Popular NN Architectures

...and a little bit on the No Free Lunch theorems

Machine Learning and Data Mining, 2024

Majid Sohrabi

National Research University Higher School of Economics



Side note: No Free Lunch

Given the following pattern of numbers:

1, 8, 27, ?, 125, 216

what is the missing number?

- a) 36
- b) 45
- **c)** 46
- d) 64
- e) 99

Given the following pattern of numbers:

8, 27, ?, 125,

216

what is the missing number?

- a) 36
- b) 45
- c) 46
- d) 64
- e) 99

| X_{train} | 1 | 2 | 3 | 5 | 6 |
|--------------------|---|---|----|-----|-----|
| y_{train} | 1 | 8 | 27 | 125 | 216 |

$$X_{\text{test}} = (4,)$$

Solution:

$$f(x) = \frac{1}{12} (35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)$$

Given the following pattern of numbers:

1, 8, 27, ?, 125, 216

what is the missing number?

- a) 36
- b) 45
- c) 46
- d) 64

| X_{train} | 1 | 2 | 3 | 5 | 6 |
|--------------------|---|---|----|-----|-----|
| y_{train} | 1 | 8 | 27 | 125 | 216 |

$$X_{\text{test}} = (4,)$$

Solution:

$$f(x) = \frac{1}{12} (35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)$$

Answer: f(4) = 99

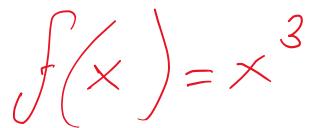
Given the following pattern of numbers:

8, 27, ?, 125,

216

what is the missing number?

- a) 36



| X_{train} | 1 | 2 | 3 | 5 | 6 |
|--------------------|---|---|----|-----|-----|
| y_{train} | 1 | 8 | 27 | 125 | 216 |

$$X_{\text{test}} = (4,)$$

Solution:

$$f(x) = \frac{1}{12}(35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)$$

Discussion

Why $f(x) = x^3$ is better than $f(x) = \frac{1}{12}(35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)?$

Discussion

Why
$$f(x) = x^3$$
 is better than
$$f(x) = \frac{1}{12}(35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)?$$

First one is more suitable in the context of an IQ test

Discussion

Why $f(x) = x^3$ is better than

$$f(x) = \frac{1}{12}(35x^5 - 595x^4 + 3757x^3 - 10745x^2 + 13860x - 6300)?$$

First one is more suitable in the context of an IQ test

Prior knowledge

No free lunch theorem (roughly speaking): without prior knowledge all solutions are equally good (or bad)

True (deterministic) mapping:

$$f: y = f(x), \qquad x \in \mathcal{X}, \qquad y \in \mathcal{Y}$$

True (deterministic) mapping:

Dataset:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

True (deterministic) mapping:

Dataset:

ML algorithm:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \quad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

True (deterministic) mapping:

Dataset:

ML algorithm:

Binary features and targets:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \quad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

$$\mathcal{X} = \{0, 1\}^m, \quad \mathcal{Y} = \{0, 1\}$$

True (deterministic) mapping:

Dataset:

ML algorithm:

Binary features and targets:

Training dataset of fixed size:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \quad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

$$\mathcal{X} = \{0, 1\}^m, \quad \mathcal{Y} = \{0, 1\}$$

$$|D_{\text{train}}| = n$$

True (deterministic) mapping:

Dataset:

ML algorithm:

Binary features and targets:

Training dataset of fixed size:

Test set:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \quad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

$$\mathcal{X} = \{0, 1\}^m, \quad \mathcal{Y} = \{0, 1\}$$

$$|D_{\text{train}}| = n$$

$$D_{\text{test}}: X_{test} = \mathcal{X} \setminus X_{\text{train}}$$

True (deterministic) mapping:

Dataset:

ML algorithm:

Binary features and targets:

Training dataset of fixed size:

Test set:

