

Dual Role of Software:

- **As a Product:** Provides computing power using computer hardware or networks.
- **As a Delivery Vehicle:** Controls computers (operating systems), facilitates communication (networks), and helps in creating other software (development tools).

Changing Nature of Software

1. System Software:

- Includes infrastructure programs like compilers, operating systems, editors, and drivers.
- Provides services to other programs.

2. Real-Time Software:

- Monitors, controls, and analyzes real-world events in real-time.
- Example: Weather forecasting software that processes temperature, humidity, etc.

3. Embedded Software:

- Stored in Read-Only Memory (ROM) and controls product functions.
- Used in aircraft, automobiles, security systems, power plants, etc.
- Also called **intelligent software** as it handles hardware components.

4. Business Software:

- Processes business applications like payroll, employee management, and accounting.
- Includes **ERP (Enterprise Resource Planning)** and **Data Warehousing** for decision-making.

5. Personal Computer Software:

- Used in personal computers for various tasks.
- Examples: Word processors, graphic design tools, multimedia, database management, and computer games.

6. Artificial Intelligence Software:

- Uses **non-numerical algorithms** to solve complex problems.
- Examples: Expert systems, artificial neural networks, and signal processing software.

7. Web-Based Software:

- Software for web applications.
- Examples: CGI, HTML, Java, Perl, DHTML, etc.

Layered Technology in Software Engineering

Software engineering follows a **layered technology approach**, meaning each layer depends on the successful completion of the previous one. This ensures structured and efficient software development.



Four Layers of Software Engineering

1. Quality Focus (Top Layer)

- Ensures continuous process improvement.
- Provides **security** (data access for authorized users only).
- Focuses on **maintainability** (easy updates and fixes) and **usability** (user-friendly software).

2. Process Layer (Foundation)

- The **base layer** that connects all other layers.
- Defines a **framework** to ensure software is delivered **on time** and **meets requirements**.
- Covers all **activities, actions, and tasks** needed for software development.

Key Process Activities:

- **Communication** – Understanding client needs.
- **Planning** – Creating a roadmap for development.
- **Modeling** – Designing a system based on client requirements.
- **Construction** – Writing and testing code.
- **Deployment** – Delivering software for client feedback.

3. Methods Layer

- Provides answers to "**how-to**" questions in software development.
- It has the information of all the tasks which includes communication, requirement analysis, design modeling, program construction, testing, and support.

4. Tools Layer

- Provides **automated or semi-automated** support for processes and methods.
- **Integrated tools** allow data sharing between different software tools.

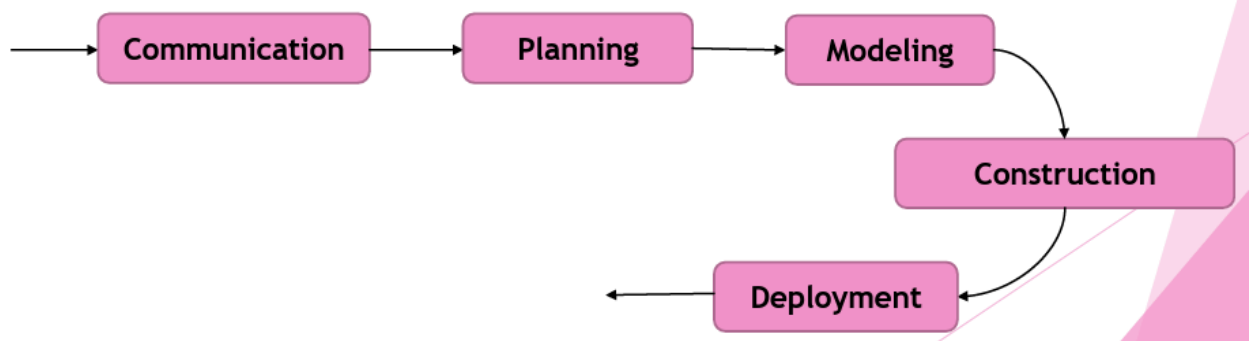
Polya's Approach to Problem-Solving:

1. **Understand the problem** – Communication and analysis.
2. **Plan a solution** – Modeling and software design.
3. **Execute the plan** – Code generation.
4. **Verify the result** – Testing and quality assurance.

