



AUSTRALIAN AND NEW ZEALAND SOCIETY OF NUCLEAR MEDICINE INC.

NSW BRANCH CONTINUING EDUCATION SUBCOMMITTEE

Department Nuclear Medicine
CRGH
Hospital Rd
Concord NSW 2139

Prof. Malcolm Hudson
Department of Statistics
Macquarie University

23/9/97

Prof. Hudson,

Thankyou for accepting our offer of participating in the ANZSNM NSW Branch 1997 Continuing Education program. Your presentation is scheduled for Saturday 1st November at 14:25hrs. It is my understanding that between yourself and Brian Hutton the following material will be presented "**Overview of ordered subset methods**" and "**Improved efficiency of scatter modelling with OSEM**". The Saturday afternoon program consists of two sessions plus afternoon tea. Other speakers in this session include Dennis Lau, with a presentation entitled "**Incorporation of resolution compensation and post reconstruction filtering**", Seu Som, with a presentation entitled "**Properties of minimum cross - entropy reconstruction with a morphologically based prior**", Mr Steve Meikle, Physicist, RPAH with a presentation entitled, "**Iterative Reconstruction in Dynamic Studies**", Mr Roger Fulton, Physicist, RPAH with a presentation entitled "**Correction of head motion artifacts in SPECT and PET with iterative reconstruction**".

Please complete the accompanying registration forms at your earliest convenience. I require details of your audiovisual requirements by October 3rd 1997. If you require duplication of written materials for participants please forward the material (1 copy in print and 1 copy on disk) by October 24th 1997.

Enquires may be directed to me on Phone: 9767 6339 or Fax: 9767 7451 during normal working hours.

Yours Faithfully

Vivienne Bush
Honorary Secretary / Treasurer

Statistical reconstruction in Emission Tomography

Malcolm Hudson, Statistics Dept., Macquarie Univ.

(with B.F. Hutton)

*Thank you
With fast evolving cancer technologies
an opportunity to improve & need to develop alternatives alg.
Object of development is image quality.*

What are ML and MAP estimates?

Implementation in ET

Advantages and disadvantages of statistical approaches

Improving convergence

The path on

Comparison

FBP

used in transmission tomography (low noise)
and ET current generation hardware

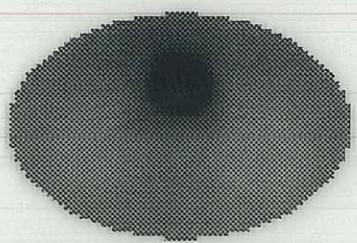
analytic, non-iterative, fast

Statistical algorithms

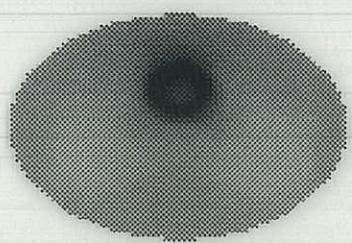
their advantage is the quality of reconstruction:
better definition and noise suppression is
observed

the model is flexible, better physics can be
incorporated. e.g. Attenuation effects, scatter.
(examples - Chornboy et al 1990)

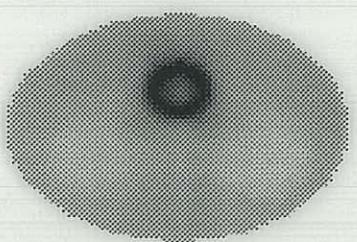
a) OS-EM 1



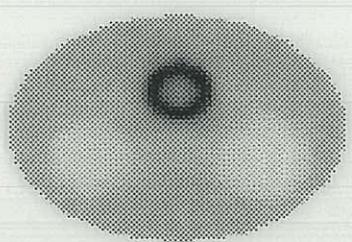
b) OS-EM 2



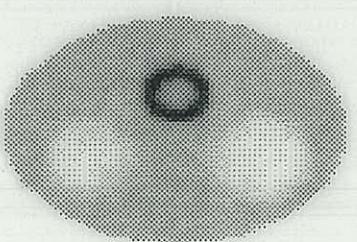
c) OS-EM 4



d) OS-EM 8



e) OS-EM 16



f) OS-EM 32

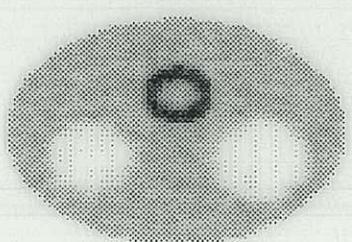


FIGURE 2: OS-EM Comparison After Single Iteration.

