

Homework 1 — Backpropagation

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Due: 03.12.2025**Questions 1–2: (70 Points)**

Programming assignment — See attached notebook in Moodle.

Question 1: (15 Points)Let the classifier logits be $z \in \mathbb{R}^C$. The softmax outputs are

$$p_i = \frac{e^{z_i}}{\sum_{j=1}^C e^{z_j}}, \quad i = 1, \dots, C. \quad (1)$$

For a one-hot label vector $y \in \{0, 1\}^C$ with $\sum_i y_i = 1$, the cross-entropy loss is

$$L = - \sum_{i=1}^C y_i \log p_i. \quad (2)$$

- (a) Derive the gradient $\frac{\partial L}{\partial z_i}$ for each $i \in \{1, \dots, C\}$. Write your result in simplest closed form.
- (b) Show why this derivative simplifies to: $\frac{\partial L}{\partial z_i} = p_i - y_i$.
- (c) Using your result in (a), explain succinctly why the softmax+cross-entropy combination provides strong learning signals (non-vanishing gradients) even when the model is confidently wrong.

Hint: You may find it helpful to use the softmax Jacobian identity

$$\frac{\partial p_k}{\partial z_i} = \begin{cases} p_i(1 - p_i), & i = k, \\ -p_i p_k, & i \neq k. \end{cases} \quad (3)$$

Question 2: (15 Points)Consider a mini-batch $\{z_1, \dots, z_m\} \subset \mathbb{R}$. Batch Normalization (BN) computes

$$\mu = \frac{1}{m} \sum_{i=1}^m z_i, \quad \sigma^2 = \frac{1}{m} \sum_{i=1}^m (z_i - \mu)^2, \quad (4)$$

then normalizes and applies an affine transform

$$\hat{z}_i = \frac{z_i - \mu}{\sqrt{\sigma^2 + \epsilon}}, \quad y_i = \gamma \hat{z}_i + \beta, \quad (5)$$

where $\gamma, \beta \in \mathbb{R}$ are learnable parameters and $\epsilon > 0$ is a small constant.

Let $dy_i := \frac{\partial L}{\partial y_i}$.

- (a) Derive the gradients with respect to the affine parameters: $\frac{\partial L}{\partial \gamma}$ and $\frac{\partial L}{\partial \beta}$.
- (b) Express $\frac{\partial L}{\partial z_i}$ in terms of dy_j , γ , \hat{z}_j , μ , σ^2 , and m .
- (c) Briefly explain (2–3 sentences) how BN can improve gradient flow and mitigate vanishing/exploding gradients in deep networks.

Note: You may assume the batch statistics (μ, σ^2) are computed over the same set used in the backward pass.

Good Luck!