

Rust-based Electronic-structure Simulation Toolkit (REST)

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1 Restricted and Unrestricted Hartree-Fock

Referring to Szabo's book for Restricted and Unrestricted Hartree-Fock formulas

$$\mathbf{FC} = \mathbf{SC}\varepsilon \quad (1)$$

WARNING:

$$\sum_j F_{ij}^\alpha C_{jk}^\alpha = \varepsilon_k \sum_j S_{ij} C_{jk}^\alpha \quad (2)$$

With the notation definition of four center integral $(ij|kl)$ of

$$(ij|kl) = \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_i^*(1) \phi_j(1) r_{12}^{-1} \phi_k^*(2) \phi_l(2), \quad (3)$$

the close-shell expression of Fock matrix (Similar to Equations 3.148 and 3.154 on Pages 140 and 141) is

$$\begin{aligned} F_{ij} &= H_{ij}^{core} + \sum_{kl} D_{lk} \left[(ij|kl) - \frac{1}{2} (il|kj) \right] \\ &= H_{ij}^{core} + \sum_{kl} D_{kl} \left[(ij|kl) - \frac{1}{2} (ik|jl) \right] \Big|_{\mathbf{D} \in \mathbb{R}} \end{aligned} \quad (4)$$

The density matrix in the close shell is defined by (Equation 3.145 on Page 139)

$$\begin{aligned} D_{kl} &= 2 \sum_a^{N/2} C_{ka} C_{la}^* \\ &= 2 \sum_a^{N/2} C_{ka} C_{la} = D_{lk} \Big|_{\mathbf{D} \in \mathbb{R}} \end{aligned} \quad (5)$$

The unrestricted expression of Fock matrix (Similar to Equations 3.348 and 3.349 on Page 214) is defined by

$$\begin{aligned} F_{ij}^\sigma &= H_{ij}^{core} + \sum_{kl} [D_{lk}^{Tot} (ij|kl) - D_{kl}^\sigma (il|kj)] \\ &= H_{ij}^{core} + \sum_{kl} [D_{kl}^{Tot} (ij|kl) - D_{kl}^\sigma (ik|jl)] \Big|_{\mathbf{D} \in \mathbb{R}} \end{aligned} \quad (6)$$

The density matrix in the general cases (Similar to Equations 3.342-343 on Pages 213) is defined by

$$\begin{aligned} D_{kl}^\sigma &= \sum_a^{all} W_a^\sigma C_{ka}^\sigma C_{la}^{\sigma*} = \sum_a^{N_w} W_a^\sigma C_{ka}^\sigma C_{la}^{\sigma*} = \sum_a^{N_w} W_a^\sigma C_{ka}^\sigma C_{la}^\sigma \Big|_{\mathbf{C} \in \mathbb{R}} \\ \mathbf{D}^\sigma &= \mathbf{W} \mathbf{C}^\sigma \cdot \mathbf{C}^{\sigma H} = \mathbf{W} \mathbf{C}^\sigma \cdot \mathbf{C}^{\sigma T} \\ \mathbf{D}^{Tot} &= \sum_\sigma \mathbf{D}^\sigma = \mathbf{D}^\alpha + \mathbf{D}^\beta \end{aligned} \quad (7)$$

Here, W_a^σ is the electron occupation number of the a th orbital in the σ -spin channel. N_w is the number of orbitals that have non-zero electronic occupation.

For the coulomb term, the RI expression is:

$$\begin{aligned}
J_{ij}^\sigma &= \sum_{kl} D_{kl}^\sigma (ij|kl) \\
&= \sum_{kl} \sum_{\mu} D_{kl}^\sigma M_{ij}^\mu M_{kl}^\mu \\
&= \sum_{\mu} M_{ij}^\mu \left(\sum_{kl} D_{kl}^\sigma M_{kl}^\mu \right)
\end{aligned} \tag{8}$$

For the exchange term, the RI expression is

$$\begin{aligned}
K_{ij}^\sigma &= \sum_{kl} D_{kl}^\sigma (ik|jl) \\
&= \sum_{kl} \sum_{\mu} D_{kl}^\sigma M_{ik}^\mu M_{jl}^\mu \\
&= \sum_a^{all} W_a^\sigma \sum_{kl} \sum_{\mu} C_{ka}^\sigma C_{la}^\sigma M_{ik}^\mu M_{jl}^\mu \\
&= \sum_{\mu} \sum_a^{all} W_a^\sigma \left(\sum_k M_{ik}^\mu C_{ka}^\sigma \right) \left(\sum_l M_{jl}^\mu C_{la}^\sigma \right) \\
&= \sum_{\mu} \sum_a^{N_w} W_a^\sigma \left(\sum_k M_{ik}^\mu C_{ka}^\sigma \right) \left(\sum_l M_{jl}^\mu C_{la}^\sigma \right) \\
&= \sum_a^{N_w} W_a^\sigma \sum_{\mu} B_{ia}^{\mu\sigma} B_{ja}^{\mu\sigma} \\
&= \sum_{\mu} \sum_a^{N_w} W_a^\sigma B_{ia}^{\mu\sigma} B_{ja}^{\mu\sigma}
\end{aligned} \tag{9}$$

where N_w is the number of orbitals with non-zero electronic occupations.