Generalization performance:

$$f: y = f(x), \quad x \in \mathcal{X}, \quad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \quad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

$$\mathcal{X} = \{0, 1\}^m, \quad \mathcal{Y} = \{0, 1\}$$

$$|D_{\text{train}}| = n$$

$$D_{\text{test}}: X_{test} = \mathcal{X} \setminus X_{\text{train}}$$

$$gP(\mathcal{A}, D_{train}) = \frac{1}{|D_{test}|} \sum_{x,y \in D_{test}} \mathbb{I}[A(D_{train})(x) = y] - \frac{1}{2}$$

True (deterministic) mapping:

Dataset:

ML algorithm:

Binary features and targets:

Training dataset of fixed size:

Test set:

Generalization performance:

Theorem statement:

$$f: y = f(x), \qquad x \in \mathcal{X}, \qquad y \in \mathcal{Y}$$

$$D = (X, y) \subseteq \mathcal{X} \times \mathcal{Y}$$

$$\mathcal{A}(D_{\text{train}}) = \hat{f}, \qquad \hat{f}: \mathcal{X} \to \mathcal{Y}$$

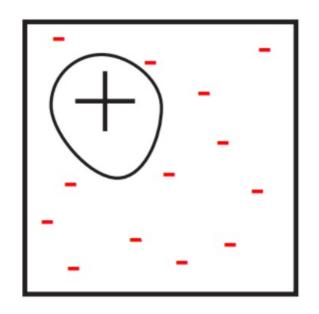
$$\mathcal{X} = \{0, 1\}^m, \qquad \mathcal{Y} = \{0, 1\}$$

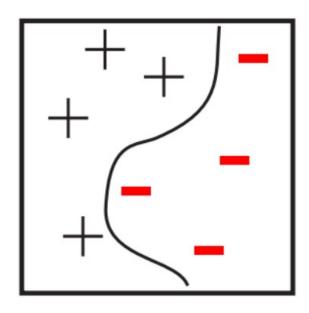
$$|D_{\text{train}}| = n$$

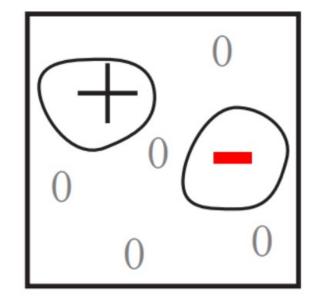
$$D_{\text{test}}: X_{test} = \mathcal{X} \setminus X_{\text{train}}$$

$$gP(\mathcal{A}, D_{train}) = \frac{1}{|D_{test}|} \sum_{x, y \in D_{test}} \mathbb{I}[A(D_{train})(x) = y] - \frac{1}{2}$$

In the problem space







Possible performance of learning algorithms:

- Worse than average (-)
- Better than average (+)

Back to the IQ test problem

Without our prior knowledge about IQ tests any solution is equally likely

 We know that the authors of the test don't expect people to fit 5th degree polynomials in their head

Back to the IQ test problem

Without our prior knowledge about IQ tests any solution is equally likely

 We know that the authors of the test don't expect people to fit 5th degree polynomials in their head

To solve the test one must think like the authors of the test

Back to the IQ test problem

Without our prior knowledge about IQ tests any solution is equally likely

 We know that the authors of the test don't expect people to fit 5th degree polynomials in their head

To solve the test one must think like the authors of the test

To solve a real world ML problem one must think like... the "real world".

NFL theorem: critique

Not all problems are equally likely (in the real world):

- continuity;
- human bias: e.g. feature preselection
- prior knowledge of the problem at hand

E.g. memorization + interpolation becomes an effective strategy for continuous data

The following still holds though:

To improve the performance on one class of problems one must sacrifice the performance on others.

The role of data scientist

Identify the suitable learning algorithm using the prior knowledge:

- continuity;
- structure of the data;
- quality of the data;
- domain knowledge;
- size of the dataset;
- common sense;
- etc.

