (like a small language translator).

Would you like me to give you a complete working example of a Transformer in PyTorch (e.g., for toy language translation)?