Types of Process Flow in Software Engineering

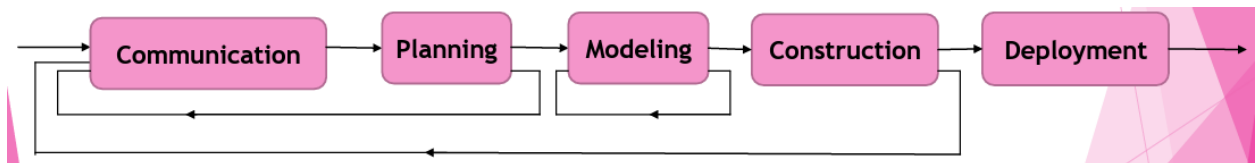
1) Linear Process Flow

- **Follows a strict sequence** of the five framework activities (Communication → Planning → Modeling → Construction → Deployment).
- No activity is repeated; each step must be completed before moving to the next.
- **Best for:** Simple, well-defined projects with clear requirements.
- **Example:** Waterfall Model.



2) Iterative Process Flow

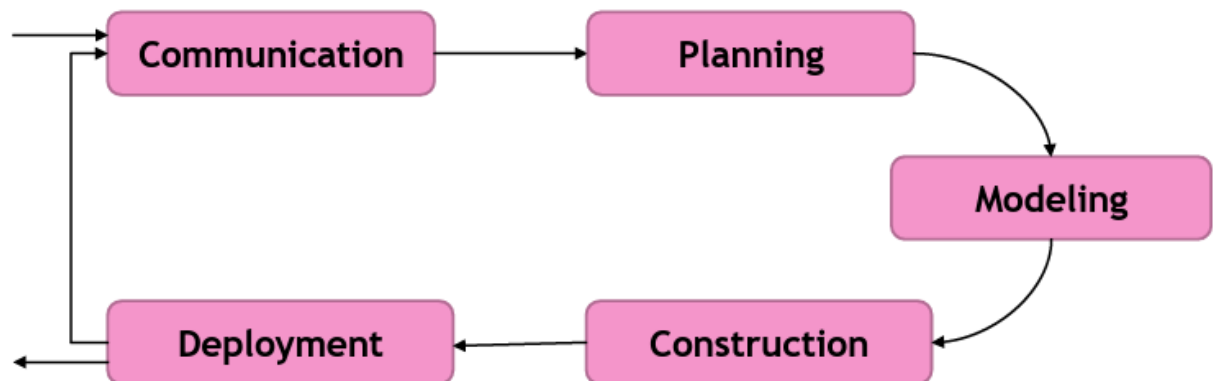
- **Repeats one or more activities** before moving forward.
- Helps refine the software based on feedback.
- **Best for:** Projects where early-stage improvements are needed.
- **Example:** Incremental Model.



3) Evolutionary Process Flow

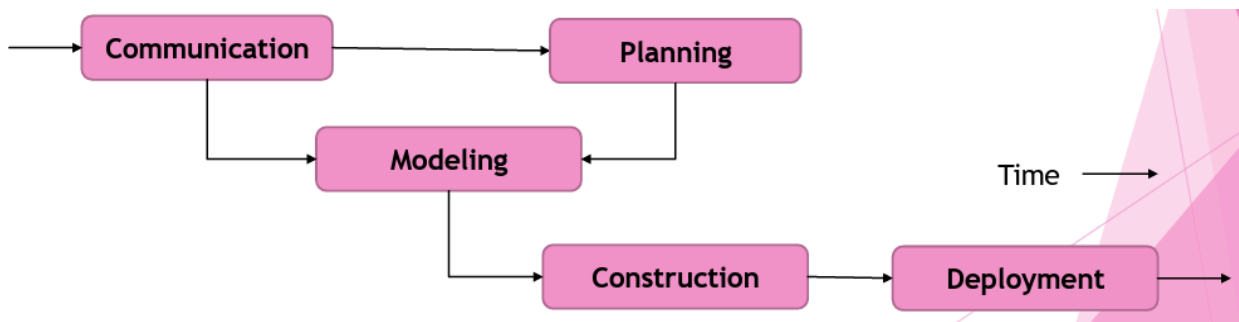
- **Develops software in cycles**, creating progressively refined versions.
- Each cycle results in a more complete and improved product.
- **Best for:** Complex projects where requirements evolve over time.