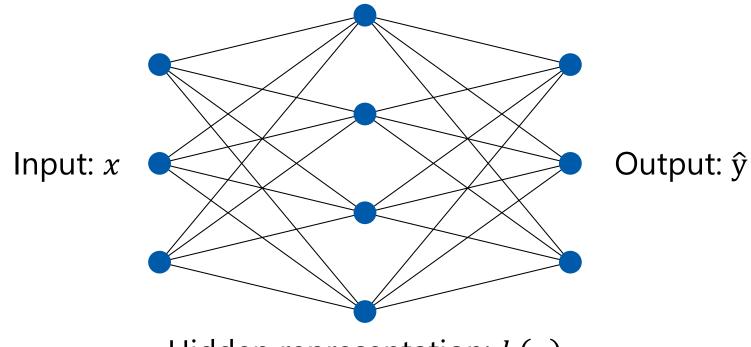
In the context of deep learning: find a suitable architecture

NN architecure: simple examples

Single hidden layer fully-connected network

Universal approximator

May reqire infeasibly large hidden representation for more complex dependencies



Hidden representation: h(x)

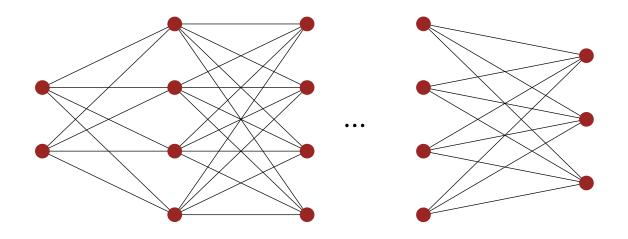
Deep fully-connected network

May use smaller representations
Suitable for many real-world

problems

Harder to optimize

 Typically becomes quite challenging for 10+ layers



An initial point for other architectures.

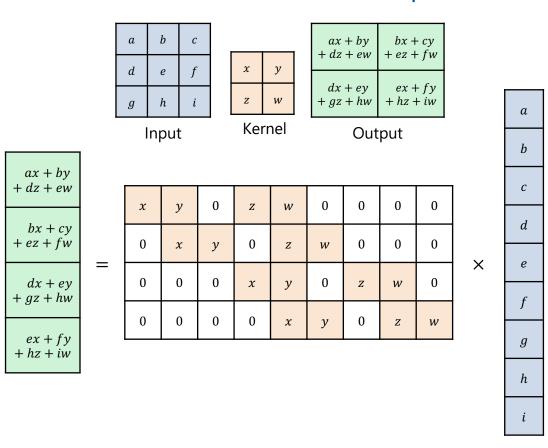
Convolution

Spacial/temporal structure of the data Can be described in terms of a fully connected layer