The total energy of Restricted Hartree-Fock is defined by (Equation 3.184 on Page 150)

$$\begin{aligned}
E_0 &= \langle \Psi_0 | \hat{H} | \Psi_0 \rangle \\
&= \frac{1}{2} \sum_i \sum_j D_{ji} (H_{ij}^{core} + F_{ij}) \\
&= \frac{1}{2} \sum_i \sum_j D_{ij} (H_{ij}^{core} + F_{ij}) \Bigg|_{\mathbf{D} \in \mathbb{R}} \\
&= \frac{1}{2} \sum_i \sum_j D_{ij}^* (H_{ij}^{core} + F_{ij}) \Bigg|_{\mathbf{D} \in \mathbb{C}}
\end{aligned} \tag{10}$$

However, for Unrestricted Hartree-Fock method, the total energy expression is (Exercise 3.40 on Page 215)

$$\begin{aligned}
E_0 &= \frac{1}{2} \sum_i \sum_j \left[D_{ji}^{tot} H_{ij}^{core} + D_{ji}^\alpha F_{ij}^\alpha + D_{ji}^\beta F_{ij}^\beta \right] \\
&= \frac{1}{2} \sum_i \sum_j \left[D_{ij}^{tot} H_{ij}^{core} + D_{ij}^\alpha F_{ij}^\alpha + D_{ij}^\beta F_{ij}^\beta \right] \Bigg|_{\mathbf{D} \in \mathbb{R}} \\
&= \frac{1}{2} \sum_i \sum_j \left[D_{ij}^{tot*} H_{ij}^{core} + D_{ij}^{\alpha*} F_{ij}^\alpha + D_{ij}^{\beta*} F_{ij}^\beta \right] \Bigg|_{\mathbf{D} \in \mathbb{C}}
\end{aligned} \tag{11}$$

2 Numerical integration and DFT

V. Blum et al. Computer Physics Communications 180 (2009) 2175-2196

$$V_{xc,ij} = \int d^3\mathbf{r} \psi_i^* \hat{v}_{xc}(\mathbf{r}) \psi_j(\mathbf{r}) \quad (12)$$

3 The Fock exchange potential in reciprocal space

The Fock exchange potential is

$$V_x(\mathbf{r}, \mathbf{r}') = -e^2 \sum_{\mathbf{q}m} 2w_{\mathbf{q}} f_{\mathbf{q}m} \frac{\phi_{\mathbf{q}m}^*(\mathbf{r}') \phi_{\mathbf{q}m}(\mathbf{r})}{|\mathbf{r} - \mathbf{r}'|} \quad (13)$$

Here, \mathbf{q} is the k point, and therefor $w_{\mathbf{q}}$ is the weight of the k-point \mathbf{q} . m is the band index, and therefore $f_{\mathbf{q}m}$ is the occupational number of the band m in the k-point \mathbf{q} .

To expand the orbital $\phi_{\mathbf{q}m}$ in plane wave, we have

$$\phi_{\mathbf{q}m}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{G}} C_{\mathbf{q}m}(\mathbf{G}) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} \quad (14)$$

Then the Fock exchange potential evolves

$$V_x(\mathbf{r}, \mathbf{r}') = -\frac{e^2}{\Omega} \sum_{\mathbf{q}m} \frac{2w_{\mathbf{q}} f_{\mathbf{q}m}}{|\mathbf{r} - \mathbf{r}'|} \sum_{\mathbf{G}\mathbf{G}'} C_{\mathbf{q}m}^*(\mathbf{G}') e^{-i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'} C_{\mathbf{q}m}(\mathbf{G}) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} \quad (15)$$

Since, we can do the Fourier transform of the Coulomb operator as:

$$\int d^3\mathbf{r} \frac{1}{|\mathbf{r}|} e^{-i\mathbf{q}\cdot\mathbf{r}} = \frac{4\pi}{|\mathbf{q}|^2} \quad (16)$$