- **Example:** Prototyping, Spiral Model.



4) Parallel Process Flow

- **Executes multiple activities simultaneously** instead of sequentially.
- Speeds up development by working on different phases at the same time.
- **Best for:** Large-scale projects with multiple teams.
- **Example:** V-Model, Agile methodologies.



Prescriptive Models in Software Engineering

Prescriptive models provide structured approaches for software development. These models help in planning, execution, and quality assurance by defining clear steps for development.

1. Waterfall Model

The **Waterfall Model** is the **first** and **simplest** SDLC model, following a **linear-sequential** approach where each phase **must be completed before moving to the next**, with **no overlap** between phases.

Phases:

1. **Requirement Gathering & Analysis** – Collect and document all requirements.
2. **System Design** – Define system architecture and specifications.
3. **Implementation** – Develop units and perform unit testing.
4. **Integration & Testing** – Integrate units and test the complete system.
5. **Deployment** – Deliver the product to customers.
6. **Maintenance** – Fix issues and release updates.

Best Use Cases:

- Projects with **clear, well-documented, and stable** requirements.
- **Short-duration** projects with **well-understood technology**.
- No expected requirement changes.

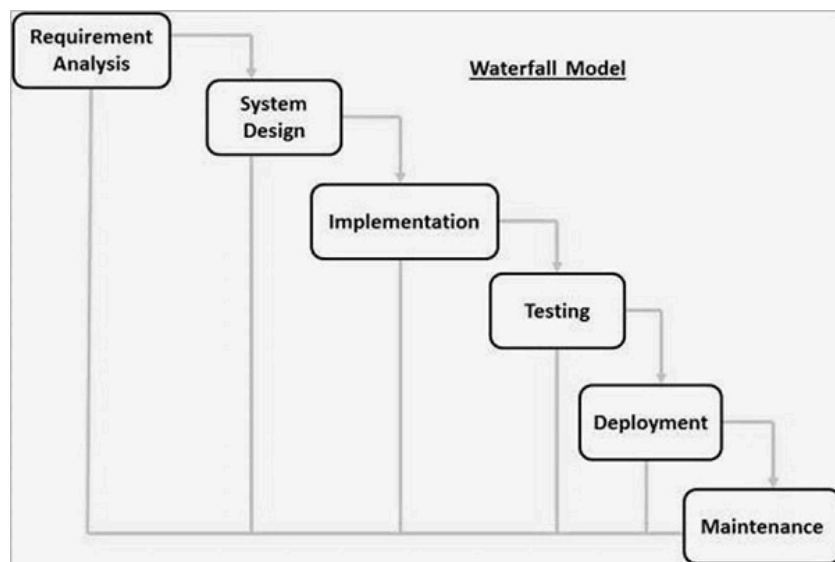
Advantages:

- **Simple, structured, and easy to manage.**
- Well-defined **stages, milestones, and documentation.**
- Works well for **small projects** with fixed scope.

Disadvantages:

- ✗ **No flexibility** – difficult to accommodate changes.
- ✗ **Late testing phase** – issues detected late.
- ✗ **High risk & uncertainty** – not ideal for complex or long-term projects.

This model is best suited for **stable and well-defined** projects but lacks adaptability for evolving requirements.



2. Iterative Model(for large scale)

The **Iterative Model** is a software development approach where development begins with a simple implementation of part of the system and evolves through repeated cycles (iterations). Each iteration enhances the system with new functionalities based on user feedback and requirements.

