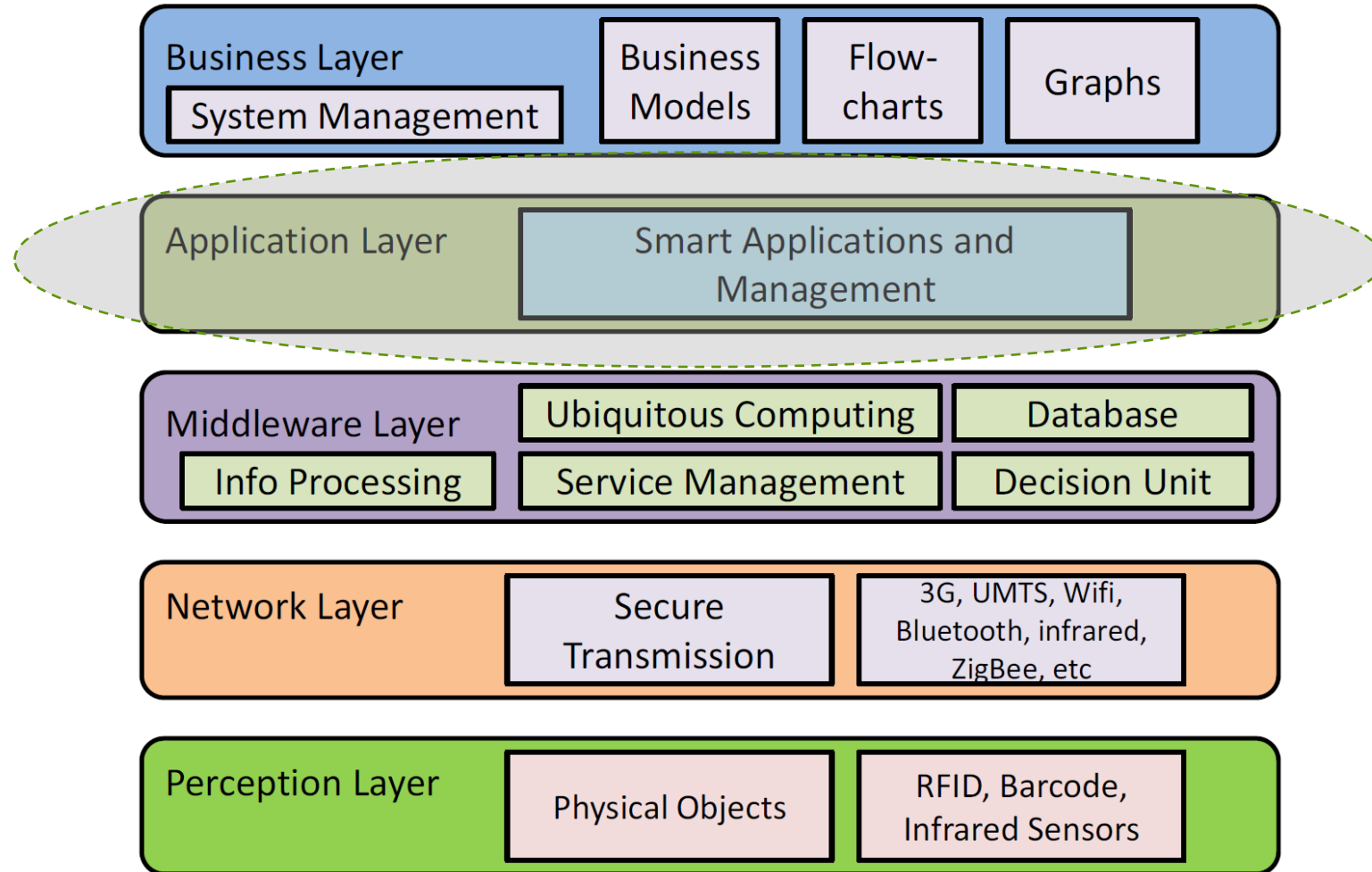


# IoT Edge to Cloud Protocols

Instructor: Deepak Gangadharan

# IoT Architecture



Source- Future Internet: The Internet of Things Architecture, Possible Applications and Key Challenges, 2012