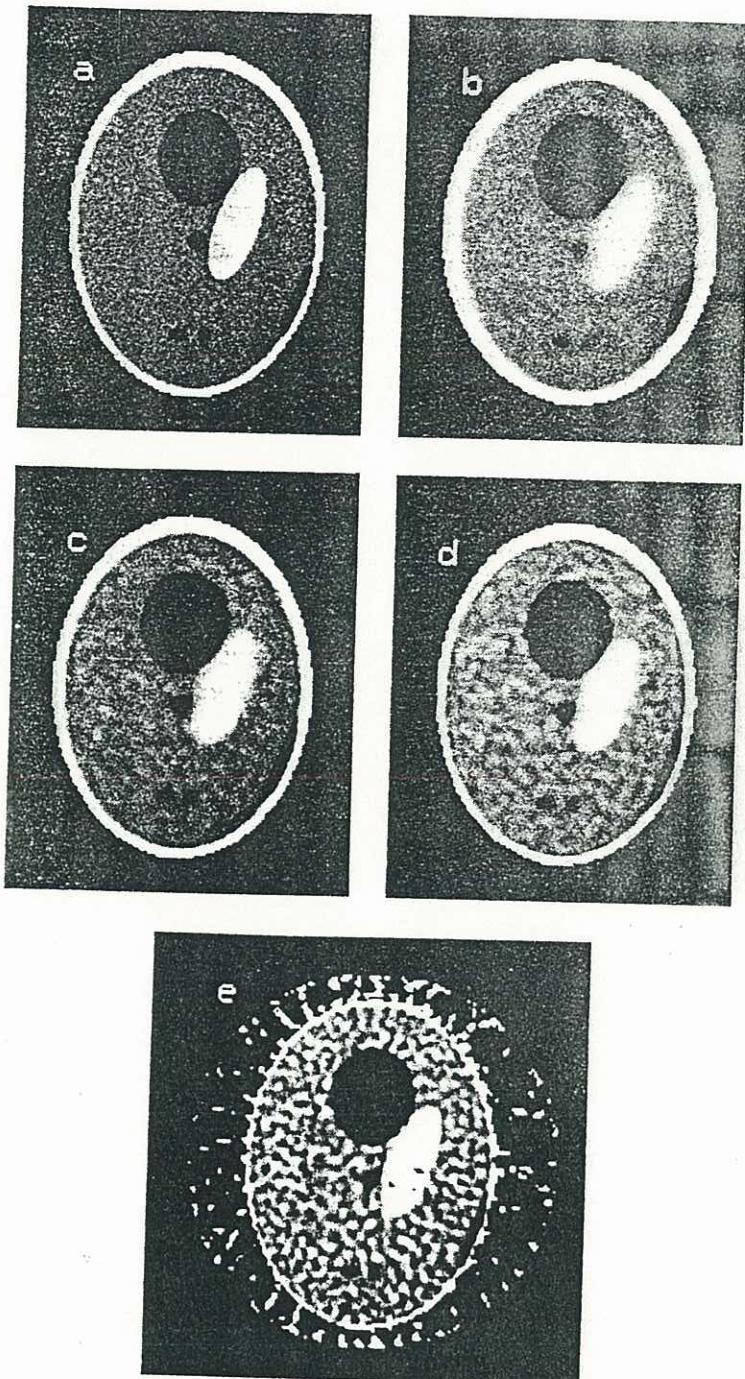


Figure 3. (a) The histogram $n(b)$, $b = 1, \dots, B (= 128^2)$, of the 10^7 counts drawn from the phantom of Figure 2 at a rate proportional to

65×64 tube
 128×128 param
counts

ML and MAP reconstruction

**Distinction between emitter activity and projections
(Lung image)**

ML principle:

