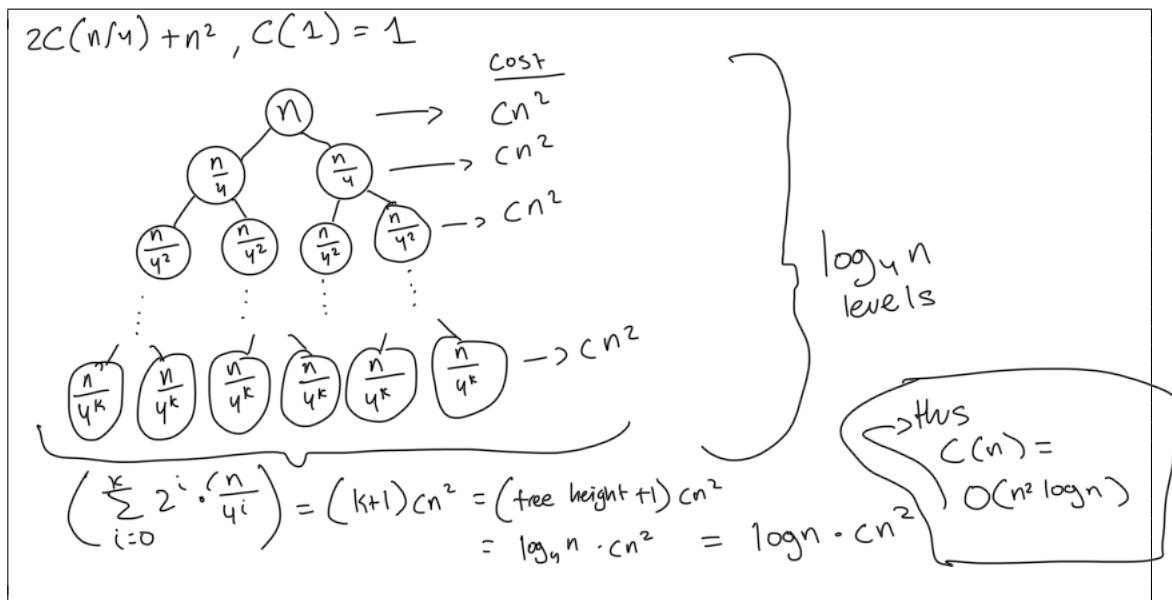


Answer the questions in the boxes provided on the question sheets. If you run out of room for an answer, add a page to the end of the document.

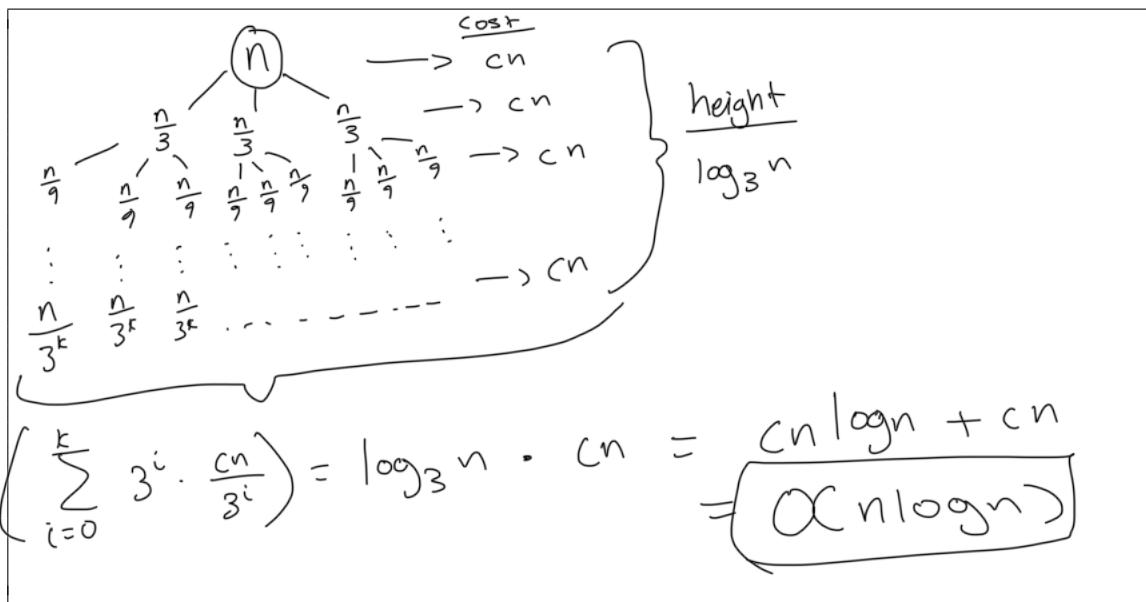
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## Divide and Conquer

1. Erickson, Jeff. *Algorithms* (p.49, q. 6). Use recursion trees to solve each of the following recurrences.
- (a)  $C(n) = 2C(n/4) + n^2$ ;  $C(1) = 1$ .