Key Features:

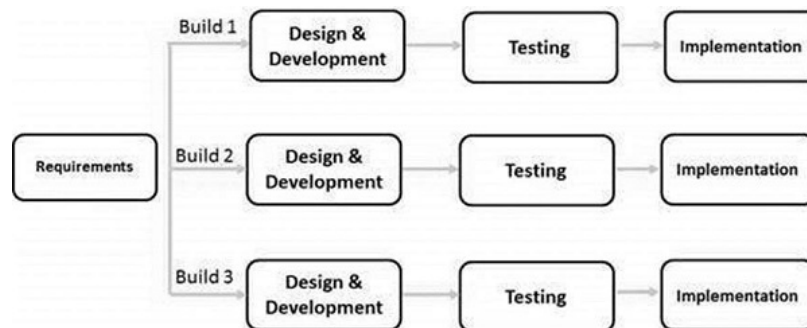
- **Incremental Development:** Each iteration focuses on a subset of requirements, builds a small portion of the system, and then refines it through testing and feedback.
- **Evolutionary:** The system evolves over time, incorporating user feedback after each iteration.

Advantages:

- Early delivery of working functionality.
- Easier identification and resolution of issues early in development.
- Allows flexibility for changes in requirements.
- Testing and debugging are easier during smaller iterations.
- Suitable for large, complex, or mission-critical projects.

Disadvantages:

- Requires more resources and management attention.
- Not suitable for small projects.
- Complexity increases with the number of iterations, and project progress depends heavily on risk analysis.



3. V-Model in SDLC (Software Development Life Cycle)

The **V-Model**, also known as the **Verification and Validation model**, is an extension of the **Waterfall model**. It follows a sequential development approach where each phase has a corresponding **testing phase**. It is structured in a V-shape, with the left side representing **development** phases and the right side representing **testing** phases.

Key Phases of V-Model

Verification Phases:

1. **Business Requirement Analysis:** Understand customer needs and expectations.
2. **System Design:** Design the system architecture and communication setup.
3. **Architectural Design:** Develop a high-level design and define data transfer between modules.
4. **Module Design:** Detailed internal design of system modules (Low-Level Design).
5. **Coding Phase:** Actual coding based on design specifications.

Validation Phases:

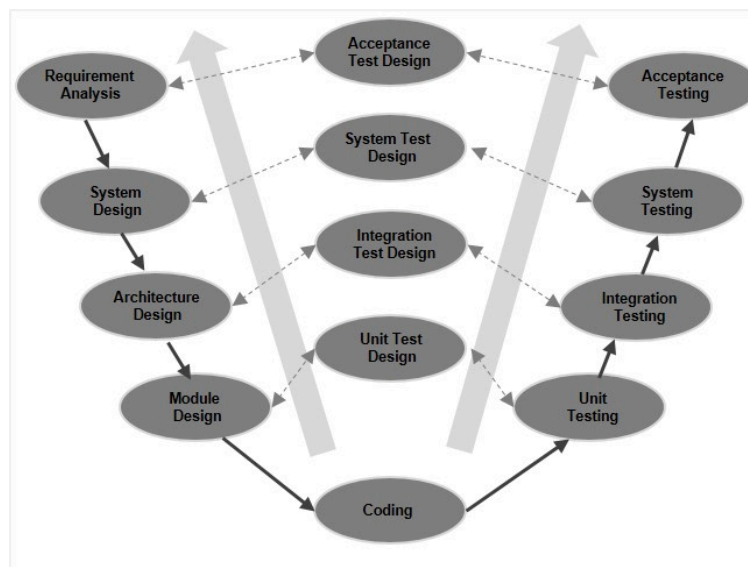
1. **Unit Testing:** Test individual modules for functionality.
2. **Integration Testing:** Test the interaction between modules.
3. **System Testing:** Test the entire system's functionality.
4. **Acceptance Testing:** Test the system in the user environments.

Advantages:

- **Highly disciplined** model.
- Works well for **smaller projects** with clear requirements.
- **Easy to manage** due to rigid structure and clear deliverables.