Provide the reconstruction as the image that would, when projected, have the best overall agreement with the observed projection data.

i.e. maximise probability of observed data, a function of the emitter activity image $\langle x \rangle$

Penalized ML (Maximum A Posteriori) pragmatism:

Regularise ML to choose from many alternative images $\langle x \rangle$ with similar fit to projections the one which agrees with some prior requirement.

e.g. the “smoothest” image that agrees with data

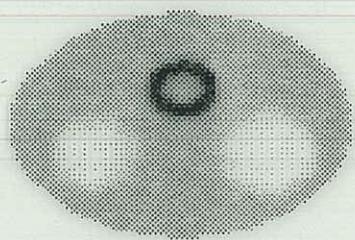
Implementation

Filtered Back Projection for ML with Gaussian errors,
all similar in scale, and exact projection of activity
to cameras

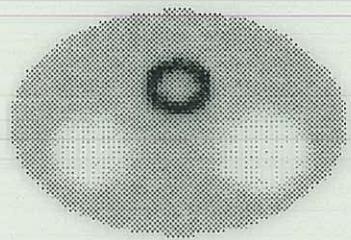
Expectation Maximisation algorithm (Shepp & Vardi)
for ML with Poisson count data
with arbitrary “projections” $\langle y \rangle = \langle A \rangle \langle x \rangle$

Adaption to penalized likelihood: Green 1990

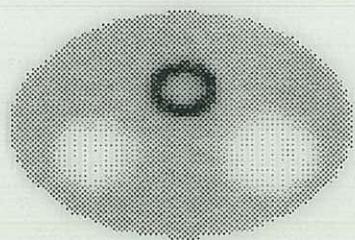
a) OS-EM 1 – 32 Iterations



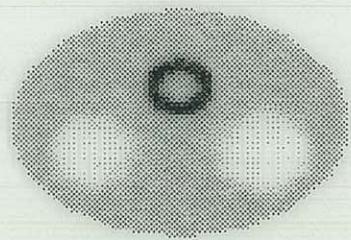
b) OS-EM 2 – 16 Iterations



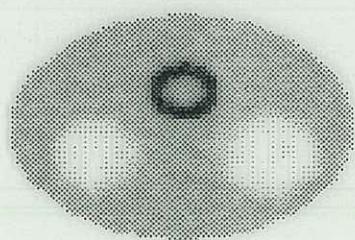
c) OS-EM 4 – 8 Iterations



d) OS-EM 8 – 4 Iterations



e) OS-EM 16 – 2 Iterations



f) OS-EM 32 – 1 Iteration

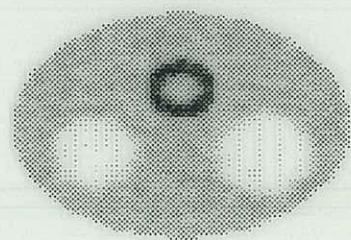


FIGURE 3: OS-EM Comparison After Matched Iterations.