- (b)  $E(n) = 3E(n/3) + n$ ;  $E(1) = 1$ .



2. Kleinberg, Jon. *Algorithm Design* (p. 246, q. 1). You are interested in analyzing some hard-to-obtain data from two separate databases. Each database contains  $n$  numerical values—so there are  $2n$  values total—and you may assume that no two values are the same. You'd like to determine the median of this set of  $2n$  values, which we will define here to be the  $n$ th smallest value.

However, the only way you can access these values is through queries to the databases. In a single query, you can specify a value  $k$  to one of the two databases, and the chosen database will return the  $k$ th smallest value that it contains. Since queries are expensive, you would like to compute the median using as few queries as possible.

- (a) Give an algorithm that finds the median value using at most  $O(\log n)$  queries.

Algorithm: Database Median

Input: Two lists A and B (representing the 2 databases) of size  $n$ , with distinct elements in each list.

Output: The median element of both A and B, m

If  $n == 1$ , return  $\min(A[0], B[0])$  //Base case

$k := \lceil \frac{n}{2} \rceil$  //  $k$ th smallest element = median of both A and B.

$a := A[k]$   
 $b := B[k]$

if  $a < b$  then:  
 Database Median ( $A[k+1 \dots n], B[0 \dots k], k$ ) // Basically, if  $a < b$ , then  $B[k]$  must be greater than the front half of A. Furthermore, this means  $B[k+1 \dots n]$  greater than combined median, thus remove back half of B. Now both lists are size  $k$ .

else if  $a > b$  then:  
 Database Median ( $A[0 \dots k], B[k+1 \dots n], k$ ) // same as above, but opposite.

else if  $a == b$  then:  
 return a // thus median already found.

- (b) Give a recurrence for the runtime of your algorithm in part (a), and give an asymptotic solution to this recurrence. Show your work and do not use the master theorem.

Recurrence  $T(n) \leq T\left(\frac{n}{2}\right) + C$

the one recursive call in if statements

Finding  $k$  or other constant operations

$T(n) \leq T\left(\frac{n}{2}\right) + C$

$\leq T\left(T\left(\frac{n}{4}\right) + C\right) + C$

$\leq T\left(T\left(T\left(\frac{n}{8}\right) + C\right) + C\right) + C$

$\leq T\left(\frac{n}{2^k}\right) + kC$

$C = T(1) + C \cdot \log n = C + C \cdot \log n \leq O(\log n)$

- (c) Prove correctness of your algorithm in part (a).

Correctness Proof

Base Case: Size of database A and B are both 1, thus the median must be the same in both cases, thus base case holds.

Inductive Step: Assume that for databases A and B of size  $n = j$ , that all recursive calls on  $j/2$  return the correct median value found in each respective database. Thus, we know that  $a_j$  and  $b_j$  are valid medians, and so if  $a_j > b_j$  or vice versa, then another recursive call will be made to half the respective halves of each database until a median is found, or if  $a == b$ , then the program returns  $a$ , which is the correct median.

3. Kleinberg, Jon. *Algorithm Design* (p. 246, q. 2). Recall the problem of finding the number of inversions. As in the text, we are given a sequence of  $n$  numbers  $a_1, \dots, a_n$ , which we assume are all distinct, and we define an inversion to be a pair  $i < j$  such that  $a_i > a_j$ .

We motivated the problem of counting inversions as a good measure of how different two orderings are. However, this measure is very sensitive. Let's call a pair a *significant inversion* if  $i < j$  and  $a_i > 2a_j$ .

- (a) Give an  $O(n \log n)$  algorithm to count the number of significant inversions between two orderings.

Significant Inversions()

Input: A list A of a sequence of  $n$  numbers  $\{a_0, a_1, \dots, a_n\}$   
 Output: A sorted list and count "c" of significant inversions.

If  $|A| == 1$ , then return  $(A, 0)$

$(A_1, c_1) = \text{Significant Inversions}(A[0, \dots, \frac{n}{2}])$  // Front and Back half of list A, where  $c_1$  and  $c_2$  hold number of inversions in each half.

$(A_2, c_2) = \text{Significant Inversions}(A[\frac{n}{2}+1, \dots, n])$

$(A, c) = \text{MergeCount}(A_1, A_2)$

return  $(A, c + c_1 + c_2)$

MergeCount() Input: 2 lists with  $n$  numbers, A and B  
 Output: merged list and number of significant inversions.

Initialize S as an empty list, and  $c := 0$   
 while either A or B are not empty, do:  
 Pop and append  $\min\{A[0], 2 \cdot B[0]\}$  //  $2 \cdot B[0]$  to check for significant inversions  
 If appended item is from B then:  
 $c := c + |A|$   
 end  
 return  $(S, c)$

- (b) Give a recurrence for the runtime of your algorithm in part (a), and give an asymptotic solution to this recurrence. Show your work and do not use the master theorem.

$$\begin{aligned}
 T(n) &\leq 2T\left(\frac{n}{2}\right) + cn \\
 &\leq 2(2T\left(\frac{n}{4}\right) + c\left(\frac{n}{2}\right)) + cn \\
 &\leq 2(2(2T\left(\frac{n}{8}\right) + c\left(\frac{n}{4}\right)) + c\left(\frac{n}{2}\right)) + cn \\
 &\leq 2^k T\left(\frac{n}{2^k}\right) + kcn \quad \rightarrow 1 = \frac{1}{2^k} \\
 &= nT(1) + cn\log n \quad 2^k = n \\
 &= cn + cn\log n \quad \leftarrow \quad k = \log_2(n) \\
 &\leq O(n\log n)
 \end{aligned}$$

- (c) Prove correctness of your algorithm in part (a).