# Why other protocols?

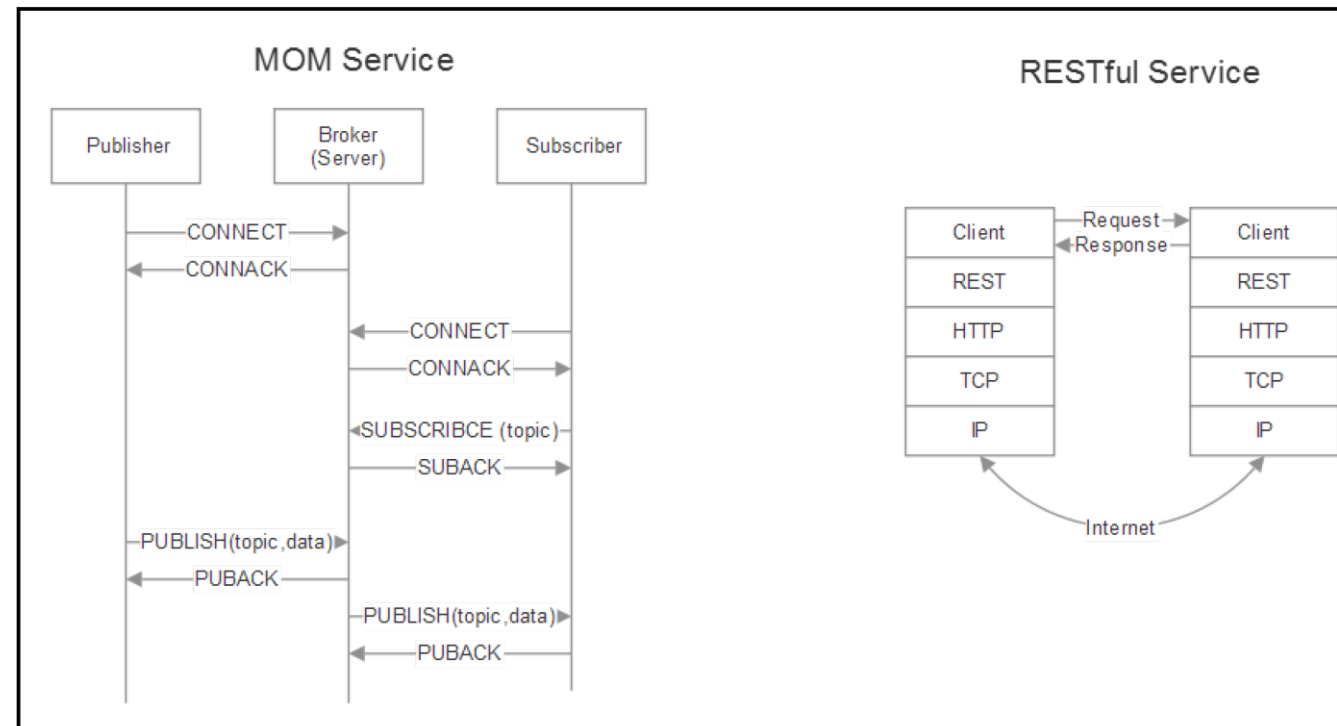
- Why are there other protocols other than HTTP to transport data across WAN?
- HTTP was designed for general purpose computing in client/server models
- IoT devices are **very constrained, remote and bandwidth limited** → **More efficient, secure and scalable protocols are necessary**
- Many protocols are Message Oriented Middleware (MOM) implementations → Communication between 2 devices using distributed message queues
- Some devices produce data and add to queue, others consume data from queue
- Some implementations require a broker