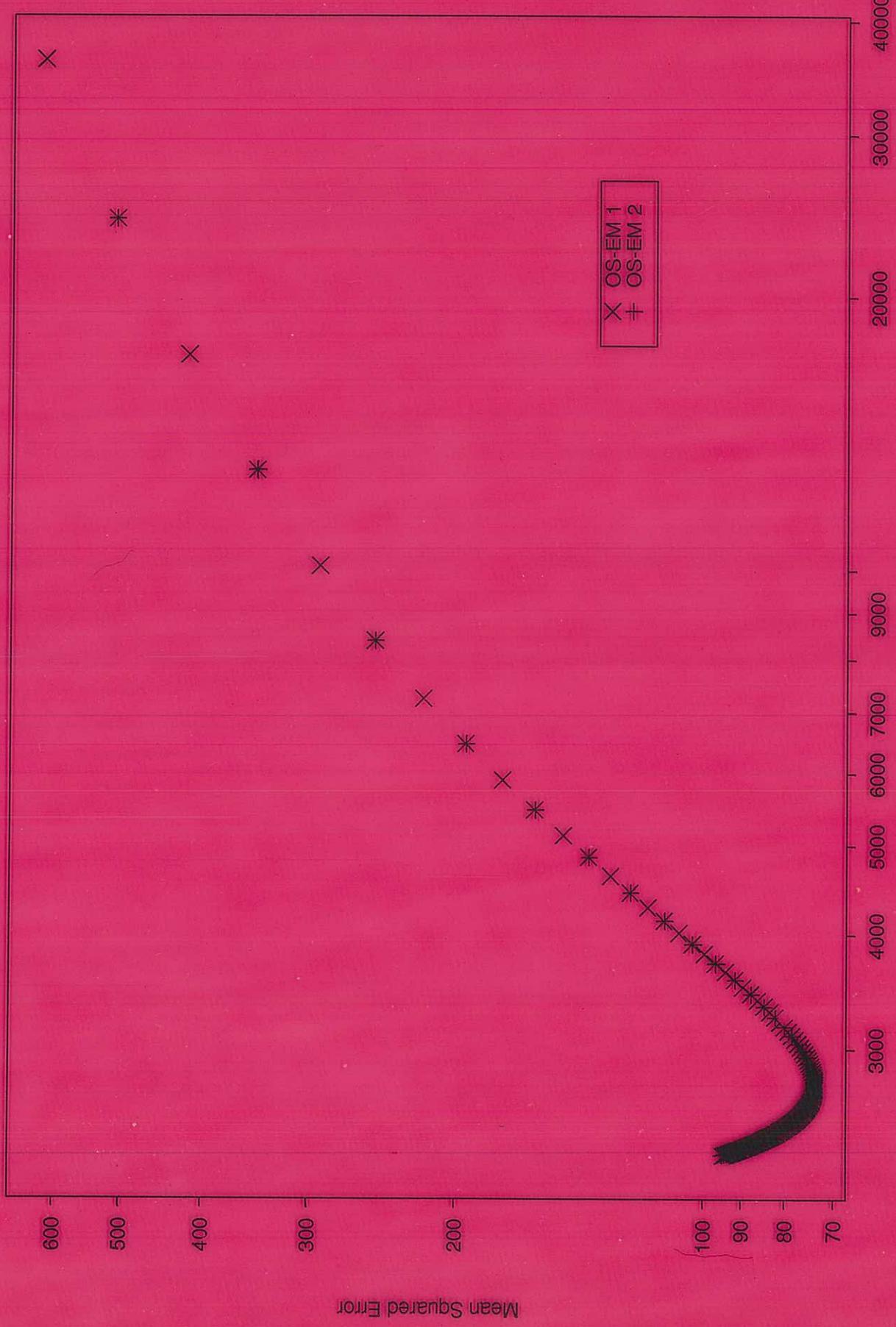


FIGURE 6: Mean Squared Error vs Chi Squared

PLAN FOR THIS TALK

- Motivation of use of ordered subsets (partition) of data in tomography.
- Kaczmarz projection methods for linear equations (iteratively by block iterative methods)
- Fisher - Scoring linearization of maximum penalized likelihood reconstruction .

x - unknown vector describing image activity :
 x_j the activity from pixel j

y - known vector of count data from camera

$A = (a_{tj})$ known probabilities
specifying design matrix

$$= \begin{bmatrix} A_1 \\ \vdots \\ \ddots \\ A_K \end{bmatrix}$$

W, W_k diagonal matrix of weights (a_{tk})

R matrix determining roughness penalty

EM algorithm

Let \underline{x} be complete data

\underline{y} incomplete data

$\underline{\theta}$ parameters

with log-likelihoods

$$L_x(\underline{\theta}) = \log f(\underline{x} | \underline{\theta})$$

complete d

$$L_y(\underline{\theta}) = \log g(\underline{y} | \underline{\theta})$$

observable a

Given current $\underline{\theta}^n$, define

$$Q(\underline{\theta} | \underline{\theta}^n) = E(\log f(\underline{x} | \underline{\theta}) | \underline{y}, \underline{\theta}^n)$$

Estep: Compute $Q(\underline{\theta} | \underline{\theta}^n)$

M step: $\underline{\theta}^{n+1}$ chosen to maximize $Q(\underline{\theta} | \underline{\theta}^n)$
regarded as a function of $\underline{\theta}$.