And the reverse Fourier transform will be:

$$\frac{1}{|\mathbf{r}|} = \frac{1}{(2\pi)^3} \int d^3\mathbf{q} \frac{4\pi}{|\mathbf{q}|^2} e^{i\mathbf{q}\cdot\mathbf{r}} = \frac{1}{2\pi^2} \int d^3\mathbf{q} \frac{1}{|\mathbf{q}|^2} e^{i\mathbf{q}\cdot\mathbf{r}} \quad (17)$$

Insert this equation into the Fock exchange potential

$$\begin{aligned} V_x(\mathbf{r}, \mathbf{r}') &= -\frac{e^2}{2\pi^2\Omega} \sum_{\mathbf{q}m} 2w_{\mathbf{q}} f_{\mathbf{q}m} \int d^3\mathbf{k} \frac{1}{|\mathbf{k}|^2} e^{i\mathbf{k}\cdot(\mathbf{r}-\mathbf{r}')} \\ &\quad \times \sum_{\mathbf{G}\mathbf{G}'} C_{\mathbf{q}m}^*(\mathbf{G}') e^{-i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'} C_{\mathbf{q}m}(\mathbf{G}) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} \\ &= -\frac{e^2}{2\pi^2\Omega} \int d^3\mathbf{k} \sum_{\mathbf{G}\mathbf{G}'} \frac{1}{|\mathbf{k}|^2} \sum_{\mathbf{q}m} 2w_{\mathbf{q}} f_{\mathbf{q}m} \\ &\quad \times C_{\mathbf{q}m}^*(\mathbf{G}') e^{-i(\mathbf{k}+\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'} C_{\mathbf{q}m}(\mathbf{G}) e^{i(\mathbf{k}+\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} \end{aligned} \quad (18)$$

If we make a change

$$\begin{aligned} \mathbf{k}' &= \mathbf{k} + \mathbf{q} \\ \mathbf{k} &= \mathbf{q} - \mathbf{k}' \end{aligned} \quad (19)$$

Then

$$\begin{aligned} V_x(\mathbf{r}, \mathbf{r}') &= -\frac{e^2}{2\pi^2\Omega} \int d^3\mathbf{k}' \sum_{\mathbf{G}\mathbf{G}'} \frac{1}{|\mathbf{q} - \mathbf{k}'|^2} \sum_{\mathbf{q}m} 2w_{\mathbf{q}} f_{\mathbf{q}m} \\ &\quad \times C_{\mathbf{q}m}^*(\mathbf{G}') e^{-i(\mathbf{k}'+\mathbf{G}')\cdot\mathbf{r}'} C_{\mathbf{q}m}(\mathbf{G}) e^{i(\mathbf{k}'+\mathbf{G})\cdot\mathbf{r}} \\ &= \int d^3\mathbf{k} \sum_{\mathbf{G}\mathbf{G}'} e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}} e^{-i(\mathbf{k}+\mathbf{G}')\cdot\mathbf{r}'} \\ &\quad \times -\frac{e^2}{2\pi^2\Omega} \sum_{\mathbf{q}m} 2w_{\mathbf{q}} f_{\mathbf{q}m} \frac{C_{\mathbf{q}m}^*(\mathbf{G}') C_{\mathbf{q}m}(\mathbf{G})}{|\mathbf{k} - \mathbf{q}|^2} \end{aligned} \quad (20)$$

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4 Laplace transform of opposite-spin MP2

The opposite-spin component of the second-order correlation energy (PT2) is written as

$$E_c^{PT2} = \frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_{ab}^{occ.} \sum_{nm}^{vir.} \frac{\left| \sum_{\mu} L_{an}^{\mu}(\mathbf{k}, \mathbf{q}) R_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') \right|^2}{\epsilon_{a\mathbf{k}} + \epsilon_{b\mathbf{k}'} - \epsilon_{n\mathbf{q}} - \epsilon_{m\mathbf{q}'}} \quad (21)$$

For simplicity, we define $\Delta_{a\mathbf{k}, b\mathbf{k}'}^{n\mathbf{q}, m\mathbf{q}'} = \epsilon_{n\mathbf{q}} + \epsilon_{m\mathbf{q}'} - \epsilon_{a\mathbf{k}} - \epsilon_{b\mathbf{k}'}$. If we use the Laplace transformation

$$\begin{aligned} \frac{1}{\Delta_{a\mathbf{k}, b\mathbf{k}'}^{n\mathbf{q}, m\mathbf{q}'}} &= \int_0^{\infty} dt e^{-t \Delta_{a\mathbf{k}, b\mathbf{k}'}^{n\mathbf{q}, m\mathbf{q}'}} \\ &= \sum_q^{N_q} w_q e^{-t_q \Delta_{a\mathbf{k}, b\mathbf{k}'}^{n\mathbf{q}, m\mathbf{q}'}} \end{aligned} \quad (22)$$