Disadvantages:

- **Not flexible** to changes.
- High risk for complex or object-oriented projects.
- Expensive to make changes once the project is in the testing phase.



Evolutionary Models in SDLC

Evolutionary models are designed to accommodate changes that arise during the software development process. These models recognize that requirements may evolve over time, making it difficult to follow a straight path to a final product. Therefore, they focus on delivering a limited version of the product initially, followed by iterative cycles of enhancement until a complete product is delivered.

Two of the most widely used **evolutionary models** are the **Prototyping Model** and the **Spiral Model**.

1. Prototyping Model

Description:

- The **Prototyping Model** involves building a prototype (an early working version of the software) before developing the actual product.
- The prototype is developed rapidly, and its functionality is explored by users. Feedback is gathered, and improvements are made iteratively.
- It is especially useful when the requirements are not clearly defined or when the client does not have a clear understanding of what they want.

Process:

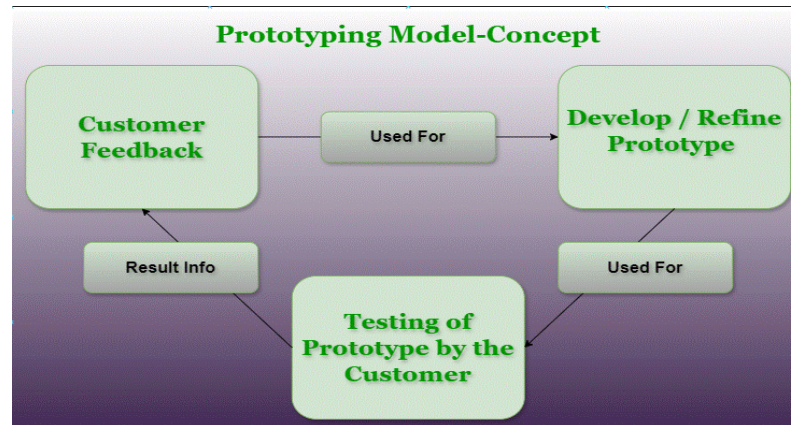
1. **Requirement Gathering:** Basic requirements are collected, but not in great detail.
2. **Prototyping:** A prototype is developed based on the limited requirements and is presented to the client.
3. **User Feedback:** The client interacts with the prototype, providing feedback.
4. **Refinement:** The prototype is refined based on user feedback.
5. **Repeat Steps:** The above steps are repeated until the prototype evolves into the final product.

Advantages:

- **Quick feedback:** Allows users to see a working version early and provide feedback.
- **Flexible to changes:** Requirements can evolve as the system is built.
- **Increases user involvement:** Continuous feedback from users improves the final product.

Disadvantages:

- **Inadequate for large systems:** Prototyping is usually better for smaller systems; large systems may face issues due to frequent changes.
- **Misleading expectations:** Users may mistake the prototype as the final product.
- **Incomplete requirements:** As requirements are gathered on an ongoing basis, there may be gaps in the system's capabilities.



2. Spiral Model

Description:

- The **Spiral Model** combines elements of both the **Waterfall** and **Iterative models**, allowing for more flexibility and iterative development.
- It focuses on iterative risk assessment and refinement. Each iteration (or "spiral") involves planning, design, prototyping, testing, and risk evaluation.
- The process is visualized as a spiral with each loop representing a development cycle that leads to increasingly refined versions of the software.

Process:

1. **Planning:** Initial planning based on the requirements.
2. **Risk Analysis:** Identify and assess risks that could impact the project's success.
3. **Engineering:** Develop the software and conduct testing for the current cycle.
4. **Evaluation:** Review the work completed so far and get feedback from the customer.
5. **Repeat:** The process repeats with the next iteration, incorporating improvements and refined features.