Shepp & Vardi application

Observable data counts

$$y_t \sim \text{Poisson} \left(\sum_{j=1}^J a_{tj} \theta_j \right) \quad t=1, \dots, n$$

Design matrix $A = (a_{tj})$ is known and has positive (probability) elements.

It is also ~~not~~ extremely large.

Unobserved complete data

Let $x = \{x_{tj}\}$ where

$$x_{tj} \sim \text{Poisson}(a_{tj} \theta_j)$$

$$\text{Then } Q(\theta | \theta^n) = \sum_t \sum_j (-a_{tj} \theta_j + E(x_{tj} | y_t, \theta^n))$$

where

$$E(x_{tj} | y_t, \theta^n) = y_t \frac{a_{tj} \theta_j}{\sum_k a_{tk} \theta_k} = g$$

is the Back-Projection of H

Then

$$\theta_j^{n+1} = \theta_j^n \sum_{t=1}^T \frac{y_t a_{tj}}{\mu_t(\theta^n)}$$

$j = 1, \dots$

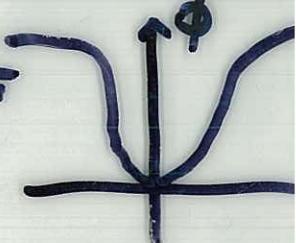
REGULARIZATION

With the number of parameters (J) approaching or exceeding the number of data observations, it is advisable to use a penalized likelihood.

Gibbs priors

$$S = -2 \log g(\underline{y} | \underline{\theta}) + \beta \sum_{i,j} w_{ij} \phi\left(\frac{\theta_i - \theta_j}{\delta}\right)$$

β is a smoothing parameter

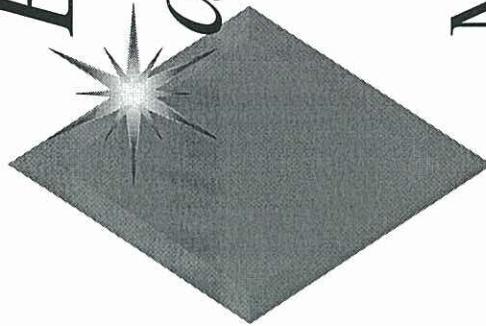


Choose $\hat{\theta}$ to minimize $S(\theta)$.

How? An alteration to the M-step
EM is required

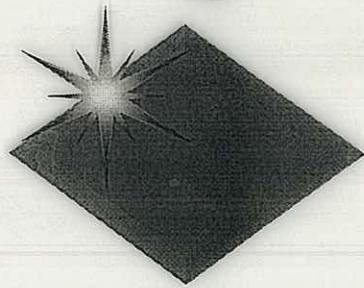
e.g. Green 1990 OS

EM algorithm: intro to accelerated reconstruction



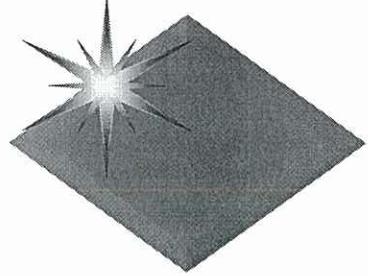
Malcolm Hudson

Department of Statistics,
Macquarie University



Objective

- ◆ What is Likelihood? Why Maximum Likelihood?
- ◆ What is EM?
- ◆ Poisson assumptions - necessary?
- ◆ Convergence and properties of EM
 - ◆ Regularization
 - ◆ Other iterative algorithms



SPECT context

- ◆ Reconstruction problem

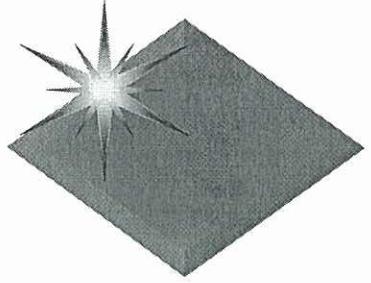
$$E(p) = A\lambda, \lambda > 0.$$

The *transition* matrix A

specifies the link between activity and projection
ray sum + geometry + scatter

Projection counts p are subject to Poisson noise.

