

# COL351

## Assignment 1

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August 2021

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## 1 Question 1

Let  $G$  be an edge-weighted graph with  $n$  vertices and  $m$  edges satisfying the condition that all the edge weights in  $G$  are distinct.

### 1.a Unique MST

#### Question 1.a

**Question.** *Prove that  $G$  has a unique MST.*

*Proof.* We will prove this by induction on the size of  $G$  using an idea similar to Kruskal's algorithm discussed in the class.

**Hypothesis:**

$$h(n) : \forall G = (V, E) : |V| = n \implies MST(G) \text{ is unique} \quad (1)$$

**Base case:**  $n = 1$  is true since there is no edge and  $MST(G) = (V, \phi)$  is unique.

**Induction Step:** Assume  $h(n - 1)$  is true for  $n \geq 2$ , now for  $h(n)$ :  
*(Note: This proof assumes each edge to be an unordered pair of vertices)*

Consider Kruskal's algorithm,

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**Algorithm 1** Recursive MST Routine – Kruskal's algorithm

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```

1: procedure MST( $G$ )
2:    $e_0 \leftarrow (x, y)$  be edge with least weight
3:    $H \leftarrow G$ 
4:   remove  $x, y$  from  $H$  and add new vertex  $z$ 
5:   for all  $v$  such that  $v$  is neighbour of  $x$  or  $y$  do
6:     add  $(v, z)$  to  $H$ 
7:      $wt(v, z) \leftarrow \min(wt(v, x), wt(v, y))$ 
8:     if  $wt(v, x) < wt(v, y)$  then
9:        $map(v, z) \leftarrow (v, x)$ 
10:    else
11:       $map(v, z) \leftarrow (v, y)$ 
12:    end if
13:  end for
14:   $T_H \leftarrow MST(H)$ 
15:   $T_G \leftarrow (V, \{e_0\})$ 
16:  for all  $e \in T_H$  do
17:    if  $e$  is not incident on  $z$  then
18:      add  $e$  to  $T_G$ 
19:    else
20:      add  $map(e)$  to  $T_G$ 
21:    end if
22:  end for
23:  return  $T_G$ 
24: end procedure

```

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In the above algorithm, it is clear that  $H$  has  $n - 1$  vertices. Thus, by our assumption,  $h(n - 1)$  is true and hence  $T_H$  is unique. Also, we know that  $T_G$  is a valid MST, from the correctness of Kruskal's algorithm. Now, assume by contradiction that  $T_G$  is not unique. Then there exists an MST, say  $T' \neq T_G$ .

**Claim 1.1.**  $e_0$  cannot be in  $T'$

*Proof.* This is because, if  $e_0$  were in  $T'$ , then  $T \setminus \{e_0\} \neq T' \setminus \{e_0\}$  and thus, there would be two different MSTs for  $H$  which would be a contradiction to our assumption. Thus,  $e_0 \notin T'$ .  $\square$

Consider the path from  $x$  to  $y$  in  $T'$ . Since  $e_0 = (x, y)$  is not present in  $T'$ , there exists a different path, say  $P = (f_1, f_2 \cdots, f_k)$  where  $f_i \in E(T'), 1 \leq i \leq k$ . We know that

$wt(f_i) > wt(e_0), 1 \leq i \leq k$ .

Swap any of the  $f_i$  with  $e_0$  and let the subgraph formed be  $T''$ , i.e.,  $T'' = T' \setminus \{f_i\} \cup \{e_0\}$ . We know  $T''$  is a spanning tree of  $G$  since  $V(T'') = V(G)$  and there are no cycles formed on performing the swap operation (this can be proven using contradiction as discussed in the lecture).

Now, consider the weight of  $T''$ :

$$\begin{aligned} wt(T'') &= wt(T') - wt(f_i) + wt(e_0) \\ \implies wt(T'') &< wt(T') \end{aligned} \tag{2}$$

We have shown that the total weight of  $T''$  is lesser than the weight of  $T'$ . However, this is a contradiction to the fact that  $T'$  is the MST of  $G$ . Thus our assumption that  $T_G$  is not the unique MST of  $G$  was wrong. Therefore,  $h(n)$  is true.

This completes the induction and the proof that *if all edge weights in a graph are distinct, then its MST is unique*.  $\square$

## 1.b Algorithm Sketch

### Question 1.b

**Question.** *If it is given that  $G$  has at most  $n + 8$  edges, then design an algorithm that returns a MST of  $G$  in  $O(n)$  running time.*

*Solution.* The idea is to use the previous result along with the fact that the number of edges to be removed to form a spanning tree is atmost  $(n + 8) - (n - 1) = 9$ , assuming that  $G$  was initially connected (else no MST exists). The algorithm is as follows:

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#### Algorithm 2 Compute MST for 1.b

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1: procedure MST( $G$ )
2:   if  $|E(G)|$  equals  $|V(G)| - 1$  then
3:     return  $G$                                       $\triangleright$  since  $G$  is acyclic and hence a tree
4:   end if
5:    $C \leftarrow findCycle(G)$ 
6:    $e \leftarrow$  edge with largest weight in  $C$ 
7:   remove  $e$  from  $G$ 
8:    $T_G \leftarrow MST(G)$ 
9:   return  $T_G$ 
10: end procedure

```

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The procedure *findCycle* calls a DFS function on  $G$  which uses graph colouring and returns the first cycle it finds:

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**Algorithm 3** *findCycle*

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```
1: procedure FINDCYCLE( $G$ )
2:    $v \leftarrow$  any vertex of  $G$ 
3:   colour  $\leftarrow$  map of vertices initialised to zero
4:   parent  $\leftarrow$  map of vertices initialised to null
5:    $(u, v) \leftarrow \text{dfs}(G, v, \text{colour}, \text{parent}, \text{null})$ 
6:    $\triangleright$  returns the bottommost and topmost vertex of the cycle
7:    $C \leftarrow$  empty array of edges
8:   add  $(u, v)$  to  $C$ 
9:   while  $u \neq v$  do
10:    add  $(u, \text{parent}(u))$  to  $C$ 
11:     $u \leftarrow \text{parent}(u)$ 
12:  end while
13:  return  $C$ 
14: end procedure
```

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The *DFS* function looks as follows:

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**Algorithm 4** Identify cycle using colouring and DFS

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```
1: procedure DFS( $G, v, \text{colour}, \text{parent}, p$ )
2:   parent( $v$ )  $\leftarrow p$ 
3:   colour( $v$ )  $\leftarrow 1$ 
4:   for all  $u$  such that  $u$  is neighbour of  $v$  in  $G$  do
5:     if colour( $u$ ) is 2 then
6:       return  $(u, v)$ 
7:     else if colour( $u$ ) is 0 then
8:       value  $\leftarrow \text{dfs}(G, u, \text{colour}, \text{parent}, v)$ 
9:       if value is not null then
10:        return value
11:      end if
12:    end if
13:  end for
14:  colour( $v$ )  $\leftarrow 2$ 
15: end procedure
```

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## **2 Question 2**

**2.a two point one**

**2.b two point two**

file for 2b

## **3 Question 3**

**3.a three point one**

file for 3a

**3.b three point one**

file for 3b