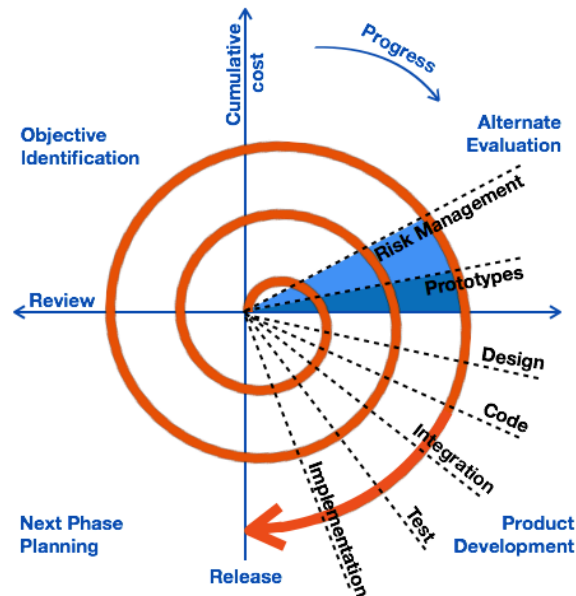
Advantages:

- **Risk Management:** Continuous risk analysis helps mitigate potential problems early in the process.
- **Flexibility:** The model accommodates changes in requirements at any point in the process.
- **Customer Feedback:** Frequent iterations ensure constant customer involvement and validation.

Disadvantages:

- **Complex:** The iterative and risk-driven approach can make the model complex and difficult to manage.

- **Expensive:** Due to repeated cycles of development and testing, the process can become more resource-intensive.
- **Not suitable for small projects:** Its emphasis on risk analysis and iterative planning can be overkill for simpler projects.



RUP (Rational Unified Process) Model (Short Summary)

The **RUP (Rational Unified Process)** is an iterative and incremental software development process designed to address risks early and deliver high-quality software. It divides the development into four main phases:

1. **Inception:** Defines project scope, requirements, and feasibility.
2. **Elaboration:** Refines requirements and architecture, addressing high risks.
3. **Construction:** Focuses on development, coding, and testing in iterations.
4. **Transition:** Deploys the system, conducts final testing, and trains users.

Key Features:

- **Iterative & Incremental:** Development is done in cycles, each producing a working version of the software.
- **Risk-Driven:** Emphasizes early risk identification and mitigation.
- **Use Case-Driven:** Captures requirements with use cases.
- **Architecture-Centric:** Focuses on solid architecture early in the process.

Advantages: Flexible, focuses on quality, and manages risks effectively.

Disadvantages: Complex, with high overhead, and not ideal for smaller projects.

Extreme Programming (XP)

- **Overview:** XP is one of the most widely used agile methodologies, proposed by Kent Beck, emphasizing customer satisfaction, flexibility, and fast delivery of functional software.

XP Planning

- **User Stories:** Development starts by creating user stories that define requirements.
- **Story Assessment:** Stories are assessed and assigned costs.
- **Increment Delivery:** Stories are grouped into deliverable increments with committed delivery dates.
- **Project Velocity:** After the first increment, project velocity helps in planning future deliveries.

XP Design

- **KIS Principle:** Keep It Simple – focus on simplicity in design.
- **CRC Cards & Spikes:** Use of CRC (Class-Responsibility-Collaborator) cards and prototypes for complex design issues.
- **Refactoring:** Iteratively improve the internal program design.

XP Coding

- **Unit Tests:** Create unit tests before coding begins.
- **Pair Programming:** Developers work in pairs for improved code quality and collaboration.

XP Testing

- **Daily Unit Tests:** All unit tests are executed daily.
- **Acceptance Tests:** Tests defined by the customer to assess visible functionality.

Project Initiation

Follow these six steps to start your project off right



- 1 Business Case**
Explain why the project is necessary and how it will succeed
- 2 Feasibility Study**
Research the reason for the project and determine if it will succeed
- 3 Project Charter**
How will the project be structured and executed?
- 4 Team**
Find the people with the right skills and experience to execute the project
- 5 Project Office**
Where the project manager and support staff are located to assist with projects
- 6 Review**
Review the initiation phase and keep reviewing progress throughout the project