# Alternative to MOM implementation

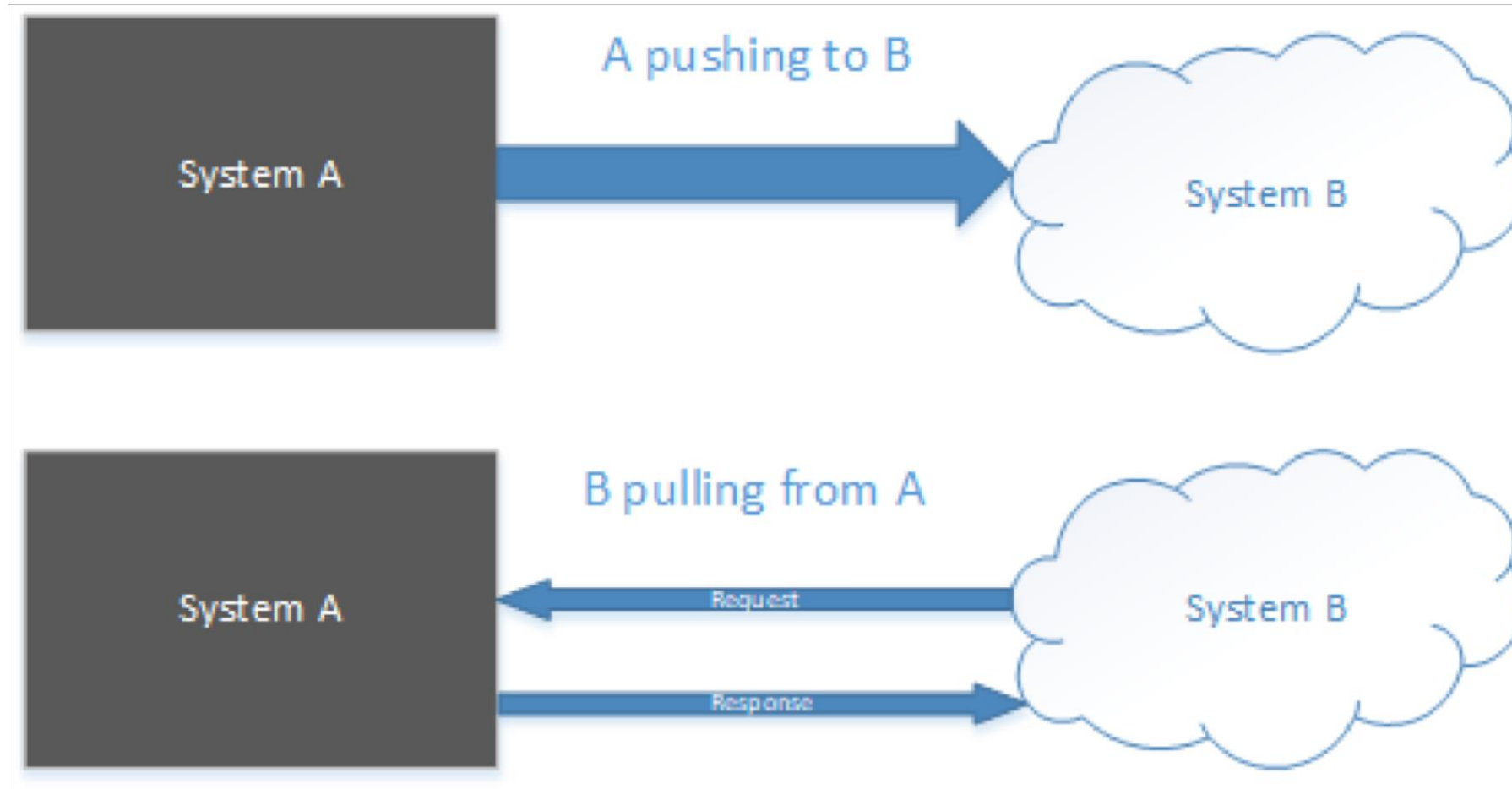
- RESTful model
  - A server owns the state of a resource but state is not transferred in a message from the client to the server
  - Use HTTP methods such as GET, PUT, POST and DELETE to place requests on a resource's Universal Resource Identifier (URI)
  - No broker in this architecture
  - Clients initiate access to resources through synchronous request-response patterns

# Alternative to MOM implementation

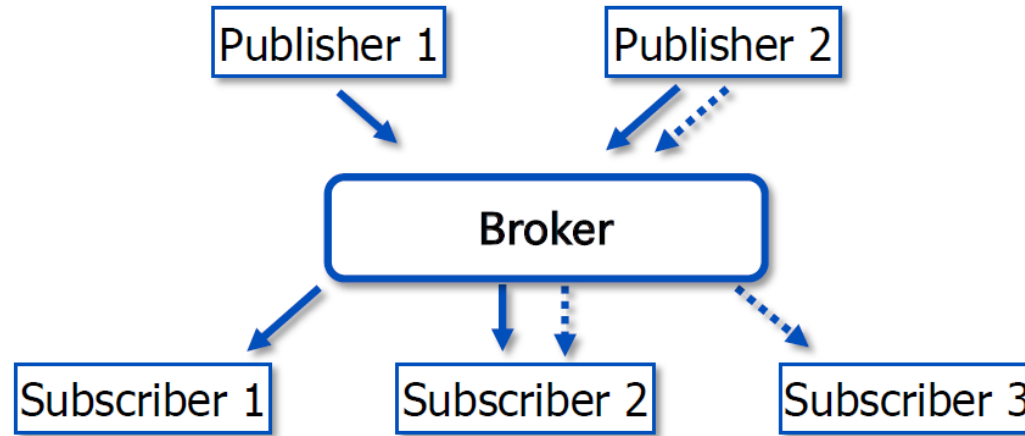
- RESTful model
  - Clients are responsible for errors, even if the server fails