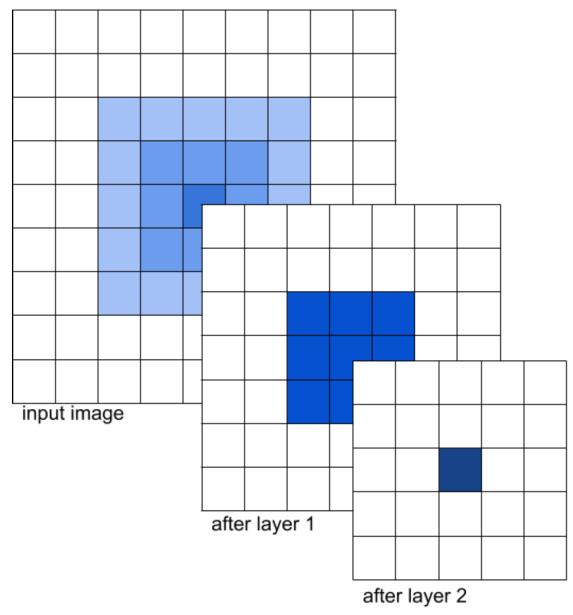
Uses much less parameters

Re-uses weights in a sliding window

2D convolution as a matrix multiplication

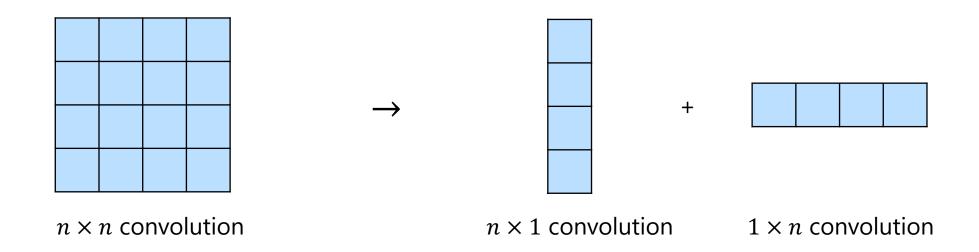


Receptive field



Combining simpler convolutions

Replacing a $n \times n$ convolution with two subsequent convolutions with kernels $n \times 1$ and $1 \times n$:



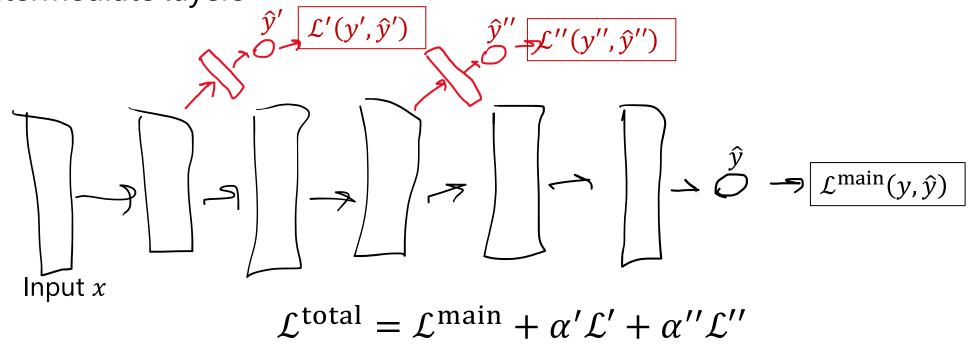
Same receptive field, fewer parameters

Deeply supervised architectures

Main idea

Hard to optimize deep network due to vanishing gradients

Encourage good gradients by introducing additional "heads" from the intermediate layers



Typically, does not lead to good hidden representations

- Makes sense to decay α' and α'' to 0 during training

Auxiliary tasks

Introduce multiheaded models to solve similar tasks

- E.g. when detecing images of people in glasses
- add a head to predict the color of their hair

The multiple heads may share common features and lead to a better result for the main task

Transfer learning and fine-tuning

Assume your problem provides a dataset too little to train a full-scale model from scratch

Yet there exists a similar or a more general large dataset with a trained model that solves it well enough

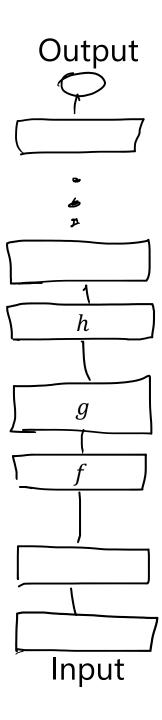
Transfer learning:

- take first N layers of the trained model and freeze them
- attach a new untrained head
- train the whole thing (with only the head parameters being trainable)

Fine-tuning:

 After having trained the head, release the frozen weights and train the whole model further (with very small learning rate)

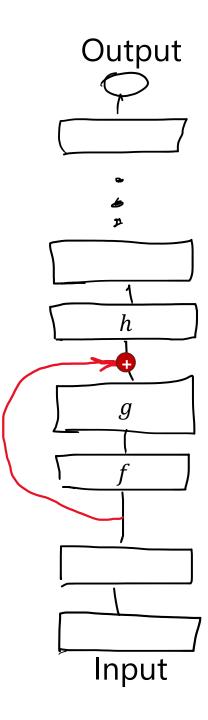
Vanishing gradients: the derivative wrt early layer's parameters accumulates the product of derivatives for further layers (chain rule)