### Correctness

Base Case: size of list = 1. In this case, the program returns 0 since a list of size 1 has no significant inversions possible.

Inductive Step: Assume that the recursive calls on to both halves of the total list return the correct number of significant inversions, as well as returning sorted lists. Then, MergeCount is called on the 2 returned sorted list. In MergeCount, both lists have elements popped iteratively from A and B, and the minimum is put into sorted list S. Furthermore, if  $\min(A[0], 2 \cdot B[0])$  returns  $2 \cdot B[0]$  as the minimum, then it must mean that  $A[0] > 2 \cdot B[0]$ . Thus, this is a significant inversion, and the size of list A is added to 2, since if  $2 \cdot B[0] < A[0]$ , then  $2 \cdot B[0] < A[1]$ , since A is a sorted list. Afterwards, the elements continue being popped until both lists are empty, and continuing the process. Thus, mergecount() returns the correctly sorted list + correct # of significant inversions!



4. Kleinberg, Jon. *Algorithm Design* (p. 246, q. 3). You're consulting for a bank that's concerned about fraud detection. They have a collection of  $n$  bank cards that they've confiscated, suspecting them of being used in fraud.

It's difficult to read the account number off a bank card directly, but the bank has an "equivalence tester" that takes two bank cards and determines whether they correspond to the same account.

Their question is the following: among the collection of  $n$  cards, is there a set of more than  $\frac{n}{2}$  of them that all correspond to the same account? Assume that the only feasible operations you can do with the cards are to pick two of them and plug them in to the equivalence tester.

- (a) Give an algorithm to decide the answer to their question with only  $O(n \log n)$  invocations of the equivalence tester.

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Fraud Detection()
Input: A list A of n Bank Cards that have been confiscated for fraud
Output: A value e that is equivalent to n/2 or more cards in List A.

If |A| = 1, then return True
Left := FraudDetection(Front half of A,  $\frac{n}{2}$ )
Right := FraudDetection(Back half of A,  $\frac{n}{2}$ )
for cards in A:
    left_count = equivalenceTester(A[card], Left)
    right_count = equivalenceTester(A[card], Right)
if left_count >  $\frac{n}{2}$ :
    return Left
if right_count >  $\frac{n}{2}$ :
    return Right
return 0
}

```

- (b) Give a recurrence for the runtime of your algorithm in part (a), and give an asymptotic solution to this recurrence. Show your work and do not use the master theorem.

$$\begin{aligned}
T(n) &\leq 2T(n/2) + 2n, \quad T(1) = 2 \\
&\leq 2(2T(n/4) + 2n) + 2n \\
&\leq 2(2(2T(n/8) + 2n) + 2n) + 2n \\
&\vdots \\
&\leq 2^k T\left(\frac{n}{2^k}\right) + k \cdot 2n
\end{aligned}$$

$\lambda = \frac{1}{2^k}$   
 $2^k = n$   
 $k = \log_2(n)$

$$\begin{aligned}
&nT(1) + \log n \cdot 2n \\
\hookrightarrow &2n + 2n \log n \leq O(n \log n)
\end{aligned}$$

(c) Prove correctness of your algorithm in part (a).

Base Case: When there is only 1 card, it is the majority card by definition, thus the base case holds.

Inductive Step: Assume that in any set of  $k \leq n$  cards, the algorithm correctly finds the majority account in the set of cards, showing the cards come from the same account.

- \* Now let's say we have  $n = k$  cards.
- \* The algorithm divides the  $n$  cards into  $n/2$  cards and recursively calls itself. By the inductive hypothesis above, the recursive call returns a card from the majority account. <sup>(twice)</sup>
- \* The algorithm then runs the equivalence tester to find out which of the 2 majority account cards in the  $\frac{n}{2}$  lists is the majority card in the full set of  $n$  cards.

↳ There are 3 possible cases:

- The same account is the majority owner of cards in both halves. Thus, this account is the majority account in the full set of  $n$  cards since it appears  $\frac{n}{2}$  times, and is returned.
- Different accounts are the majority owner of cards in the two halves, and one of them appears more than  $n/2$  times in the full set of cards. Then, the account with more appearances is returned.
- Different accounts have majority cards in the two halves, and neither of them appears more than  $n/2$  times in the full set of  $n$  cards. Thus, there is no majority account, and the algorithm returns 0.

Thus, through induction, we have proven correctness