to expand the opposite-spin PT2 correlation energy, we have

$$\begin{aligned} E_c^{PT2} &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{ab}^{occ.} \sum_{nm}^{vir.} w_q \left| \sum_{\mu} L_{an}^{\mu}(\mathbf{k}, \mathbf{q}) R_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') \right|^2 e^{-t_q \Delta_{a\mathbf{k}, b\mathbf{k}'}^{n\mathbf{q}, m\mathbf{q}'}} \\ &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{ab}^{occ.} \sum_{nm}^{vir.} \left| \sum_{\mu} w_q^{\frac{1}{4}} L_{an}^{\mu}(\mathbf{k}, \mathbf{q}) e^{-\frac{1}{2} t_q (\epsilon_{n\mathbf{q}} - \epsilon_{a\mathbf{k}})} w_q^{\frac{1}{4}} R_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') e^{-\frac{1}{2} t_q (\epsilon_{m\mathbf{q}'} - \epsilon_{b\mathbf{k}'})} \right|^2 \\ &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{ab}^{occ.} \sum_{nm}^{vir.} \left| \sum_{\mu} \bar{L}_{an}^{\mu}(\mathbf{k}, \mathbf{q}) \bar{R}_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') \right|^2 \\ &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{ab}^{occ.} \sum_{nm}^{vir.} \sum_{\mu\nu} \bar{L}_{an}^{\mu*}(\mathbf{k}, \mathbf{q}) \bar{L}_{an}^{\nu}(\mathbf{k}, \mathbf{q}) \bar{R}_{bm}^{\mu*}(\mathbf{k}', \mathbf{q}') \bar{R}_{bm}^{\nu}(\mathbf{k}', \mathbf{q}') \\ &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{\mu\nu} \sum_{an} \bar{L}_{an}^{\mu*}(\mathbf{k}, \mathbf{q}) \bar{L}_{an}^{\nu}(\mathbf{k}, \mathbf{q}) \sum_{bm} \bar{R}_{bm}^{\mu*}(\mathbf{k}', \mathbf{q}') \bar{R}_{bm}^{\nu}(\mathbf{k}', \mathbf{q}') \\ &= -\frac{1}{N_q^3} \sum_{\delta \mathbf{k} \mathbf{q}'} \sum_q^{N_q} \sum_{\mu\nu} \bar{M}_{\mu\nu}(\mathbf{k}, \mathbf{q}) \bar{N}_{\mu\nu}(\mathbf{k}', \mathbf{q}') \end{aligned} \quad (23)$$

with

$$\begin{aligned} \bar{L}_{an}^{\mu}(\mathbf{k}, \mathbf{q}) &= w_q^{\frac{1}{4}} L_{an}^{\mu}(\mathbf{k}, \mathbf{q}) e^{-\frac{1}{2} t_q (\epsilon_{n\mathbf{q}} - \epsilon_{a\mathbf{k}})} \\ \bar{R}_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') &= w_q^{\frac{1}{4}} R_{bm}^{\mu}(\mathbf{k}', \mathbf{q}') e^{-\frac{1}{2} t_q (\epsilon_{m\mathbf{q}'} - \epsilon_{b\mathbf{k}'})} \\ \bar{M}_{\mu\nu}(\mathbf{k}, \mathbf{q}) &= \sum_{an} \bar{L}_{an}^{\mu*}(\mathbf{k}, \mathbf{q}) \bar{L}_{an}^{\nu}(\mathbf{k}, \mathbf{q}) \\ &= \sum_{an} w^{\frac{1}{2}} e^{-t_q (\epsilon_{n\mathbf{q}} - \epsilon_{a\mathbf{k}})} L_{an}^{\mu*}(\mathbf{k}, \mathbf{q}) L_{an}^{\nu}(\mathbf{k}, \mathbf{q}) \\ \bar{N}_{\mu\nu}(\mathbf{k}', \mathbf{q}') &= \sum_{bm} \bar{R}_{bm}^{\mu*}(\mathbf{k}', \mathbf{q}') \bar{R}_{bm}^{\nu}(\mathbf{k}', \mathbf{q}') \\ &= \sum_{bm} w^{\frac{1}{2}} e^{-t_q (\epsilon_{m\mathbf{q}'} - \epsilon_{b\mathbf{k}'})} R_{bm}^{\mu*}(\mathbf{k}', \mathbf{q}') R_{bm}^{\nu}(\mathbf{k}', \mathbf{q}') \end{aligned} \quad (24)$$

5 Memory distribution for periodic-PT2