Vanishing gradients: the derivative wrt early layer's parameters accumulates the product of derivatives for further layers (chain rule)

Solution: add resiudal connections (skip-connections)

$$h(g(f(\tilde{x}))) \to h(\tilde{x} + g(f(\tilde{x})))$$

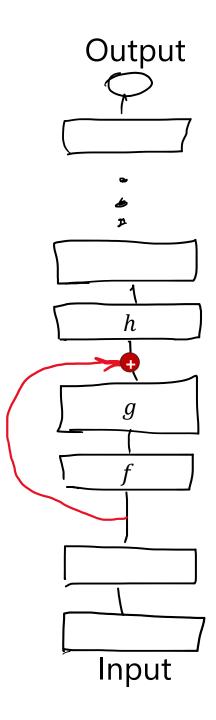


Vanishing gradients: the derivative wrt early layer's parameters accumulates the product of derivatives for further layers (chain rule)

Solution: add residual connections (skip-connections)

$$h(g(f(\tilde{x}))) \to h(\tilde{x} + g(f(\tilde{x})))$$

Allows extremely deep networks to be trained (like, 1000 layers!)



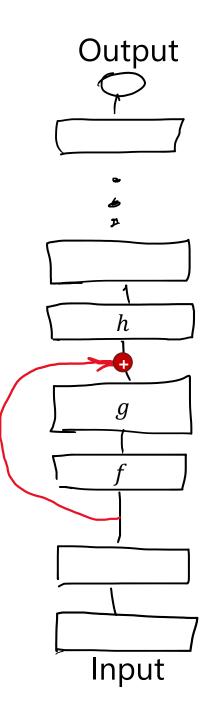
Vanishing gradients: the derivative wrt early layer's parameters accumulates the product of derivatives for further layers (chain rule)

Solution: add resiudal connections (skip-connections)

$$h(g(f(\tilde{x}))) \to h(\tilde{x} + g(f(\tilde{x})))$$

Allows extremely deep networks to be trained (like, 1000 layers!)

Note: is this always possible to do?



Autoencoders

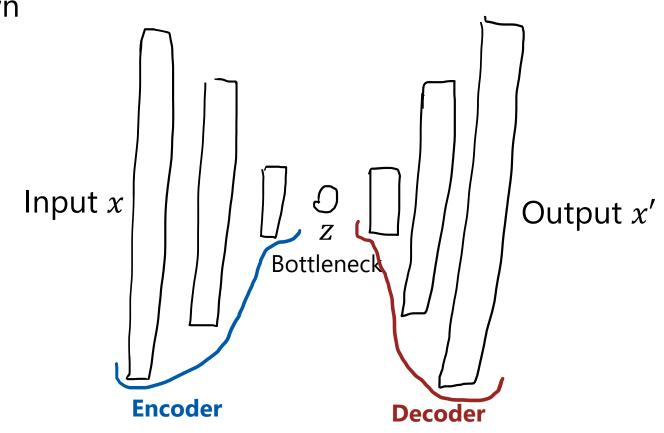
Autoencoders

A network that reconstructs its own input

Has a 'bottleneck' representation

Common use cases:

- Dimensionality reduction
- Anomaly detection
- Denoising
- Pretraining
- Auxiliary loss, regularization



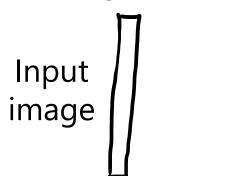
Semi-supervised learning with AE

Note that training an AE doesn't require any targets!
Imagine a situation having a small labelled dataset and large unlabelled
Semi-supervised approach:

- train an AE on the unlabelled dataset
- then train a classification head on top of a hidden representation from the AE
- may also train both models simultaneously