Given $p > 0$ and A , estimate λ .



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EM algorithm

- iterative improvement of reconstruction

EM

substitute (for all pixels/voxels j):

$$\lambda_j \leftarrow \lambda_j * \sum_i [a_{ij} p_i / \mu_i] / \sum_i a_{ij},$$

where *fitted projections* are

$$\mu_i = \sum_j a_{ij} \lambda_j$$

General form: *project*, then *back-project* discrepancies.

Normalized system: $\sum_i a_{ij} = 1$.

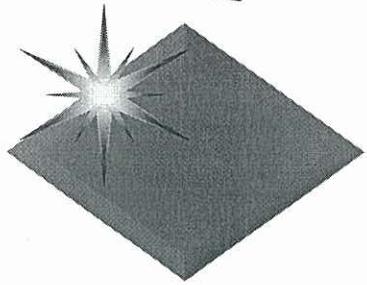
Repeat this substitution to improve further!

OSEM

projection counts in *subsets* (e.g. different camera views);
introducing each subset p^k in turn, substitute

$$\lambda_j \leftarrow \lambda_j * \sum_{i \text{ in subset}} [a_{ij} p_i^k / \mu_i] / \sum_{i \text{ in subset}} a_{ij}.$$

Subset balance when denominators equal for all subsets.

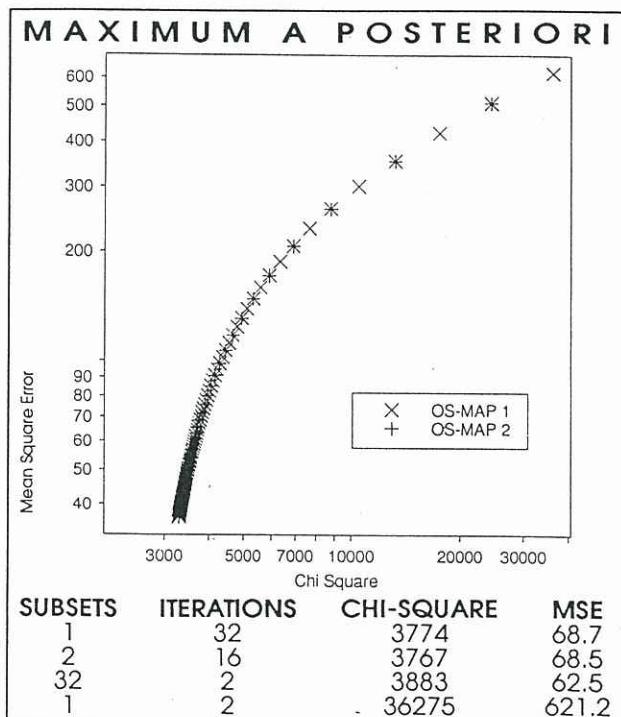
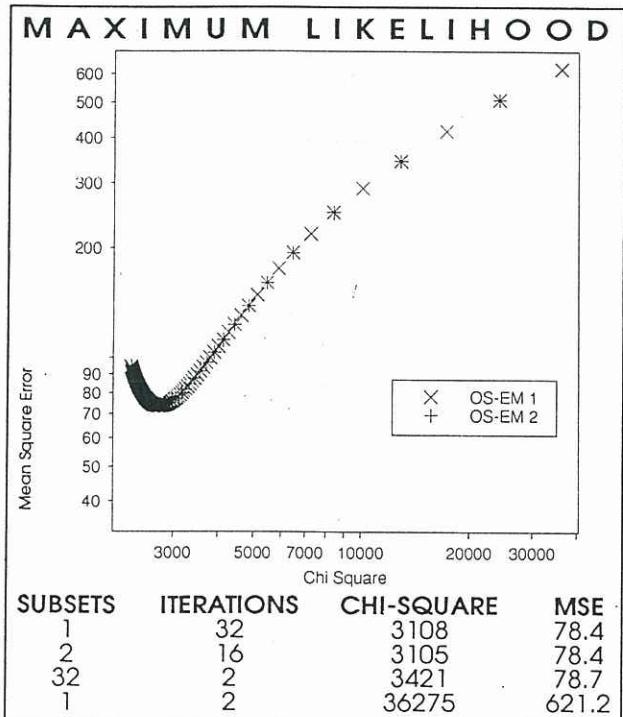


Study results [slides].