# IoT Protocols – Ways to interchange messages



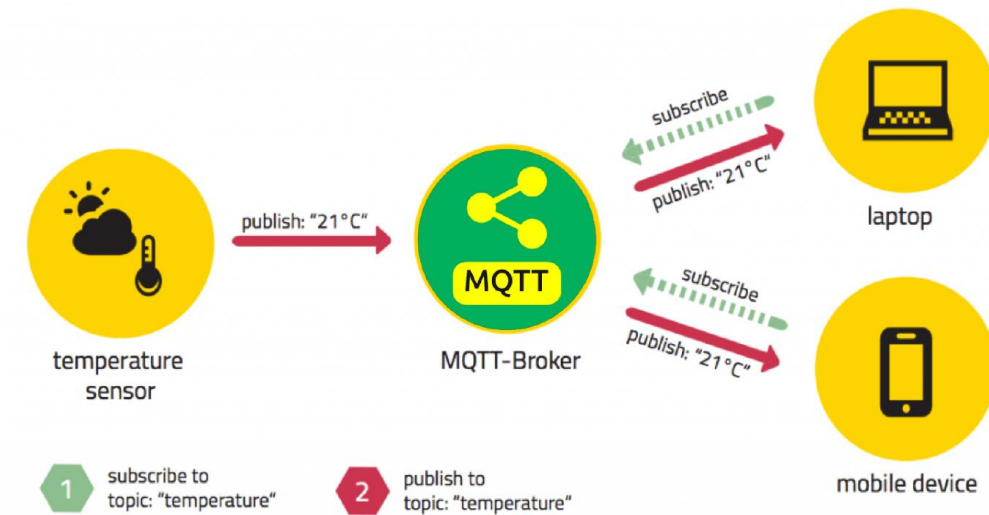
# IoT Protocols – Pub/Sub Approach



- Publisher/Subscriber (Producer/Consumer)
- Various protocols:  
**MQTT** (MQ Telemetry Transport), AMQP, XMPP