U-net

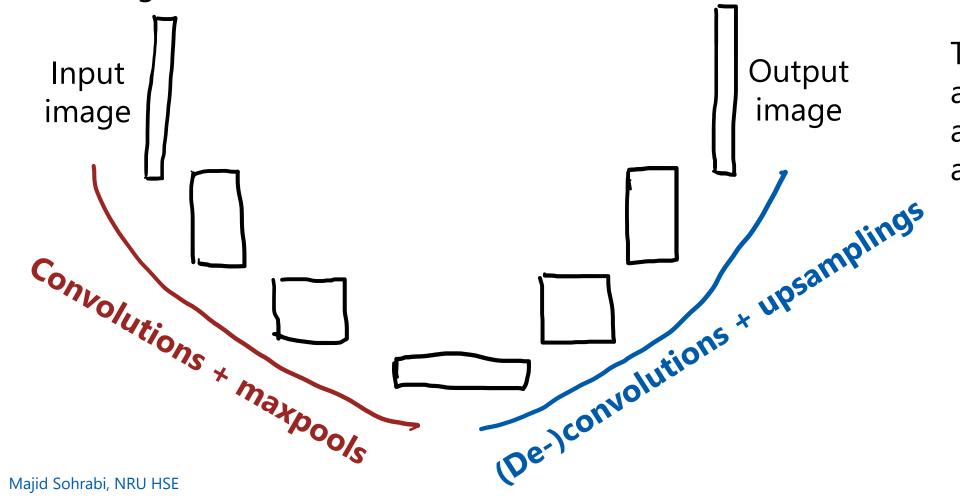
Problems involving image to image transformation or image segmentation



Output image

U-net

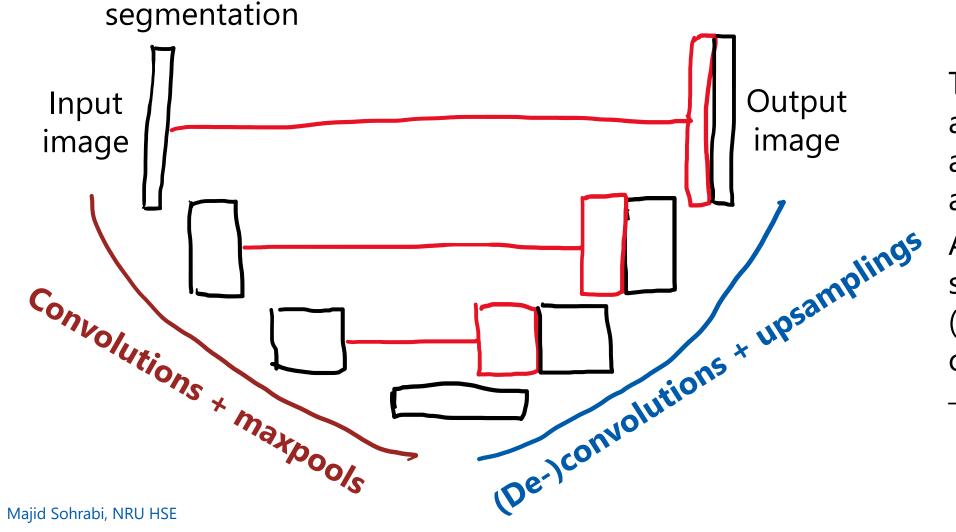
Problems involving image to image transformation or image segmentation



Typical approach: autoencoder-like architecture

U-net

Problems involving image to image transformation or image



Typical approach: autoencoder-like architecture Additional detail: skip-connections (typically concatenated)

 this combines lowand high-level information in the "decoder" branch

Summary

According to the no-free-lunch theorem, all learning algorithms are equally useless

It's the goal of the data scientist to make them useful leveraging the prior knowledge about the problem

In the context of deep learning this typically involves finding (inventing) a suitable architecture

As you can see, neural networks are extremely flexible

Finding a good architecture may require some creativity

The list of shown architectures is by no means comprehensive

Countless other architectures and tricks

Thank you!

Majid Sohrabi



msohrabi@hse.ru