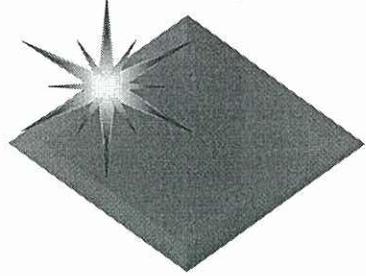
- ◆ Reconstruction quality observed to be better than CBP.
- ◆ *Noise artifact* appears after "too many" iterations.

RESULTS

COMPARISON OF SINGLE SUBSET AND TWO SUBSET METHODS

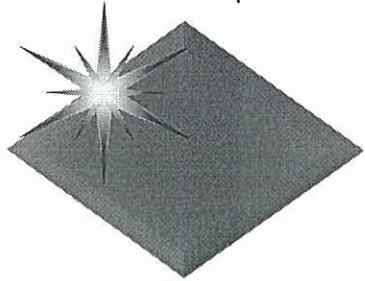


SIMULATED DATA



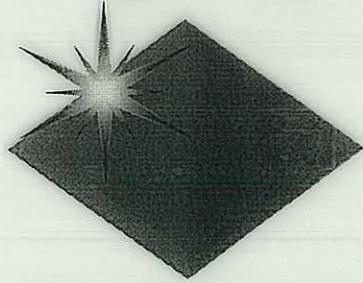
Regularize ML

- ◆ many alternatives with similar fits to projections
- ◆ choose one which agrees with some prior requirement.
- ◆ e.g. the "smoothest" image that agrees with projection counts.



Regularized reconstruction

- ◆ Benefit from smoothing (spatially) within EM iterations?
- ◆ Best use of prior information
 - Ardekani incorporates MRI anatomy in priors.
- ◆ Bayes/MAP alternatives to ML
 - Priors. Probability distribution of λ is given.
 - Gibbs distributions - specify $\text{Prob}(\lambda_j \mid \text{its neighbours})$

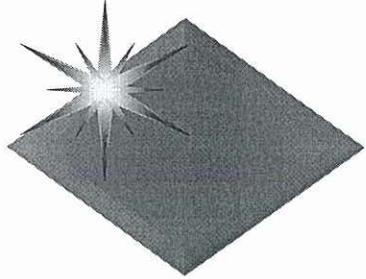


Other iterative reconstructions

- ◆ Criteria: WLS, ML, ME (KL)
- ◆ Algorithms:
 - ◆ SMART, a product form of EM
 - ◆ MART, similar approach to OSEM

EM $\lambda_j \leftarrow \lambda_j \sum_t a_{tj} \frac{p_t}{\mu_t}$ OS.

SMART $\lambda_j \leftarrow \lambda_j + \pi \left(\frac{p_t}{\mu_t} \right)^{a_{tj}}$ n



Likelihood

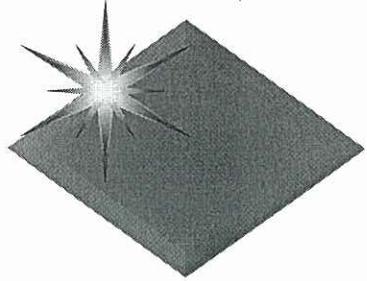
$L(\lambda) = \text{Prob}(p)$ is used to compare reconstructions:

higher probability means a better λ ;

ML principle: prefer the solution λ most consistent with data p

Probability is calculated under *Poisson* noise assumptions

ML solution is *unique* when the linear system is *inconsistent*.



EM Properties

- ◆ Always provide a non-negative ML solution:
- whether counts are Poisson or not;
- solve consistent systems;
- geometric mean data.
- ◆ Slow to converge. Ordered Subset EM.