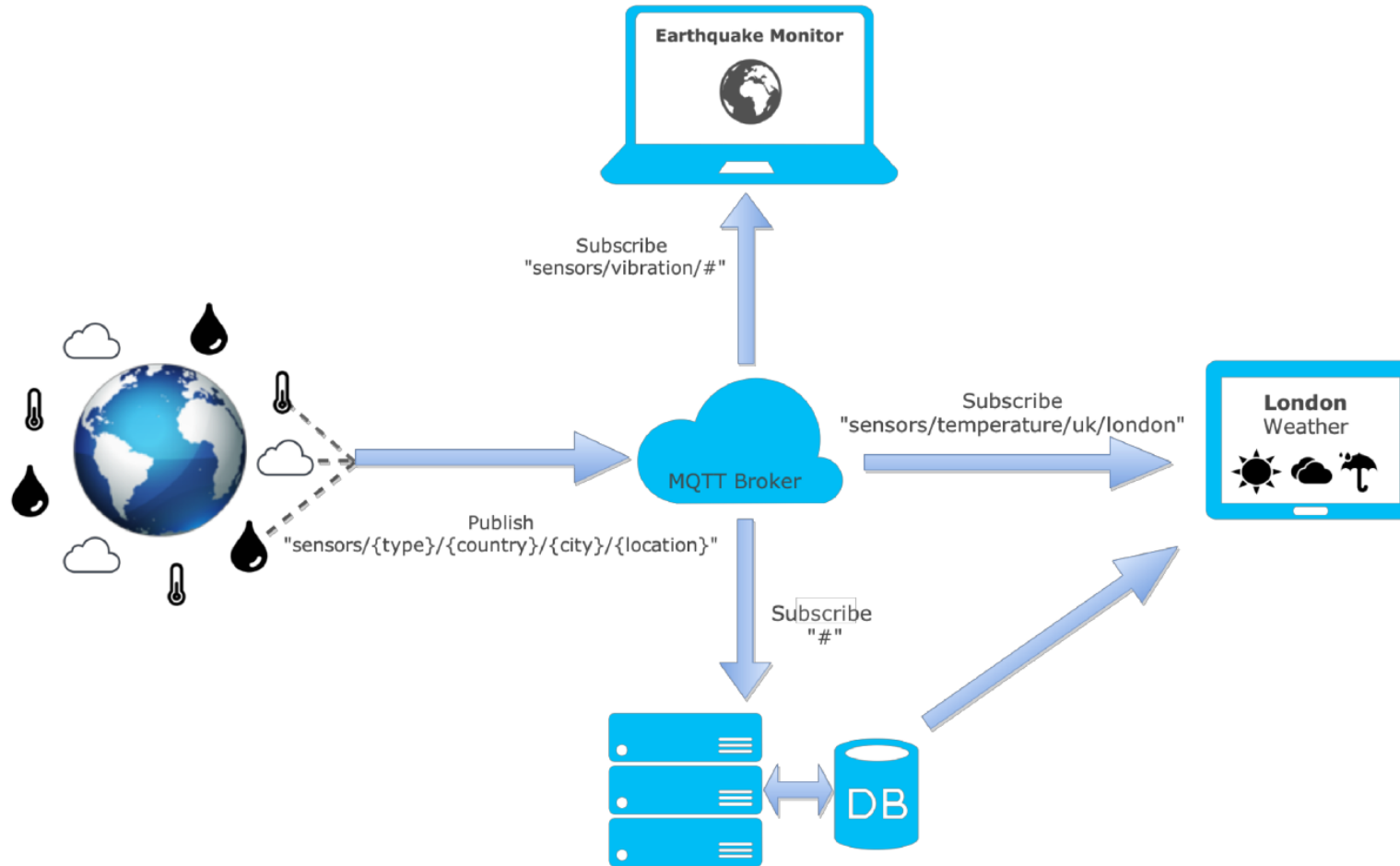
# IoT Protocols – Pub/Sub Approach

- Pub/Sub separate a client, who is sending a message about a specific topic, called **publisher**, from another client (or more clients), who is receiving the message, called **subscriber**
- Unlike client/server model, clients not aware of any physical identifiers such as IP address or port
- A third component, called the **broker**, which is known both by the publisher and subscriber, filters all incoming messages and distributes them accordingly





# Pub/Sub Approach – An example



Source: <https://zoetrope.io/tech-blog/brief-practical-introduction-mqtt-protocol-and-its-application-iot>

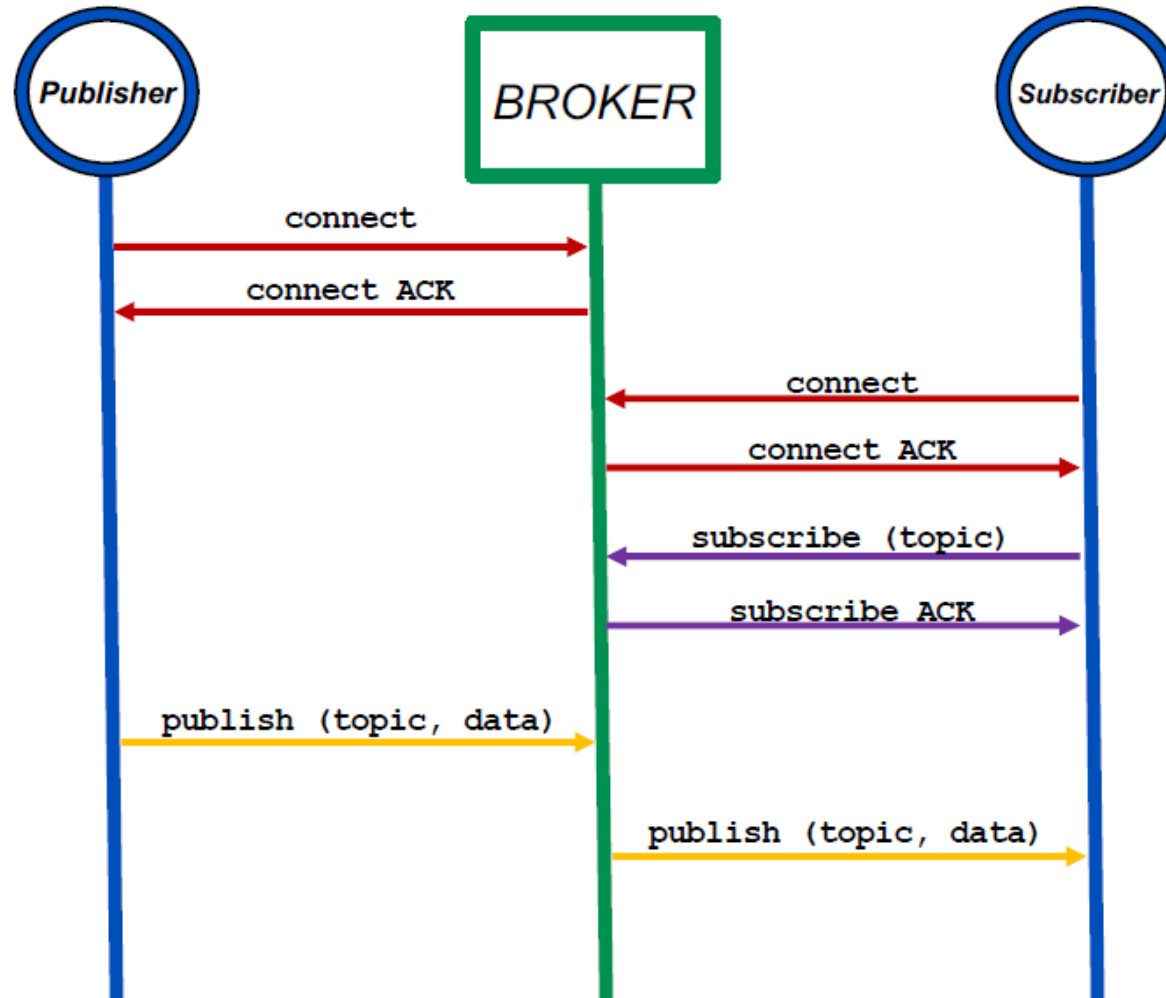
# Goals of MQTT

- Must be simple to implement
- To provide a form of quality of service
- To be very lightweight and bandwidth efficient
- To be data agnostic
- To have continuous session awareness
- To address security issues

# IoT Protocols - MQTT

- A lightweight publish-subscribe protocol that can run on embedded devices and mobile platforms → <http://mqtt.org/>
- A machine to machine/ IoT connectivity protocol, which is useful for connections with remote locations where a small code footprint is required and/or network bandwidth is at a premium
- It has been used in sensors communicating to a broker via satellite link, over occasional dial-up connections with healthcare providers, and in a range of home automation and small devices scenario
- Ideal for mobile applications because of – small size, low power usage, minimized data packets and efficient distribution of information to one or many receivers
- **References:**  
MQTT community wiki: <https://github.com/mqtt/mqtt.github.io/wiki>  
A good tutorial: <http://www.hivemq.com/mqtt-essentials/>

# Publish/Subscribe Interactions Sequence



# MQTT Architecture

- MQTT is an asymmetric protocol
- MQTT can retain a message on a broker indefinitely → controlled by a flag in normal message transmission
- MQTT defines an optional facility called Last Will and Testament (LWT) → message a client specifies during the connect phase
- LWT contains the Last Will topic, QoS and the actual message
- If a client disconnects from a broker ungracefully, the broker is obligated to broadcast LWT message to all other subscribed clients of that topic.
- keep-alive packet used to retain the connection between client and broker if the client device is disconnected

# MQTT topics

- MQTT topics are structured in a hierarchy similar to folders and files in a file system using forward slash (/) as a delimiter
- Topic names are case sensitive and must consist of at least one character to be valid



- Topic subscriptions can have wildcards, which enable nodes to subscribe to groups of topics that don't exist yet
  - ‘+’ matches anything at a given tree level
  - ‘#’ matches a whole sub-tree

# MQTT broker

- Responsibility of connecting clients and filtering data
- Filters provide
  - **Subject filtering:** Clients subscribe to topics and certain topic branches → Broker responsible for re-broadcasting the message to subscribed clients or ignoring it
  - **Content filtering:** Have the ability to inspect and filter published data → Any data not encrypted can be managed
  - **Type filtering:** A client can apply their own filters to subscribed data stream
- No need to directly identify a publisher or consumer based on physical aspects
- Cloud-managed MQTT brokers can ingest millions of messages per hour and support tens of thousands of publishers
- Maximum allowable packet size in MQTT is 256 MB, however data payload size is cloud and broker-dependent.

# MQTT – Quality of Service

- Messages are published with a QoS level, which specifies delivery requirements
- It also gives the client the power to choose a level of service that matches its network reliability and application logic
- Communication in unreliable networks is lot easier as MQTT manages the retransmission of messages and guarantees delivery



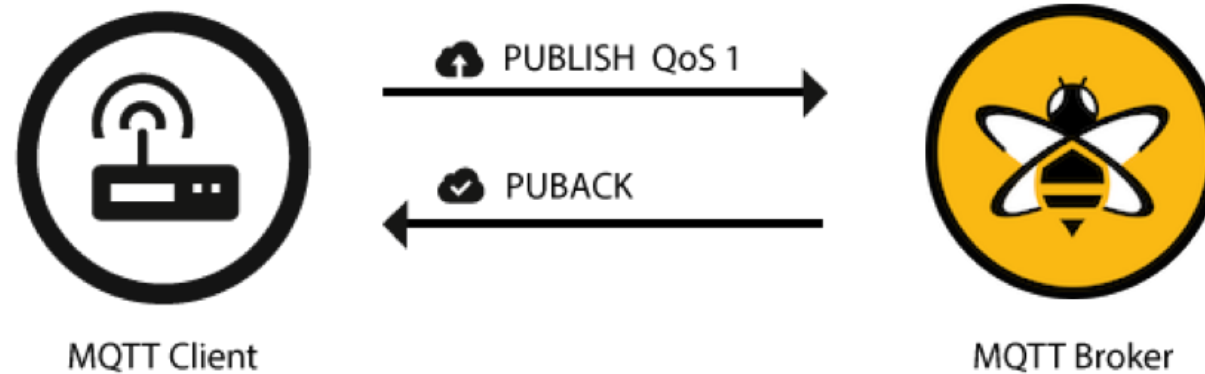
# MQTT – Quality of Service

- QoS 0 – at most once
  - Guarantees best-effort delivery
  - No guarantee of delivery
  - Recipient does not acknowledge receipt of the message and message is not stored and retransmitted by the sender
  - Also called “fire and forget” – Same guarantee as underlying TCP protocol



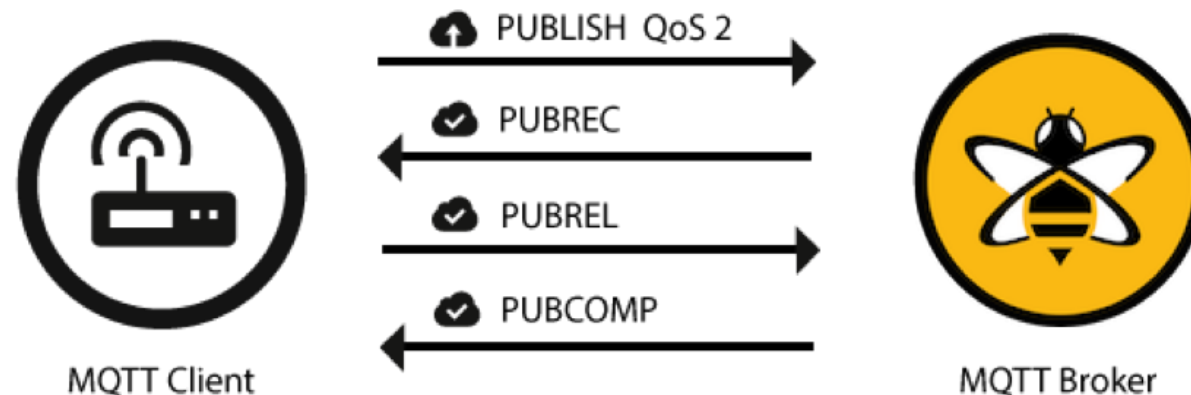
# MQTT – Quality of Service

- QoS 1 – at least once
  - Guarantees that a message is delivered at least one time to the receiver
  - Sender stores the message until it gets a PUBACK packet from the receiver
  - Possible for a message to be sent or delivered multiple times
  - Uses packet identifier to match the PUBLISH packet to the corresponding PUBACK packet



# MQTT – Quality of Service

- QoS 2 – exactly once
  - Highest level of service in MQTT
  - Guarantees that each message is received only once by the intended recipients
  - Guarantee provided by at least two request/response flows (a four part handshake)

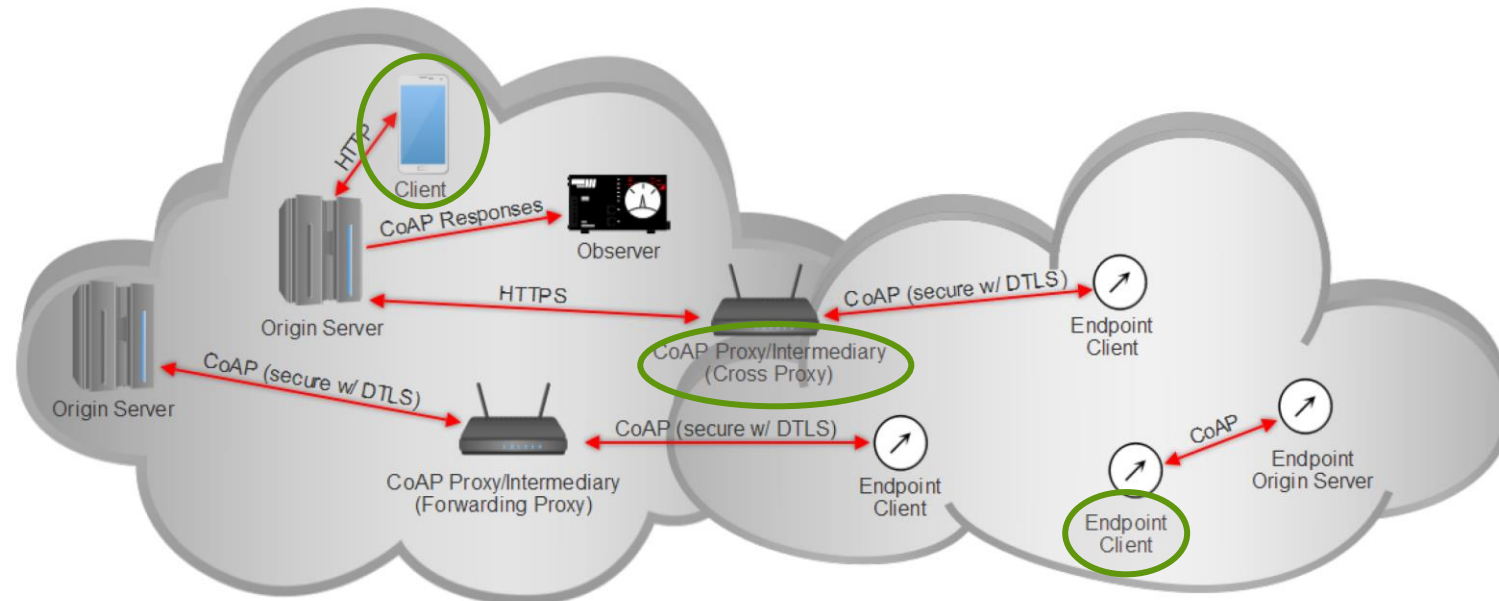


# Constrained Application Protocol (CoAP)

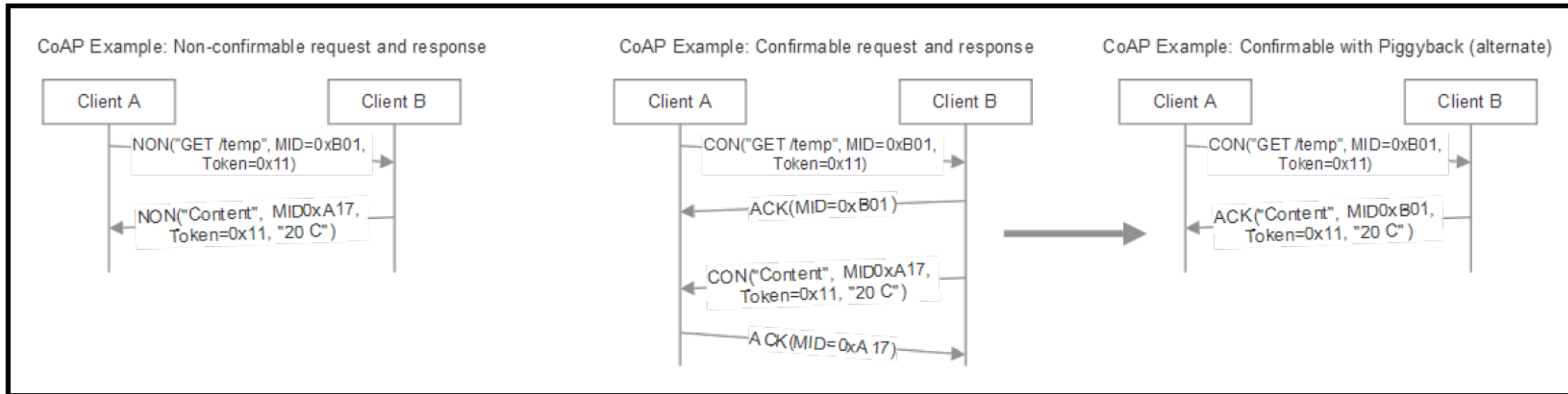
- IETF Constrained RESTful Environments (CoRE) working group created the first draft of the protocol
- Intended as communication protocol for constrained devices
- Tailored for M2M communication between edge nodes
- Provides a similar and easy structure of resource addressing familiar while using the web but with reduced resources and bandwidth
- Some implementations of CoAP perform up to 64x better than HTTP equivalents on similar hardware (power of 0.744 mW compared to 1.333 mW for HTTP)

# CoAP Architecture

- Based on concept of mimicking and replacing heavy HTTP abilities and usage with a lightweight equivalent for IoT
- Not a HTTP replacement



# CoAP Messaging



# Protocol Summary and Comparison

	MQTT	MQTT-SN	CoAP	AMQP	STOMP	HTTP/RESTful
<b>Model</b>	MOM pub/sub	MOM pub/sub	RESTful	MOM	MOM	RESTful
<b>Discovery protocol</b>	No	Yes (via gateways)	Yes	No	No	Yes
<b>Resource demands</b>	Low	Very Low	Very Low	High	Medium	Very High
<b>Header Size (bytes)</b>	2	2	4	8	8	8
<b>Average power usage</b>	Lowest	Low	Medium	High	Medium	High
<b>Authentication</b>	No (SSL/TLS)	No (/TLS)	No (DTLS)	Yes	No	Yes (TLS)
<b>Encryption</b>	No (SSL/TLS)	No (SSL/TLS)	No (DTLS)	Yes	No	Yes (TLS)
<b>Access controls</b>	No	No	No ( proxy)	Yes	No	Yes
<b>Communication overhead</b>	Low	Very Low	Very Low	High	High, verbose	High
<b>Protocol complexity</b>	Low	Low	Low	High	Low	Very High
<b>TCP/UDP</b>	TCP	TCP/UDP	UDP	TCP/UDP	TCP	TCP
<b>Broadcasting</b>	Indirect	Indirect	Yes	No	No	No
<b>Quality of Service</b>	Yes	Yes	With CON messages	Yes	No	No

Thank You